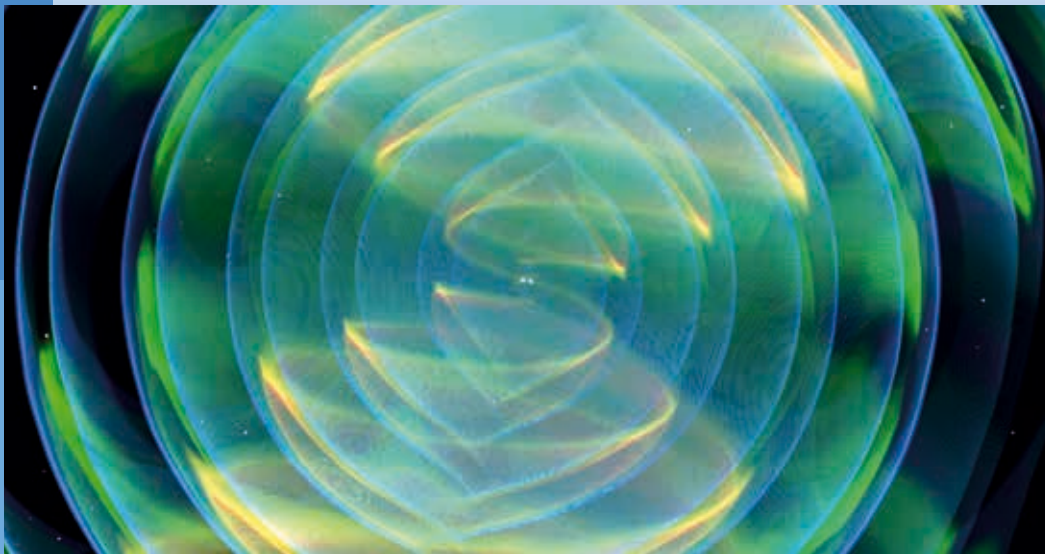


P. Bastian • D. Kranzlmüller • H. Brüche • M. Brehm *EDITORS*

High Performance Computing

in Science and Engineering
Garching/Munich 2018



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Gauss Centre for Supercomputing



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SuperMUC: A Success Story

7.6 billion compute hours consumed, 5.6 million jobs processed, more than 750 research projects carried out, 1,995 researchers as clients: Since 2012, SuperMUC has served science on a large-scale and has enabled break-through scientific research on a world-class level.

In this results book, we would like to present this outstanding research, publishing more than 110 reports on projects carried out in 2016 and 2017¹. Our “TOP 5” projects in terms of allocated core-hours consumed 17% of the total available core-hours on SuperMUC in this time-frame and merit special mention:

1. Astrophysics: Janka et al. performed longtime 3D supernova simulations (page 32)
2. Computational Fluid Dynamics and Engineering: Lohse et al. performed simulations on thermal turbulence at extreme Rayleigh numbers (page 108)
3. High Energy Physics: Jansen et al. studied nucleon observables as probes for physics beyond the standard model (page 202)
4. Earth, Climate and Environmental Sciences: Ludwig et al. research climate change and hydrological extremes (page 185)
5. Astrophysics: Dietrich et al. investigated binary neutron star mergers (page 18)

For us at LRZ it is of utmost importance to support our users as best as we can, and to make sure these world-class supercomputing resources are used in the best possible way. To achieve this, we have implemented measures and programs over the last couple of years:

Dedicated application labs within the framework of the successful Partnership Initiative Computational Sciences (piCS) for astrophysics, big data, computational fluid dynamics, earth- and environmental sciences, digital humanities, and life sciences have been established at LRZ. Here, our application experts work closely together with scientists on optimization and scalability of the top applications, including our successful extreme scaling workshop series.

Additionally, twice a year, the Bavarian Competence Network for Technical and Scientific High Performance Computing (KONWIHR) supports short to medium term projects from regional researchers to optimize existing applications to achieve better scalability. Software developers from KONWIHR projects come to LRZ to work directly with application experts to profile the application, identify bottlenecks, and to develop and implement strategies for better scalability. The results of several KONWIHR pro-



jects are included in this book. In the future, users can expect even more support through high level support teams for GCS Large Scale projects and PRACE projects.

To attract new users from the fields of molecular dynamics, quantum chemistry, and bioinformatics to successfully use a Tier-0 system like SuperMUC, LRZ initiated the “Summer of Simulation”. In June 2016, seven PhD students were selected. Each one received a one million core-hour grant to develop a scalable setup for their application with the help of a tutor from LRZ. In the second stage, the students submitted a follow-up proposal, and six were granted between five and eight million core-hours, each. The “Summer of Simulation” was repeated in 2017, this time with nine projects, that all successfully finished the second stage and used on average 8 mio core-hours. More details can be found in the Appendix.

The success story continues: SuperMUC-NG

To fulfill the ever-increasing demand on more and more computing power, on December 14, 2017, LRZ and Intel have signed a contract for a new supercomputer at LRZ. SuperMUC-NG will be the „Next Generation“ of the cur-

[1] For reports on previous projects, please see the previous editions of our results books:
<https://www.lrz.de/services/compute/supermuc/magazinesbooks/index.html#Books>



Figure 1: Prof. Dr. Dieter Kranzlmüller, Chairman of the Board of Directors at LRZ in front of SuperMUC Phase 2.

Getting access to top-tier supercomputing resources

LRZ supplies its high performance computing resources to both national and international research teams. It is a member of the Gauss Centre for Supercomputing (GCS), which combines the three national centres High Performance Computing Center Stuttgart (HLRS), Jülich Supercomputing Centre (JSC), and Leibniz Supercomputing Centre (LRZ) into Germany's foremost supercomputing institution. GCS is jointly funded by the German Federal Ministry of Education and Research and the corresponding ministries of the states of Bavaria, Baden-Wuerttemberg and North Rhine-Westphalia. GCS massively contributes to European large-scale scientific and engineering research by its involvement in the Partnership for Advanced Computing in Europe (PRACE).

Twice a year, GCS supports the most demanding projects through its Call for Large Scale Projects. Projects with European partners can submit proposals via PRACE. Several PRACE and GCS Large Scale projects report about their work in this book. Smaller scale proposals for computing time on SuperMUC can be submitted to LRZ throughout the year and the projects can start immediately after they have been reviewed positively.

Acknowledgments

We gratefully acknowledge the continued support of the State of Bavaria, The Bavarian Competence Network for Technical and Scientific High Performance Computing (KONWIHR), the Gauss Centre for Supercomputing (GCS), the German Research Foundation (DFG), the German Federal Ministry of Education and Research (BMBF), the Partnership for Advanced Computing in Europe (PRACE), and many other institutions promoting high performance computing. We thank the reviewers and the Steering Committees of GCS and SuperMUC for the reviews of the projects, their insights and helpful remarks. Without their efforts it would not have been possible and will not be possible in the future to sustain the high scientific quality we can see in the projects.

We are very thankful to our longtime Chairman of the SuperMUC and GCS Steering Committee, Prof. Dr. Siegfried Wagner, who passed away in January, 2018. Professor Wagner was a tireless advocate of high-performance computing and its value to scientific engineering. Prof. Wagner was a strong supporter and driving force behind the foundation of GCS and his role in the steering committees ensured the sustainable success of SuperMUC.

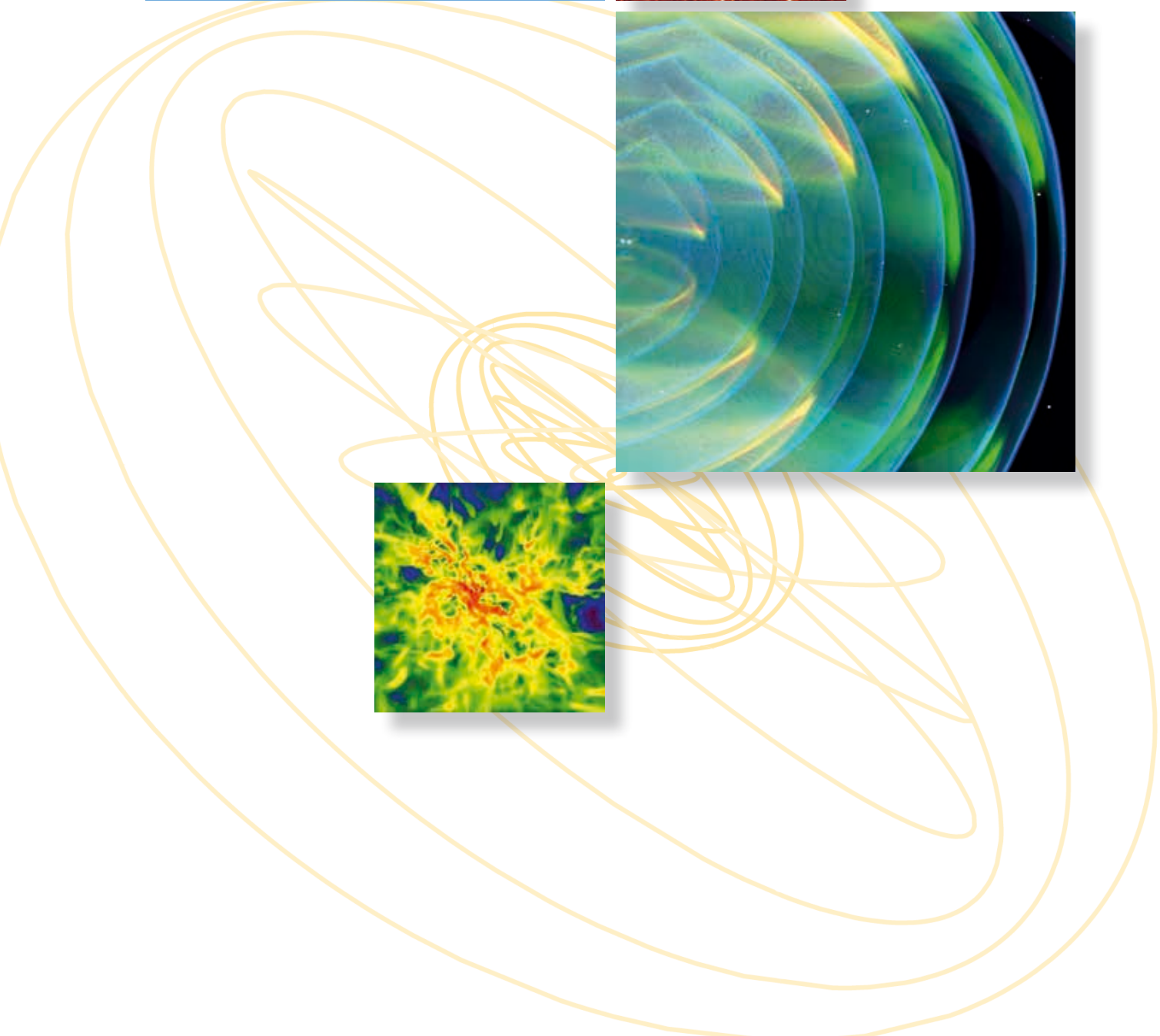
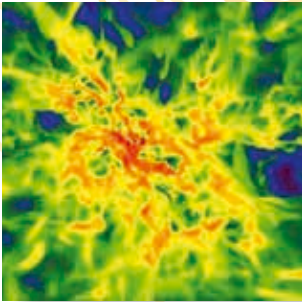
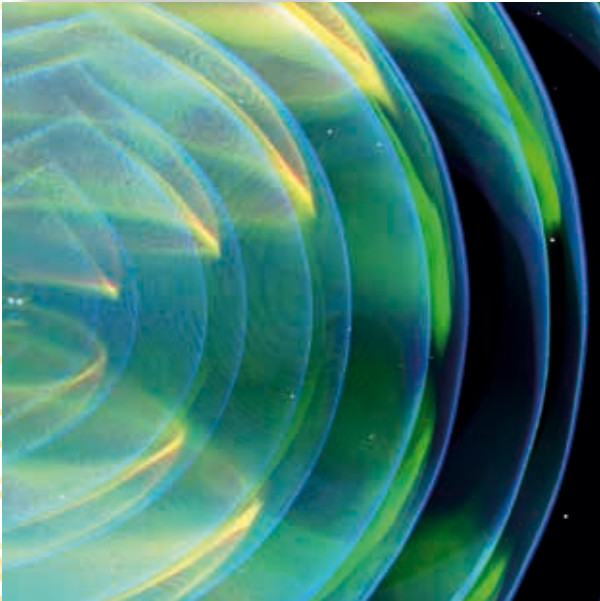
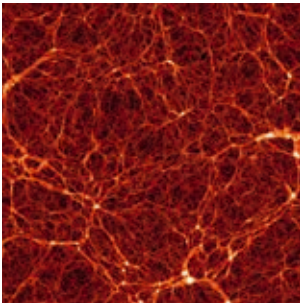
Garching near Munich, June 2018

Peter Bastian
Dieter Kranzlmüller
Helmut Brühlle
Matthias Brehm

rently operated SuperMUC, and will provide an impressive computational power of 26.7 PetaFlop/s to a wide-ranging scientific community. SuperMUC-NG will not only significantly improve the compute power, but also enable the handling of tremendous amounts of data („Big Data“) accumulated in today's experiments and simulations. A further objective of the new system is providing users the flexibility to deploy their own software and visualisation environments for analyzing the data and sharing the results with other researchers worldwide. For better integration with modern concepts of handling and visualization of huge amounts of data, SuperMUC-NG will be linked to separately operated cloud components.

With the next supercomputer SuperMUC-NG, we will meet the ever-growing demands for compute and storage resources of our researchers and will provide excellent conditions for state-of-the-art scientific research. SuperMUC-NG is currently being installed and will start production in early 2019. It will be equipped with more than 6,400 Lenovo ThinkSystem SD650 DWC compute nodes based on the Intel Xeon Scalable processor. Detailed descriptions on SuperMUC and SuperMUC-NG can be found in the Appendix.

Astrophysics



The sonic scale revealed by the world's largest turbulence simulation

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SuperMUC Project ID: pr321o

Introduction

Understanding turbulence is critical for a wide range of terrestrial and astrophysical applications. For example, turbulence on earth is responsible for the transport of pollutants in the atmosphere and determines the movement of weather patterns. But turbulence plays a central role in astrophysics as well. For instance, the turbulent motions of gas and dust particles in protostellar disks enables the formation of planets. Moreover, virtually all modern theories of star formation rest on the statistics of turbulence (Padoan et al., 2014). The theoretical assumptions about turbulence behind star formation theories allow the prediction of star formation rates in the Milky Way and in distant galaxies (Salim et al., 2015; Sharda et al., 2018). Interstellar turbulence shapes the structure of molecular clouds (Klessen & Glover, 2016) and is a key process in the formation of filaments, the building blocks of star-forming clouds.

A key ingredient for all these models is the so-called *sonic scale*. The sonic scale marks the transition from super-

sonic to subsonic turbulence and produces a break in the turbulence power spectrum from $E \propto k^{-2}$ to $E \propto k^{-5/3}$, or equivalently in the 2nd-order velocity structure function from $SF_2 \propto \ell^{1/2}$ (in the supersonic regime) to $SF_2 \propto \ell^{1/3}$ (in the subsonic regime). While these structure function slopes of 1/2 and 1/3 for the supersonic and subsonic parts of the spectrum have been measured independently, there is no simulation currently capable of bridging the gap between both regimes. This is because previous simulations did not have enough resolution to separate the injection scale, the sonic scale and the dissipation scale. The aim of this project is to run the first simulation that is sufficiently resolved to measure the exact position of the sonic scale and the transition region from supersonic to subsonic turbulence. We therefore ran a simulation with the unprecedented resolution of $10,048^3$ grid cells on SuperMUC, in order to resolve the sonic scale.

Results

In the framework of a GAUSS Large Scale Project, an allocation exceeding 40 million core hours has been granted to this project on SuperMUC. The simulation code used for this project is FLASH, a public, modular grid-based hydrodynamical code for the simulation of astrophysical flows (Fryxell et al., 2000). The parallelisation is based entirely on MPI. In the framework of the SuperMUC Phase 2 scale-out workshop, the current code version (FLASH4) has been optimised to reduce the memory and MPI communication requirements. In particular, non-critical operations are now performed in single precision, without causing any significant impact on the accuracy of the results (see Figure 1). In this way, the code runs with a factor of 4.1 less memory and 3.6 times faster than the version used for the previous large-scale project at LRZ (Federrath, 2013), and scales remarkably well up to the full machine on SuperMUC Phase 2 (Hammer et al., 2016).

Our current $10,048^3$ simulation has been completed and data processing is in progress. The simulation was run on 65,536 compute cores, used up the full allocation of 40

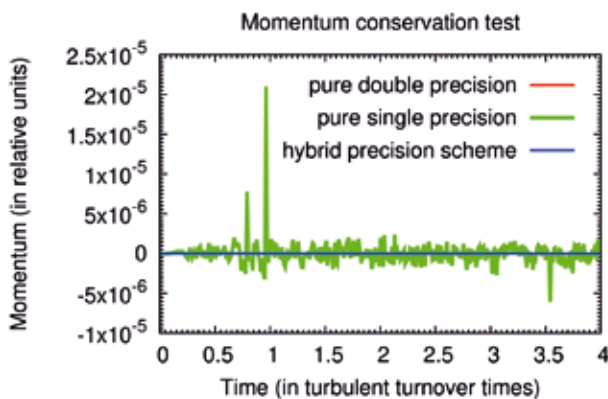


Figure 1: Comparison of the pure double-precision and pure-single precision schemes with our new hybrid-precision scheme for modelling supersonic and subsonic turbulence. The mass and momentum are well conserved in our hybrid-precision scheme, shown as a straight blue line (identical to the pure double-precision scheme), while significant errors arise in the pure single-precision scheme (shown as the green line).

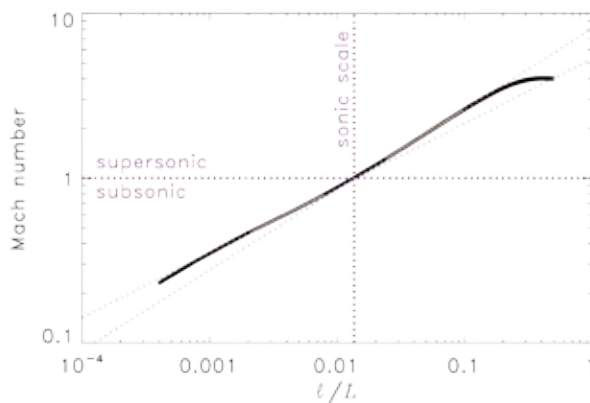


Figure 2: Velocity structure function of the simulation with $10,048^3$ cells. This reveals the transition from supersonic to subsonic turbulence around the sonic scale (defined as the scale where the Mach number is unity). We find the sonic scale at about 1/100th of the computational box size.

million core hours and produced about 2 PB of output data. Here we present the first results of the simulations, with a focus on identifying the sonic scale.

In order to find the sonic scale we computed the 2nd-order velocity structure functions over a period of 5 large-scale turbulent turnover times. Figure 2 shows the time-averaged structure function (with error bars quantifying fluctuations in time around the average), where we have plotted the Mach number (defined as $\sqrt{SF_2}/c_s$, where c_s is the isothermal sound speed of the gas), as a function of scale l/L (in units of the size of the computational domain, L). We can directly use this plot to identify the position of the sonic scale and transition region around it. We find the sonic scale where the Mach number is unity, which gives a sonic scale of $l/L \approx 0.014$. Power-law fits in the subsonic and supersonic regime yield slopes of 0.4 and 0.5, respectively, close to the theoretical expectations (the subsonic slope is slightly steeper than the original Kolmogorov prediction of 1/3, likely because of necessary intermittency corrections; see Schmidt et al., 2008). The transition region around the sonic scale is about a factor of 3 in l .

We can use the measured position and width of the sonic scale from Figure 2 to visualise the density structures associated with the sonic-scale transition. We do

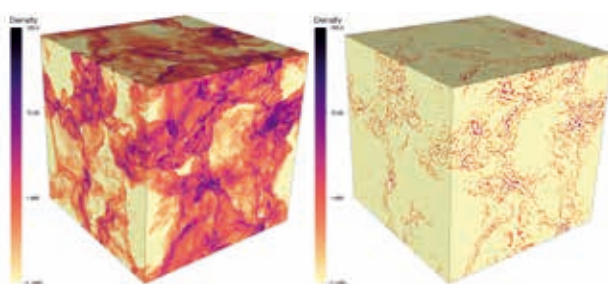


Figure 3: Three-dimensional visualisation of our turbulence simulation. The left-hand panel shows the gas density in the entire domain, while the right-hand panel highlights those structures that are associated with the sonic scale. We see that these sonic-scale structures trace the edges of strong shocks. The density contrasts are up to 1000 across these sonic surfaces.

so in Figure 3, which shows the gas density in the entire domain (left-hand panel) and the Fourier-filtered density field to highlight the density structures around the sonic scale (scales of about 1/100th of the box size; shown in the right-hand panel). This reveals the position and morphology of the sonic-scale structures. We find that they are associated with strong shocks, i.e., the transition regions between pre-shock and post-shock gas. The filaments and sheets tracing these structures have enormous density contrasts of 100–1000.

These sonic-scale structures are key ingredients for star formation. We think that they are associated with the formation of interstellar filaments (Federrath, 2016). Dense cores may form at the intersection of such filaments, which marks the onset of local gravitational dominance of these cores, such that they can proceed via gravitational collapse to form stars. Hence, the sonic scale is a key ingredient in star-formation theory (Krumholz & McKee, 2005; Federrath & Klessen, 2012).

The visualisation shown in Figure 3 highlights the enormous complexity of the turbulent structures on all spatial scales covered in these simulations. For further visualisations and movies of the simulation, please visit http://www.mso.anu.edu.au/~chfeder/pubs/extreme_scaling/extreme_scaling.html (movies and visualisations for use on the LRZ and GCS webpage).

There are many other fundamental aspects of turbulent flows that can be studied with this large simulation (fractal dimension, probability distribution functions of key dynamic variables, etc.). This is work in progress.

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Gauss Centre for Supercomputing:
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Uni Heidelberg:
<http://www.ita.uni-heidelberg.de/~chfeder/pubs/supersonic/supersonic.shtml?lang=en>

The world's largest turbulence simulations

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SuperMUC Project ID: pr48pi (Gauss Large Scale project)

Introduction

Understanding turbulence is critical for a wide range of terrestrial and astrophysical applications. For example, turbulence on earth is responsible for the transport of pollutants in the atmosphere and determines the movement of weather patterns. But turbulence plays a central role in astrophysics as well. For instance, the turbulent motions of gas and dust particles in protostellar disks enables the formation of planets. Moreover, virtually all modern theories of star formation rest on the statistics of turbulence (Padoan et al., 2014). Especially the theoretical assumptions about turbulence behind star formation theories allow the prediction of star formation rates in the Milky Way and in distant galaxies (Federrath & Klessen, 2012). Interstellar turbulence shapes the structure of molecular clouds and is a key process in the formation of filaments which are the building blocks of star-forming clouds. The key ingredient for all these models is the so-called sonic scale. The sonic scale marks the transition from supersonic to subsonic turbulence and produces a break in the turbulence power spectrum from $E \propto k^{-2}$ to $E \propto k^{-5/3}$. While the power-law slopes of -2 and -5/3 for the supersonic and subsonic parts of the

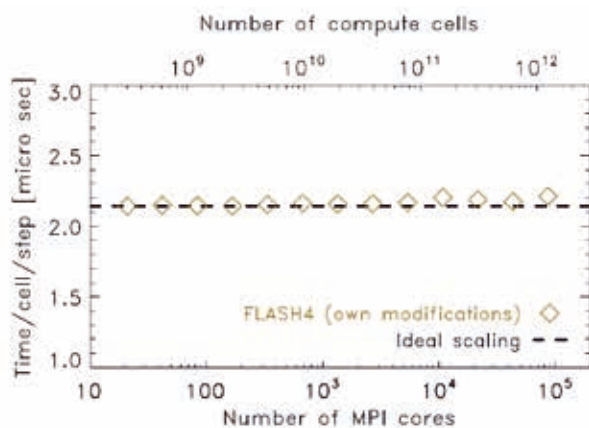


Figure 1: Weak scaling of the customized version of the FLASH code, used during the SuperMUC scale-out workshop on Phase 2 in 2015. The diamonds indicate the scaling tests of the FLASH code, while ideal scaling is represented by the dashed line.

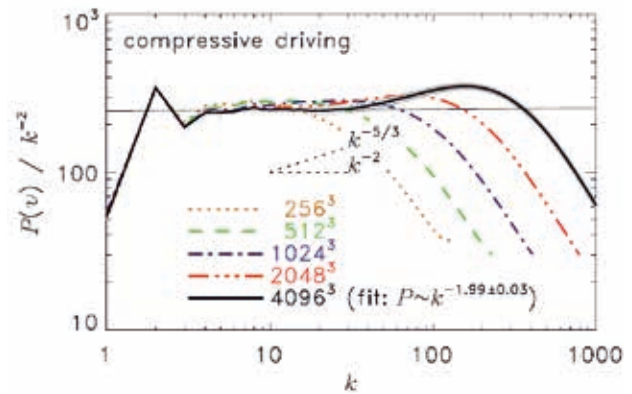


Figure 2: Power spectrum from highly-compressible, supersonic turbulence simulations (compressive driving), demonstrating a k^{-2} scaling (Federrath, 2013).

spectrum have been measured independently, there is no simulation currently capable of bridging the gap between both regimes. This is because previous simulations did not have enough resolution to separate the injection scale, the sonic scale and the dissipation scale.

The aim of this project is to run the first simulation that is sufficiently resolved to measure the exact position of the sonic scale and the transition region from supersonic to subsonic turbulence. A simulation with the unprecedented resolution of 10000^3 grid cells will be needed for resolving the transition scale.

Results

In the framework of a GAUSS Large Scale Project, an allocation exceeding 40 million core-h has been granted to this project on SuperMUC. The application used for this project is FLASH, a public, modular grid-based hydrodynamical code for the simulation of astrophysical flows (Fryxell et al., 2000). The parallelisation is based entirely on MPI. In the framework of the SuperMUC Phase 2 scale-out, the current code version (FLASH4) has been optimised to reduce the memory and MPI communication requirements. In particular, non-critical operations are now performed in single precision, without

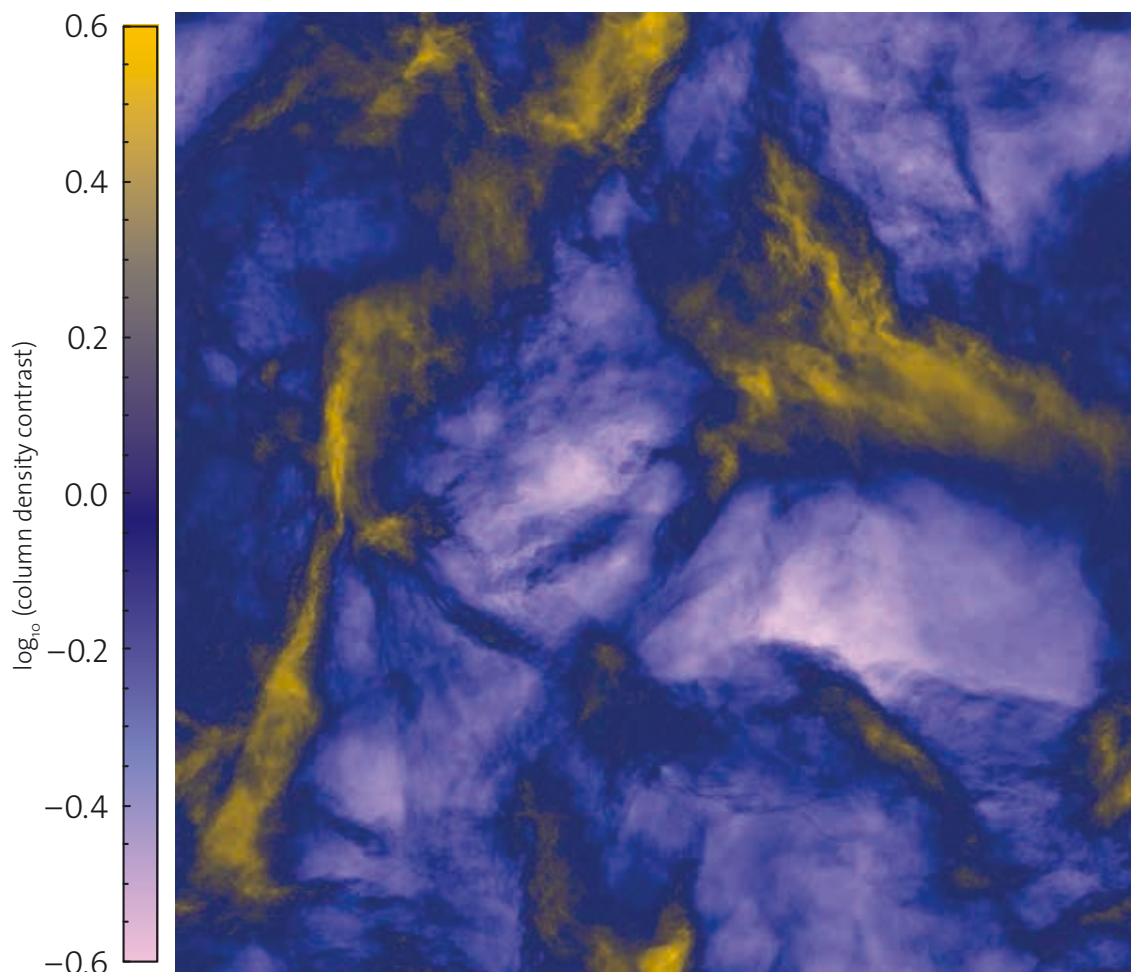


Figure 3: Column gas density projection in our simulation of supersonic turbulence with a grid resolution of 10048^3 cells (Federrath et al., in preparation).

causing any significant impact on the accuracy of the results. In this way, the code runs with a factor of 4.1 less memory and 3.6 times faster than the version used for the previous large-scale project at LRZ (Federrath, 2013), and scales remarkably well up to the full machine on SuperMUC Phase 2 (see Figure 1).

Our current 10048^3 simulation has been nearly completed at the time of writing, and data processing is in progress. Some early impression of the forthcoming results can be seen from the highlights of the work of Federrath (2013), based on the previous large-scale project on turbulence simulations (up to 4096^3 grid cells), selected as the SAO/NASA ADS paper of the year 2013.

Highly-compressible supersonic turbulence is complex, if compared to the subsonic, incompressible regime, because the gas density can vary by several orders of magnitude. Using threedimensional simulations, we have determined the power spectrum in this regime (see Figure 2), and found $E \propto k^{-2}$, confirming earlier indications obtained with much lower resolution (Kritsuk et al., 2007). The resolution study in Figure 2 shows that we would not have been able to identify this scaling at any lower resolution than 4096^3 cells. Extremely high resolution and compute power are absolutely necessary for the science done here.

Figure 3 displays the unprecedented level of detail in density structure achieved with our current 10048^3 simulation. This visualization highlights the enormous complexity of the turbulent structures on all spatial scales covered in these simulations. Simulation movies are available online (with additional links below): http://www.mso.anu.edu.au/~chfeder/pubs/extreme_scaling/extreme_scaling.html (movie for use on the GCS webpage) Future results are expected from the ongoing analyses (power spectra, fractal dimensions, PDFs, etc.), all work in progress.

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Binary Neutron Star Merger Simulations

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SuperMUC Project ID: pr48pu (Gauss Large Scale project)

Introduction

On the 17th of August 2017 the observation of gravitational and electromagnetic radiation from a binary neutron star coalescence initiated a new era of multi-messenger astronomy [1]. For the first time the coincident detections of a short gamma ray bursts, a kilonova, and a gravitational wave signal connected several high-energy astrophysics phenomena with the collision of the most extreme stars in the Universe.

While this achievement is already an important scientific breakthrough, one expects multiple observations of merging neutron stars in the next years due to the increasing sensitivity of advanced GW detectors.

To interpret the observations, theoretical studies of binary neutron star systems are necessary. Because of the complexity of the non-linear Einstein Equations coupled to the equations of general relativistic hydrodynamics, numerical relativity simulations are required to describe the system in the last stages of the binary coalescence.

Numerical relativity simulations are a multi-scale and multi-physics problem that requires the solution of nonlinear partial differential equations in complex geometries.

Over the last years our group has developed numerical methods and codes to perform such simulations to allow predictions of the gravitational-wave and electromagnetic radiation emitted by compact binaries. Aspects we are focusing on are the dynamical interaction between supra-nuclear-density and the production of accurate gravitational waveforms for a variety of binary parameters.

Results

Computational Setup

Dynamical simulations are performed with the BAM code. BAM combines state-of-art methods to deal with black hole spacetimes and shock capturing methods for general relativistic hydrodynamics simulations. The code is based on the method of lines and uses high-order finite difference stencils for the spatial discretization of the geometric variables, while high reso-

lution shock capturing methods are used for the hydrodynamic variables. The time integration is done with an explicit Runge-Kutta method. The BAM infrastructure also supplies adaptive mesh refinement by a combination of fixed and moving boxes, as well as cubed spheres. The code is written in C and is hybrid OpenMP/MPI parallelized.

It is important to point out that scientific statements can only be made with a bundle of numerical simulations and that individual simulations of a single physical setup using one resolution are almost meaningless. Therefore, we are required to simulate physical setups with different resolutions to show consistency, to check convergence, and to give proper error bars for the observables. Additionally, we have to span a reasonable range in the parameter space to study the imprint of the binary parameters, as spin, equation of state, total mass, and mass-ratio. Depending on the resolution and parameters considered every individual simulation runs on a few hundred to a few thousand processors. Currently, we have consumed ~100 million CPUs on SuperMUC with in the project pr48pu. We produced ~200 million files and used a maximum of ~110TB of storage.

Scientific results

With the help of the computational resources granted through the project pr48pu, we have written in the last 2 years 10 peer reviewed articles. Some of the research highlights will be discussed in the following.

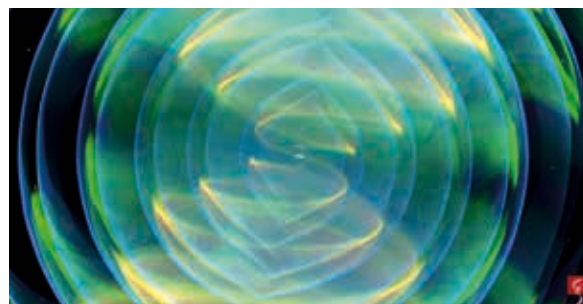


Figure 1: Gravitational wave signal emitted from the coalescence of two neutron stars as detected on the 17th of August 2017, source: <http://www.aei.mpg.de/2132431/gw170817-binary-neutron-star-merger>

Waveform model development:

The main target of gravitational wave astronomy is to extract the properties of the observed system like the stars' masses or spins from the detected signal. For this purpose the signal is cross-correlated with waveform templates. Therefore, a key to the source identification is the availability of state-of-the-art models of the gravitational-wave signal. Recently, we constructed an analytical closed-form gravitational wave model which employs directly high-resolution and error-controlled numerical relativity data [2]. The latter have been combined with analytical expressions based on post-Newtonian theory, describing the early inspiral when the two stars are still far apart, and on waveforms obtained in the so-called effective-one-body approach [3]. This allowed us to build waveform approximants that are valid from the low frequencies to the strong-field regime and up to merger. Our work [2] provided for the first time simple, flexible, and accurate models used directly in the data analysis of the first binary neutron star event observed by LIGO and Virgo [1].

Binary Neutron Star Parameter Space Coverage:

Currently, our collaboration is about to release the first catalog of binary neutron star waveforms with a total of 367 simulations. An important aspect of our work is the use of initial data which fulfill the Einstein Constraint Equations and the equations governing the evolution of the matter variables. These consistent initial data allow for highly accurate predictions of the binary evolution. Furthermore, with the methods presented in [4] we are able to access large regions of the binary neutron star parameter space.

In particular, we have been the first who performed simulations for spinning neutron stars with a realistic description of the intrinsic rotation of the stars. We have been the first who simulated precessing binary neutron star mergers, i.e., systems in which the orbital plane precesses due to the fact that the spins of the neutron stars are not aligned with the orbital angular momentum. We managed to simulate systems with large mass ratios, in particular mass ratios between 1.5 and 2. Since although the observed neutron star binaries have currently mass ratios below 1.3, one expects that also systems with higher mass ratios exist, consequently, we need to be prepared for upcoming gravitational wave observations in different regions of the parameter space.

Very recently, we started the investigation of highly eccentric binary neutron star systems. These systems which can form in globular clusters allow to constrain the Equation of State of neutron star matter by density oscillations induced into the stars during close encounters in the inspiral.

Outlook

In the future we plan to extend our work on binary neutron star systems and focus on the development of a new pseudospectral code, BAMPs. BAMPs includes already routines for general relativistic hydrodynamics within the framework of discontinuous Galerkin methods and will be the next-generation successor to BAM.

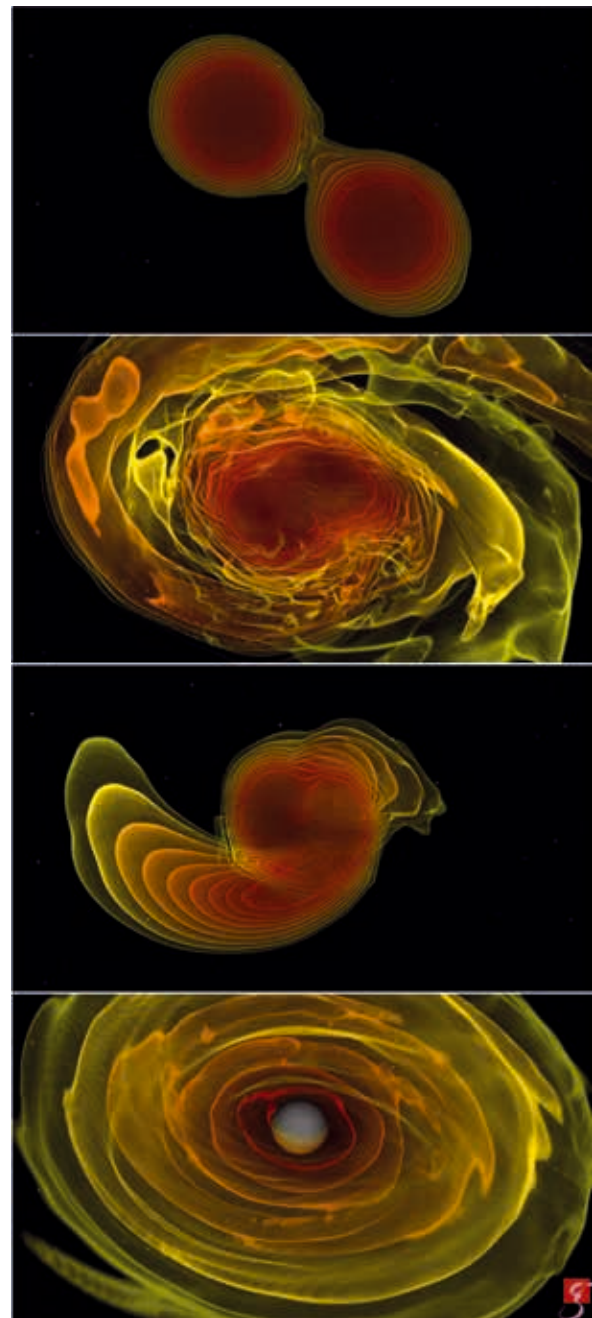


Figure 2: Density evolution during the merger of two neutron stars during the numerical simulation from top to bottom., source: <http://www.aei.mpg.de/2132431/gw170817-binary-neutron-star-merger>

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Towards Resolving the Turbulent Cascade in Self-Consistent 3D Core-Collapse Supernova Simulations

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SuperMUC Project ID: pr48ra (Gauss Large Scale project)

Supernovae are among the most powerful explosions in the universe, play an important role as sources of neutrinos and gravitational waves, and act as crucial agents in the cosmic cycle of matter by disseminating the nuclear burning products of massive stars and by contributing new radioactive species assembled during the explosion. While the stellar core collapses either to a neutron star or a black hole, the surrounding material can be expelled in a supernova outburst. The possibility of such mass ejection is determined in a dynamical interplay of energy transfer (“heating”) by neutrinos from the new-born neutron star to the explosion shock, and violent hydrodynamic instabilities including turbulent flows, which provide decisive support for the onset of the supernova blast.

Detailed 3D simulations are therefore necessary to decide whether or not a star explodes. The first full-scale stellar core-collapse simulations in three dimensions, which have become feasible due to supercomputing power provided on SuperMUC by Gauss and PRACE resources, indeed lend support to the neutrino-driven

mechanism as the cause of successful supernovae. However, such simulations are extremely CPU-time consuming because of the enormous complexity of the neutrino physics and the grand challenges associated with a wide range of spatial and temporal scales that have to be bridged by the numerical models. Therefore the computational resolution of the existing successful models was still severely limited, and systematic investigations of the numerical convergence of the corresponding results, in particular with respect to the turbulent flows behind the supernova shock, are demanded.

Owing to the computer time granted for Gauss project pr48ra a resolution study with this goal could be performed for the *Prometheus-Vertex* supernova code employed by the Garching group. Moreover, the project resources allowed for a test of a newly developed static mesh refinement (SMR) technique that is supposed to permit better numerical resolution in the turbulent postshock layer of full-scale supernova simulations without paying additional costs on the side of the extremely expensive neutrino transport.

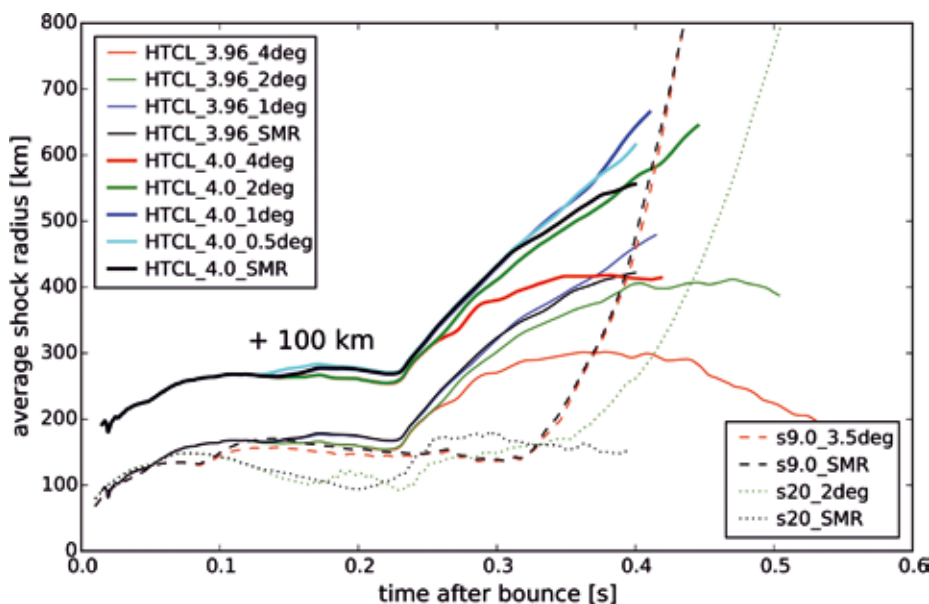


Figure 1: Time evolution of the angle-averaged shock radius for all simulations of the reported resolution study. Models s9.0 and s20 (dotted and dashed lines) are full-scale supernova simulations with sophisticated neutrino transport for 9 and 20 solar-mass stars performed with 3.5 degrees and two degrees angular resolution and a newly developed static-mesh-refinement (SMR) technique, respectively. The thin lines represent results for simulations with a simplified treatment of neutrino heating and cooling (HTCL) for a setup close to the explosion threshold; the thick lines (shifted by 100 km for better visibility) display results for a case with stronger tendency to explode. The different colors correspond to angular grids with different resolutions as indicated by the model names.

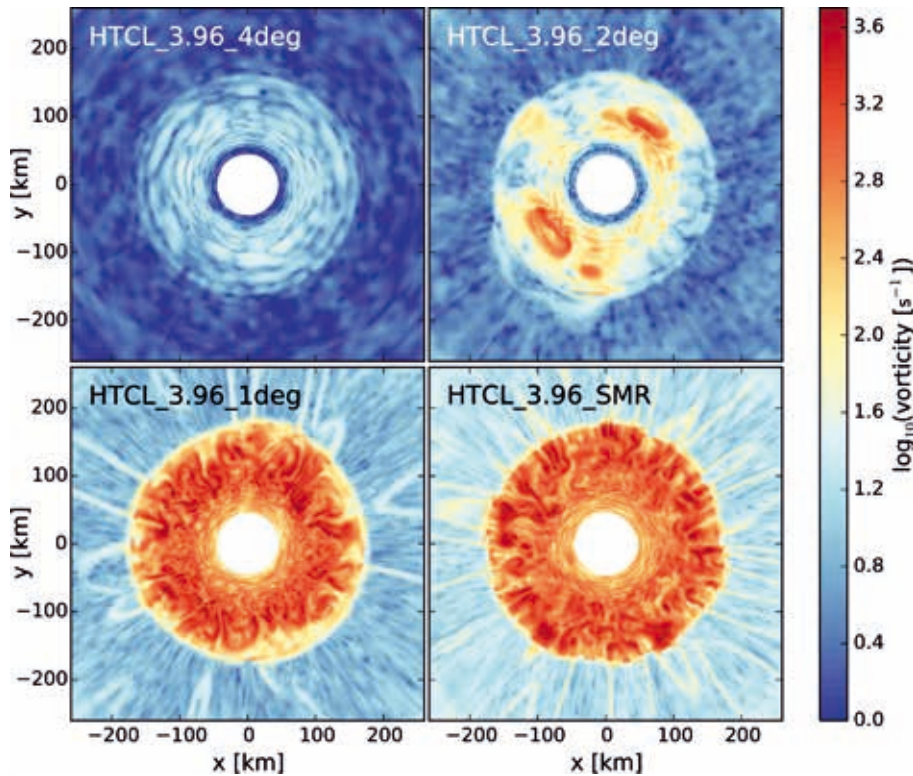


Figure 2: Vorticity in cross-sectional planes of four 3D simulations with different angular resolutions at 230 milliseconds after core bounce, i.e., after the stellar core has collapsed to a neutron star. The supernova shock can be recognized by the sharp discontinuity at a radius between 100 km and 200 km. It is clearly visible that low angular resolution (upper cases for four degrees, left, and two degrees, right) damps the development of turbulent postshock convection due to numerical viscosity, and that the model with static mesh refinement (SMR, bottom right) exhibits close similarity to the case with one degree angular resolution (bottom left).

Two full-scale supernova simulations including neutrino transport were performed for progenitor stars of 9.0 and 20.0 solar masses, using the SMR mesh with two refinement levels (for both angular directions of the employed polar grid) that allowed for an improved resolution of one degree in the neutrino-heating layer and 0.5 degrees in the turbulent postshock region instead of the standard uniform resolution of two degrees. These two runs amounted to roughly 50 million core hours each on the LRZ HPC system SuperMUC. Additional systematic resolution variations could be carried out with a simplified description of neutrino heating and cooling. They encompassed models with uniform cell sizes between four degrees (half the standard resolution) and 0.5 degrees (four times the standard resolution) as well as comparative runs with the described SMR grid, requiring another contingent of more than 50 million additional core hours. The results of this study are summarized in Figs.1 and 2. They lead to the following important conclusions on the possibility to reliably capture the impact of turbulent convection on the onset of neutrino-driven explosions in simulations with the *Prometheus-Vertex* supernova code:

1. Calculations with higher angular resolution show more favorable conditions for explosions (Fig. 1). This backs up previous model runs where successful supernovae were obtained with the standard numerical grid.
2. Cases evolving very close to the explosion threshold exhibit a particularly strong resolution sensitivity. In such a situation low resolution can prevent the runaway expansion of the supernova shock.
3. Models with two degrees resolution show a clearly delayed development of turbulent postshock convection (Fig.2) and are marginally acceptable. Conver-

gence seems to be achieved for an angular resolution around one degree.

4. The newly developed SMR technique can be safely applied for low-mass progenitors that are well beyond the critical threshold for explosion. However, the SMR grid has a damping effect on the explosion in cases near the borderline and therefore requires further improvements.

The described resolution study performed with a Gauss computer-time grant is an indispensable step to consolidate the still resolution-limited supernova simulations that are feasible on present-day supercomputers. The insights obtained in this project are of pivotal relevance for the strategic planning and optimization of future simulations, in particular with the SMR method, of this fundamentally important problem of stellar, nuclear, neutrino and gravitational astrophysics.

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The SPHINX Simulations of the First Billion Years and Reionization

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SuperMUC Project ID: pr53na (PRACE project)

Introduction

The formation of the first galaxies marked the end of cosmological the dark ages and the beginning of the Epoch of Reionization (EoR). Radiation from the first stars, hosted by the first galaxies, heated the surrounding inter-galactic gas via photo-ionization. As the ionized hydrogen bubbles grew and percolated, the whole Universe was transformed from a dark, cold, neutral state into a hot ionized one: reionization was completed, about a billion years after the Big Bang. This last major transition of the Universe is at the limit of our observational capabilities and is a key science driver of the foremost upcoming telescopes, such as the James Webb Space Telescope (JWST) and the Square Kilometre Array (SKA).

Cosmological simulations are great tools to disentangle the complex physics leading to reionization, a ‘loop’ encompassing an enormous range of physical scales: of gravitational collapse of dark matter into haloes, the condensation of gas into galaxies at the centres of those haloes, it’s eventual collapse into stars, which is regulated by stellar radiation and supernova (SN) explosions, the emission of ionizing radiation from those stars, the propagation of the radiation to inter-galactic scales, and its interaction with gas along the way.

Simulations have given us a broad understanding of structure formation in the Universe, but yet there remain many unanswered questions. For example, the timing and duration of reionization is very sensitive to the fraction of ionizing radiation emitted from stars that actually escapes out of galaxies. Therefore, it remains unclear what kinds of galaxies were the main contributors to reionization. Was it powered by stars in high-mass galaxies or low-mass galaxies? It is not even clear if reioni-

zation was powered at all by stars or other more exotic sources such as accreting black holes.

Thanks to computational methods developed by the team [1,2], the SPHINX suite of cosmological adaptive mesh refinement simulations [3] allow us for the first time to simultaneously capture the large-scale reionization process and the escape of ionizing radiation from thousands of resolved galaxies. This is done using full radiation-hydrodynamics, that is explicitly modelling the emission and propagation of the radiation and it’s effect on gas. The largest SPHINX volumes are 10 co-moving Mpc in width and obtain a physical resolution of 10 parsec¹. Sub-resolution models for the formation of stars and SN explosions provide realistic descriptions of processes which happen well below our physical resolution. Figure 1 shows the range of scales (from Mpc to tens of pc) and physics (of dark matter, gas, stars, and radiation) represented in our simulation volumes.

Results and Methods

The pilot paper for the project [4] addresses the surprising contribution from binary stars on reionization. Most stars in the Universe are in binary systems, with two stars locked in orbit around each other. If the orbits are close enough, the companion stars can exchange mass and they may even eventually merge into one massive star.

Such physics are far beyond the resolution of cosmological simulations, including SPHINX. To model the radiation coming from stellar populations, which are the smallest resolved objects in SPHINX, we rely on the results of researchers who specialise in the formation and evolution of stellar populations on much smaller scales and pro-

¹ A parsec, or pc, is a length unit corresponding to about 3.3 light-years, and a megaparsec, or Mpc, is a million parsecs. Like our Universe, the simulation volumes are expanding. A co-moving Mpc, or cMpc, is an expanding length scale which at our time ends at a length of 1 Mpc. The SPHINX simulations end when reionization has finished, when the Universe is only about 1/7th of it’s current size, that is 1 cMpc = 1/7 Mpc.

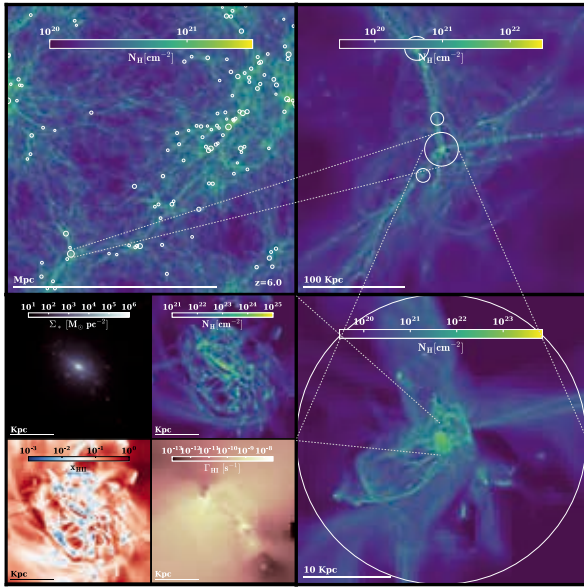


Figure 1: Projections from one of our simulation volumes at redshift 6 (about one billion years after the Big Bang). Circles mark radii of the more massive dark matter halos in the volume. Clockwise from top left, the first three panels show hydrogen gas column densities, N_H , first for the full volume, then in the environment around the most massive halo, and finally inside that halo. The four sub-panels in the bottom left corner zoom in on the central galaxy and show, clockwise from top right, the column density of gas, the photoionization rate (Γ ; a proxy for the flux of ionizing radiation), the fraction of ionized over total hydrogen (X_{HII}), and distribution of stars (Σ^* , in units of solar masses per square parsec). The physical scale is indicated in the lower left corner of each map.

vide us with detailed descriptions of how stellar population luminosities evolve with age. While classical stellar population models ignore binary stars, newer models which account for them find that they lead to somewhat higher and much more prolonged ionizing luminosities, due to mass transfers between stars.

With the SPHINX simulations, we show that these binary models lead to much earlier and faster reionization than models with single stars only. This is due to a combination of SN explosions and the prolonged luminosity of the stellar populations.

Stellar populations are born out of dense gas, and in the first few million years of their lifetime the radiation emitted by its stars is all absorbed by this dense environment: none of the radiation escapes. This surrounding gas is eventually cleared away by SN explosions, which start about 3 million years after the birth of the stellar population. However, this coincides with a sudden dimming of the population, because the stars that explode first are also the most massive and luminous ones. Hence the population transitions quickly (over a few million years, which is very quick in astronomy) from high luminosity with zero escape fraction to very low luminosity with nonzero escape fraction. Neither phase contributes much radiation to the inter-galactic Universe.

The introduction of binary stars alters the picture: due to mass transfer between binary companions, massive

luminous stars can exist long after the first few million years and hence the transition to low luminosities is not as steep, meaning a much larger amount of ionizing photons can escape at relatively late times into the large-scale Universe and contribute to reionization.

The resulting large-scale effect on reionization can be seen in Figure 2, which shows the evolution of the volume-weighted ionized hydrogen fraction in the simulated SPHINX volumes, compared to data derived from observations of the early Universe (black symbols). The use of stellar population model which accounts for binary stars leads to efficient reionization of the simulated volume, even somewhat ahead of the observational estimates, while the model with single stars only leads to very inefficient reionization.

On-going Research / Outlook

The simulations presented in the pilot paper are being used to address a number of open questions about the early Universe. These include studies of what mass-range of galaxies, if any, dominates the contribution of radiation to reionization, how reionization suppresses the growth of low-mass galaxies by heating inter-galactic gas and suppressing its collapse into galaxies, and predictions of the observational properties of the earliest galaxies.

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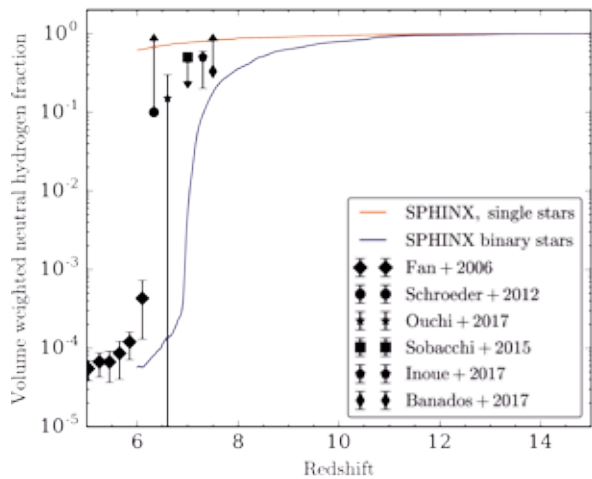


Figure 2: Evolution of the volume weighted neutral fraction with redshift (a proxy for the expansion of the Universe: we live at redshift zero and the Universe was reionized around redshift 6). The black symbols show observationally derived constraints of reionization. The red curve shows the SPHINX simulated volume with single stars only assumed for the luminosities of stellar populations, while the blue line is from an identical simulation except that binary stars are accounted for in the stellar luminosities. This leads to a much more efficient reionization of the simulated volume. Accurate modelling of stellar populations is therefore highly relevant for understanding of reionization.

3D Supernova Simulations

with 3D Progenitors and Muon Physics

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SuperMUC Project ID: pr53yi (Gauss Large Scale project)

Introduction

During millions of years massive stars evolve through a sequence of nuclear burning stages, building up a degenerate core of iron that is surrounded by shells of silicon, oxygen and neon, carbon, helium and hydrogen as “ashes” of the successive nuclear reactions. Finally, the iron core becomes gravitationally unstable and collapses to a neutron star or black hole within less than one second. The huge amount of gravitational binding energy released in the collapse can power a supernova (SN) explosion, in which the outer shells of the progenitor star are expelled with velocities up to roughly 10 percent of the speed of light.

The physical cause for the explosion is debated for more than 50 years already. One of the mechanisms invokes energy deposition by neutrinos around the newly formed neutron star. Neutrinos are nearly massless, elementary particles, which are radiated in huge numbers by the extremely hot (up to several 100 billion Kelvin) matter in the neutron star. The neutrinos carry away the binding energy of the compact remnant, which exceeds the energy of a typical SN by more than a factor 100. The absorption of only one percent of the neutrinos in the plasma surrounding the neutron star is therefore sufficient to explain the powerful SN blast.

Only recently modern three-dimensional (3D) neutrino-hydrodynamical simulations have been able to provide quantitative confirmation for the viability of this long-standing theoretical scenario of neutrino-driven explosions. The team of the PI participates in a worldwide effort in a leading position, using most advanced numerical tools (the *Prometheus-Vertex* code) and being supported by an Advanced Grant of the European Research Council, entitled “Modeling Stellar Collapse and Explosion: Evolving Progenitor Stars to Supernova Remnants” [1]. Goal of this project is the consistent 3D modeling of SN explosions from the final phase of convective shell burning through stellar collapse and explosion towards the early SN remnant evolution. Owing to GAUSS and PRACE computer-time grants also on SuperMUC, the PI’s team has achieved to obtain neutrino-driven SN explosions in some cases [2].

Results and Methods

However, the success was not straightforward. The first generation of 3D models computed by the Garching group did not explode, in contrast to previous axisymmetric (2D) simulations. To obtain SN explosions, the models had to be tweaked by invoking rapid progenitor rotation or a ~15% reduction of the neutral-current neutrino-nucleon scattering. Both effects had to be assumed larger than is compatible with our present knowledge of neutrino-interaction physics and stellar evolution of SN progenitors.

These results suggest that some important ingredients may still be missing in state-of-the-art 3D simulations. One possibility is a lack of numerical resolution, which is severely constrained by the enormous CPU-time needed for the calculation of neutrino transport and interactions. Indeed, resolution studies with simplified toy models suggest that a finer spatial grid reduces numerical viscosity and turbulent dissipation and thus can foster explosions (see SuperMUC project pr48ra). Whether this effect is sufficiently strong in full-fledged SN simulations can presently not be answered due to a lack of computational resources. SuperMUC cannot stably provide more than the currently used ~16000 processor cores, which are only sufficient to compute models with two degrees angular resolution, requiring still more than half a year of permanent computing for a single SN run.

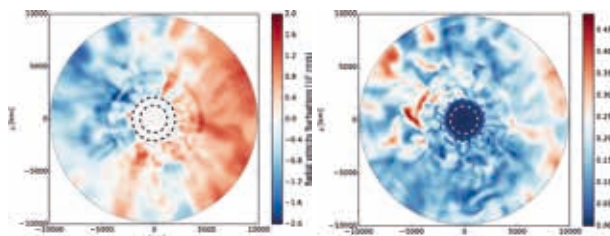


Figure 1: Radial component of velocity fluctuations (left) and turbulent Mach number (right) for a ~19 solar-mass star at the moment of core bounce. Dashed black and white circles mark the boundaries between the oxygen and silicon layers (outer circle) and between the silicon shell and the iron core, respectively. The turbulent Mach numbers exceed a value of 0.45 in some of the high-velocity patches.

Another possible deficiency concerns remaining and unavoidable simplifications of the neutrino-transport treatment, because present-day supercomputers are by far not powerful enough to rigorously solve the time-dependent Boltzmann transport equation in six-dimensional phase (i.e., 3D position and 3D momentum) space for all three flavors of neutrinos and antineutrinos. Therefore the *Prometheus-Vertex* code of the PI's team makes use of the so-called "ray-by-ray plus" (RbR+) approximation, in which the radiation intensity is assumed to be axially symmetric around the radial direction in every point of the spatial polar coordinate (or axis-free Yin-Yang) grid. Hereby nonradial components of the neutrino fluxes are ignored. Comparing to alternative transport treatments, however, shows very satisfactory reliability of results based on the RbR+ approximation for the problem considered (see SuperMUC project pr62za).

In project (pr53yi) described here, two other new ingredients in SN modeling are investigated in their consequences. The first aspect concerns the initial conditions. Traditionally, progenitor models were adopted from 1D calculations of stellar evolution to the onset of core collapse (2D or 3D calculations over millions of years of evolution are not feasible). Since the *Prometheus-Vertex* code maintains sphericity in 3D when starting with spherical initial data, we usually have to introduce artificial (small) seed perturbations to initiate the development of nonradial hydrodynamical flows (convective overturn, the standing accretion-shock instability, turbulence) in regions where these instabilities are expected to grow. However, because of convective burning at the base of the silicon and oxygen shells, natural perturbations should exist in the progenitor star at the stage when its core begins to collapse. Within this project we have computed the final episode of oxygen-shell burning of a ~ 19 solar mass star, going back in time seven minutes before the gravitational instability of the iron core sets in. Huge dipolar flows develop in the oxygen layer at this stage, exhibiting turbulent Mach numbers up to >0.45 (Fig. 1). These large-scale flows have been demonstrated to potentially have severe ramifications for the development of turbulence in the postshock layer. Stronger turbulent activity supports the shock revival by neutrino heating and thus can enable neutrino-driven explosions [3].

The second new aspect taken into account in the simulations performed for this project is the inclusion of muons and of the corresponding neutrino interactions in the hot, dense medium of the newly formed neutron star. This physics has been ignored so far in SN modeling because of the long-standing prejudice that muons (because of ~ 105 MeV rest mass) are not important during the early phase of the proto-neutron star evolution. However, a detailed analysis reveals that significant numbers

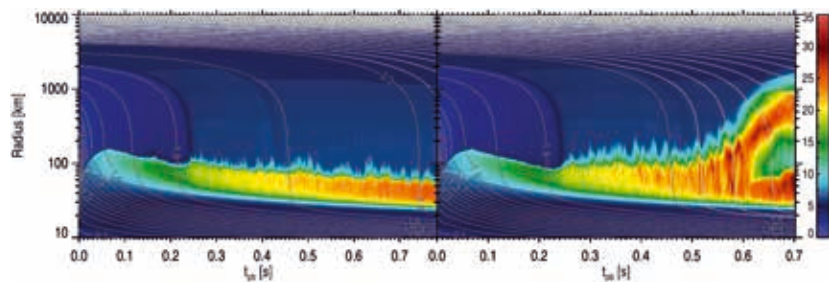


Figure 2: Mass-shell plot with entropy (in k_B per nucleon) color coded for 2D SN simulations of a 20 solar-mass star. The model on the left does not include muons and does not explode. In contrast, the model on the right includes muons and therefore develops an explosion, visible by the outgoing shock front (see [4]).

of muons can be created by electromagnetic ("thermal") pair processes and by the conversion of degenerate electrons to negative muons through weak interactions. Since the initial muon number in the collapsed stellar core vanishes and because muon antineutrinos escape faster from the dense interior, the neutron star muonizes during its cooling evolution. This is in stark contrast to the deleptonization with respect to electron-lepton number, which goes hand in hand with the conversion of the proton-rich pre-collapse state to the final conditions in a neutron-dominated cool neutron star.

2D SN simulations including muons performed by the PI's team for the first time, have demonstrated that successful explosions can be obtained in cases where the models fail to explode without muons (Fig. 2 and [4]). This success is facilitated by a muon-induced faster contraction of the nascent neutron star. The consequence is higher neutrino emission and corresponding enhanced neutrino-energy transfer behind the stalled SN shock.

On-going Research / Outlook

No conclusive results could be obtained yet for our 3D SN simulations with muons and 3D initial conditions. Because 6-species (instead of 3-species) neutrino transport is needed to account for the muon physics self-consistently, the computations are considerably more expensive than without muons. Since only 120 million core-hours were granted on SuperMUC instead of the requested 151 million core-hours, the SN runs are still ongoing at the present time. Because an extension proposal for further CPU-resources was rejected, the project has to be terminated or postponed indefinitely.

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Preparing for the imminent detection of gravitational waves from binary neutron stars

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SuperMUC Project ID: pr62do (Gauss Large Scale project)

Introduction

It has been a great fortune for the underlying project that its main purpose, which was formulated in the prognosticated title of the project has been acknowledged. Not even two years after the first detection of a gravitational wave (GW) emanated from the inward spiral and merger of pairs of a black holes by LIGO (GW150914), GWs from a binary neutron star merger has been recently discovered. In August 2017 GWs and electromagnetic counterparts were detected from the merger of binary neutron stars by the LIGO/Virgo collaboration and numerous observatories around the world. This long-awaited event (GW170817) marks the beginning of the new field of multi-messenger gravitational wave astronomy. Exploiting the extracted tidal deformations of the two neutron stars from the late inspiral phase of GW170817 it is now possible to severely constrain several global properties of the equation of state (EOS) of dense matter. However, the most interesting part of the EOS is solely imprinted in the post-merger GW emission from the remnant hypermassive/supramassive neutron star (HMNS/SMNS). This regime was not observed in GW170817, but will possibly be detected in forthcoming events within the next observing run. Based on a large number of numerical-relativity simulations, the emitted GWs, the interior structure of the generated HMNS/SMNS, the accurate measurement of the amount of ejected material from the merger, the synthetic light curves of the produced kilonova signal,

the distribution of the abundances of heavy-elements and last but not least, the impact of magnetic fields on the long term ejection of mass have been investigated in detail within the underlying project pr62do.

Results and Methods

A multiplicity of quasi-circular and parabolic binary neutron star simulations have been performed in pure general relativistic hydrodynamics. Three finite temperature EOSs, three initial masses and two mass ratios have been explored in the quasi-circular runs, while the different simulations of the parabolic encounters contain two finite temperature EOSs, two mass ratios and six different values of the impact parameter. Based on these simulations, the internal and rotational HMNS/SMNS properties, the evolution of the density and temperature profiles of the remnant HMNS/SMNS and their connection with the emitted GW signal have been analyzed in detail [1,2]. Additionally, the accurate measurement of “dynamical ejecta” from the merger of binary neutron stars have been investigated. The merger is an extremely disruptive process, especially if the stars do not have the same mass or do not merge from quasi-circular orbits but through a dynamical capture. Mass can be ejected either very rapidly -- via tidal torques at the time of the dynamically merger or encounter -- or more slowly -- via winds that can be due to a number of different processes, which range from shock-heating to neutrino emission. This gravitationally unbound matter represents the perfect

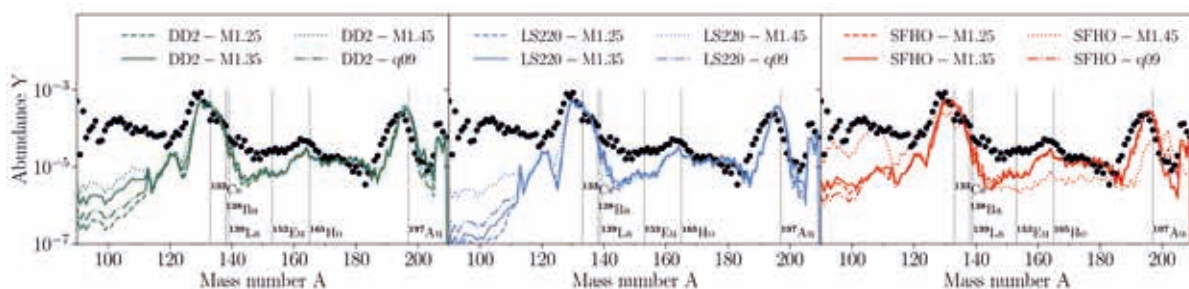


Figure 1: Heavy-elements abundances (filled circles) versus the mass number A when computed for different EOSs, masses and mass ratios (shown with different lines). The left, middle and right panels refer to the DD2, the LS220, and the SFHO EOS, respectively. The vertical lines mark a few representative r -process elements: Figure taken from [2].

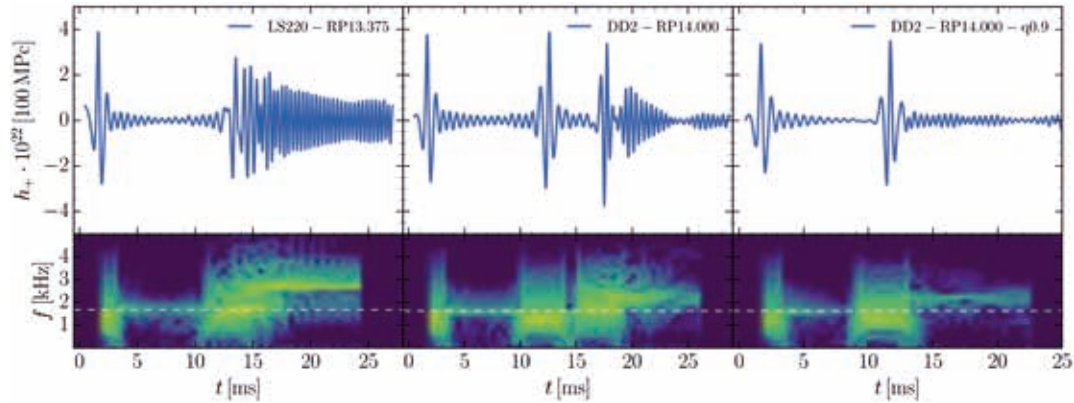


Figure 2: Gravitational wave strain signal of three representative eccentric merger models at an artificial distance of 100 Mpc. In the bottom row the respective spectrograms are shown. The dashed white line is the f-mode frequency of a single star of these models.

site for r-process nucleosynthesis and, if containing sufficient mass, can also lead to a bright electromagnetic signal, known as a “kilonova”, as the material decays radioactively. In the follow-up observations of GW170817, a kilonova was observed providing the first definitive and undisputed confirmation of a kilonova and the formation of r-process elements from merging neutron stars. To investigate the r-process formation in merging neutron stars, a variety of simulations were performed [2] using numerous EOSs, initial masses, and mass ratios which well sample the parameter space of BNS mergers.

Measuring the ejected material from these simulations we found that the amount of ejected material is on the order of $\sim 10^{-3} M_{\text{Solar}}$ but sensitively depends on the numerical parameters, such as grid resolution, unbound criterion, and neutrino treatment. Using a novel tracer method [2], the fluid elements could be followed along fluid lines which allowed for an accurate computation of the results from r-process nucleosynthesis. The result of this nucleosynthesis is displayed in Fig.1 for all the simulations in [2]. These simulations demonstrate that the r-process nucleosynthesis from binary mergers is “robust”, in that it is almost entirely independent on the initial masses, mass ratios, or EOS. Additionally, tracer data was also used to compute kilonova light curves. When comparing the produced light curves from the different simulations with those observed, show that our results are significantly dimmer than those observed, which was due lower ejected amount of ejected material and a lack of lanthanides. This suggests that the dynamical ejecta is not the major source of ejecta from a merger, but places a secondary role to other forms of secular ejecta, such as from neutrino driven winds or viscous ejecta from a disk.

A further use of the data produced from the simulations of [2], was used to investigate the effects of viscous dissipation in the post-merger of BNS mergers [3]. It was found by analyzing the data that the viscous effect of bulk viscosity can play an important role in post-merger dynamics which can be measured through the gravitational wave. This implies that the assumption of a perfect fluid inside the HMNS/SMNS needs to be relaxed to allow for viscous effects. The implementation of the relevant viscous contributions is presently under construction.

Another more uncommon type of merging BNS systems are highly eccentric mergers. These systems can form in environments of high stellar density as globular clusters as opposed to the primordial systems which lead to quasi-circular mergers. We carried out a series of simulations including the same mass ratio and EOSs as in the quasi-circular models described above, as well as different orbital configurations to determine the amount and properties of the ejected material. Depending on the EOS and the mass ratio we showed that the outflow can reach almost $10^{-1} M_{\text{Solar}}$, which is significantly more than in the quasi-circular models and suggest a clear alteration of a kilonova signal coming from such mergers. Despite the fact that the thermodynamic properties of the dynamical ejecta differ considerably between the different models, the resulting r-process nucleosynthesis leads to almost the same abundances patterns as the quasi-circular models emphasizing the “robustness” of this process. Additionally, the gravitational wave signals coming from merging eccentric binaries have been analyzed and a selection is depicted in Fig. 2. Depending on the impact parameter the system undergoes multiple close encounters, where in each of these part of the orbital energy and angular momentum are radiated away in a burst of GWs. Due to the strong tidal effects the stars start to oscillate and radiate GWs with their f-mode frequency until they merge eventually. Especially the burst signals could be observed with future GW detectors from which one could deduce the position of the following kilonova emission.

On-going Research / Outlook

Presently the impact of magnetic fields and neutrinos on the long term ejection of mass and the implementation of phase transitions in the EOSs and their impact on the emitted GWs are under investigation.

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SILCC-ZOOM: The formation and dispersal of molecular clouds

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SuperMUC Project ID: pr62su (Gauss Large Scale project)

Introduction

Stars form in molecular clouds (MCs), which are dense (mean number densities $\sim 100 \text{ cm}^{-3}$) and cold ($T \approx 10 \text{ K}$) objects that form out of the warm and more diffuse interstellar medium in a galactic disk. MCs are short-lived, dynamically evolving and very turbulent. They are possibly assembled on time scales of a few to a few ten million years and develop a filamentary substructure. Further, the filaments fragment to form dense cores, which become self-gravitating and form stars.

When averaged over the whole galactic disk, the star formation efficiency in molecular gas, i.e. the fraction of molecular gas that is converted into stars, is observed to be only of the order of $\sim 1\%$, which leads to a long depletion time scale of molecular gas. This is in apparent disagreement with the rapid evolution of MCs towards star formation. The solution to this dilemma could be the effective dispersal of the parental MCs from within – by feedback from newly born stars. In particular massive stars with a mass larger than ~ 8 times the mass of the Sun provide highly energetic feedback to the surrounding medium in the form of ionizing radiation and the associated radiation pressure, stellar winds, and supernovae. The feedback has been proposed to quench star formation inside the MC and to efficiently limit the accretion of fresh gas onto the evolving MC [2].

Overall, the early evolution of an individual MC and its star formation properties are closely connected to the properties of the surrounding interstellar medium (ISM). Hence, MC formation, evolution, star formation and feedback, should be modeled simultaneously and within the galactic environment. Due to the high physical complexity and dynamic range of the involved density and spatial scale, this is a computationally very challenging task.

In the SILCC-ZOOM Gauss project we modeled the formation of dense and cold molecular clouds from the multi-phase interstellar medium (ISM) and their subsequent dispersal by stellar feedback on sub-parsec scales. Therefore, we carried out novel three-dimensional (3D),

adaptive-mesh-refinement (AMR), magneto-hydrodynamical (MHD), galactic zoom-in simulations with the MPI-parallel, finite-volume code FLASH [3].

Results and Methods

The calculations were carried out with our version of FLASH 4.3 [3], which includes a chemical network to treat heating by a background or a direct radiation field, radiative cooling and molecule formation [4 and references therein; based on e.g. 5], a tree-based method for self-gravity and radiative transfer [6], and sink particles with a star formation sub-grid model which follows the major evolutionary phases of the massive stars [2,7], in particular their wind and radiation output. The initial conditions are themselves based on simulations that were carried out in the SILCC project [1,2,4,7,8] under Gauss Call No. 7, which has been shown to reproduce a realistic multi-phase ISM with reasonable MC properties.

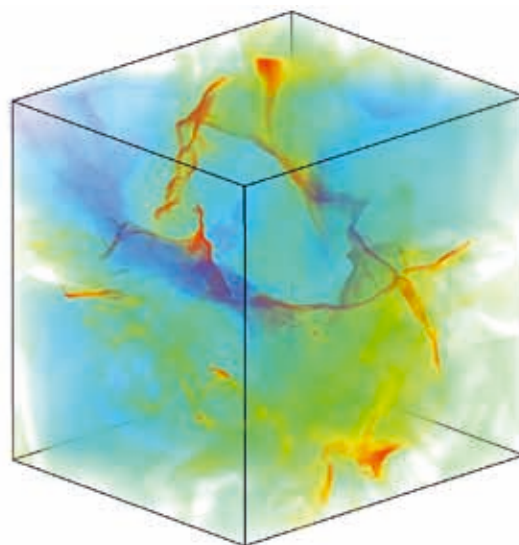


Figure 1: A molecular cloud formed from the multi-phase interstellar medium in a SILCC-ZOOM simulation. The filamentary substructure is a natural consequence of the chaotic assembly of the gas in combination with self-gravity and gas cooling leading to thermal instability. Figure taken from [9].

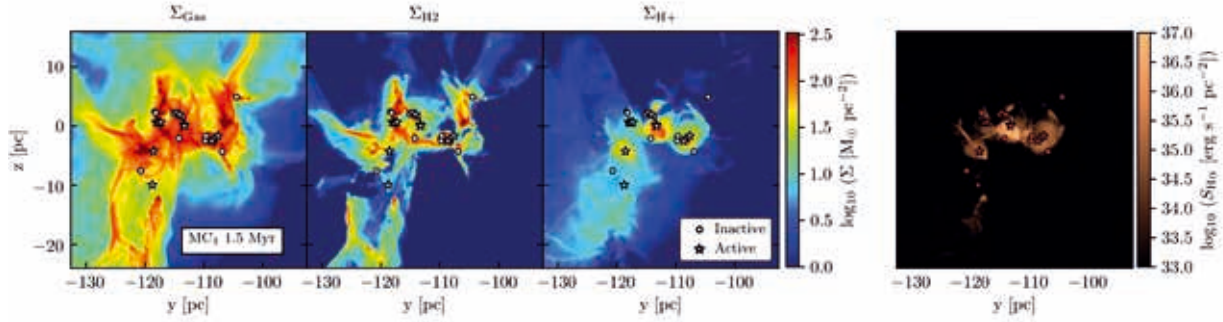


Figure 2: Example of a zoom-in cloud with radiative feedback from the formed massive stars shown at 1.5 Myr after the first massive star has been formed. From left to right we plot the surface densities of all gas, molecular and ionized gas as well as the resulting H α emission. The symbols show sink particles with (star symbols) and without (circles) massive stars. The developed HII regions are highly structured and variable in time. The molecular cloud is dispersed by the radiative feedback. Figure taken from [13].

In different galactic environments simulated in SILCC, we identify the regions where MCs are about to form and zoom in on them using the AMR technique. Thus, we locally allow for a high spatial resolution (< 0.1 parsec) within a region with a side length of ~ 100 parsec. Throughout the zoom-in calculation, we continue to follow the full galactic environment at lower resolution. Therefore the MCs may accrete gas from the surrounding medium and could be heated and stirred by nearby supernova explosions [9, 10]. Typically a zoom-in simulation took 1 million CPU-hours.

The simulations naturally develop the observed internal turbulent and filamentary MC substructure (see [9] and Fig. 1 for an example of a formed MC in one of the zoom-in runs with solar neighborhood properties of the ambient multi-phase ISM). The simulations allow us to determine due to which physical process, e.g. turbulence, gravity, thermal instability, and/or magnetic fields, the filaments are imprinted. For instance, we find obvious striations off the formed filaments in MHD runs, which are in agreement with recent observations of the Taurus MC [11] and stem from magneto-sonic waves travelling through the cloud. These features are completely absent in purely hydrodynamical simulations.

On the resolution scale of ~ 0.1 parsec, sink particles are introduced. These include a sub-grid star cluster model and track the formation of individual massive stars and their associated feedback. First, by switching on each feedback process individually and in combination, i.e. ionizing radiation, radiation pressure and stellar wind, we carefully explore their relative impact on the ambient medium. The “impact” is quantified in terms of energy and momentum deposited in the MC [12]. We confirm that radiative feedback is dominant in the dense and cold ISM (as has been shown in many previous works), but we can clearly show that a warm ambient medium ($T > \text{few } 1,000 \text{ K}$) is dominated by stellar wind feedback because the radiation fails to couple to the gas in this case.

In realistic MCs as modeled in the SILCC-ZOOM simulations, massive stars are embedded within cold gas in the young star-forming cloud for the first million years. Thereafter, the stars break out of their birthplaces and start to dissolve the MC. Moreover, some of the massive stars escape as run-away stars. Therefore, in realistic MC environments, the initial phases of star formation are

dominated by radiative feedback [13], while the stellar winds will become influential once they can leak out of the dense parts of the cloud. In Fig. 2 we show one MC at an evolutionary stage, where massive stars have already been formed and disperse the cloud from the inside.

In order to carry out these simulations, we developed a backward radiative transfer scheme (TreeRay), which is an extension to the tree solver for self-gravity and diffuse radiation presented in [6]. The novel method has the great advantage that the amount of computational work does not scale with the number of sources as typical for most radiative transfer schemes. It also parallelizes very well. However, we are somewhat limited by the required amount of memory. Therefore, the optimal choice for us was to run each simulation on up to 2,000 cores, and to run several simulations in parallel. In total we used 67 million CPU-hours for the SILCC-ZOOM project. The overall storage needed to store the time-dependent 3D data was of the order of 100 TB.

On-going Research / Outlook

The simulations we performed are currently world-leading and would have not been possible without the computational resources we could use on SuperMUC. Multiple research papers are currently in preparation.

We are working on a hybrid parallelization scheme for our simulation code such that the current memory requirement can be reduced. We now aim for (1) simulating larger pieces of the galactic disk at high (sub-parsec) resolution and (2) zoom-in even to much smaller scales of a few Astronomical Units within some clouds to follow the star formation process, the fragmentation of the gas to individual stars, the formation of protostellar disks from self-consistent initial conditions, and protostellar feedback in the form of self-consistently driven jets and outflows, which all deserve detailed studies.

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Testing Neutrino Transport Treatments in 3D Supernova Simulations

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SuperMUC Project ID: pr62za

Introduction

Supernova (SN) explosions terminate the lives of stars that possess more than about nine solar masses. They are among the most spectacular phenomena in the universe, can become as bright as a whole galaxy for weeks and thereby release more energy than the sun will radiate during its 13 billion years of life. SNe are the birth sites of neutron stars and black holes. They play an important role in galaxy evolution because the matter expelled in the explosions is enriched with the chemical elements that allowed the earth and the life on it to form.

Only in recent years three-dimensional (3D) simulations of SNe have become feasible, enabled by the growing power of modern supercomputers and the availability of highly parallelized simulation programs. The team of the PI participates in this worldwide effort in a leading position, supported by an Advanced Grant of the European Research Council, entitled “Modeling Stellar Collapse and Explosion: Evolving Progenitor Stars to Supernova Remnants” [1]. Goal of this project is the consistent 3D modeling of SN explosions from the final phase of convective shell burning through stellar collapse and explosion towards the early SN remnant evolution.

One of the central questions in this context concerns the physical mechanism by which the catastrophic collapse of the stellar core to a compact object (a neutron star or black hole) is reversed to the powerful ejection of most of the star’s material in the SN blast. For more than 50 years it has been hypothesized that neutrinos are the crucial agents that can establish the energy transfer needed to drive this explosion. Less than one percent of all emitted neutrinos are sufficient to do this job, because these elementary particles carry away the gigantic gravitational binding energy of the compact remnant, which exceeds the SN energy more than hundredfold.

Results and Methods

Only recently modern 3D neutrino-hydrodynamical simulations, for the first time achieved by the PI and his

team and rendered possible through GAUSS and PRACE computer-time grants also on SuperMUC, have been able to provide quantitative support for this long-standing theoretical scenario [2,3].

SN modeling in 3D including full-fledged neutrino transport and a state-of-the-art treatment of the neutrino interactions is extremely CPU-time consuming. A single explosion run requires more than half a year of continuous parallel computing on over 16,000 SuperMUC processors. Nevertheless, approximations in the neutrino transport have to be accepted because present-day supercomputers are by far not powerful enough to solve the time-dependent Boltzmann transport equation in six-dimensional phase (i.e., 3D position and 3D momentum) space for all three flavors of neutrinos and antineutrinos. Therefore the Prometheus-Vertex code of the PI’s team makes use of the so-called “ray-by-ray plus” (RbR+) approximation, in which the radiation intensity is assumed to be axially symmetric around the radial direction in every

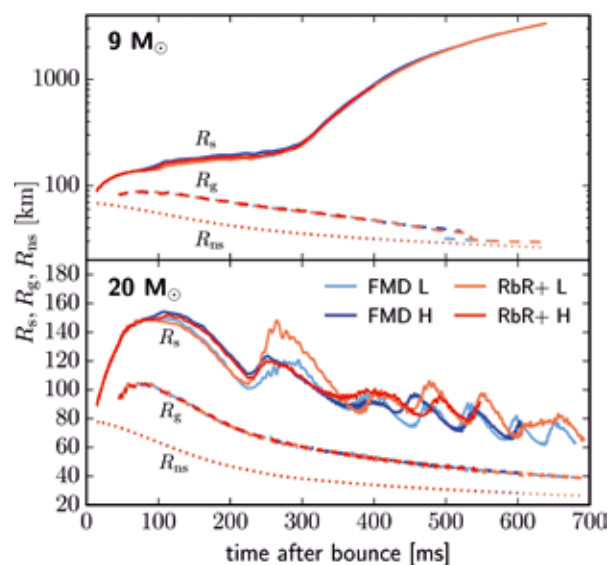


Figure 1: Average radii of SN shock (R_s), gain layer (R_g), and neutron star (R_{ns}) for 3D SN simulations of an exploding 9 solar-mass star (top) and a nonexploding 20 solar-mass star (bottom). Each of the panels compares four runs for low (L) and high (H) resolution, using the RbR+ transport approximation or the FMD-M1 scheme. Aside from stochastic fluctuations due to turbulent flows in the 20 solar-mass model the agreement is very good.

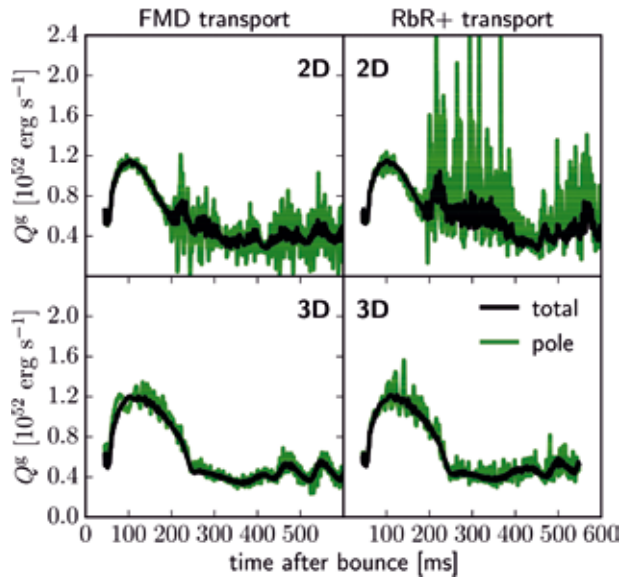


Figure 2: Total energy deposition rate by neutrinos in the gain layer (between R_g and R_s ; Fig. 1) versus time for the 20 solar-mass “H” simulations (black). While the 2D RbR+ simulation shows the larger fluctuations reported already previously, in particular in a 19-degree polar cone around the artificial symmetry axis (green; upper panels), the 3D RbR+ run does not exhibit any worrisome artifacts and is in excellent agreement with FMD (lower panels).

point of the spatial polar coordinate (or axis-free Yin-Yang) grid. Hereby nonradial components of the neutrino fluxes are ignored, assuming they are of minor importance in cases where the collapsing stellar core does not become globally deformed due to, e.g., centrifugal flattening caused by rapid rotation. The RbR+ approximation reduces the computational complexity by time integration of 1D transport problems for all angular (latitudinal-azimuthal) directions. For this purpose the Prometheus-Vertex code employs a solver for the neutrino two-moment (i.e., energy and momentum) equations with an accurate variable-Eddington closure deduced from the 1D Boltzmann equation. Thus computing $>16,000$ 1D transport problems (each of them being dependent on radius, neutrino energy, and polar angle of neutrino propagation) with little communication facilitates highly efficient parallel implementation.

Of course, this approximation must be tested. To this end the PI’s team developed the ALCAR code, which solves the full multidimensional (FMD) neutrino transport by a two-moment (M_1) scheme with an algebraic closure relation [4]. M_1 schemes are currently a very popular approximation of neutrino transport, also replacing an unfeasible rigorous integration of the (6+1)-dimensional Boltzmann equation. They are complementary to RbR+, because nonradial flux components are taken into account. Based on time-dependent axisymmetric (2D) simulations with an M_1 scheme and on stationary low-resolution solutions of the Boltzmann equation, RbR+ was criticised in recent literature to lack accuracy and to produce artificial SN explosions in 2D.

For this project we performed, for the first time, 3D full-sphere hydrodynamical simulations with the ALCAR code using the M_1 -FMD and RbR+ approximations for neutrino transport. We considered progenitor stars of 9 and 20 so-

lar-masses, both with low (L) spatial resolution (320, 40, 80 grid cells in radial, lateral, and azimuthal directions) and high (H) resolution (640, 80, 160 cells) and with 15 energy groups for the neutrino transport in all runs. Also a corresponding set of 2D cases was calculated. For saving computer time in these test models, we applied various simplifications of the complex neutrino interaction physics. This allowed us to conduct the project with 30 million core hours, using up to 8000 cores and up to 1.5 TByte of SCRATCH space per single job. In total, 100 TBytes of data were generated.

With the chosen physics setup the 9 solar-mass star explodes whereas the 20 solar-mass progenitor fails to develop a SN explosion (Fig 1). Thus we could test the FMD against the RbR+ scheme both for successful and unsuccessful SN cases.

In 3D, the agreement between FMD and RbR+ results for “L” and “H” cases is extremely satisfactory (see Figs.1–3). This verifies and backs up the published 3D SN results of the Garching group produced with the Prometheus-Vertex code using RbR+ neutrino transport.

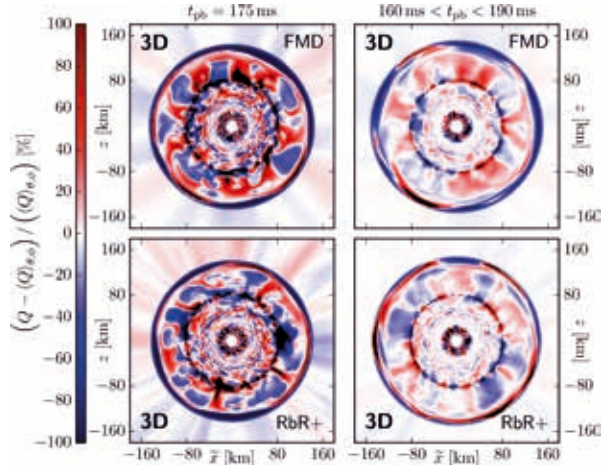


Figure 3: Color-coded fluctuations of the local neutrino heating and cooling rates relative to the angular averages for the “H” runs of the 20 solar-mass star with FMD (upper panels) and RbR+ transport (lower panels). The left column contains instantaneous results at 175 ms, the right column averages over 30 ms (see labeling). Again, the agreement in amplitudes and spatial scales between RbR+ and FMD is very assuring, when natural stochastic fluctuations due to turbulent convection are ignored.

On-going Research / Outlook

Unfortunately, higher-resolution simulations for testing convergence of the 3D results are currently prohibited by their huge computational demands. ALCAR will be further upgraded by better neutrino interactions, an axis-free Yin-Yang grid, and a 3D gravity treatment to investigate globally deformed SNe expected for fast rotation.

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Longtime 3D Supernova Simulations for

Establishing the Progenitor-Remnant Connection

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SuperMUC Project ID: pr74de (Gauss Large Scale project)

Massive stars end their lives in a catastrophic gravitational collapse of their central cores, in course of which huge amounts of energy are released that can power gigantic explosions known as supernovae. These fascinating events can shine as bright as a whole galaxy for weeks; they give birth to neutron stars or black holes, eject a major part of the heavy elements created since the Big Bang in stars and during their terminal explosions, and they are important players to determine the dynamical evolution of galaxies and the formation of many generations of stars therein. Understanding the physical processes that drive the supernova outbursts is of crucial importance not only for understanding the properties of these cosmic blasts but also for predicting the neutrino and gravitational-wave signals that will be measured in the case of a future event in our Milky Way Galaxy.

Owing to the growing power of modern massively parallel supercomputers, considerable progress towards unravelling the explosion mechanism could be achieved in recent years. Three-dimensional simulations of stellar core collapse with a detailed description of the crucial neutrino physics lend support to the so-called delayed

neutrino-driven mechanism, in which neutrinos — radiated in huge numbers by the extremely hot matter of the collapsed stellar core — heat the plasma surrounding the newly formed neutron star. Because of the enormous complexity of the involved physics and the inaccessibility of the extreme conditions in supernova interiors to laboratory experiments, computer simulations are indispensable to decipher the processes that initiate and power the explosions. Three-dimensional models are needed because nonradial hydrodynamic mass motions (“instabilities”) and turbulent flows support the neutrino heating and impose large-scale asymmetries on the ejecta of the explosion. The necessity of global 3D modeling and the simultaneous need to resolve turbulent flows on relatively small scales, combined with the excessive computational demands connected to the high dimensionality of a detailed description of neutrino transport and interactions, set the requirements for the computing resources. One run of a full-scale supernova model with the standard choice of two degrees resolution in both angular directions of a polar grid requires about 50 million core hours on typically 16,000 cores of SuperMUC, corresponding to roughly 4.5 months of round-the-clock computing.

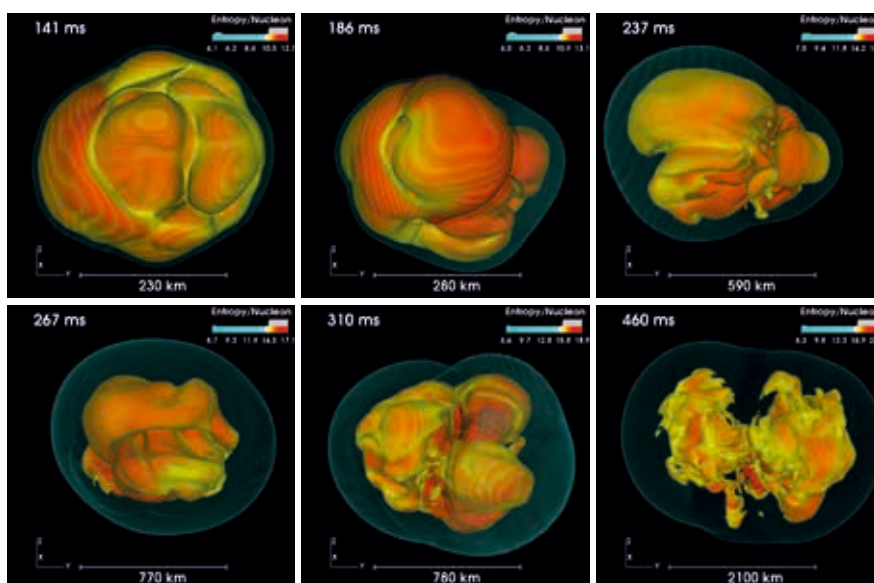


Figure 1: Sequence of volume-rendered snapshots for the time evolution of an exploding 15 solar-mass star with rapid rotation. Colors represent entropy per nucleon. The neutrino-driven explosion is supported by a powerful spiral mode of the standing-accretion-shock instability (SASI), which drives shock expansion predominantly perpendicular to the rotation axis. The rotation axis coincides with the z-axis of the tripod in the lower left corner of each panel, and the supernova shock is visible as a bluish, transparent surface enveloping high-entropy bubbles of neutrino heated matter (red-orange-yellow surface). Time (information in the upper left corner of each panel) is measured in milliseconds after the formation of the supernova shock. (© Author and The American Astronomical Society)

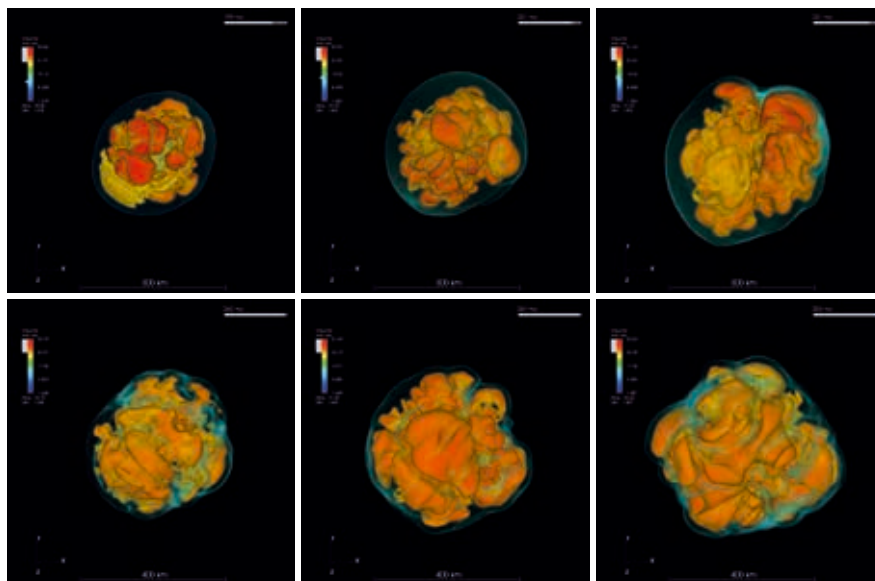


Figure 2: Sequence of volume-rendered snapshots for the time evolution of a 75 solar-mass star. Colors represent entropy per nucleon. The supernova shock is visible as a bluish, transparent surface enveloping high-entropy bubbles of neutrino heated matter (red-orange-yellow surface). Time (information in the upper right corner of each panel) is measured in milliseconds after the formation of the supernova shock. Although at the end of the simulation the neutron star (invisible at the center) begins to collapse to a black hole, strong SASI activity and neutrino-driven convection cause a transient expansion of the supernova shock.

Strongly dependent on the availability of computing resources, the pool of simulations can therefore grow only gradually, despite the large mass-space of supernova progenitors that needs to be explored, ranging from about 8–9 solar masses on the low side to more than 100 solar masses on the high end. All of these progenitors differ in their radial structures prior to collapse: Lower-mass stars tend to have a relatively low core compactness, i.e., the steep density decline outside of their iron core favors relatively easy shock expansion and is expected to lead to faster explosions. In contrast, stars with higher core compactness (which typically tend to be also more massive) allow for less rapid shock expansion and are thought to be harder to explode. This general notion must be confronted with detailed explosion simulations. Moreover, besides the stellar density profile, also rotation of the progenitor core may play a role, and density and velocity perturbations in the convective burning shells of silicon, oxygen, and neon may have an influence on the beginning of the explosion.

The current achievements reached by this Gauss project (ID pr74de) for 3D supernova explosion modeling with the Prometheus-Vertex supernova code can be summarized in four categories:

1. In addition to the successful neutrino-driven explosion of a previous low-mass progenitor of 9.6 solar masses, also a 9.0 solar-mass star was found to blow up by the neutrino-heating mechanism. However, the blast wave develops in a considerably different way, expanding more slowly and triggering an explosion with very pronounced global asymmetry.
2. In the oxygen shell of an 18 solar-mass progenitor a quadrupolar convective mode was found to develop on the way to the gravitational instability of the stellar core. The corresponding large-scale flow pattern leads to high-amplitude density perturbations of the matter falling into the supernova shock. This stirs the growth of vorticity and turbulence in the postshock layer, thus enabling the onset of the neutrino-driven explosion in this star.

3. Pre-collapse rotation can aid the explosion by fostering the growth of powerful spiral modes associated with the standing-accretion-shock instability (SASI). However, this helpful effect is strong enough only for initial spins that are several times faster than predicted for the far majority of stellar cores at the onset of collapse. Explosions supported by spiral-SASI activity exhibit an oblate shape with strongest expansion perpendicular to the rotation axis (Fig. 1), in distinct contrast to rotation-supported explosions in axisymmetric (two-dimensional) simulations, which show prolate deformation along the spin axis.
4. Very massive stars of 40 and 75 solar masses, which lead to the formation of black holes, develop extremely violent SASI sloshing and spiral activity, which can cause transient shock expansion before the neutron star collapses to a black hole (Fig. 2). This behavior depends strongly on the dense-matter properties in the neutron star and can be an interesting source of gravitational-wave and short-time variable neutrino emission.

With the computer-time grant of the reported Gauss project the exploration of the extensive space of initial conditions has only been started, aiming at the final goal of a systematic understanding of the connections between progenitor stars on the one side and explosion and remnant properties on the other side. Further computing resources will have to be acquired in the future to successively enlarge the space of investigated cases.

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Light on the Virgo cluster of galaxies: our closest cluster-neighbor

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Introduction

Galaxy clusters are formidable reservoir of galaxies (agglomerate of stars, planets and dust). As such they are perfect objects of studies to unravel the mysteries of galaxy formation and evolution in dense environments. At about 50 millions light-years from us, the Virgo cluster, a gathering of more than a thousand galaxies is our closest cluster-neighbor. Its proximity with us permits deep observations. However, accessing the past history of the Virgo cluster from nowadays observations is not trivial. At this stage, cosmological numerical simulations of the cluster come in handy. In such simulations, dark matter (nature of most of the matter in the Universe) and baryons (visible matter) follow physical laws to reproduce our closest cluster-neighbor and its galaxies in a simulated box across cosmic time.

However there exists a large variety of clusters born in different cosmic environments. Thus reproducing our closest cluster-neighbor within its cosmological environment constitutes a numerical challenge. Efficient simulacra of the Virgo cluster can be obtained via simulations that resemble our cosmic neighborhood down to the cluster scale. Virgo-like clusters form then in the proper environment and permit assessing the most probable formation history of the cluster. To model the galaxy population of the cluster high-resolution simulations are required. Such simulations can be performed only on the largest supercomputers.

Results and Methods

Simulations that resemble our local neighborhood need to be performed in large boxes to counteract the periodic boundary conditions. Such conditions are essential to fulfill the laws of the conservation of matter. In about 1 billion light-years boxes, reaching a particle mass of 10^7 times the solar mass is quite challenging especially when including the full hydrodynamical processes followed by the baryons (gas).

A democratized procedure to achieve such resolution in large boxes when the focus is only one object (here the Virgo cluster) is the zoom-in technique. This technique permits increasing the resolution of the simulation only in the region of interest while keeping the rest of the box at low resolution. Thus without any additional computational effort, the effect of the whole box-environment on the cluster is preserved while the time is mostly used to compute at high resolution the history of the cluster and its constituents: the galaxies.

Still, 10 millions cpu-hours were required to simulate the sole full formation of the cluster from the early Universe until nowadays with full hydrodynamics and with a particle mass of 10^7 times the solar mass. About 3000 cores were requested. 251 time steps of the simulation were recorded for a total of no less than 21 TB of data. More than 3.6 millions files were produced. All these numbers do not include all the preliminary computing time required to test the code, prepare the initial conditions for the simulation and identify the Virgo cluster simulacrum in the selected simulation. More information are available at [1].

Preliminary steps include preparing the observational data to constrain the initial conditions so that the resulting structures in the box, at the end of the simulation run, look like our local environment. These data need indeed to undergo grouping, bias minimization [2] before being inserted into the whole machinery [3] to produce the initial conditions. 200 initial conditions were prepared and run at a low resolution. They allowed us to identify the unique (position, location, mass) Virgo cluster look-alike in each simulation by identification to our local environment. The observer was assumed to be at the center of the boxes and the latter were oriented like our neighborhood. With these 200 simulated Virgo clusters, we conducted a statistical study and found for instance that the Virgo cluster had had most probably a quiet merging history within the last 7 Gigayears and formed along a preferential direction.

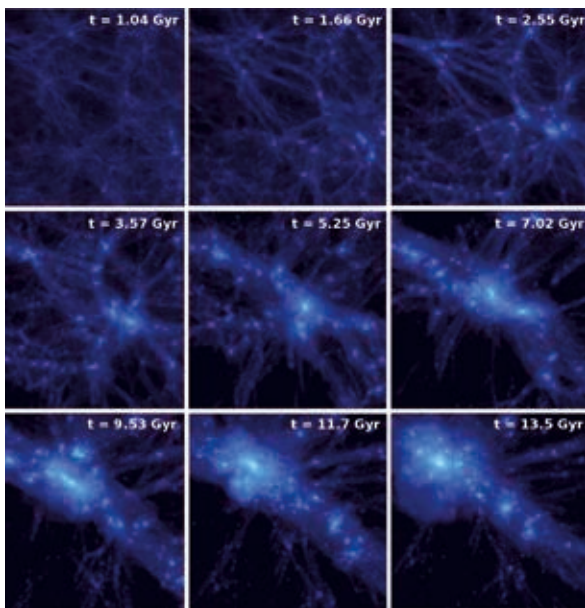


Figure 1: Formation of the Virgo cluster of galaxies. From left to right, top to bottom: the dark matter particles gather in the simulated box to form the Virgo cluster. From dark blue to white, the matter density increases. The Virgo cluster is the clump of matter visible nowadays, namely 13.5 Gigayears after the big bang. Each slice is about 30 millions light-years.

From these 200 simulated Virgo clusters, we selected the cluster whose properties make it the average Virgo cluster. The zoom-in technique implemented in the MUSIC code allowed us to increase the resolution. We ran then the simulation using the RAMSES code with hydrodynamical implementations detailed in [4]. Here again, preliminary tests with the code were necessary to run it properly on the supercomputer.

After 10 millions cpu-hours, the full history of the formation of the Virgo cluster was simulated and recorded. Figure 1 shows this formation. At [1] movies are available. Different time steps were selected to present slices of the distribution of matter in the box, more precisely at the location of the Virgo cluster. The gradient of colors stands for the density gradient. From black to white, the density of particles (matter) increases. From left to right, top to bottom, one can see the formation of the cluster from an homogenized distribution of matter to a huge concentration of matter. Small filaments interconnected by small clumps of matter grow to finally give one major filament (preferential direction) with one enormous clump of matter (more than 10^{14} times the solar mass): the Virgo cluster of galaxies.

On-going Research / Outlook

At such a high resolution with hydrodynamical features, the simulations contain simulated galaxies of the Virgo cluster look-alike. Figure 2 shows four of them, viewed face-on, in slices of about 300,000 light-years. The next step is then to extract galaxy properties from the simulation like their colors, their metallicity, their star formation,

their mass to make deep comparisons with the observed galaxy population of the Virgo cluster. Galaxy formation models can then be validated or/and calibrated.

The step after this one is to run an even higher resolution simulation (to reach 10^5 times the solar mass) but with solely dark matter to reduce the computational cost. Such a resolution will allow us to simulate the smallest galaxies, called dwarfs, in and around the Virgo cluster of galaxies. To do so, we will use semi-analytical modeling to populate *a posteriori* the dark matter simulation with galaxies.

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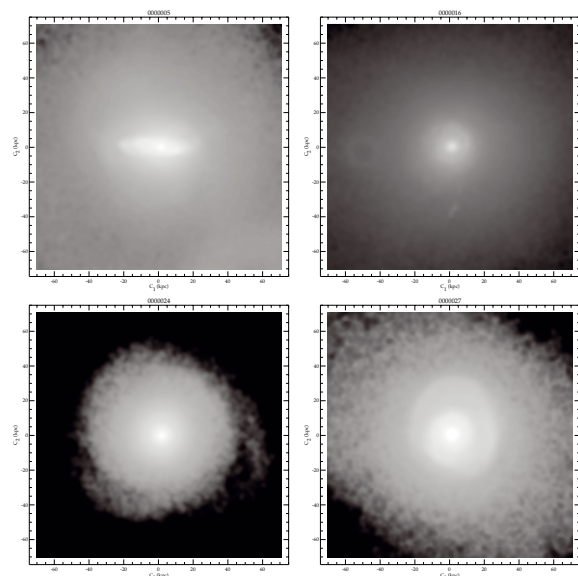


Figure 2: Four simulated galaxies of the Virgo cluster viewed face-on. Slices are about 300,000 light-years.

Kinetic simulations of astrophysical and solar plasma turbulence

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Introduction

The hot and dilute collisionless near-Earth and solar-wind plasmas were observed to be very turbulent and there are indications that astrophysical plasmas as, e.g., in accretion disks around stars, in the interstellar medium (ISM) or in galaxy clusters are turbulent as well. Despite of decades of *in situ* spacecraft investigations and of the omnipresence of plasma turbulence in the Universe, its properties and consequences are still only poorly understood. This is due to the complicated kinetic nature of the collective plasma phenomena at the end of the turbulence cascade in the absence of binary particle collisions. Solutions of related challenges are needed to address outstanding astrophysical problems such as, e.g., the heating of the stellar coronae and the, often explosive, release of magnetic energy by reconnection. For that one has to address the physics of complex kinetic systems of plasma particles in space and velocity space, considering non-thermal effects and wave-particle interactions, nonlinearities and the multi-scale character of the turbulence. This can be achieved only by utilizing state-of-the-art numerical simulation techniques, able to self-consistently solve the full set of Vlasov-Maxwell equations that describe the interaction of electromagnetic fields and charged particles.

Methods and Results

Within the Leibniz-Rechenzentrum-project pr74vi [1] we carried out a number of massively parallel kinetic simulations to understand the plasma turbulence at scales smaller than the ion gyro-radius reaching the dissipation scales of the plasma turbulence. Two- and three-dimensional fully kinetic and hybrid-kinetic (fully kinetic ions and fluid electrons) simulations for plasma conditions relevant for stellar plasma winds like those of the Sun revealed previously unknown kinetic turbulence properties.

Numerical Codes and Runs

In order to cross-compare the results of different numerical approaches we employed two different fully kinetic, fully relativistic electromagnetic Particle-in-Cell (PIC)

codes (OSIRIS [2] and ACRONYM [3]) as well as the hybrid-kinetic Vlasov-Maxwell code HVM [4], which solves for the electrons constituent of the plasma using a simplified fluid approach. The codes are highly matured, their parallelization performance scales well for up to several thousands of CPU cores. OSIRIS [2] was developed and is maintained by a consortium of the University of California Los Angeles (UCLA) and the IST in Lisbon (Portugal). It uses a second-order integrator with a charge-conserving electric current deposit together with high-order cubic particle shapes for improved energy conservation and reduced particle noise. A significant speedup of the code performance is achieved by employing low-level hardware optimized vector (AVX) instructions.

ACRONYM [3] is an explicit PIC code written in C++ developed at the University of Würzburg (Germany), it is parallelized by domain decomposition, uses higher-order particle shapes and optimum noise reduction techniques. The codes utilize the MPI parallelized distributed memory technique and the simulation data output uses the HDF5 library.

The HVM hybrid-code [4] solves the Vlasov equation for the ions using a grid-based (Eulerian) method, while the electrons are treated as a massless fluid. The code was developed at the Universities of Calabria and Pisa (Italy). It is written in Fortran 90 and parallelized using a hybrid MPI-OpenMP strategy.

A total of more than 30 million computing hours was used during the project for 2D and 3D simulations. A number of Terabytes of data output was generated and analyzed. For an example of the use of the resources see Table 1.

Resources used per simulation	3D	2D
# CPU cores	32768	4032
Memory [TB]	11	0.4
Total (aggregate) runtime [days]	5	6
Amount of data generated [TB]	0.7	0.1

Table 1: Use of SuperMUC computing resources: 2D vs. 3D fully-kinetic PIC code simulations. The amount of data pertains to code diagnostics without accounting for checkpoint files (comparable in size to the runtime memory consumption for every checkpoint).

2D Turbulence

We investigated the 2D properties of the turbulence for plasma parameters typical for the near-Earth free streaming solar wind by comparing fully kinetic, hybrid-code (reduced-kinetic) with the results obtained before in the framework of a gyrokinetic plasma model [5]. The spectral properties of the solutions obtained from the fully kinetic and gyrokinetic simulations were found to be in good agreement under typical plasma conditions. Other than as the consequences of numerous simplifying assumptions of gyrokinetic models, the fully kinetic investigations allowed to identify the dominant type of turbulent fluctuations (see Figure 1). The results of the hybrid code simulations, on the other hand, were lacking of essential consequences of the kinetic electron physics, i.e. Landau damping that made the hybrid spectra shallow even on subion scales so the hybrid simulations cannot reproduce the real turbulent spectra such as gyrokinetic and fully kinetic models.

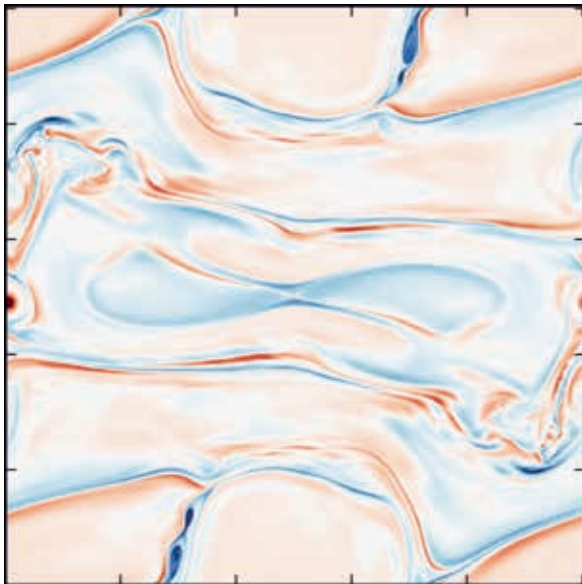


Figure 1: 2D PIC-code simulation results: the fully developed turbulence contains sheets of enhanced electric current density.

3D Turbulence

Our massively parallel 3D PIC-code simulations of the kinetic turbulence were among the very first targeting the end of the inertial range of the solar wind turbulence [6]. By considering the spectral properties of the solutions and by calculating the anisotropy of the turbulence relative to the local mean magnetic field, we confirmed the predictions of the leading, so-called kinetic Alfvén wave turbulence model. This was the first study where the predictions of the kinetic Alfvén wave turbulence model were tested using only first-principle-physics assumptions without additional approximations, yielding results largely consistent with the predictions (cf. Figure 2).

On-going Research / Outlook

The analysis of the data obtained by the simulations continues. Additional aspects under consideration are, e.g. the particle acceleration (see Figure 2.) and the role



Figure 2: Electric field strength in the fully developed 3D kinetic turbulence obtained by PIC-code simulations. The helical curves show a few sample electron trajectories, colored in accordance with the particle energy.

of magnetic reconnection in kinetic range plasma turbulence. Figure 1 indicates, e.g., possible reconnection sites – current sheets. The self-consistently generated current sheets change the spectral properties of the turbulence cascade towards the dissipation range. The project results inspired us to start a number of challenging new follow-up projects. While we so far focused on the plasma regimes of the free streaming, near-Earth solar wind, kinetic turbulence is expected to operate also in other astrophysical environments which are characterized by different plasma parameters. It must be better understood how kinetic turbulence works in other regimes and how it works over the whole larger range of the turbulent cascade. For this sake larger domain sizes and the use of more realistic ion to electron mass ratios are required. For this, however, the use of the upcoming SuperMUC-NG is mandatory. From the technical point of view, we aim to use the upcoming system for larger simulations in terms of runtime memory consumption and (steady) file system performance when writing large amounts of checkpoint data. The solution of these problems is critical for the further investigation of the kinetic plasma turbulence; larger and more realistic simulations are needed in order to understand both the very end of the turbulence cascade as well as the injection range in different astrophysical plasmas.

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Magneticum Pathfinder: A web interface to access the simulation data goes online

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SuperMUC Project ID: pr83li (Gauss Large Scale project), pr86re

Introduction

Within modern cosmology, the Big Bang marks the beginning of the universe and the creation of matter, space and time about 13.8 billion years ago. Since then, the visible structures of the cosmos have developed: billions of galaxies which bind gas, dust, stars and planets with gravity and host supermassive black holes in their centres. But how could these visible structures have formed from the universe's initial conditions?

To answer this question, theoretical astrophysicists carry out large, cosmological simulations. They transform our knowledge about the physical processes which drive the formation of our universe into models and simulate the resulting evolution of our universe across a large range of spacial scales and over billions of years. To be comparable to ongoing and future cosmological surveys, such theoretical models have to cover very large volumes, especially to host the rarest, most massive galaxy clusters expected to be the lighthouses of structure formation detectable already at early times (e.g. at high redshifts). While the Universe makes its transition from dark matter dominated to

dark energy dominated (i.e. accelerated expansion), the objects which form within it make their transition from young, dynamically active and star formation driven systems to more relaxed and equilibrated systems observed at late time (e.g. low redshifts). Especially here theoretical models in form of complex, hydrodynamical cosmological simulations are needed to disentangle the internal evolution of clusters of galaxies with respect to the evolution of the cosmological background. Such simulations will be essential to interpret the outstanding discoveries expected from upcoming surveys.

To make the outcome of such large cosmological hydrodynamics simulations available to a broad scientific community, LRZ in cooperation with experts from C2PAP (the Excellence Cluster Universe's datacentre) has now opened access to the Cosmological Web Portal [1], which now has already more than 100 registered users (see figure 1 for a usage statistics). As first step, two of the largest simulation sets form the Magneticum Pathfinder Project [2] where made public.

Challenges of the Web Portal

The Web portal is based on a multi-layer structure, see [3,4] for more details. Between those layers, data and processes flow over the web portal with its web interface, several databases, the backend within the job control layer, the compute cluster (where the analysis tools are actually executed) and the storage system (where the raw simulation data are stored). The need for a separation between the web interface and the backend arises from both the necessity of users to run personalized jobs on raw data, managed by a job scheduler of the compute cluster and the protection of the data from unauthorized access. As compute layer, currently the C2PAP compute cluster, operated by the Excellence cluster Origin and Structure of the Universe [5] is used while for the HPC storage we make use of the new Data Science Storage service at LRZ. All other processes are virtualised using the LRZ machines. Almost all parts of the implementation is based on common packages and publicly available libraries, except the core of the back-

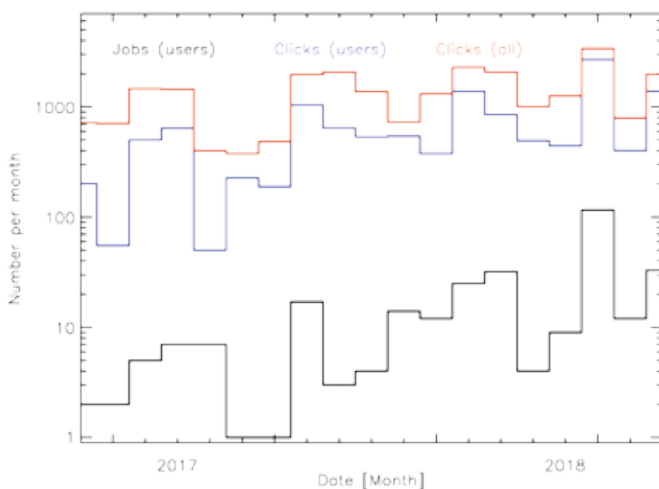


Figure 1: Overall usage of the cosmological web portal over the last 17 months: Number of post-processing jobs (black), clicks by registered users (blue) and total clicks (red), which include the public browsing feature.

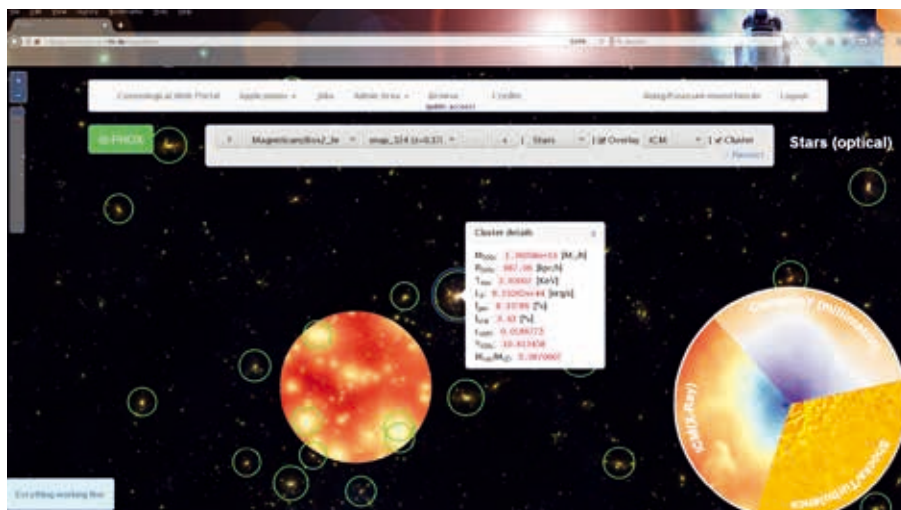


Figure 2: In the visual front end (here showing the Magneticum/Box2b/hr simulation at $z=0.17$) the stellar component is used as the basic, background visualization. The layer-spy option allows to dynamically overlap a movable lens with different other visualisation. All objects chosen in the “Restrict” menu are marked with green circles. The blue circle marks the currently chosen cluster, the pop-up info window shows its basic properties. The overlay “pie chart” in the lower right corner shows examples of different visualizations which can be chosen [1].

end, which is a customized component tailored for the data flows, job requests and specific needs of the used scientific data analysis software. All services are based on standard post-processing tools as used in scientific analysis of such simulations.

The Web Interface

The visual frontend allows to explore the cosmological structures within the simulation, based on panning through and zooming into high resolution, 256 megapixel size images which are available for numerous outputs of the different simulations. A special layer-spy option can be used to show a second, smaller, visualization within a lens which can be moved freely over the whole image and moving the layer spy over the visible objects reveals immediately and intuitively the connection between the different components.

Services currently available

There are several services available in the web interface which are designed to complete the interactive exploration of the simulation, to explore and select interesting objects and to allow the user to obtain information based on unprocessed simulation data or virtual observations of selected objects.

The **Clusterfind** service operates on the stored meta data and returns a list of objects matching the given properties. It shows the resulting list of objects in form of a table and additionally allows to produce histograms or scatter plots from any combination of columns within the result table. In addition, the produced table can be exported as CSV-table and individual objects can be selected by clicking on the table entry or the data points in the plot.

The **ClusterInspect** service works similar to the **ClusterFind**, except that, once an object (e.g. galaxy cluster or group) is selected, the generated table displays the properties of all member galaxies of the cluster/group. The interactive plotting tool allows then to visualize any galaxy property from the table in the same way as described above.

The **SimCut** service allows users to directly obtain the unprocessed simulation data for the selected object. These data are returned in the original simulation output format. Therefore, the user may analyse the data in the same way as he would do for his own simulations.

The **Smac** service allows the user to obtain 2D maps of integrate physical quantities along the line of sight. Currently, the service allows to produce column densities for the gas component or for the entire matter, the mass-weighted temperature bolometric X-ray surface brightness and the thermal or kinetic SZ maps. The maps are returned in standard FITS files.

The **Phox** service allows to perform synthetic X-ray observations of the ICM and AGN component of selected galaxy clusters. Here the user can choose among current and future X-ray instruments to make use of the actual specifications of these instruments. An idealized list of X-ray photons for the chosen instrument is returned. To produce an even more realistic result, the user can additionally request a detailed instrument simulation based on special software available for the individual X-ray satellite missions. All result are provided in form of a so called event file in the FITS format, which is identical (beside some keywords in the headers) to what would be obtained from a real observation.

On-going Research / Outlook

The *Magneticum* research collaboration will continue to integrate more simulations into the web interface and also works on extending the services towards detailed analysis of individual galaxies.

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Wild space-times with Numerical Relativity

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Introduction

In 1993 Choptuik [1] considered spherical families of initial data for General Relativity sourced by some idealized matter content. A given family is controlled by a *strength parameter* p , with the property that strong data eventually collapse to form a black hole whereas weak data eventually disperse to leave behind flat-space. Choptuik then addressed the question: what happens exactly between these two regimes? For this numerical evolutions of the data were performed, and a bisection search within each family to close in on the critical solution was made. In the limit between dispersion and collapse a fascinating phenomenology was discovered. One aspect of this is that arbitrarily strong spacetime curvature can be generated without the formation of a black hole! The critical spacetime thus contains a naked singularity, and is important from the perspective of cosmic censorship.

The natural follow-up question is whether or not the same phenomenology is present when the collapse is driven not by matter present in the spacetime, but rather by a strong gravitational wave. This question was first investigated by Abrahams and Evans in [2], and answered in the affirmative. But at the start of the present project

all subsequent studies had suffered from extreme difficulties in obtaining the same result, see [3] for details.

Our aim, therefore, was to investigate the collapse of gravitational waves close to the critical threshold of black hole formation, to understand this limit, overcome the difficulties faced in earlier attempts and to evaluate the claim that a naked singularity can form as we tune towards the critical solution. Since these questions concern the strong-field behavior of a highly nonlinear set of partial differential equations the use of numerical methods and indeed of the HPC resources provided by SuperMuc is absolutely essential in the investigation. A direct result of this work is the recent study [4], which constitutes the current state of the art in the topic.

Results and Methods

To solve the field equations of General Relativity we developed and deployed the new numerical relativity code bamps [5]. The code is written primarily in c, and is MPI parallel, demonstrating strong scaling up to at least several thousand cores. The primary function of the code is to perform numerical time evolutions of nonlinear systems of first order symmetric hyperbolic partial differen-

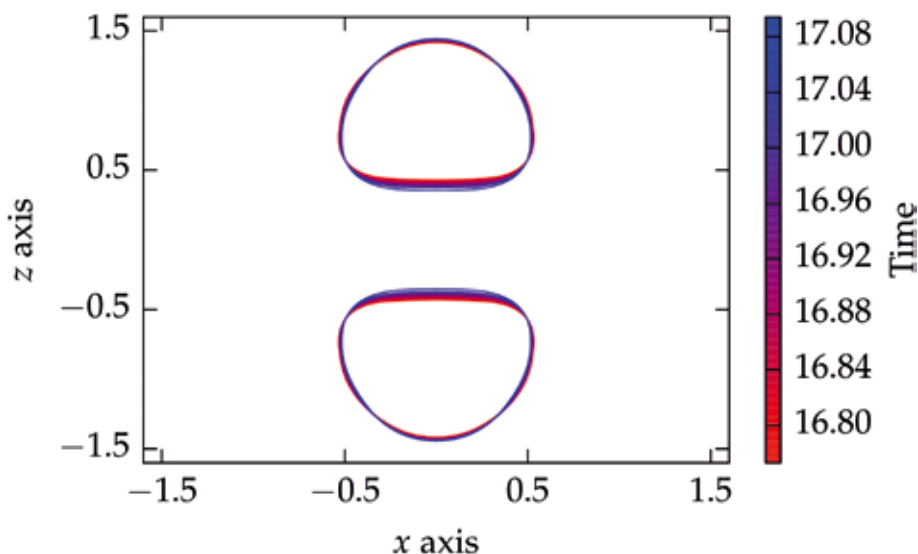


Figure 1: This figure, taken from [4], shows the apparent horizons in space, with their time development indicated by color coding, as formed in the evolution of Brill wave initial data close to the critical threshold of black hole formation. Hot colors show the horizon right after formation, colder colors later until bamps terminated. Interestingly there are two disjoint horizons in this data, so rather than ending up with a single strong-field region, here we have an extended strong-zone with ‘multiple centers’.

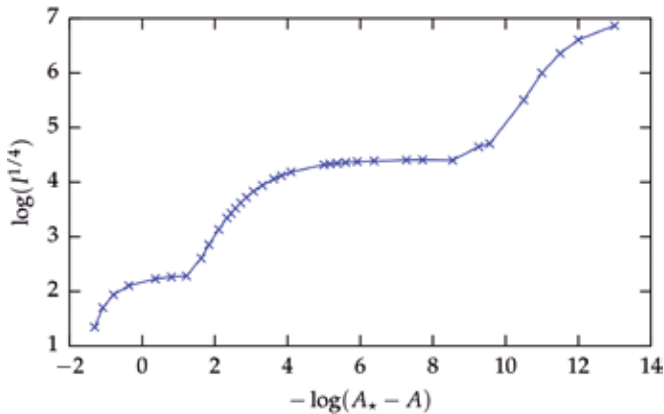


Figure 2: This figure, taken also from [4] shows the scaling of the maximum of the curvature against the logarithmic distance of the data to the critical solution. Theoretical models predict that in this limit the data should appear like a straight line plus a periodic wiggle and we interpret the result as evidence towards that claim, although there is certainly much room for future work in this direction.

tial equations. As is common for applications in numerical relativity evolution modules are autogenerated using computer algebra. The code employs a pseudospectral method to approximate spatial derivatives with a standard method of lines time integrator. The domain is decomposed into several patches in which the equations are solved. Data is communicated from neighboring domains using a so-called penalty method. This approach requires little data communication, which contributes to the good scaling mentioned above.

In the present application [4] we evolved the Einstein equations written in generalized harmonic form, using constraint preserving, radiation controlling outer boundary conditions. We focused on a single axially symmetric *Brill wave* family of gravitational wave initial data and searched for the critical amplitude at which black holes first form. Close to the critical point the resulting spacetimes generate structure on an ever-finer scale, which makes the evolutions computationally very demanding. In total the code development and production runs used around 10 Million core hours, the majority of which were run on SuperMuc. (Our results with the project pr87nu concerning binary neutron star spacetimes, which used around the same amount of resources, have been reported elsewhere). Our typical jobs ranged from a few hundred to a few thousand cores.

We compared carefully with all published work evolving this family of data, and found good agreement with the results of the new code. We were then able to bound the critical amplitude A^* to within a range around 10^{-6} , an improvement on earlier results by several orders of magnitude. Interestingly, close to the critical point we find that this data form not one but two black hole horizons, indicating that the data form a binary black hole spacetime. This result is shown in Figure 1. In this range we furthermore see evidence for power-law scaling in the curvature, as is expected if *critical phenomena* are present in the collapse of gravitational waves. This result is reported and explained in Figure 2.

On-going Research / Outlook

The next task in our research program will be to study other families of initial data, and to try and get closer to the critical point. Ultimately this will allow us to get a better understanding of the most extreme spacetimes one can construct in General Relativity. The main difficulties in going closer to the critical point are the formation of coordinate singularities, and the immense cost of the numerical evolutions required. To alleviate the former we have developed a new formalism, which gives greater freedom in the choice of coordinates in applications. For the latter the combination of improved numerical methods, particularly in the form of adaptive mesh-refinement, and expanded computational resources will be essential.

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The Cosmic Factory: Simulating the dark universe at different scales

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Introduction

Today's most favorable cosmological model is a flat Friedmann universe with a cosmological constant (68%), Cold Dark Matter (CDM; 27%) and a small amount of usual baryonic matter (5%). This model provides the framework for cosmological structure formation, which can be tested against observations. In the 1970's the basic idea of structure formation was formulated, namely that structure emerges from primordial density perturbations via gravitational instability. The dark matter forms halos, within which the baryons cool, fragment and form stars. To a good approximation this process can be described within a dark matter only model, i.e. following the clustering of dark matter in numerical experiments. Then, galaxies can be assigned to the dark matter halos in a post-processing process. The resulting galaxy distribution can be compared with the observed one.

Recent and upcoming large observational survey projects are mapping huge volumes of the Universe. From the theoretical point of view, one needs to simulate the evolution of these very large volumes for a reliable comparison with observations and in order to study the rarest objects, like the most massive clusters and the largest voids. On the other hand, one wants to keep the highest possible resolution to retain reliable information also on halo substructures as well as on the low mass isolated objects. We are now deep in the era of precision cosmology, where uncertainties in the values of the cosmological parameters from CMB Planck measurements are very small. Therefore, one needs to take care of using the cosmology in simulations as close as possible to the observed data. Over the last years we run a series of dark matter only simulations in the same Planck cosmology but in very different volumes, so we can study dark matter halo clustering over a huge range of mass scales, from the biggest clusters to the tiny dwarfs.

Simulations

The first simulation performed in this series was the MultiDark simulation with 3840^3 particles in a box of

1000 Mpc/h side length [2]. For our series of simulations we increased resp. decreased the box-size by a factor of 2.5. Thus in each step between the BigMultiDark (BigMD), the MultiDark (MD), the Small MultiDark (SMD), the Very Small MultiDark (VSMD) and the Extremely Small MultiDark simulations (ESMD) the volume decreases by a factor of ~ 16 and the mass resolution improves by the same factor. On top of this series we were running also the HugeMultiDark (HMD) simulation. Concerning ESMD so far only the simulation at lower resolution has been finished (ESMD_2048).

Simulation	box	N_p	m_p	N_{out}
HMD	4000	4096^3	7.9×10^{10}	128
BigMD	2500	3840^3	2.4×10^{10}	80
MD	1000	3840^3	8.7×10^9	129
SMD	400	3840^3	9.6×10^7	117
VSMD	160	3840^3	6.2×10^6	150
ESMD_2048	64	2048^3	2.6×10^6	70

Table 1: Numerical parameters for the simulations. The columns give the simulation identifier, the size of the simulated box in Mpc/h, the number of particles, the mass per simulation particle m_p (in M_{sun}/h) and the total number of simulation outputs stored N_{out} .

Data products

Due to gravitational instabilities the dark matter particles clump into dark matter halos. At redshift $z=0$ a simulation as described above contains typically 50 to 100 million halos. The halos and their sub-halos need to be identified in the simulation and their properties must be estimated. Moreover, one wants to know how the halos have been formed and evolved. We have used two completely independent halo finders: A spherical overdensity halo finder and a hierarchical Friends-of-Friends halo finder.

In order to identify spherical halos and their sub-halos as well as their merging history we have used ROCKSTAR (Robust Overdensity Calculation using K-Space Topologically Adaptive Refinement [3]). ROCKSTAR is a massively

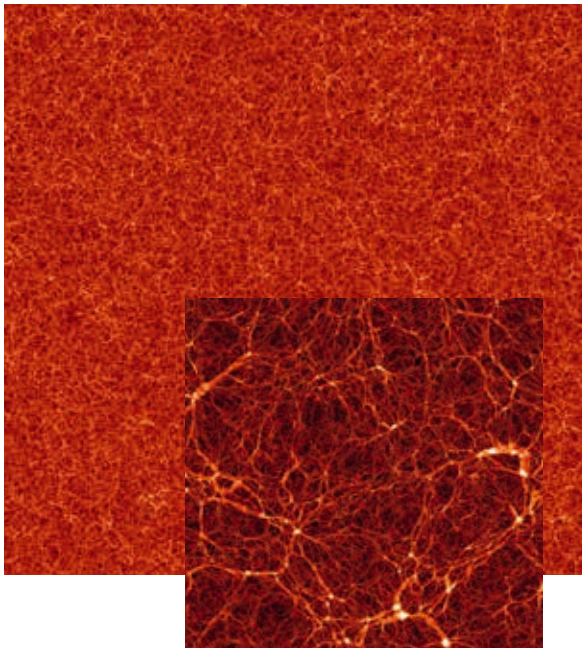


Figure 1: Dark matter density slice through the BigMD simulation (big picture) and the VSMD simulation (smaller inside the big one) at redshift zero.

parallel code very well designed to run over large dark matter simulations. It is designed to maximize halo consistency across time-steps. Therefore, it is especially useful for the construction of merger trees of the halo evolution and the generation of semi-analytical galaxy catalogs based on the merger trees.

The great advantage of the Friends-of-Friends (FOF) algorithm is its simplicity. The basic idea is to find halos by linking all particle pairs separated by less than a certain linking length that is defined as a fraction of the mean inter-particle separation in the simulation. This method defines a unique catalog of halos for any given linking length. We calculated the FOF halos for all redshifts at four different linking lengths, namely 0.2, 0.1, 0.05, and 0.025 of the mean inter-particle separation. By definition the halos defined at a shorter linking length are sub-halos of the parent-halos defined at larger linking lengths. Since the linking length is reduced in each step by a factor of 2 the corresponding sub-halos have typically mean over-densities larger than the parent halos by a factor of about 8.

Galaxies

Galaxies of very different kinds are observed in the universe. From the tiny dwarf galaxies to the most massive elliptical galaxies they cover several orders of magnitude in mass and luminosity. The galaxies differ very much in their baryonic mass content: the gas fraction and the stellar mass fraction. Some of the galaxies form active massive black holes in their centers. During the last decades much progress has been made in understanding the formation and evolution of galaxies by elaborated numerical simulations with ever increasing spatial and mass resolutions. However these hydrodynamical

simulations are extremely time consuming and cannot be performed for very large volumes at very high resolution. A possible alternative to study galaxies in large cosmological volumes with the required resolution is the post-processing of dark matter only simulations with semi-analytical galaxy formation models. This has been done so far for the MD simulation (see Table 1) using three different semi-analytic models, namely Galacticus, SAG, and SAGE [4].

Database

The CosmoSim database [1,5] provides data from the cosmological simulations described above. In the database the Structured Query Language SQL is used to filter the main data products and retrieve exactly those subsets the user is interested in. Since the amount of data which such simulations produce nowadays exceeds the Terabyte range, the full data set is too large to be provided for each user. Having the data directly available via SQL proved to be a very useful concept. This language allows users – especially those not intimately familiar with the data format of the simulation – a far more direct path from a science question to an executable expression than a standard scripting or programming language would. However, an increasing number of users is also interested in using the simulation data directly or analyzing the full catalogs by themselves. Therefore, the database allows also to download a few selected simulation outputs (1.7 Tb each) as well as the full ROCKSTAR or galaxy catalogs. Besides the data, the database contains a comprehensive documentation as well as a number of images and movies for public outreach. More than 80 papers have been published so far using data provided by the MultiDark database.

On-going Research/Outlook

At present we run the ESMD simulation with 4096^3 particles. The VSMD simulation is analyzed within an ERC project titled “DELPHI: a framework to study Dark Matter and the emergence of galaxies in the epoch of reionization” (PI Pratika Dayal).

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FirstLight: Formation of the First Galaxies at Cosmic Dawn

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SuperMUC Project ID: pr92za

Introduction

The formation of the first galaxies is one of the key milestones in the history of the Universe. When the Universe was only 380 thousand years old, it was mostly neutral and cold. These Dark Ages finished by the formation of the first galaxies. They started to ionize Hydrogen approximately 400 Million years after the Big Bang. The Epoch of Reionization has been a subject of considerable interest in the last decades. However, very little is known about the properties of these first galaxies. The deepest observations with the Hubble Space Telescope have yielded a handful of tiny and faint objects.

The launch of the James Webb Space Telescope (JWST) in 2020 will completely revolutionize our understanding of Universe Reionization. One of the four main Science Themes for JWST is to unveil the properties of first galaxies (<http://jwst.nasa.gov/firstlight.html>). JWST will yield very deep surveys of galaxies at extremely high redshifts ($z > 5$). This will provide unprecedented details about the first galaxies and it will generate considerable public interest. As quoted in the above link, “Webb will be a powerful time machine with infrared vision that will peer back over 13.5 billion years to see the first stars and galaxies forming out of the darkness of the early universe”.

This is a crucial time for theoretical predictions about the properties of the first galaxies. They are needed for the design of successful observations and to interpret the first results from JWST. Semi-empirical methods and large cosmological simulations have provided predictions of the abundances of these galaxies but they lack the details about the distribution of gas, stars, metals and dust within first galaxies. This is a crucial aspect. For example, the distribution of these components affects the overall ability of ionizing photons to escape these galaxies and ionize the Universe.

There are two main approaches in cosmological simulations of galaxy formation. The first approach uses a full cosmological box. These simulations yield a large population of galaxies but their interiors are poorly resolved.



Figure 1: Left: Schematic timeline of the Universe, showing the Epoch of Reionization, the Dark Ages and the formation of the first galaxies (source: STScI). Right: Gas within a galaxy at redshift 5 from the First-Light project. Dense star-forming clouds and bubbles filled with diffuse gas are both resolved as part of the multiphase Interstellar Medium.

The second approach uses zoom-in simulations that concentrate the computational resources on the formation and evolution of a few selected galaxies. However previous sets of zoom-in simulations were small, with only a dozen of independent galaxies.

The FirstLight project [1] have generated a sample of over 1000 zoom-in simulations of the first galaxies. The full exploitation of this dataset will allow us to infer global properties of the galaxy population while resolving the galaxy interiors with parsecs resolution.

Results and Methods

Early results [2] of FirstLight have yielded UV luminosity function (UVLF), galaxy stellar mass function, stellar-mass-halo-mass and other scaling relations at redshift

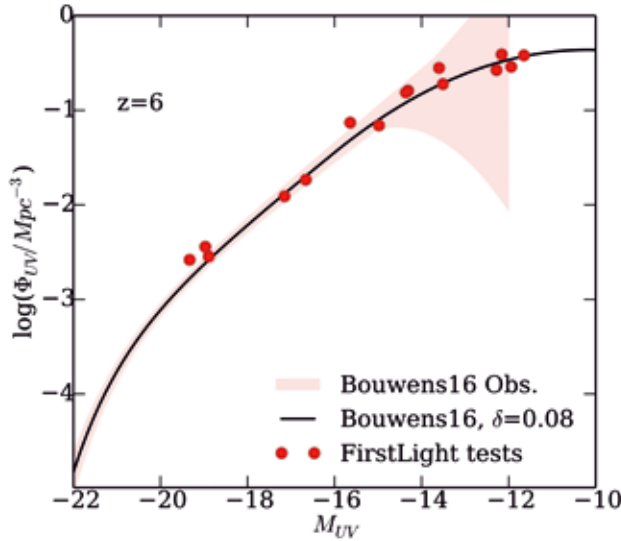


Figure 2: UVLF at $z=6$. The FirstLight tests are consistent with observations [3]. They predict a progressive flattening of the LF at low luminosities ($M_{UV} > -14$) driven by stellar feedback.

$z=6-10$ in good agreement with existing observations, when available. The simulations predict a flattening of the UVLF at magnitudes fainter than $M_{UV}=-14$ due to stellar feedback. They also predict a rapid evolution of the faint slope of the UVLF and this implies a large number of galaxies with moderately faint luminosities during the dark ages ($z=10$). These predictions will be tested by JWST.

Allowed by the large number statistics, we focus on the mean galaxy properties (stellar mass, gas mass, and SFR) over a large range of halo masses [4]. We unveil the physical origin of the star-forming main sequence (SFMS) during the early galaxy assembly. We answer the following questions: which physical processes set the mean and scatter of SFMS?; are they in place at any redshift or are they being built over time?

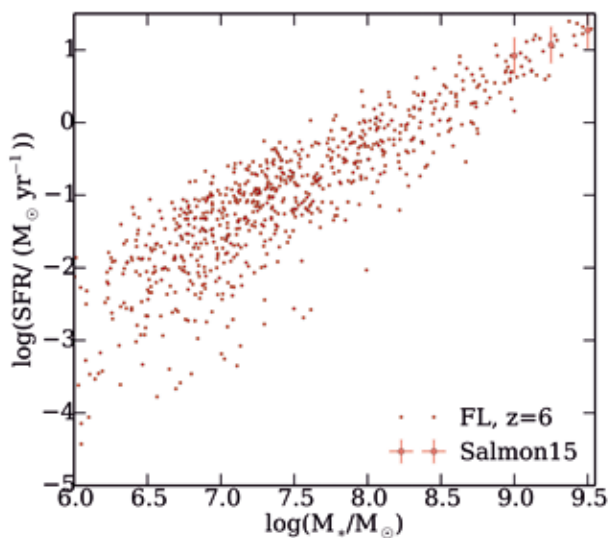


Figure 3: SFR versus stellar mass of a fraction of the FirstLight sample at $z=6$. Points with error bars show observational results [5] (Points with error bars).

The simulations of 1000 galaxies are performed using the ART code, which accurately follows the evolution of a gravitating N-body system and the Eulerian gas dynamics using an Adaptive Mesh Refinement (AMR) approach. ART is a hybrid MPI+OpenMP code that uses domain decomposition of the whole cosmological volume. Each MPI task makes refinement of the grid around a distinct lagrangian region where a single galaxy forms and evolves. This decomposition minimizes the communication between tasks, because they only share the base grid. The parallelization within a node is done using OpenMP. No external libraries are required. The full project uses 1000 MPI tasks, distributed over 1000 nodes (28k cores). It needs approximately 2Gb of memory per core for the most expensive tasks. Therefore, the maximum memory required will be 5Tb. The MPI tasks are approximately distributed in 10 independent runs. Each one uses about 100 Haswell nodes. 20M CPU-hours were consumed. 0.5M files were generated and temporarily stored in PROJECT (15 Tb of storage).

On-going Research / Outlook

Several aspects of the original FirstLight project have not been fully developed and they will be explored in the extension of the project (10M CPU-hours): i) Simulations of more massive lower-case Galaxies will help us to understand the formation of the first quasars. ii) New models of stellar feedback will be developed. They will be included in new simulations that will shed light on the role of feedback processes in the regulation of the formation of the first galaxies. A selection of one quarter of the original sample of halos will be simulated again with these new models. Then, I will compare the results of this new set with the original dataset. This comparison will reveal the degree of improvement of these new models. ii) Finally, the analysis of the cosmological boxes with Planck cosmology will be performed and compare with the corresponding WMAP runs. The halo statistics with Planck cosmology show significantly higher halo number densities due to a more massive Universe. This could have important implications for the formation of the first galaxies and reionization.

In the framework of the AstroLab support call 2016, I have established a fruitful collaboration with Dr Luigi Iapichino with the main goal of increasing the overall performance of the ART code. We are currently focusing on improving the OpenMP parallelization and testing the performance of ART in the new KNL nodes.

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Simulating the formation, evolution, and merging of molecular clouds

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SuperMUC Project ID: pr94du

Introduction

In our research we investigate the formation and evolution of molecular clouds by means of high-resolution zoom-in simulations of stratified galactic disks. The simulations are a follow-up of the work performed in the Large-Scale Gauss Project pr45si carried out on the general purpose supercomputer SuperMuc, where the long-term evolution of different galactic disks was modeled with significantly lower spatial resolution[1,2]. By zooming in with a smart adaptive mesh refinement technique, we center on individual molecular clouds while these are forming and evolving within a realistic environment. We thus can explore the impact of e.g. supernova explosions on the clouds. In particular we are interested in the chemical evolution of the clouds as well as the internal dynamics and structure.

Results and Methods

In our work we have so far performed a number of simulations on SUPERMUC. Each of the simulations required a computational time of about 1 – 2 Mio. CPU-hours with a simultaneous use of up to 1000 CPUs per simulation. A few hundreds of files were produced for each simulation requiring a disk space of about 20 TB in total. The simulations are performed with the hydrodynamics code FLASH[3] written in Fortran 90. The code solves the 3-dimensional, discretized magnetohydrodynamical equations on a Cartesian grid. Making use of the adaptive-mesh-refinement (AMR) technique, only those regions which are of particular interest for us are resolved with the highest possible spatial resolution whereas other regions of minor interest are resolved more coarsely. This significantly reduces the number of calculations to be performed and hence the computational time required, thus allowing us to perform the simulations over long physical timescales. Furthermore, we use a chemical network designed for astrophysical problems which allows us to model the formation of molecular hydrogen and CO, and non-equilibrium cooling and heating effects.

Initial conditions

The simulations we carry out follow the evolution of the multi-phase ISM in a $(500 \text{ pc})^2 \times \pm 5 \text{ kpc}$ region of a galactic disk, with a gas surface density of $10 M_{\text{sun}}/\text{pc}^2$. We include an external potential, self-gravity, magnetic fields, heating and radiative cooling, as well as time-dependent chemistry. We explore SN explosions at different rates in located either in high-density regions, in random locations, in a combination of both, or clustered in space and time. We select regions from these runs where we know that molecular clouds are forming and then start to zoom-in [4]. This allows us to follow the evolution of molecular clouds with a significantly higher spatial resolution of 0.06 pc.

Structure of molecular clouds

We investigate the formation of a couple of molecular clouds in our simulations. In Fig. 1 we demonstrate the power of the applied zoom-in technique. Even from these simple projection a couple of interesting results emerge. First, the clouds investigated are far off from a spherically symmetric structure but rather show a highly fragmented and filamentary shape. It appears also that the individual clouds show large morphological differences between each other.

The fragmentary and filamentary structure is also reflected by the so-called fractal dimension of these clouds. The fractal dimension describes how volume-filling a structure is: a homogeneous medium would have a fractal dimension of 3, a sheet-like structure 2, and a thin string-like filament a fractal dimension of 1. By analyzing the individual molecular clouds we find that in general the clouds tend to have a fractal dimension around 2.5, which is in excellent agreement with actually observed molecular clouds.

Chemical composition

In our study we investigate the resolution required to resolve the formation of molecular species, which has often been neglected in the literature. In general, only for a resolution of 0.24 pc or better the simulations seem to be converged.

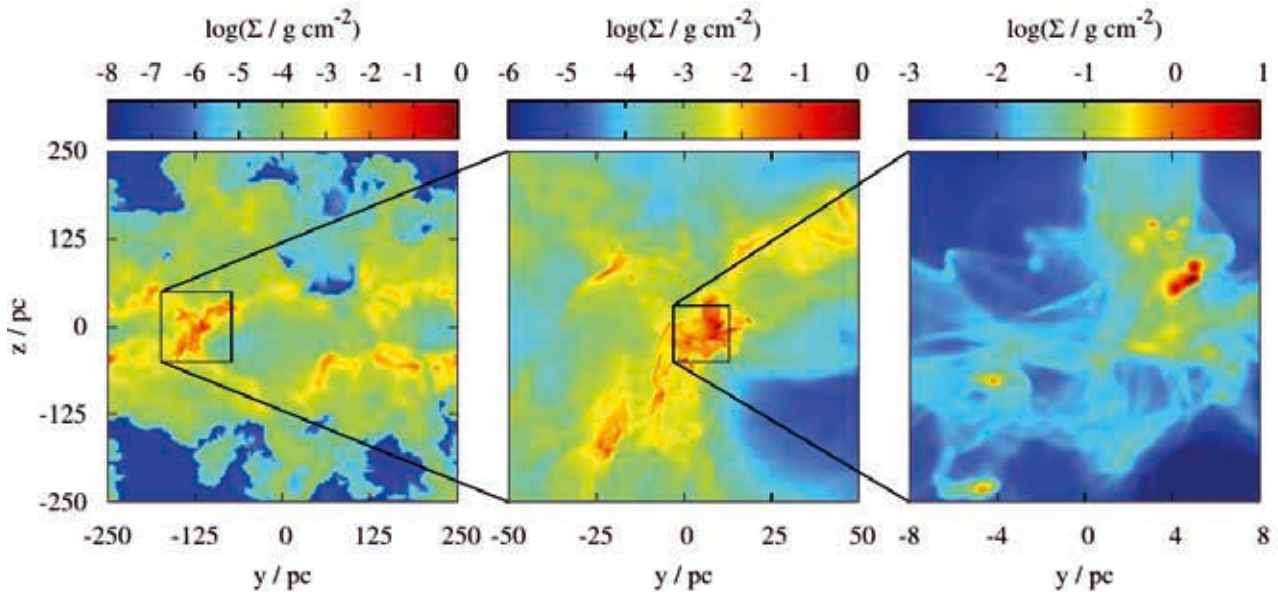


Figure 1: Zoom-in from a galactic disk onto a molecular cloud, and finally on the central high-density part of the cloud, demonstrating the power of the applied zoom-in technique [4].

Reaching such a resolution, is essential to be able to accurately compare simulation to observations, which is done via creating so-called synthetic observations from the simulated data. Doing so we were able to make prediction about the frequently observed line emission of the CO molecule. In addition using the novel dust polarisation radiative transfer code POLARIS, we were able to produce polarisation maps. These maps help to analyse the magnetic field structure in molecular clouds. Comparing our simulated maps with actual observations significantly improves our understanding in how to interpret real observations.

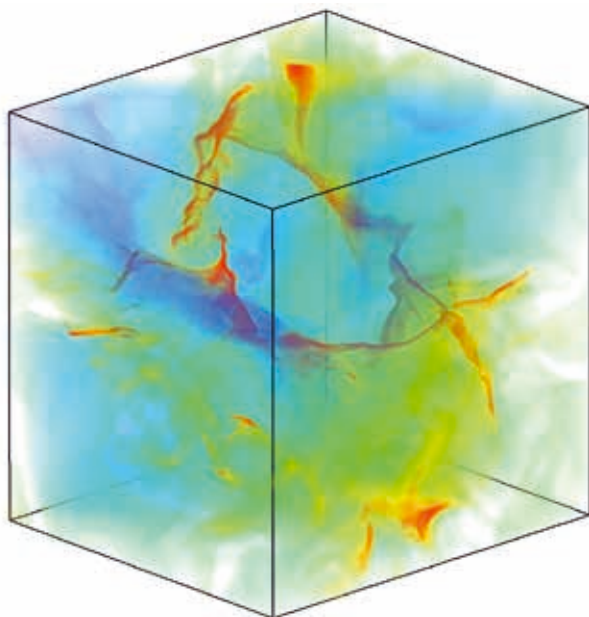


Figure 2: 3D representation of a molecular cloud modelled in the project pr94du. The reddish material represent the dense, filamentary gas, whereas the bluish material presents dilute and hot gas of nearby supernova remnants.

Dynamics of molecular clouds

It is a long-standing debate in which state observed molecular cloud are. Are they gravitationally bound or unbound, are the collapsing and how strong are the internal turbulent motions. With the present simulations we can investigate these question in detail.

Furthermore, it is a controversially debated question, what drives the strongly supersonic turbulence observed in the clouds. In order to investigate this in detail, we exposed the clouds to supernova going off in their vicinity (Fig. 2). We could show that, despite the enormous energy released during a supernove explosion, it's impact on the molecular clouds remains rather moderate. Most of the hot gas stemming from the supernova is channelled around the cloud and does not effect its densest parts.

On-going Research / Outlook

For our future research we intend to study the evolution of further molecular clouds. In particular we will focus on the impact of magnetic fields not discussed here so far, as well as the impact of radiation released from stars formed inside the clouds. This will require further large amounts of computing power in the future.

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See also <http://www.astro.uni-koeln.de/silcc>

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Our Cosmic Home in a box:

SLOW dancing galaxies

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SuperMUC Project ID: pr74do (Gauss Large Scale project)

Introduction

Our Cosmic Home, the local volume of the Universe, that is centered on us and extends over 1 billion light-years, is a formidable site for detailed observations. Therefore, cosmological Simulations of the LOcal Web (SLOW) including galaxies (clumps of dust, stars and planets), rather than any other part of the cosmic web, are perfect tools to test our formation and evolution theories of galaxies and galaxy clusters down to the details. Indeed, structure formation is studied through the equation of motion of tracer particles in initial conditions. However, a random realization of the cosmological model used as initial conditions does not necessarily reproduce the local structure making direct comparisons with the local observations extremely challenging.

The ‘SLOW dancing galaxies’ project will follow the evolution of dark (nature of most of the matter in the Universe) and baryonic (the directly visible Universe) matter within a simulation volume that stands for our cosmic neighborhood out to a distance of 1 billion light-years. The initial conditions for such simulations are obtained with sophisticated algorithms that take into account the position and motion estimates of thousands of galaxies within our local volume. These local measurements allow to constrain the initial conditions that, in return, when motions since early times until today are followed according to the gravity laws, lead to the observed local large scale structure. When in addition, the baryonic matter follows hydrodynamical laws (together with recipes to model the birth of stars), the galaxy population is also simulated. The latter can be directly compared to the observed galaxy population.

Simulations resembling the local large scale structure and resolving its galaxy population need to follow a very large dynamical range (sampled with several tens of billion resolution elements) and therefore can only be performed on the largest supercomputers.

Results and Methods

Simulating a 2 billion light-years box representing our Cosmic Home including galaxies down to the mass of our Milky Way (e.g. resolving masses about 10^{11} - 10^{12} times the solar mass with at least several hundreds of particles) constitutes a formidable computational challenge. A single, dark matter only simulation reaching such a high resolution in this large volume requires already an amount of 0.5 Million CPUh of computing time and typically runs on 16 thousands computing cores.

Building the necessary initial conditions requires stringent preliminary steps. These steps include preparing the observational data (motions of local galaxies) that need to undergo a grouping procedure to erase the non-linear effects and a carefully designed observational bias minimization technique [2], before they can be inserted into the whole machinery [3] that produce initial conditions of our local environment. Because of the scarcity of the observational data, a random field has to be superimposed to the data to make a proper realization of the cosmological model.

Therefore, as a first step within the selection process of the final initial conditions, lower resolution dark matter only simulations are performed using many different random fields. The initial conditions that give the simulated structure that closest resembles that of our very well observed Cosmic Home is then selected. A substantial amount of ~250 initial conditions at low resolution (particle mass about 10^{11} times the solar mass) was built and evolved with dark matter only in this first step. Every simulation was analyzed to determine the cluster masses and inferred the agreement with their observed local counterparts. Several animations of such comparisons are available at [1].

The initial conditions of the box that contained the clusters that resemble the most to the local observed clusters were then selected. A part of this box is shown on Figure 1. It represents the simulated counterpart (black points are

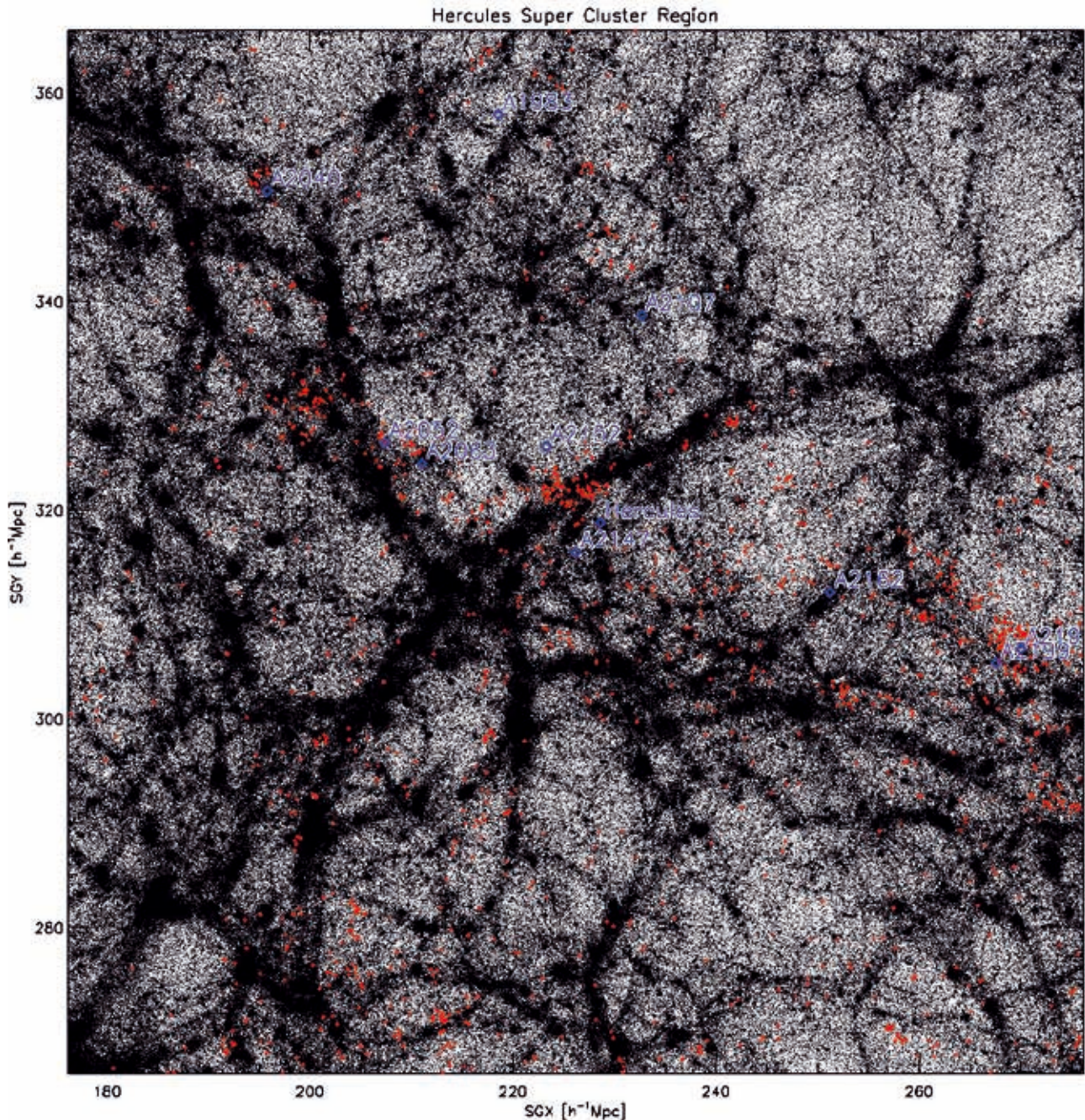


Figure 1: Slice of the simulated box of ~ 100 million light-years centered on the Hercules supercluster that contains 11 Abell clusters (bound). The black points stand for the dark matter particles. Observed galaxies whose motions are used to constrain the initial conditions are in red. Positions of Abell clusters from the NASA database are in blue.

dark matter particles from the simulation) of the Hercules supercluster that is constituted of several observed Abell clusters (blue names).

From the selected initial conditions, higher resolutions initial conditions were produced using the GINNUN-GAGAP code. The latter allows adding additional cosmological fluctuations at the smallest scales without changing the large scales. Adding such additional scales permitted looking for the initial conditions that would host galaxies that best reflect the current appearance (e.g. geometry and dynamics) of the well-known local

Group, an assembly of galaxies within tens of million light-years around the Milky Way and its closest neighbor, Andromeda (M31). Another 200 simulations were performed to prepare a list of possible realizations with reasonable local Group candidates.

As a last step, the resolution was further increased to roughly 3×10^8 times the solar mass for the dark matter particles to allow resolving even smaller galaxies within the local Group. Almost 20 of such high-resolution simulations were performed to finally select the best match. The distribution of simulated and observed galaxies in

Local Group (9100)

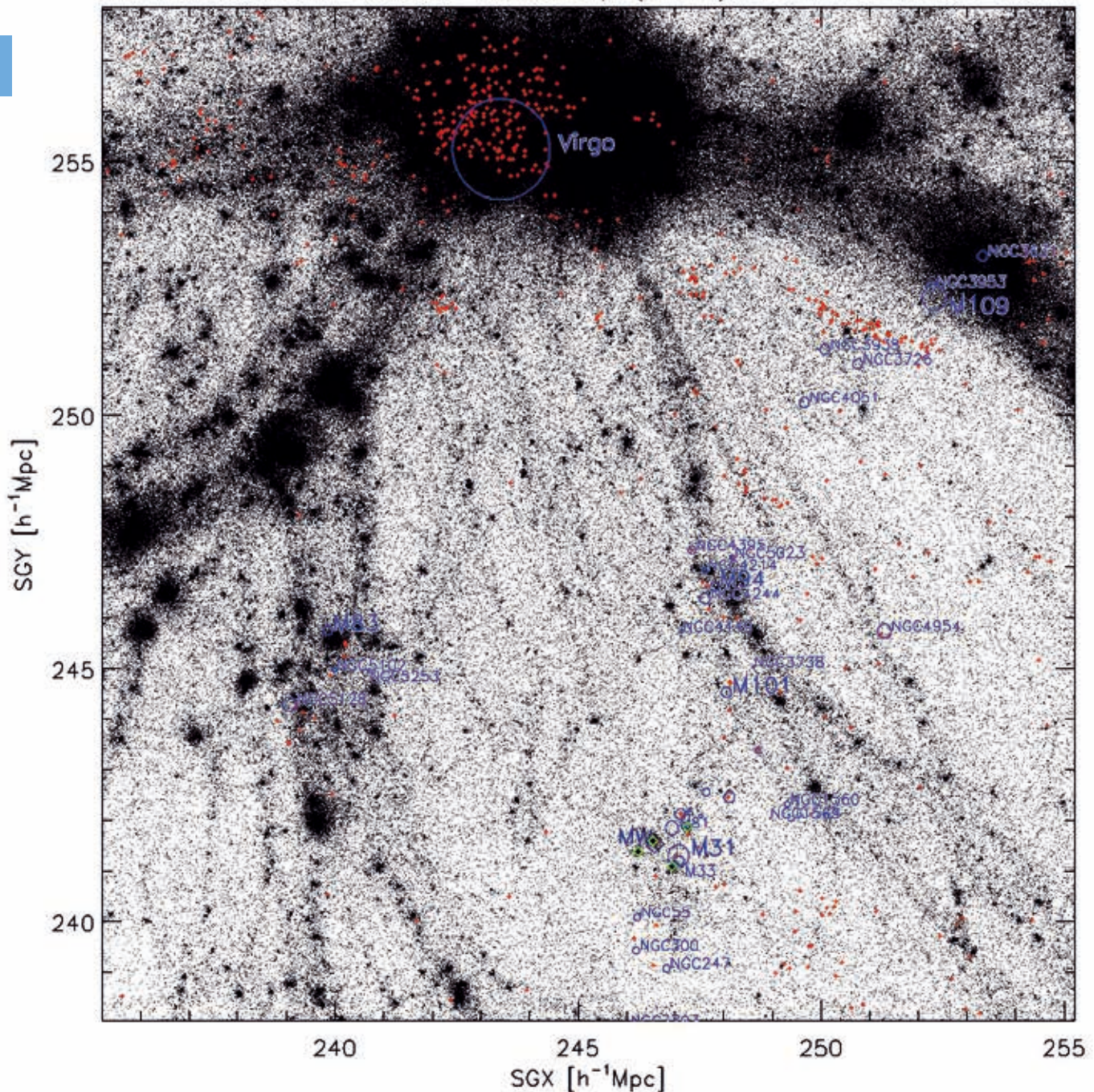


Figure 2: Slice of the simulated box of about 10 million light-years showing the Virgo cluster of galaxies, our closest cluster-neighbor and the local Group. The latter contains our galaxy, the Milky Way, and Andromeda (M31). Same color code as Fig. 1 but blue symbols stand for the Virgo cluster and local galaxies

the local Group and the local environment out to the Virgo cluster, our closest cluster-neighbor, is shown in Figure 2 with the same color code as Figure 1.

On-going Research / Outlook

Our next step is to run the selected initial conditions with full hydrodynamical treatment [4,5,6,7] which is important for studying the formation of active galactic nuclei (AGN), galaxies, and galaxy cluster. The

simulation will then contain simulated galaxies of the local volume which reproduce detailed properties of galaxies of different morphologies, their angular momentum properties and the evolution of the stellar mass--angular momentum relation with redshift, the mass-size relations and their evolution, global properties like the fundamental plane or dark matter fractions, the baryon conversion efficiency, as well as the dynamical properties of early type galaxies, see [8] and references therein.

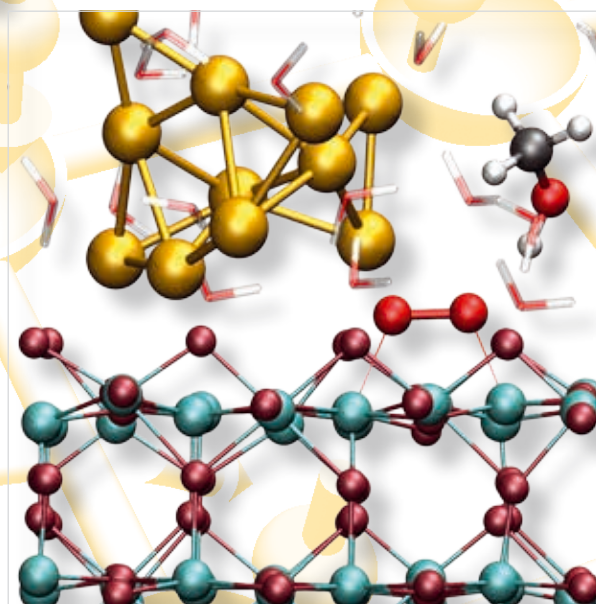
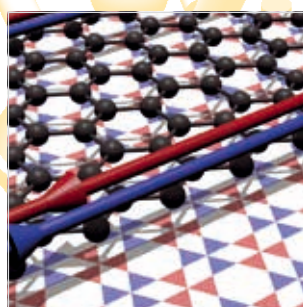
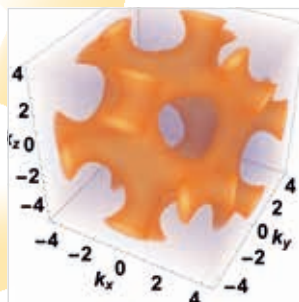
Subsequently, galaxy properties such as color, metallicity, star-formation rate will be extracted from the simulation to make a deep comparisons with the observed galaxy population of our neighborhood. Galaxy population models can then be validated or/and calibrated.

Our step next to this one will be to run an even higher resolution simulation (10^7 - 10^8 times the solar mass) but only within a subset of the local volume to reduce the computational costs. Such a resolution will allow us to simulate even smaller galaxies within the inner part of the local volume.

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Chemistry and Material Sciences



Monte-Carlo and density functional studies of spintronic effects in (quasi) two-dimensional systems

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2

Introduction

Spintronics is the broad field of solid-state physics, which utilizes the charge and spin degree of freedom for realizing novel technologies for information processing and storage. Spintronics represents our main research interest [1]. An important class of materials, which is currently being explored for such applications is based on carbon as carrier material. Therefore, the investigation of organic materials like graphene and other two-dimensional layered materials plays an important role. Besides their potential importance in technologies those materials offer possibilities to study novel phases of matter with unusual topological properties. A main topic here is the realization of topological insulators, a very recent and impacting research field. We also investigate numerically novel two-dimensional semiconductors, such as transition-metal dichalcogenides or phosphorene, which have a great potential for electronic, optical, as well as spintronics applications.

Results and Methods

Monte Carlo study of phosphorene

Phosphorene is a monolayer of black phosphorus exhibiting a direct band gap of about 2 eV and large anisotropic mobility. Unlike graphene, phosphorene is a semiconductor, and unlike two-dimensional transition-metal dichalcogenides, which are semiconductors too, phosphorene is distinctly anisotropic thanks to its puckered atomic structure. The semiconductor property makes phosphorene suitable for electronic and spintronics applications. Experimentally and theoretically no consistent values for the optical and fundamental gaps have been obtained in literature so far. In this study we aim to determine the optical gap employing highly-parallelizable quantum Monte Carlo methods, which enable us to address correlation effects beyond standard DFT. The focus here is on testing novel ways to treat the many-body physics in two-dimensional materials. Monte Carlo is excellently suited for this task, as it has a better scaling than quantum chemistry methods. Typically, computations can run perfectly parallelized on several thousand

processors, exhibiting linear scaling. In this study, which consumed more than 30 million core hours, we try to give a benchmark value for the fundamental gap for other correlated theories such as GW+BSE. Our preliminary result is a gap of 2.4 eV for freestanding phosphorene [2], which predicts a large exciton binding energy of about 0.6 eV.

Spin relaxation in phosphorene

Here we performed DFT calculations of the essential spin-orbit and spin relaxation properties of phosphorene [3]. We found that intrinsic spin-orbit coupling induces spin mixing with the probability of the order of 10^4 , exhibiting a large anisotropy, following the anisotropic crystalline structure of phosphorene. For realistic values of the momentum relaxation times, the intrinsic (Elliott-Yafet) spin relaxation times were calculated to hundreds of picoseconds to nanoseconds. Applying a transverse electric field (simulating gating and substrates) generates extrinsic two-fold symmetric spin-orbit fields in phosphorene, which activate the D'yakonov-Perel' mechanism for spin relaxation. We showed that this extrinsic spin relaxation also has a strong anisotropy and can dominate over the Elliott-Yafet one for strong enough electric fields. Phosphorene on substrates can thus exhibit an interesting interplay of both spin-relaxation mechanisms, whose individual roles could be deciphered using our results.

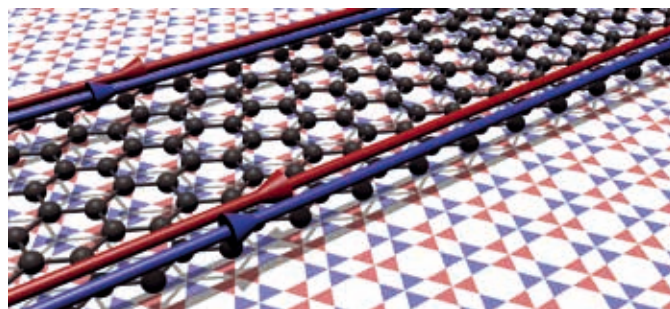


Figure 1: Protected pseudohelical states in proximitized graphene. Tubes with arrows indicate the edge states on a graphene ribbon (indicated by black spheres) which is placed on a substrate generating special alternating spin-dependent magnetic fluxes (red and blue triangles) [6].

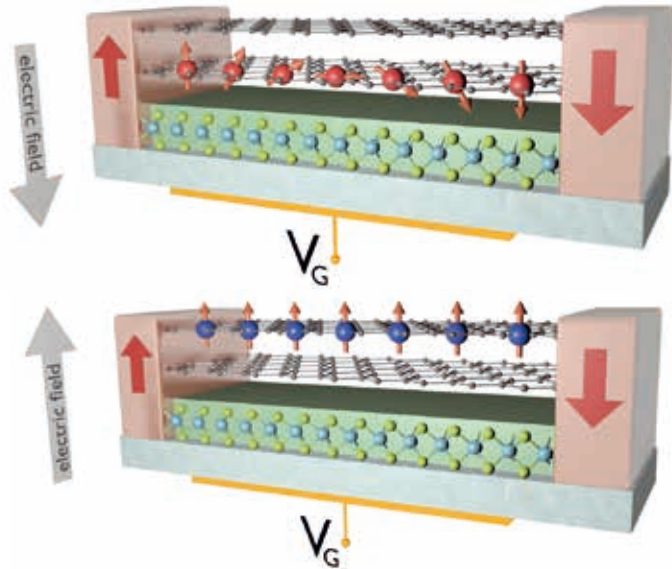


Figure 2: Spin-orbit valve effect in bilayer graphene proximitized by transition metal dichalcogenides. On (upper panel) and off states (lower panel) of the spin transistor are shown. In the on state, the proximity spin-orbit coupling leads to a precession of the injected spins, such that they arrive with parallel configuration at the analyzing contact.

Proximity effects in heterostructures

In this sub-project we have concentrated on DFT simulations of graphene on metal surfaces and on transition-metal dichalcogenides. In case of graphene on metal surfaces, we considered the Cu(111) surface and investigated effects of orbital and spin-orbit proximity effects in graphene [4]. The proximity effects are caused mainly by the hybridization of graphene π and copper d orbitals. Our electronic structure calculations agree well with the experimentally observed features.

We carry out a graphene-Cu(111) distance dependent study to obtain proximity orbital and spin-orbit coupling parameters, by fitting the DFT results to a robust low energy model Hamiltonian. We find a strong distance dependence of the Rashba and intrinsic proximity induced spin-orbit coupling parameters, which are in the meV and hundreds of μeV range, respectively, for experimentally relevant distances. The Dirac spectrum of graphene also exhibits a proximity orbital gap, of about 20 meV. Furthermore, we find a band inversion within the graphene states accompanied by a reordering of spin and pseudospin states, when graphene is pressed towards copper.

Graphene on transition-metal dichalcogenides exhibit similar features as graphene on Cu(111) [5]. Intrinsic spin-orbit coupling is enhanced by the proximity effect by orders of magnitude. The sublattice resolved intrinsic spin-orbit coupling effects are of staggered type and can lead to a peculiar band inversion in the low-energy Dirac spectrum of graphene. By extensive tight-binding studies we explore the finite-size behavior of edge states appearing in this system [6]. One important result of this study is the occurrence of edge states which are protected by time-reversal symmetry in trivial insulators, by finite size quantization effects, see Fig. 1. One peculiar

feature of these states appearing at the zigzag edge of a graphene ribbon is the generation of a pure spin current in the ground state. This might be useful for spin current generation in spintronic devices.

For bilayer graphene on transition-metal dichalcogenides we investigated proximity orbital and spin-orbit effects in bilayer graphene on monolayer WSe₂ [5]. We found by DFT simulations that built-in electric field induces an orbital band gap of about 12 meV in bilayer graphene. Remarkably, the proximity spin-orbit splitting for holes is 2 orders of magnitude — the spin-orbit splitting of the valence band at K point is about 2 meV — more than for electrons. Effectively, holes experience spin valley locking due to the strong proximity of the lower graphene layer to WSe₂. However, applying an external transverse electric field of some 1 V/nm, countering the built-in field of the heterostructure, completely reverses this effect and allows, instead of holes, electrons to be spin valley locked with 2 meV spin-orbit splitting. Such a behavior constitutes a highly efficient field-effect spin-orbit valve, making bilayer graphene on WSe₂ a potential platform for a field-effect spin transistor, see Fig. 2.

On-going Research / Outlook

The topic of proximity effects on graphene is a very fruitful and technologically promising field. We will study further proximity induced superconductivity, sublattice resolved exchange effects by DFT and tight-binding calculations. The exact determination of van der Waals gaps between layered two-dimensional materials is also an interesting task. We are interested to apply our newly acquired knowledge of ab-initio quantum Monte-Carlo methods to the case of graphene edge magnetism or magnetism induced by atom adsorption.

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Topology, Entanglement, and critical phenomena in correlated quantum matter

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SuperMUC Project ID: pr53ju (Gauss Large Scale project)

Introduction

Topology and correlations are notions that summarize one of the most active research field in the solid state. In this domain, our aim is to understand theoretical aspects of collective and emergent phenomena and their realization in nature. To achieve this goal large scale approximation free simulations are imperative, since one can only sharply define collective excitations and phase transitions in the thermodynamic limit. Clearly, this limit can never be taken, even experimentally. However, the inverse size of the system defines an energy scale, or length scale, above which one can observe such excitations and critical fluctuations. Thus, the bigger the system size, the more accurate and reliable the results. From the numerical point of view the above defines two challenges. i) developing new algorithms that are more efficient [1] and ii) optimal implementation of existing algorithms on supercomputers [2]. Below we will describe the numerical method used as well as a selection of recent results.

Results and Methods

Algorithms for lattice fermions – A general implementation of the auxiliary field Quantum Monte Carlo method.

All our projects are based on the so called auxiliary field quantum Monte Carlo algorithm. We have been, and are, spending a lot of time and effort at developing an optimized and general OpenMP/MPI package that allows simulations of a number of models at limited programming cost. A first version of this open source project entitled ALF (**A**lgorithms for **L**attice **F**ermions) can be found online and the documentation has been published in Ref. [2]. The ability to play with models of correlated electron systems at a minimal programming cost is crucial. It is a priori not clear that the models we design will deliver the collective emergent phenomena we wish to study: in many cases, simple mean-field type instabilities -- as opposed to highly entangled quantum states - will intervene. By the same token, access to computational resources that allow us to quickly and efficiently map out the nature of the phase diagram is imperative.

Correlations and frustration

Classically, frustration leads to a macroscopic degenerate ground state that violates the third law of thermodynamics. Turning on quantum effects is bound to generate new stable and potentially exotic states of matter. Typical examples of the above are quantum spin liquids, or the fractional quantum Hall effect. In Ref. [3], we have introduced a new class of coupled frustrated spin fermion models that can be simulated - free of the so called negative sign problem - in the realm of ALF [2]. As a case study we present in Fig. [1] results for a Kondo lattice model on the Honeycomb lattice, supplemented by frustrating couplings between the localized spins. We have shown in Ref. [3] that this coupling term generates so called partial Kondo screened states of matter, where Kondo screening becomes site dependent so as to alleviate frustration effects. To the best of our knowledge, these are the first approximation free numerical simulations that exhibit such a state of matter.

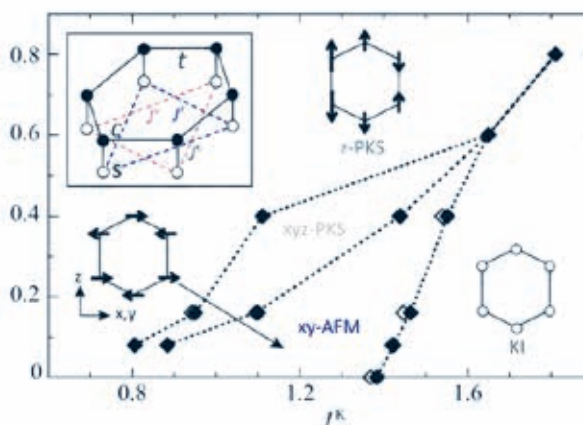


Figure 1: Inset: Kondo lattice model on the Honeycomb lattice with classical frustrating couplings between the localized spins. Main panel: Phase diagram with in-plane antiferromagnetic (xy-AFM), out-of-plane partial Kondo screening (z-PKS), spin-rotation symmetry breaking partial Kondo screening (xyz-PKS), and Kondo insulator (KI) phases from QMC simulations at $T=0.025$. Diamonds indicate onset of long-range order; solid (open) symbols are critical values based on $L=6$ and 9 ($L=9$ and 12) simulations. (Figure reproduced from Ref. [3]).

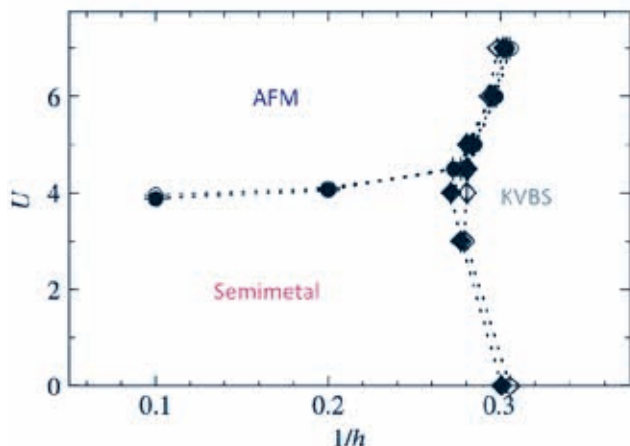


Figure 2: Phase diagram with semimetallic, antiferromagnetic (AFM), and Kekulé-ordered (KVBS) phases from QMC simulations at $T=0.05$. Circles (diamonds) indicate the onset of long-range Néel (Kekulé) order; open (solid) symbols are critical values based on $L=3$ and 6 ($L=6$ and 9) simulations. (Figure reproduced from Ref. [4]).

Competing orders in Dirac systems

In Ref. [4] we designed a model that allows for spontaneous antiferromagnetic (AFM) and Kekulé valence bond solid (KVBS) orders (See Fig 2). We consider Dirac fermions, as realized by a tight binding model with matrix element t on the honeycomb lattice, supplemented by a Hubbard U term. This term triggers an $O(3)$ Gross-Neveu transition between the semi-metal and an AFM. To account for a competing ordered state, we couple our model to an Ising degree of freedom in a transverse field of magnitude h . The coupling between the Ising and fermionic degrees of freedom is chosen such that when the Ising field orders it triggers a KVBS.

The main result of the paper, is the observation of a direct and continuous transition between the AFM and KVBS with emergent $SO(4)$ symmetry. This transition cannot be understood in the realm of mean-field type Ginzburg-Landau transitions, and belongs to the category of so called deconfined quantum critical points (DQCP). Here, the emergent $SO(4)$ symmetry allows for a topological Θ -term at $\Theta=\pi$ in the low energy effective field theory. As a consequence the domain wall defects of the KVBS order harbor spin $1/2$ chains. At the critical point these spin $1/2$ chains condense and form the AFM order.

On-going Research / Outlook

The above presents a small selection of projects, the results of which define questions and numerical challenges. In the future we will continue research along the following lines.

Algorithms. The models we have defined are free of the so called negative sign problem such that computational effort scales as the system size to the cubed times the inverse temperature. To date our Monte Carlo updating schemes are based on local updates that invariably lead to long autocorrelation times especially in the vicinity of quantum phase transitions. At present this is

the major obstacle hindering access to much larger lattices. Efforts to develop global updating schemes based on machine learning or on Hybrid Monte Carlo methods are under way [5].

Competing orders in Dirac Fermions. Mutually compatible (i.e. anti-commuting) dynamically generated mass terms in Dirac systems are a golden route to study a variety of exotic quantum phase transitions where new elementary particles emerge at the transition. In the aforementioned model, we can check the validity of the low-energy effective field theory by imposing domain walls of the KVBS order and numerically testing if spin- $1/2$ chains emerge at the domain wall. Furthermore, a unique signature of the new so-called spinon particles, should be visible in the dynamical quantities such as the spin dynamical structure factor. Such quantities are measured in neutron scattering experiments. Finally, different mass terms, such as superconductivity and quantum spin Hall can be considered. The interest here lies in generating microscopically very different models that should belong to the same universality class.

Correlations and frustration

The PKS phase presented above, requires better understanding. In fact, the only way to define precisely Kondo physics, is with the notion of entanglement between the local spin degrees of freedom and the conduction electrons. To obtain a better understanding of the phase diagram presented in Fig. 1 we plan to study the so-called mutual information between the spin and fermion subsystems. Beyond this specific model, Ref. [3] allows for simulations of a variety of models where the spin system can be driven to an exotic state of matter such as a spin liquid. Here, notions such as Kondo breakdown where spin and electronic systems effectively decouple can be investigated.

To conclude, Quantum Monte Carlo simulations of fermion systems allow to study a variety of emergent quantum phenomena free of approximations. We can generate on the computer new phases of matter as well as new quantum phase transitions, thereby enhancing our understanding of collective quantum phenomena. Since our models are based on the fundamental rules of quantum mechanics, these states of matter should appear in nature.

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High-throughput search for transparent p-type conducting non-oxide materials

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SuperMUC Project ID: pr53lo (Summer of Simulation project)

Introduction

One of the aims of SpeedCIGS project [1] is to find new materials that can be employed in the future tandem cells [2]. Transparent conducting materials (TCMs) are crucial for the realization of high-performance tandem cells [3]. Potential materials for TCMs should offer two contradictory properties: a wide band gap and a low carrier effective mass. Among various TCMs, oxides have been widely studied owing to their stability, low electron effective mass, and optical transparency. Previous research on transparent conducting oxides (TCOs) has shown their outstanding properties as high-performance n-type conductors. However, in many optoelectronic applications, such as interlayer electrodes in tandem cells, high-performance p-type TCMs are required. The performance of p-type TCOs is hindered by the localized p states of oxygen in the valence band, which results in heavy holes [4]. Demands for p-type TCMs and the deficient performance of this class of material have promoted intensive research efforts from academia and industry to rationalize and design potential p-type TCMs.

In this work, we performed high-throughput density functional calculations for halcogenide-based binary semiconductors to identify the most promising p-type transparent conductors. We proposed some novel p-type non-oxide TCMs that have a low hole effective mass, good optical transparency, and hole dopability.

Results and Methods

All density functional calculations were performed using the Vienna Ab initio Simulation Package (VASP) with PAW pseudopotentials and a plane-wave cutoff of 500 eV. The exchange correlation is described with the Perdew-Burke-Ernzerhof (PBE) form of the generalized gradient approximation for structural optimization. All the atoms in the system were allowed to relax until the force on each atom was less than 0.01 eV/Å. Brillouin zone integration was performed on a Monkhorst k-point mesh. Since PBE functional tends to underestimate the

band gaps of semiconductors, we employed the HSE06 screened hybrid functional to calculate the band structures and hole effective masses of selected compounds. We have used about 5m core-hours for our high-throughput calculations for more than 100 compounds. Jobfarming technique was used to put together several jobs such that each job needs a large number of cores (typically 1280 cores per job).

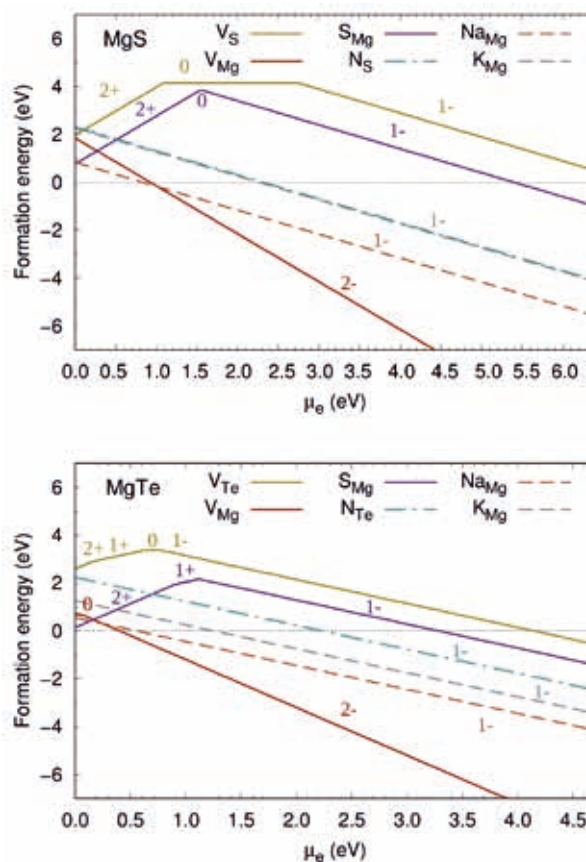


Figure 1: Defect formation energies of MgS (top panel) and MgTe (bottom panel) as a function of electron chemical potential (μ_e). The x axis shows the experimentally measured band gaps of the compounds.

Our study focused on material screening and material engineering for optoelectronic applications. Our criteria for material screening were as follows: materials with $E_g > 1.7$ eV (the minimum value needed for Cu(In, Ga)Se₂-based tandem cells) and a hole effective mass < 1 . Among 500 compounds, we found less than 100 that satisfied our screening criteria for E_g . The atomic structures of the selected compounds were optimized and their stability were calculated. The chemical potential diagram corresponding to each compound was also computed. For materials that satisfy our criteria, intrinsic defects such as vacancies and antisites were considered to determine the p-type dopability. Materials that upheld intrinsic defects were further selected to understand foreign atom chemistry. Extrinsic dopants were substituted to determine their effects on p-type performance. Our material screening strategy led to the identification of eight potential p-type transparent conductors (ZnS, ZnSe, ZnTe, MgS, MgTe, GaSe, Al₂Se₃, and BeTe). The compounds and calculated band gaps and hole effective masses are listed in Table 1. The following paragraphs outline the properties of the most promising p-type TCM candidates.

ZnS is a direct band gap semiconductor with a wide band gap of 3.7 eV. Considering the intrinsic defects, ZnS is not a promising p-type conductor. However, the role of extrinsic dopants for ZnS was explored owing to its promising electronic properties. We observed that extrinsic dopants such as Na and K for Zn increase the hole concentration. The formation energies for these defects were found to be about 1.0 eV less than those for intrinsic defects. In agreement with the previous results, we also found that CuZn acts as an acceptor and increases the hole concentration. Doping with the suggested alkali metals will give rise to better p-type conductivity by preventing the formation of intrinsic antisite defects. In ZnTe, cation vacancies form more easily than anion vacancies and antisites. Cation vacancies introduce holes into the system and improve conductivity in ZnTe. Antisites and anion vacancies impact the transport properties of ZnTe. However, the formation energies of these intrinsic defects are too high. Similar to ZnS, ZnTe could also be doped with Na and Cu. The properties of ZnSe fall between ZnS and ZnTe.

Compound	E_g^{HSE} (eV)	m_n^* (m_e)
ZnS	3.5	0.70
ZnSe	2.6	0.63
ZnTe	2.6	0.40
MgS	5.5	0.96
MgTe	4.2	0.18
GaSe	2.6	0.25
Al ₂ Se ₃	3.1	0.56
BeTe	2.6	0.35

Table 1: Selected compounds and their calculated band gaps and effective masses.

Mg-based chalcogenides have wide band gaps, which is the primary requirement for optoelectronic applications. Cubic MgS has an indirect band gap of 6.4 eV, whereas hexagonal MgTe has a direct band gap of 4.7 eV. The formation of intrinsic defects was less likely in MgS than in MgTe (see Figure 1). Although both materials have small hole densities without doping, Na- or K-doped MgS and MgTe are good candidates for p-type conductors. Adding these alkali metals to these systems led to the formation of Na_{Mg} and K_{Mg} substitutional acceptor defects.

Al₂Se₃ has a wide direct band gap ($E_g = 3.1$ eV). The formation of anion vacancies generate transition states at about 0.60 eV above the valence band maximum. Antisite and cation vacancies have low formation energies compared with anion vacancies. However, the intrinsic defects in Al₂Se₃ have very high formation energies and consequently their concentrations are low. In contrast to intrinsic defects MgAl substitutional defect has low formation energy and is beneficial for p-type conductivity.

BeTe is an indirect band gap semiconductor with a large band gap ($E_g = 2.9$ eV) and a small hole effective mass of 0.35. Without doping, BeTe is a potential p-type conductor because the formation energies for cation vacancies are low compared with other intrinsic defects. To enhance the hole conductivity, BeTe can intentionally be doped with Li. Li_{Be} has low formation energies when the Fermi level is at the valence band maximum. LiBe is an acceptor and increases the hole concentration.

GaSe is another chalcogenide that has a low hole effective mass and relatively large band gap (2.1 eV). Anion vacancies and antisites act as donors at small electron chemical potentials and create transition levels in the band gap. Cation vacancies create a transition state in the band gap as well. All interstitial defects have relatively high formation energies and consequently low concentrations in GaSe. GaSe could be doped with Zn to enhance the p-type conductivity. Zn_{Ga} defects create shallow acceptor levels. It has been shown experimentally that Zn enhances the hole conductivity of GaSe, which is also evident from our calculations.

On-going Research / Outlook

High-throughput calculations need large computer resources. We have used 5m core-hours of our computing time to perform the calculations which we could not do on our local clusters.

We are going to perform high-throughput calculations for ternary chalcogenides as well as other classes of materials such as halides. For these calculations we are going to apply for computing time on LRZ.

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Ab initio modelling of iridium dioxide nanoparticles as catalysts for proton exchange membrane water electrolysis cells

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SuperMUC Project ID: pr53qu (Summer of Simulation project)

Introduction

One of the major challenges of this century is the decarbonization of the energy production using renewable energy sources with flexible conversion and storage of electricity as a key aspect arising from decentralized and fluctuating energy production. Converting surplus electricity in high-purity hydrogen, water electrolysis is one of the promising methods since hydrogen is an indispensable component of chemical industry and of importance as a high-energy fuel.

Characterized by high maximum operating pressure, high maximum achievable current density and negligible overpotential regarding the hydrogen evolution reaction the Proton Exchange Membrane (PEM) electrolysis is perfectly fitting plenty of industrial requirements. The main drawback of PEM cells however is the substantial overpotential of the oxygen evolution reaction (OER) resulting in significant potential loss at the anode setting high standards for any catalyst.

In the acidic and corrosive operating conditions of PEM cells iridium dioxide (IrO_2) is currently the only stable catalyst. While sufficiently active the low abundance of iridium makes a reduction of the iridium loading inevitable to achieve commercial viability. A common approach to increase the surface area and to hence decrease catalyst loading is the use of nanoparticles.

To enable a stringent optimization of IrO_2 catalyst a general understanding of the nanoparticles w.r.t. their

shape, surface structure (facet and termination) as well as overall stability is required. In this project a modelling and simulation protocol has been developed to generate and simulate IrO_2 nanoparticles based on the energies of facets-terminations combinations and hence to provide insights regarding stability and surface reconstruction.

Results and Methods:

Nanoparticle — Compare Wulff structure to optimized geometry

The almost infinite number of possible nanoparticle structures seems to prevent a systematic modelling and simulation approach, however in the early 20th century G. Wulff proposed a simple and systematic way to determine an initial nanoparticle shape (Wulff shape)[1]. This might be explained according to Figure 1:

(I) The energies of (001), (111) and (100) surfaces are calculated. (II) Planes perpendicular to the respective Miller vectors are shifted relative to the surface energies to obtain the ideal Wulff shape. (III) Based on the rutile IrO_2 unit cell and according to information about terminations the Wulff particle (IIIa) is extracted. The applied final Wulff shape (IIIb) is in this case different to the ideal Wulff shape (II) due to the discretized nature of the bulk crystal.

Prior to this project the surface energies of all low-index Miller facets and terminations necessary to capture any possible Wulff shape of rutile structured IrO_2 have been extracted from periodic slab calculations using FHI-aims. Present project is pursuing following approach:

- Generating mono-surface Wulff structures of different size for (111) and (101) facets-termination combinations.
- Performing geometry relaxation calculations for each structure applying CP2K.
- Analysing the relaxed geometries w.r.t. surface reconstruction to verify the Wulff approach.

For both facets three different terminations characterized by an increasing number of oxygen atoms are taken

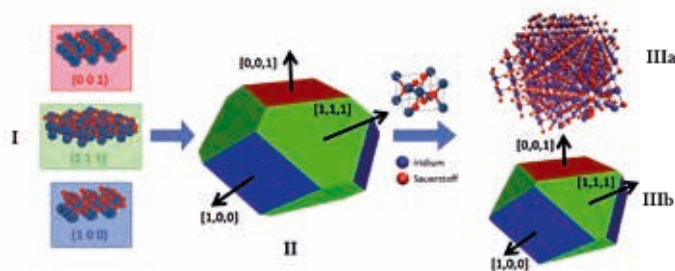


Figure 1: Schematic Wulff construction of iridium dioxide nanoparticles.

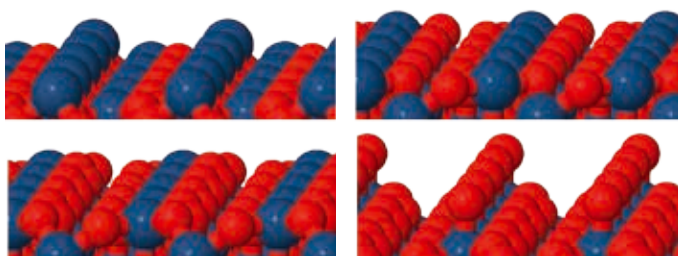


Figure 2: The four different surfaces related to the (111) facet: oxygen deficient (111)- t_o , stoichiometric (111)- t_t , oxygen rich (111)- t_2 and (111)- $t-O$ with an additional oxygen attached to the surface iridium.

into account: oxygen-deficient (t_o), stoichiometric (t_t) and oxygen-rich (t_2) with the (111) terminations illustrated in Figure 2. Moreover, for the (111) facet a termination with an additional oxygen ($t-O$) which cannot be extracted directly from the bulk structure but is generated by attaching an additional oxygen to the surface iridium of (111)- t_t has been investigated, also illustrated in Figure 2.

The key results regarding the particle reconstruction are summarized in Table 1 and illustrated in Figure 3. The reconstruction of the different nanoparticles can be compared via the minimal root-mean-square deviation (RMSD) of the initial Wulff structure and the relaxed final geometry. The RMSD value is minimized in two steps applying Kabsch [2] and Quaternion [3] algorithm.

	Atoms	RMSD		
		All atoms	Surface	Core
(111)- t_o	301	4.0	5.0	0.7
	657	3.6	4.8	
(111)- t_t	201	4.0	5.1	0.7
	491	3.1	4.3	
(111)- t_2	973	2.7	4.1	0.5-0.7
	243	1.3	1.5	
	565	1.1	1.4	
(111)- $t-O$	1087	1.0	1.2	0.5
	263	1.6	2.1	
	607	1.4	1.8	
(101)- t_o	1159	1.1	1.5	1.8
	169	7.5	8.2	
	521	5.1	6.2	
(101)- t_t	1173	4.4	5.9	0.9
	255	1.5	1.7	
(101)- t_2	693	1.2	1.4	0.45
	355	1.4	1.7	
(101)- t_2	889	1.2	1.3	0.4

Table 1: Number of atoms and minimal root mean square deviation of initial and relaxed geometries (RMSD) of structures.

To provide a more precise evaluation of the degree of reconstruction the procedure is repeated taking only surface atoms respective only core atoms into account.

Figure 3 illustrates that the minimal RMSD value though depending on the size of the particle is much more depending on the selected termination. Oxygen rich surfaces (101)- t_2 , (111)- t_2 and (101)- $t-O$ as well as the stoichiometric (101)- t_t surface do not undergo profound reconstruction compared to the corresponding core atoms while the oxygen-poor surfaces especially (101)- t_o are prone to reconstruction.

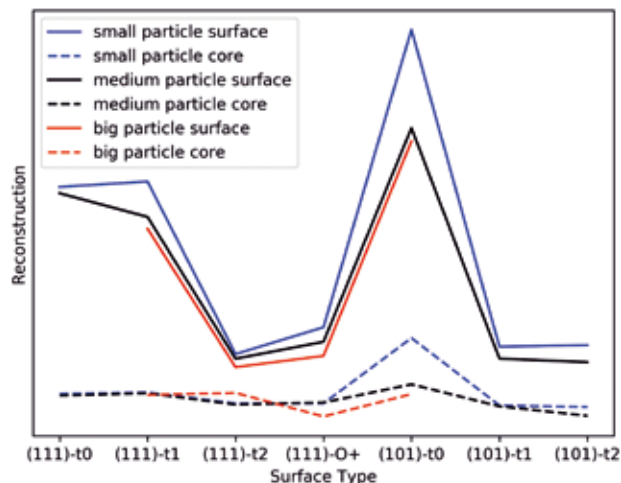


Figure 3: RMSD considering only surface (solid line) or core (dashed line) atoms of all investigated particles. The labels small, medium and big refer to Table 1 (e.g. small particles include all structures with less than 450 atoms).

In conclusion regarding oxygen saturated respectively oxygen rich particles the Wulff structures of IrO_2 nanoparticles seem to be suitable approximation while oxygen deficient surfaces undergo noticeable reconstruction and a Wulff approach including such surfaces has to be handle with special care.

On-going Research / Outlook

Limitations and next steps

The very next step is finishing the comparison and performance analysis of AIMS and CP2K calculations which has not been addressed in this report as well as the extraction of edge and vertex energies from the surface sequences in relation to the respective surface frame.

Obviously, the geometry relaxation calculations provide much more information than RMSD values. A detailed analysis of the atomic (e.g. pair correlation function) and electronic structure (e.g. projected density of state) again considering surface and core atoms separately is still pending.

Parallel to ongoing analysis of existing data, new simulation protocols shall be developed with special focus on the PEM environment i.e. (implicit) water solvation and/or applied potential to determine to what extent these influence the nanoparticle structure.

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Photocatalytic water splitting with carbon nitride materials

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SuperMUC Project ID: pr53wo (Summer of Simulation project)

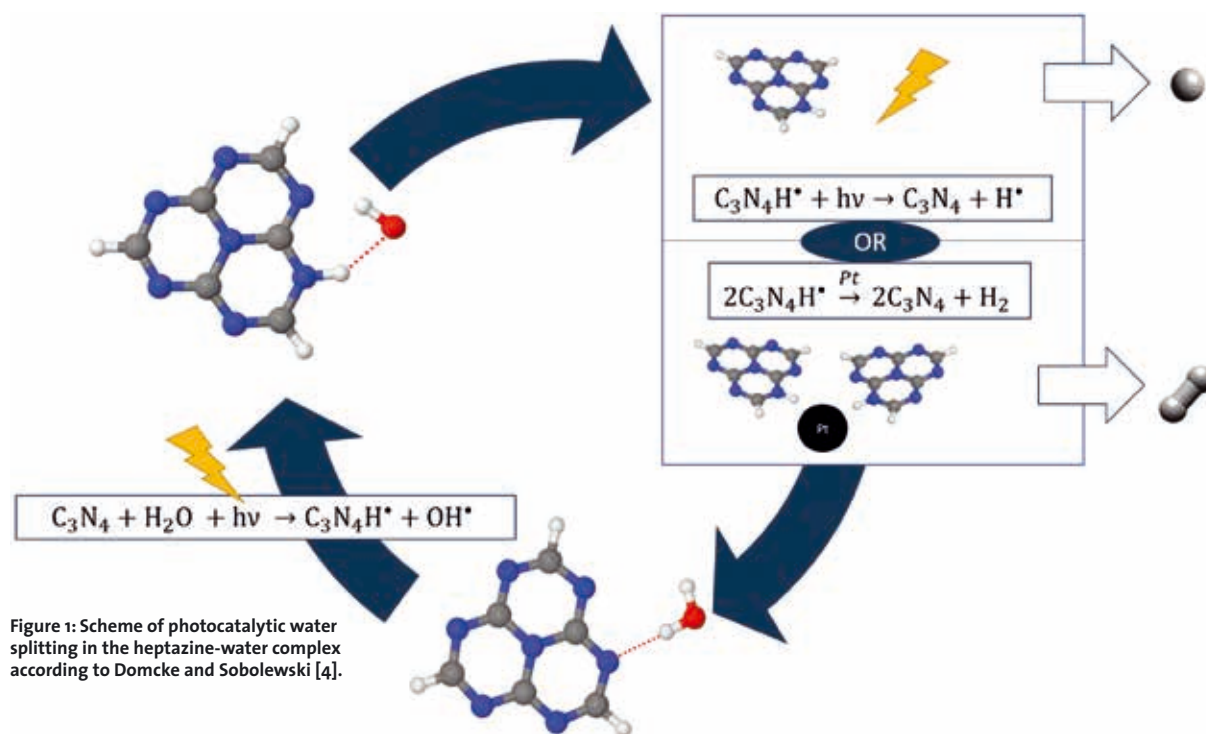
Introduction

Photocatalytic water-splitting, that is, the production of hydrogen and oxygen from water with sunlight, has the potential to provide unlimited, clean and sustainable energy. In 2009, Antonietti and coworkers reported for the first time hydrogen production from water using a carbon nitride (CN) photocatalyst [1]. Since then, CN materials have attracted vast interest in the field. They can split water under irradiation with visible light, consist of earth abundant materials and are photo-stable. In 2015, it was shown that a CN material doped with carbon nano-dots can split water into molecular hydrogen and oxygen in a stoichiometric ratio of 2:1, but the underlying atomistic mechanism is hitherto unknown. Large scale ab initio simulations, including the solvent explicitly, are the only way to gain insight into the photochemical mechanism of water splitting.

Recently, Domcke and Sobolewski suggested a photochemical mechanism for solar water splitting in hydrogen-bonded molecular systems [2-3]. The mechanism is summarized in Fig. 1. Absorption of a photon drives an H-atom transfer from water to the catalyst, producing a hydrogenated catalyst radical and an OH radical. Subsequently, the transferred H-atom of the hydrogenated catalyst is either photo-detached and atomic hydrogen is formed, or two hydrogenated chromophore radicals recombine in a dark reaction with the help of a conventional catalyst.

Results and Methods

For this project, carbon nitride systems of different size, from single molecules (triazine and heptazine) to periodic carbon-nitride sheets, were simulated in water to investigate the H-atom transfer reaction from water



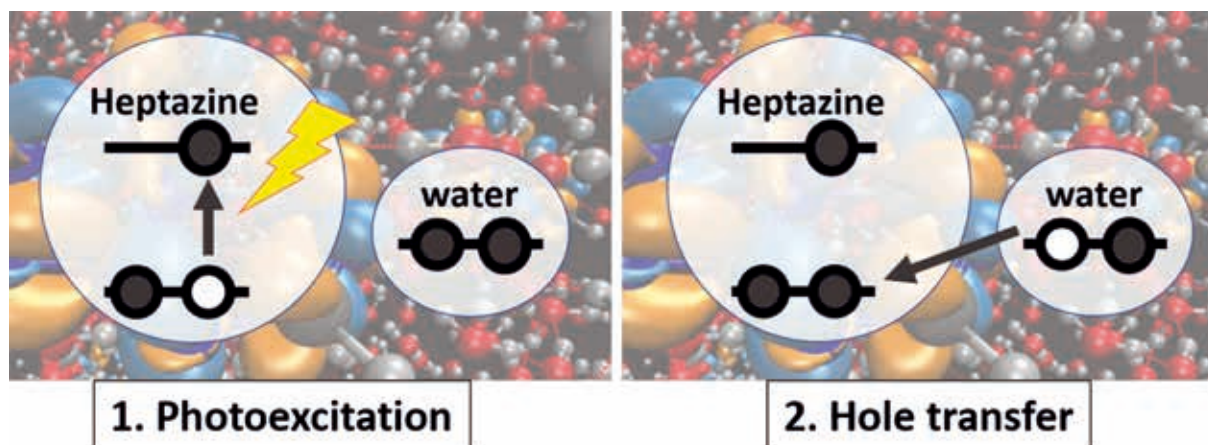


Figure 2: Proposed mechanism: 1. An electron is excited from the HOMO to the LUMO of heptazine, forming a hole in the HOMO; 2. the hole in the HOMO is filled by an electron from an energetically higher water orbital.

to the catalyst. The largest systems comprised almost 3000 atoms in total. The catalyst material and the solvent were both treated at the same level of theory. Density functional theory together with the PBE functional was used to equilibrate and to propagate the systems for more than 10 ps. At several snapshots the absorption spectrum as well as properties of the excited states were investigated by time-dependent functional theory. More than 9 Million CPU hours were used to successfully run the calculations.

We found that the onset of the spectrum of the catalyst is due to a local $\pi\pi^*$ excitation on the catalyst, which only becomes accessible due to solvation. The transition is dipole forbidden in the gas phase. The excitation energy is at about 2.7 eV, but solvation leads to a width of the energy distribution of about 0.3 - 0.4 eV. Thus, absorption of a photon creates a hole in the valence band of the catalyst and puts an electron in its conduction band. The total density of states of the systems and the projected density of the catalyst were calculated and analyzed. The highest occupied band corresponds to a water orbital. The highest occupied orbital of the catalyst overlaps with the $1b_2$ band of water. The first unoccupied bands are located on the catalyst and lie in the band gap of water. Thus, a hole on the catalyst can be filled by an electron from water.

Our simulations give precious insights into the photo-chemistry of the H-atom transfer reaction from water to a carbon nitride material, as proposed by Domcke and Sobolewski [4]. According to the density of states and absorption spectra, we propose a simple picture for an H-atom transfer from water to the catalyst, shown in Fig. 2: The photoexcitation creates a hole in the valence band of the catalyst. This hole can be filled by an electron from water, whose highest occupied bands are energetically above the valence band of the catalyst, creating a hole on water. This electron transfer may drive a proton transfer from water to heptazine, resulting in the hydroxyl heptazinyl biradical.

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Binary doping of HfO₂ to improve the piezoelectric properties

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SuperMUC Project ID: pr53xo (Summer of Simulation project)

Introduction

Recently, ferroelectric characteristics have been found in HfO₂ based thin films. The modified HfO₂ based thin films yield high potential for various ferroelectric, piezoelectric and pyroelectric applications like non-volatile logic and memory applications, sensing and energy harvesting. The material is lead-free and fully compatible with silicon process technology.

For this oxide exist five important crystal phases: (i) monoclinic, low-temperature bulk crystal phase, (ii) tetragonal, high-temperature crystal phase, (iii) cubic, high-temperature bulk crystal phase, (iv) orthorhombic, high-pressure crystal phase, (v) ferroelectric, thin film crystal phase.

The phase of interest is the ferroelectric crystal phase. Unfortunately, this phase is energetically disadvantaged with respect to the monoclinic phase in bulk oxides under normal conditions. Additional mechanisms are needed for stabilization of other crystal phases as the monoclinic. For instance, depending on doping type and its concentration the ferroelectric, orthorhombic, tetragonal and cubic phases can be made favorable over the monoclinic. Furthermore, the phases can be tuned in such a way by doping that for instance, the tetragonal

phase becomes energetically favored over the ferroelectric by a small amount. Consequently, a phase transition can be induced by applying an electric field leading to expansion or contraction of the material due to different crystal cell volumes and realize the so-called giant piezoelectric effect.

Results and Methods

Within the frame of this project we have investigated doped HfO₂ supercells by density functional theory (DFT). Due to our previous investigation and experimental evidence of our collaborators, we have chosen Si and La as doping species for more thorough investigation, especially for their combination in HfO₂ [1][2][3][4].

Furthermore, oxygen vacancies as intrinsic defects can also emerge in HfO₂. It is expected, that double positive charged oxygen vacancy attracts negative charged La dopant, which is a III-valent atom. Si is a IV-valent atom, hence no charge compensation via oxygen vacancy is needed.

In summary, three defects are considered: (i) freely distributed substitutional Si and La atoms, (ii) Si and tightly paired La and oxygen vacancy, (iii) Si and tight complex of two La and one oxygen vacancy.

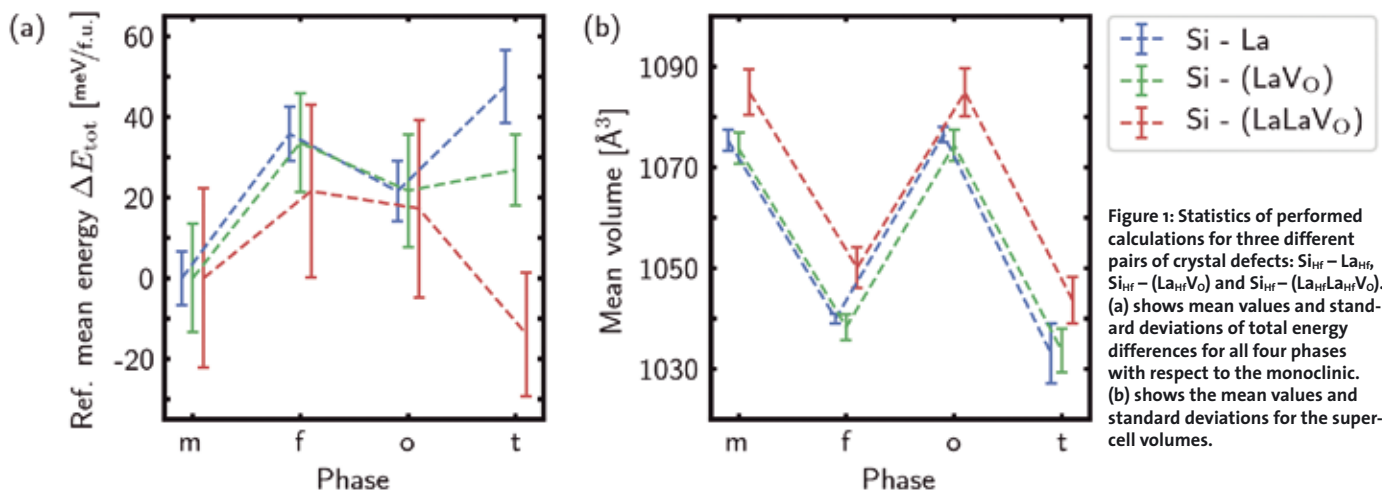


Figure 1: Statistics of performed calculations for three different pairs of crystal defects: Si_{Hf}-La_{Hf}, Si_{Hf}-(La_{Hf}V_O) and Si_{Hf}-(La_{Hf}La_{Hf}V_O). (a) shows mean values and standard deviations of total energy differences for all four phases with respect to the monoclinic. (b) shows the mean values and standard deviations for the supercell volumes.

The cubic phase is omitted in this study as it always transitions into the t-phase while geometry relaxation in DFT calculations. Hence, only four crystal phases are considered: monoclinic (m), ferroelectric (f), orthorhombic (o) and tetragonal (t).

As there is a lot of possible arrangements of the described defects in a supercell of a given size, all structural combinations need to be considered. Under the assumption, that these defect arrangements can be thought as small concentration fluctuations on the small scale and each of these arrangements (fluctuations) are equally possible to occur, only the mean values for the total energy and supercell volume for each crystal phase are considered.

Figure 1 summarizes statistics of performed calculations for Si and La defects with and without oxygen vacancies in HfO₂. In Figure 1(a) the total energy differences are shown. It is convenient to choose a monoclinic structure as the reference energy. The bigger the total energy difference is with respect to the reference, the less favored is the structure. For the investigation of the piezoelectric characteristics, the volumes of the doped supercells are also of importance, which are summarized in Figure 1(b).

Both, doped and pure HfO₂ thin films consist of grains with a typical length scale of 10 nm and not of perfect single crystal, as it is simulated in a DFT calculation. It is an experimental evidence, that thin pure HfO₂ films are crystallized in the f-phase, although the m-phase has the lowest total energy. In order to stabilize other phases as the monoclinic, which in most cases is the lowest total energy phase, additional mechanisms or effects are needed, like interface energy or strain. Therefore, trends should be analyzed in the simulations of this kind.

The f-phase and t-phase are suitable for the field-induced phase transition as final and initial states for a piezoelectric application. The piezoelectric coefficient is given by the ratio of induced strain and the applied electric field. For both, the strain and the electric field, a characteristic length for the f- and t-phases is needed. It can be approximated as the third root of the supercell volume. In the simplest approximation the needed bias for the induction of the phase transition is defined by the energy difference between the t- and the f-phases and remanent polarization of approx. 0.25 C/m². A short back-of-the-envelope calculation gives the following approximations for the piezoelectric coefficients: 11.5 pC/N for Si_{Hf} – (La_{Hf}V_O) doped HfO₂ and 3.0 pC/N for Si_{Hf} – (La_{Hf}La_{Hf}V_O) doped HfO₂. Si_{Hf} – La_{Hf} doping is not useful for the piezoelectric effect as the t-phase, the initial phase, lies energetically above the f-phase. These considerations are only true, if two other competitive phases, the m- and the o- phases are disadvantaged due to thin film effects.

The study shows that the binary doping of HfO₂ with Si and La accompanied with oxygen vacancies allows the piezoelectric effect in this material. The La concentration should be rather low for a high piezoelectric coefficient, as La strongly promotes the tetragonal phase making the field induced transition into the ferroelectric

phase disadvantaged. The value of the piezoelectric coefficient is very promising. For instance, quartz exhibits classical piezoelectric effect with no phase transition involved and it has a piezoelectric coefficient of 2.3 pC/N. For zircon dioxide, a very similar material to HfO₂, a piezoelectric coefficient of 10 pC/N was reported, which is similar to obtained results. Industrially used materials with perovskite crystal structure have the piezoelectric coefficient of the order of several hundred pC/N, e.g. the piezoelectric coefficient of widely spread PZT is 593 pC/N.

For the calculation of the total energies of different structures, a proprietary code FHI-AIMS was used. The conducted scaling study for a typical simulation run has shown that 224 cores on the SuperMUC Phase II system give the best balance between the computational efficiency and the execution time of approximately 7 hours.

Several thousands of DFT calculations were performed within this study. In order to manage this amount of work, a lot of automation for the simulations setup, startup and evaluation was performed. An own Python package was developed for these tasks, using pymatgen, ase and further standard Python modules.

Due to the relatively small size of a single job and the big number of the jobs to be run, the job farming technique was used. Instead of running single AIMS simulations, a bash script is started on the allocated nodes, which in turn starts several AIMS calculation from a predefined list simultaneously. This technique allows utilizing a large amount of the computational time in a short period.

On-going Research / Outlook

Apart from the presented study of Si – La doping interaction in HfO₂, in a similar manner the interaction of La – La and Si – Si was investigated. These investigations provide a deeper understanding of Si and La doping for HfO₂. There is a publication under preparation, which will include all the results and in depth discussions.

ZrO₂ is a material very similar to HfO₂. It occurs in the same crystal phases, has similar chemical characteristics, is also lead-free and CMOS compatible. All these facts makes it particularly interesting for applications. ZrO₂ has one crucial advantage regarding piezoelectric characteristics compared to HfO₂: the energy difference between t- and f-phases is very small, making field-induced transition much easier to occur, and hence increasing the giant piezoelectric coefficient. We are also looking on doping of ZrO₂, in order to optimize the piezoelectric properties.

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Experimentally-Informed Large-Scale Atomistic Simulations of Nanoporous Gold

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SuperMUC Project ID: pr74ba (Summer of Simulation project)

Introduction

The mechanical properties of metals are known to change when their characteristic length scale (e.g. wire diameter, particle size, film thickness) is reduced towards the μm -nm scale [1]. In particular, the yield stress increases which leads to the notion that “smaller is stronger”. Nanoporous gold (NPG) is an ideal model system for the study of such size effects on the mechanical properties at the nanoscale, since the ligament size can be precisely tailored within the nm– μm range [2]. In the “Summer of Simulation (SoS) 2016” [3], we studied the deformation behavior of NPG by performing uniaxial compression tests using molecular dynamics (MD) simulations with an embedded atom method potential for Au. To our knowledge, this is the first MD study on real-size, experimentally informed NPG samples (>470 Mio atoms). By comparing the results of these simulations with those of samples constructed geometrically with the same average diameter of ligaments as in the experiments, we studied the influence of topology and surface morphology on the deformation behavior of NPG, and were able to investigate experimentally observed deformation mechanisms in greater detail. Our first results clearly emphasize crucial differences between the experimentally informed and the geometrically constructed samples. In particular, the elasto-plastic response during compression is influenced by the topology and ligament size distribution. Besides the scientific findings, these large-scale atomistic simulations running on more

than 2000 nodes on the SuperMUC, revealed critical file input/output (I/O) issues and have helped establish best practices for performing such simulations on large porous structures.

Results and Methods

In the first phase of the SoS, we evaluated different load-balancing schemes, data output, handling and analysis with a slice of the NPG sample (containing around 173 Mio atoms), using two atomistic simulation packages for large-scale MD simulations: *IMD* (<https://github.com/itapmd/imd>) and *LAMMPS* (<http://lammps.sandia.gov>). Load-balancing, in particular, is an important consideration in the case of an inhomogeneous porous structure. In the benchmark simulations, *IMD* showed better performance for static relaxation while *LAMMPS* displayed a better load balancing scheme. We therefore decided to use the *IMD* and *LAMMPS* packages to perform static and dynamics simulations, respectively. A recursive coordinate bisection load balancing scheme and parallel file output using the NetCDF high density binary format were adopted for further simulations. In the second phase of the SoS, *NanoSCULPT* [4] was used in interactive parallel jobs to generate an atomistic sample of the experimentally informed NPG structure with average ligament size of around 30 nm (denoted ExIn) (Fig. 1a) from electron tomography data. The geometrically constructed NPG structure with the same ligament size

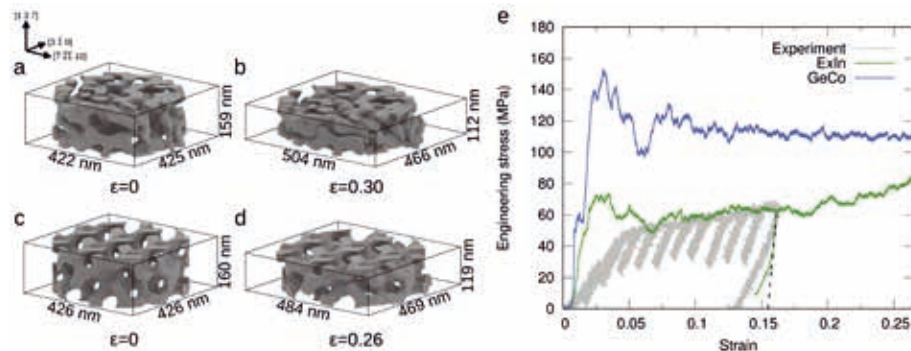


Figure 1: Experimentally informed (ExIn, top) and geometrically constructed (GeCo, bottom) NPG configuration before (a,c) and after 0.30 and 0.26 compressive strain. e) Comparison of the stress-strain curves of MD simulations on ExIn and GeCo and experiment.

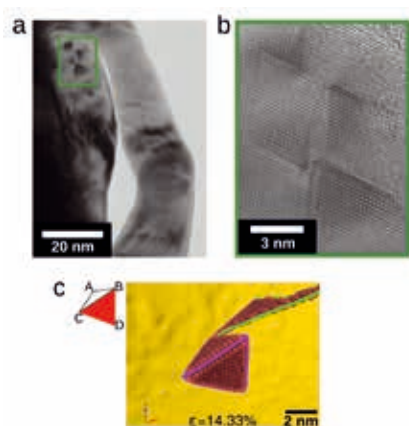


Figure 2: (a) Bright field transmission electron microscopy (TEM) image of deformed ligaments in NPG. (b) High-resolution TEM image of stacking fault tetrahedra (SFTs) (c) Formation of SFTs in MD simulations.

and solid fraction (denoted GeCo) (Fig. 1c) was constructed using constant-mean-curvature surface analytical formula. The to-scale structures contain roughly 470 Mio atoms. After static relaxation (using the FIRE algorithm) and thermalization (in the NVE and NVT ensembles), the compression tests (in the NVT ensemble) were performed on the Thin Nodes of SuperMUC using 512-2048 nodes. During the simulations, on-the-fly structural analyses and calculation of auxiliary properties (coordination number, common neighbor analysis, atomic stress tensor, etc.) were carried out to expedite subsequent post processing. Further visualization and analyses of the simulation snapshots were performed using the *Remote Visualization Nodes* of SuperMUC.

Based on the stress-strain curves in Fig. 1e, the compression tests of NPG can be divided into three regimes: a linear elastic regime, followed by a collapse plateau due to plastic yielding and finally a densification regime. This agrees well with typical experimental compression tests of macroscopic metallic foams [2]. The yield strength of the ExIn sample obtained from our simulation is around 73 MPa. The results of the ExIn sample agree well with the *in-situ* experiment on the specimen from which the simulated structure was obtained. Fig. 2 shows the occurrence of stacking fault tetrahedra (SFTs) in the experiments that are also seen in the MD simulations. Analyzing the simulations allowed to reveal the dislocation processes leading to SFTs. The artificial structure on the other hand shows a higher yield strength than the experiment and the ExIn sample, around 153 MPa, and no significant densification is observed at the attained strain. The difference of mechanical behavior between these two samples can be attributed to their different topology (e.g. nodal connectivity) and morphology (e.g. ligament size distribution).

Important technical challenges were encountered while performing the simulations on the large structures with 470 Mio atoms. The initial strategy based on the NetCDF format had to be re-evaluated, since the output of these structures caused *LAMMPS* to crash. In order to not further delay the simulations, we used the standard *LAMMPS* binary output scheme which expectedly shows poorer I/O

performance than NetCDF but still better I/O performance than ASCII. Until now, we have consumed about 94% (9.4 Mio CPUh) of the allocated CPUh. The simulations generated 1500 large snapshots (containing all atomic positions and auxiliary properties) and 7500 small snapshots (containing only atoms belonging to crystal defects), with an individual file size of around 75 GB and 2.5 GB, respectively. In total, we have 165 TB of raw data (on SCRATCH) and 35 TB of post-processed data (on WORK).

By comparison to the benchmarks, an increase in computation time per step per atom by a factor of two has been observed. Together with the experts of the LRZ, we analyzed the I/O pattern of *LAMMPS* with a strong scaling test. The first observations indicate that the combination of auxiliary property calculation on such large structures together with file I/O on large numbers of nodes (>512 nodes) are at the root of this drop of performance. The requested size of individual I/O operations decreases as the number of MPI processes increases. When this requested size is smaller than the block size of the GPFS filesystem (8 MiB on SCRATCH), which is the case when we output our smallest snapshots, the time for I/O operations increases dramatically. To avoid this issue, we decided to limit the number of nodes used in our simulations (to <512 nodes).

On-going Research / Outlook

In the SoS project, we performed the first ultra-large-scale atomistic simulations using a real-size experimentally-informed nanoporous sample. All simulations and on-the-fly analyses have been successfully completed. For the full scientific study of the deformation behavior of NPG, further post-processing and visualization of the raw data is required. For that, we will use multiple serial jobs and the *Remote Visualization Nodes*. Analysis will include, but is not limited to, dislocation analysis, resolved shear stress calculation, quantitative structural analysis. Additional finite element (FE) simulations are being performed in our group to quantify the differences in elastic behavior between continuum scale models, atomistic models and experiments, and to understand local stress states in NPG. The overarching aim of the entire scientific project is the development of physics-based constitutive models for size-dependent mechanical properties of nanostructures.

Some technical challenges remain to be solved to optimize the performance of the simulations, e.g. the optimization of I/O performance by aggregation techniques on the data output with NetCDF format. Our experience from this project will provide guidelines for scientists who intend to perform and analyze ultra-large-scale atomistic simulations. To our knowledge, our system is about twice as large as the current largest routine atomistic studies [5].

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Chemical Functionalization of Oxide Surfaces

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SuperMUC Project ID: pr74be (Summer of Simulation project 2016 and 2017)

Introduction

The functionalization of oxide surfaces by chemical attachment of molecules plays an important role in nanoparticle synthesis, molecular electronics, fabrication of hybrid organic/inorganic solar cells and many other areas of technological interest. A shell of molecules can protect the underlying surface from chemical attack, it can be used to tune material properties, e.g. the work function or electron injection barrier, or it can act as functional unit itself, e.g. as conducting channel in a molecular field-effect transistor or as dye in a organic/inorganic solar cell.

Surface functionalization is mostly done by wet-chemical processes. Molecules typically attach by condensation reactions, i.e. by elimination of water molecules. While chemical surface reactions with molecules from the gas phase have been studied extensively by quantum chem-

ical calculations, mechanisms of chemical reactions at the solid/liquid interface are basically unexplored.

By using molecular dynamics (MD) simulations we aim at a fundamental understanding of the elementary reaction steps when molecules bind from solution to a surface. In particular, we address the role of the solvent and the impact of the surface structure and composition on the reaction mechanisms. For our simulations we have chosen alumina (Al_2O_3) as a prototypical oxide substrate, isopropanol as the liquid phase and methylsilanetriol (MST) as reactive molecule.

Results and Methods

For an unbiased description of the breaking and formation of chemical bonds in the chemical processes of surface functionalization we use *ab initio* molecular dynamics (AIMD) simulations, specifically the Car-Parrinello

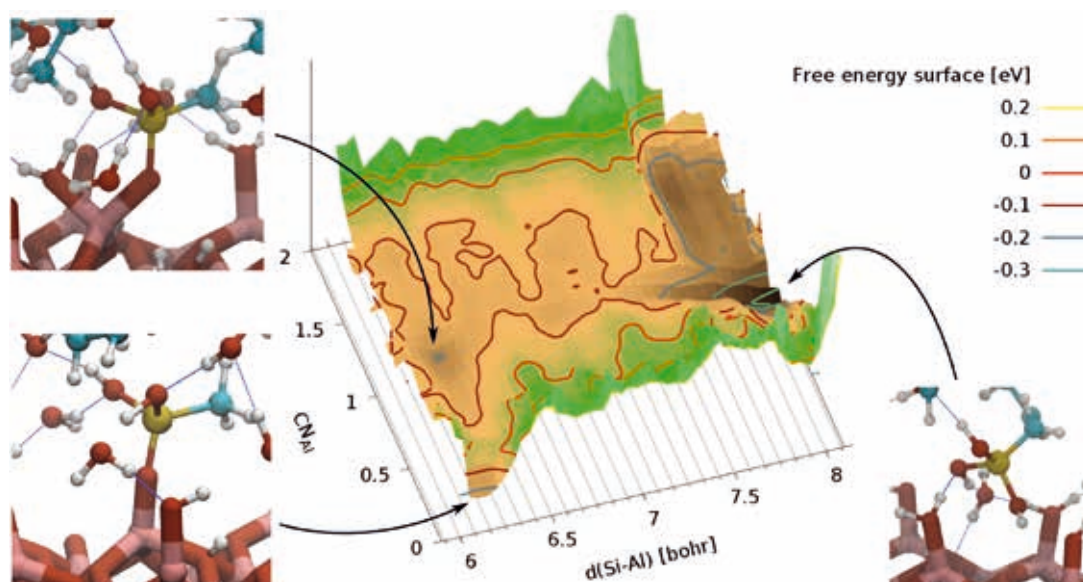


Figure 1: Free-energy landscape from a WS-MTD simulation. The intact MST molecule approaches the alumina surface from the isopropanol solution (right inset). One of the three OH groups of MST coordinates to a surface Al site with an adsorbed OH group and deprotonates (upper left inset). In the final step, the OH group at the Al site is protonated and desorbs as a water molecule from the Al site (lower left inset). The umbrella sampling was done for the Al-Si distance. The positions of the umbrella potentials are indicated by gray lines. The coordination number (CN) of the Al adsorption site with all oxygen atoms (except those from MST) was used as collective variable in metadynamics. The unit cell contains 503 atoms and 1462 electrons. Al, O, C, Si and H are shown in light red, dark red, blue, yellow and white, respectively. Hydrogen bonds are indicated by blue dotted lines.

molecular dynamics (CPMD) method and code [2]. CPMD is based on density functional theory (DFT) as quantum-chemical electronic structure method. To overcome reaction barriers and to be able to observe chemical reactions ('rare events') in the limited timeframe of AIMD simulations we apply various accelerated sampling techniques, in particular thermodynamic integration, umbrella sampling and metadynamics (MTD), which also provide information on the free energy landscape.

The simulations show that the approach of MST molecules from the liquid to the alumina surfaces is an activated process with a small energy barrier. One of the MST OH groups reorients from the liquid towards the surface and coordinates to a surface Al ion. A very characteristic transition state structure of a six-membered ring is formed, which consists of the Si atom and one OH of the MST molecule and the surface Al with an adsorbed OH group. The transition structure quickly leads to a spontaneous condensation reaction in which the OH at the Al site is protonated and desorbs as water molecule into the liquid.

Residual water molecules on the Al_2O_3 surface increase the energy barrier for surface binding. Also the condensation reaction becomes activated. An example of the overall free energy landscape for this process is shown in Figure 1. Here we have used the recently proposed well-sliced metadynamics (WS-MTD) technique [3], a combination of umbrella sampling and metadynamics, which allows us to study the full process in a single simulation setup.

On the multi-core architecture of SuperMUC with 28 cores per node the MPI-only parallelization of CPMD came to its limits. First, the reduction in bandwidth and increase in overhead of the all-to-all and global summation calls of 28 MPI processes communicating simultaneously from each node became a severe bottleneck. Second, for our isopropanol/ Al_2O_3 system scalability already ends at 7 nodes (with 2 ps simulation time per day), since then the fast Fourier grid is fully distributed over the MPI processes (see red dashed line in Figure 2). 50 ps of simulation time, which is a typical length of a production run, would therefore take at minimum about a month.

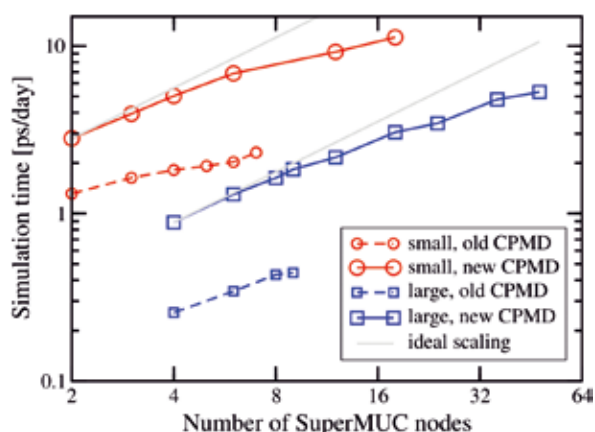


Figure 2: Improved performance of CPMD on SuperMUC. The small system is the isopropanol/ Al_2O_3 simulation from Figure 1, the large system is the water/ ZnO interface from Figure 3.

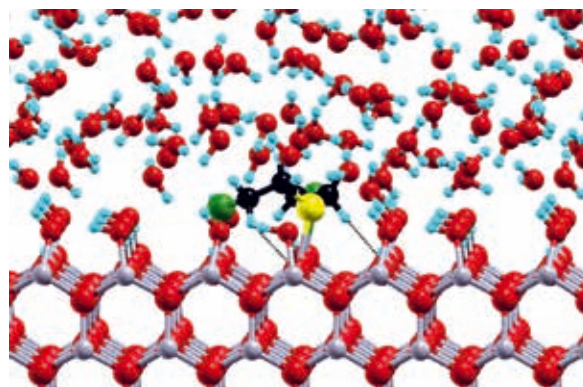


Figure 3: Sulfur mustard molecule at a water/ ZnO interface. The unit cell contains 735 atoms and 3332 electrons. Zn, O, C, S, Cl and H are shown in gray, red, black, yellow, green and cyan, respectively.

In a KONWHIR project in collaboration with Gerald Mathias from LRZ we optimized the parallelization strategies within CPMD. This included the following steps: (i) the existing OpenMP parallelization is extended to all relevant code paths, (ii) single node performance is further increased by merging various small DGEMM calls into larger ones which are called less frequently, (iii) the communication overhead is reduced by introducing overlapping computation and communication and by modifying the all-to-all message passing in such a way that larger fragments of data are communicated less frequently.

The result is shown in Figure 2 (solid red line). We typically use 4 MPI processes per node and 7 OpenMP threads per MPI process. Our example of the isopropanol/ Al_2O_3 interface shows very good scaling up to 25 nodes with the new improved CPMD version. On 12 nodes we get more than 10 ps simulation time per day, i.e. a 50 ps production run can be done now within 5 days instead of one month. On the single node level, when staying in the range of 2 to 6 nodes where CPMD shows almost ideal scaling, we achieve more than 60% of the node peak performance.

On-going Research / Outlook

With the improved scalability and single node performance of CPMD we are now able to address more complex chemical processes at oxide surfaces. In a follow-up project we have started to study the catalytic deactivation of chemical warfare agents at ZnO surfaces in aqueous environments [4]. A typical setup is shown in Figure 3. By using 36 SuperMUC nodes we are able to perform 50 ps simulations for this system within 10 days (about 250,000 CPUh, see blue solid line in Figure 2). In the largest simulation so far we carried out an umbrella sampling run to calculate the adsorption free energy of sulfur mustard molecules on ZnO . For each umbrella window we used 18 nodes. The 28 umbrella windows were run in parallel to enhance sampling by replica exchange, thus making use of a full SuperMUC island with 512 nodes.

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A Neural Network Potential for the Cu/ZnO system

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SuperMUC Project ID: pr74bu (Summer of Simulation project)

Introduction

Many chemicals are produced in large-scale industrial processes using heterogeneous catalysis. An important example is methanol, which is generated by a catalyst composed of copper clusters supported on zinc oxide nanoparticles.

In spite of intensive research and a wealth of experimental data, the atomistic structure of the catalyst involving thousands of atoms is still unknown, and theoretical studies are urgently needed to unravel the detailed topology of the catalyst. Unfortunately, computer simulations of realistic structural models of the catalyst are severely hampered by the complexity of the system if conventional quantum chemical methods like density-functional theory (DFT) are used, while more efficient empirical potentials are not sufficiently reliable. In this project a modern approach to constructing interatomic potentials based on artificial neural networks (NN) will be used [1], which has been developed in our group.

These NN potentials have an accuracy close to first principles calculations, while being many orders of magnitude faster to evaluate, enabling simulations of complex and realistic structural models. Here, a NN potential will be developed and employed to characterize the structural properties of the catalyst in detail by large-scale molecular dynamics and Monte Carlo simulations to provide insights into the nature of the active sites.

NNP's are trained to reproduce first-principle energies with an accuracy in the order of a few meV/atom, but with efficiency comparable to classical force fields. The training requires a dataset of tens of thousands of single point *ab-initio* calculations. This is a considerable investment of CPU time, but, once properly trained, the NN can be used to simulate systems in a fraction of the time it would take to perform a quantum mechanical calculation, which in some cases is impossible.

The CPU-budget at the Leibniz Rechenzentrum was used to generate this large dataset. The computation-

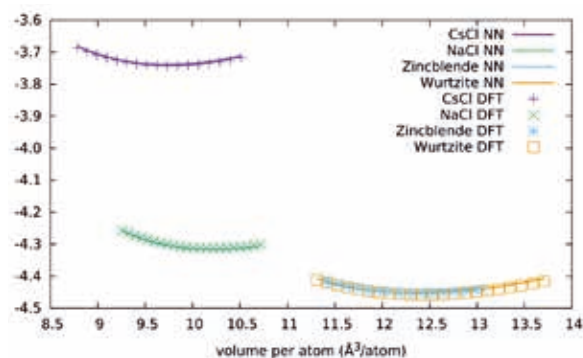


Figure 1: Energies obtained from DFT and the NNP, for different volumes of the common zinc oxide crystal structures.

al power offered by the LRZ allowed us to carry out the calculations in a few weeks, which would otherwise take a significant time when using our local clusters. It also permitted the incorporation of single point calculations with hundreds of atoms into the dataset, which ultimately helps build a better NNP. In the end, the calculations performed at the LRZ will lead to a better final NNP, constructed in a drastically shorter time frame.

Scientific work accomplished and results obtained

In this section, some of the results obtained with the dataset produced at the LRZ are presented. The NNP generated from the dataset is capable of accurately simulating different systems. The NNP needs to be validated against DFT simulations to prove its accuracy, and for this purpose structural properties like the bulk modulus, energy versus volume curves, and surface energies were calculated and are shown in the following sections. In addition, some initial Monte Carlo simulations are presented.

Zinc Oxide Bulk Structures

Being able to reproduce the energy versus volume curve of a system is an important part of obtaining correct simulations. One of the first validation steps for a new NNP is to match the energy curve obtained from DFT. With this analysis, the equilibrium lattice constants

and stable crystal structures can be found, and derived parameters like the bulk modulus calculated. Figure 1 and table 1 present the results for different zinc oxide bulk crystals. The agreement between DFT and the NNP is seen to be excellent. As expected for zinc oxide, the more stable structures are the hexagonal (wurtzite) and cubic (zinblende) close packed structures (with the former one being the most stable as seen in experiments).

The NNP is now capable of performing the same analysis for different copper crystal structures.

Wurtzite zinc oxide	NN	DFT	Experimental [2]
First Lattice Constant a (Å)	3.27	3.27	3.25
Second Lattice Constant c/a	1.64	1.64	1.60
Bulk Modulus (GPa)	125	127	143
Cohesive Energy (eV/unit formula)	8.72	8.75	7.52

Table 1: Structural parameters obtained for the wurtzite crystal of zinc oxide, from DFT and NNP.

Copper Surfaces

One important parameter for any surface is its surface energy, or the energy required to generate the surface by cleaving a bulk structure. A plot of slab energy versus number of atoms (fig. 2) yields the surface energy from the intercept of a linear regression. The values obtained for the surface energy are presented in table 2. As expected for copper, the (111) surface is the most stable, with the other surface planes having higher, similar surface energies between them. Once again, the agreement with the ab initio data is good.

The NNP is also able to perform a similar analysis for some of the low index zinc oxide surfaces.

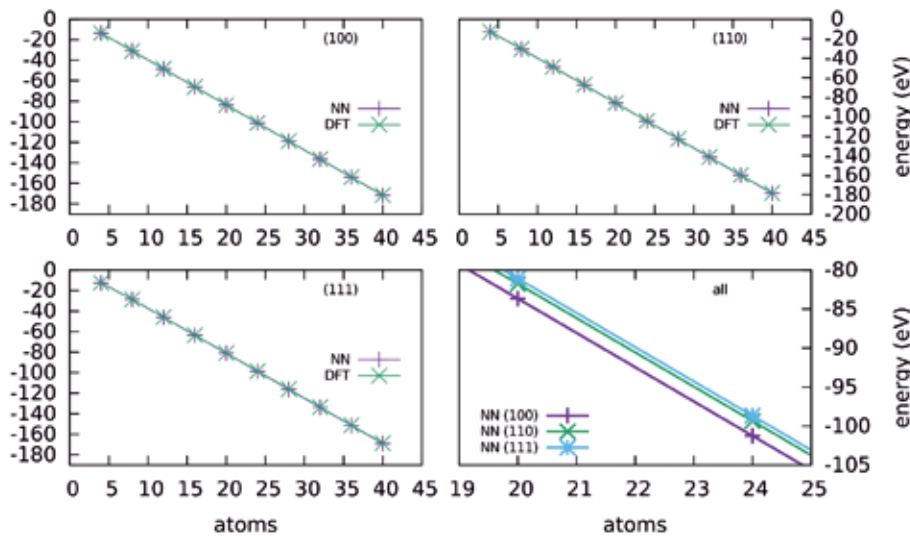


Figure 2: Energies obtained from DFT and the NNP, for different numbers of atoms for the low index fcc copper surfaces.

Surface	Surface Energy NNP (meV/Å ²)	Surface Energy DFT (meV/Å ²)
(100)	166.0	166.0
(110)	161.8	164.8
(111)	120.9	121.2

Table 2: Surface energies for fcc copper surfaces, obtained from the NNP and DFT

Grand Canonical Monte Carlo Simulations: Copper Nanoparticles

Grand Canonical Monte Carlo enables the simulation of condensed phases in equilibrium with a gas reservoir. This can be used to study processes that occur in heterogeneous phases, such as evaporation, condensation, and deposition. The eventual goal is to study the deposition and growth of copper nanoparticles on zinc oxide surfaces. As an intermediate step, the growth of a copper nanoparticle in vacuum was studied, as shown in figure 3.

In figure 3, the copper nanoparticle grows as copper atoms condense into the system from the grand canonical reservoir (a), at some point in the simulation a second independent copper cluster condenses and starts to grow (b-d), and finally both clusters come into contact and merge in a process reminiscent of Ostwald ripening (e-g).

Grand Canonical simulations can also be performed, with the current NNP, of copper adsorption on different copper surfaces.

Realization of the project, Methods, Simulations, and Codes

The program chosen to generate the dataset is the Vienna Ab-initio Simulation Package (VASP), using DFT with the PBE functional and a plane-wave basis set.

The initial structures for the dataset are generated in a procedural fashion. Starting with a base system (fcc copper bulk, slab of hcp zinc oxide exposing a certain surface, etc), the system size is expanded and contracted, the angles of the bounding box changed slightly, and the atoms displaced a random small distance. Supercells are also generated from smaller cells, and atoms are added or vacancies generated. This procedure tries to cover the configuration space in an unbiased way.

Two different neural networks are trained on this initial dataset. Where the configuration space has been appropriately sampled, both neural networks will calculate the same energy and forces for the system, bar-

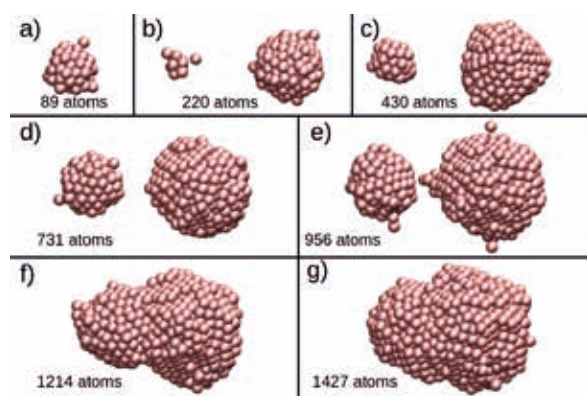


Figure 3: Snapshots of the growing copper clusters at different times.

ring small differences. If the internal architecture of the neural networks is different, they will give very different energies for structures and forces for atoms outside of the properly sampled configuration space. This property is used to find new structures that need to be included in the dataset from simulations performed using a NNP to run molecular dynamics (or Monte Carlo) simulations, and identifying problem structures by comparing the results of two neural networks.

The number and types of structures generated are presented in table 3. In total, 80% of the assigned 8 million CPU hours were utilized, or a total of 6.5 million CPU hours. The structures include a variety of chemical environments (clusters, slabs and bulk structures) and compositions (Cu, ZnO, CuZn alloys, ternary CuZnO systems). The structures contain between 50 and 150 atoms, and consume between 20 and 40 minutes of real time utilizing 20 nodes of the thin node islands (16 processors).

Structure	Number
Cu bulk	4500
Cu slabs (fcc)	4500
Cu clusters	2000
ZnO bulk	6000
ZnO slabs (wurtzite)	4000
CuZn alloy bulk	4000
Cu deposited on ZnO	6000
Total	31000

Table 3: Overview of the structures calculated at the LRZ.

The calculations were performed utilizing a job farming approach. The generation of the dataset requires thousands of independent and short single-point VASP calculations. To make efficient use of the scheduling queues and computational resources, the job farming was implemented as follows: The initial script sent to the LoadLeveler scheduler asks for a certain number of nodes to be allocated. These nodes are then divided using hostlists, and concurrent, parallel jobs (VASP calculations) are started. The farming script monitors the progress of the jobs, and starts new jobs once the previ-

ous ones have completed. This load balancing is needed since jobs can have differing running times.

The farming approach allows for a flexible use of resources, since the number of concurrent and total jobs can be easily changed. The number of nodes can be altered to run more jobs at the same time and thus get through a structure set faster. It is also efficient, because it load balances on its own, which is important when the dataset includes structures that reach convergence at different times. This way no CPU cycles are wasted by jobs that have already finished while others are still running. Given results obtained in scaling tests, 100 jobs per farming script were run, with a target of 12 hours for total run time.

Optionally: On-going Research / Outlook

The computational time provided by the LRZ helped create the initial dataset for a working neural network potential. This potential can then be used to perform initial simulations, and generate new structures to refine the potential further. As shown in the previous sections, the initial potential is already capable of reproducing structural properties as calculated by the reference ab-initio method. Additionally, the potential is able to perform simulations that would be hard to obtain from an ab-initio method. An example of this is the simulation of copper nanoparticles using grand canonical Monte Carlo. Such simulations would be hard to obtain from an ab-initio method due to computational time constraints, since big systems with many atoms or long simulation times are required.

The main obstacles for the project were associated to the handling of thousands of individual simulations and their associated results. An effective job farming approach was implemented through scripting to manage the setup of the required ab-initio calculations. In addition, careful management was implemented of all the obtained output files, in regards to post-processing and storage.

The initial potential is to be refined by adding more calculations involving copper clusters deposited on different zinc oxide surfaces, to study the behavior of this system. Afterwards, the study will center on freestanding interacting nanoparticles. In this way we hope to achieve a model for the industrial catalyst, that would not be accessible to traditional ab-initio or classical force field based simulations.

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On the liquid phase mechanism of methanol oxidation at Au/TiO₂ nanocatalysts

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SuperMUC Project ID: pr74va (Summer of Simulation project)

Introduction

The backbone of industrial chemistry is heterogeneous catalysis. Even small improvements of the used catalyst, often based on mechanistical insights, may enhance the efficiency in terms of energy consumption and/or selectivity. Such insights can be provided by the ‘Solvation Science approach’ to theoretical heterogeneous catalysis in the liquid phase, while experiments often lack the required atomistic resolution.

Highly dispersed gold/titania catalysts are widely used for key reactions, notably including the selective oxidation of alcohols in the liquid phase. The mechanistic details of this reaction are mostly unknown, while the corresponding gas-phase process is often investigated. The pivotal role of water in stabilizing charge transfer and its actual chemical role in the reaction mechanism remains poorly known.

Here, we take advantage of enhanced sampling ab initio molecular dynamics (AIMD) [1] simulations using a well-established Au/TiO₂ nanocatalyst model [2, 3, 4] (Figure 1) in order to elucidate the mechanistic details of thermally activated liquid-phase methanol oxidation at elevated T and p in accordance with experimental conditions.

Results and Methods

We performed large-scale multiple walker ab initio metadynamics simulations with the Au nanoparticle (AuNP) being in contact with the dioxygen and methanol reactants in the gas phase as well as in neutral water [5]. In the gas phase, the methanol molecule is adsorbed via weak interactions of its OH group with the O₂ (see structure a_G in Figure 2). In the course of the reaction, one of the aliphatic hydrogens moves close enough to the AuNP, leading to C-H activation (see b_G in Figure 2). The final oxidation of methanol is observed exclusively if the O₂ molecule dissociates. The dissociation is caused via charge transfer from the AuNP resulting in a partially positive AuNP and a partially negative O₂ species. After

dissociation, the protonic hydroxyl’ H and the hydridic aliphatic H are respectively transferred to the O₂ and the AuNP in a concerted fashion.

In liquid water charged species can be stabilized thanks to its high dielectric constant, which allows a stepwise mechanism for methanol oxidation. The reaction starts with the O₂ dissociation due to charge transfer from the AuNP, which carries more charge than in the gas phase due to the charge donated from its solvation shell. After

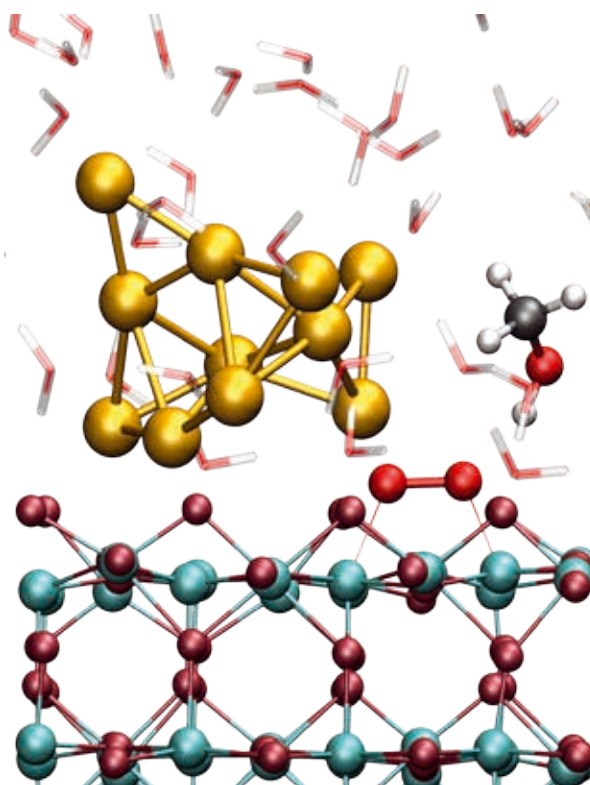


Figure 1: Close-up of the liquid phase Au/TiO₂ nanocatalyst system used to simulate methanol oxidation by dioxygen that is activated at the AuNP/titania perimeter site. The TiO₂ slab is shown in dark red (O) and cyan (Ti), the AuNP in gold, the adsorbed O₂ in red, the CH₃OH in black (C), red (O) and white (H), and the H₂O molecules in transparent red (O) and white (H).

the dissociation, one of the nascent O atoms is able to accept the hydroxyl proton, which was observed to be transferred via a Grotthuss-like diffusion process involving a water molecule. The resulting methoxy intermediate is a stable intermediate in water. Once the aliphatic H atom of the methoxy is close enough to the AuNP, it is transferred as a hydride yielding the product formaldehyde.

In summary, the presence of water crucially changes the mechanism of methanol oxidation at Au/TiO₂. Our ‘Solvation Science approach’ is able to determine the mechanistic changes of the reaction due to the presence of water, not only establishing the qualitatively different mechanism when comparing the liquid phase with the gas phase reference, but also rationalizing the changes in terms of the charge transfers occurring between the involved species.

Performance and scaling

The AIMD simulations were carried out using CPMD [6] which is a DFT-based molecular dynamics code. The electronic structure of the nanocatalyst model was described by a plane wave basis set in combination with ultrasoft pseudopotentials. The ionic and electronic degrees of freedom of the system are time-propagated with an extended Lagrangian scheme, which is the most computationally demanding part of the calculation.

Such task is efficiently performed using processor groups (Pgr). Within a Pgr the parallelization is realized via MPI and either MPI or OpenMP/Vector for inter-node and intra-node communication, respectively. At this level of

parallelization the allocation of 160 cores allowed for the highest speedup for our Au/TiO₂ nanocatalyst model. Herein the 3D-FFT of the electronic wavefunctions is split up most efficiently into one 2D-FFT per processor core. Further levels of parallelization within the CPMD code, e.g. via Kohn-Sham orbitals, resulted in lower speed-up. Thus, production runs were carried out with 2D-FFT parallelization giving the best use of the granted CPU time and the best time to solution.

On SuperMUC CPMD propagation of a single gas phase Au/TiO₂ nanocatalyst model required 28.1 and 19.1 core·min per MD step on Phase 1 thin nodes and on Phase 2 nodes, respectively, fully employing 10 nodes on Phase 1 or 6 nodes on Phase 2. For the liquid phase 62.5 and 47.2 core·min per MD step on Phase 1 and on Phase 2 were required, respectively. There exists another level of parallelization which is the multiple walker extension to the metadynamics method. Each ‘walker’ is a different replica of the system, and the different walkers interact in building up the biasing potential with a negligible communication effort. Hence, this algorithm shows a linear scaling with respect to the number of walkers, being possible to use tenths of walkers. On SuperMUC partitions the best performance between queue waiting vs. production was achieved using up to ten walkers, i.e. 1960 cores. On SuperMUC this project has used approximately ten million core hours. Besides the bookkeeping of the trajectory data at every MD step, a larger disk I/O demand during such jobs was the writing of complete restart files (each of 1.9 GiB in size) which was done every four hours. The maximum storage needed in the SCRATCH, WORK and PROJECT filesystems was 1 TiB, 3 TiB and 100 GiB, respectively.

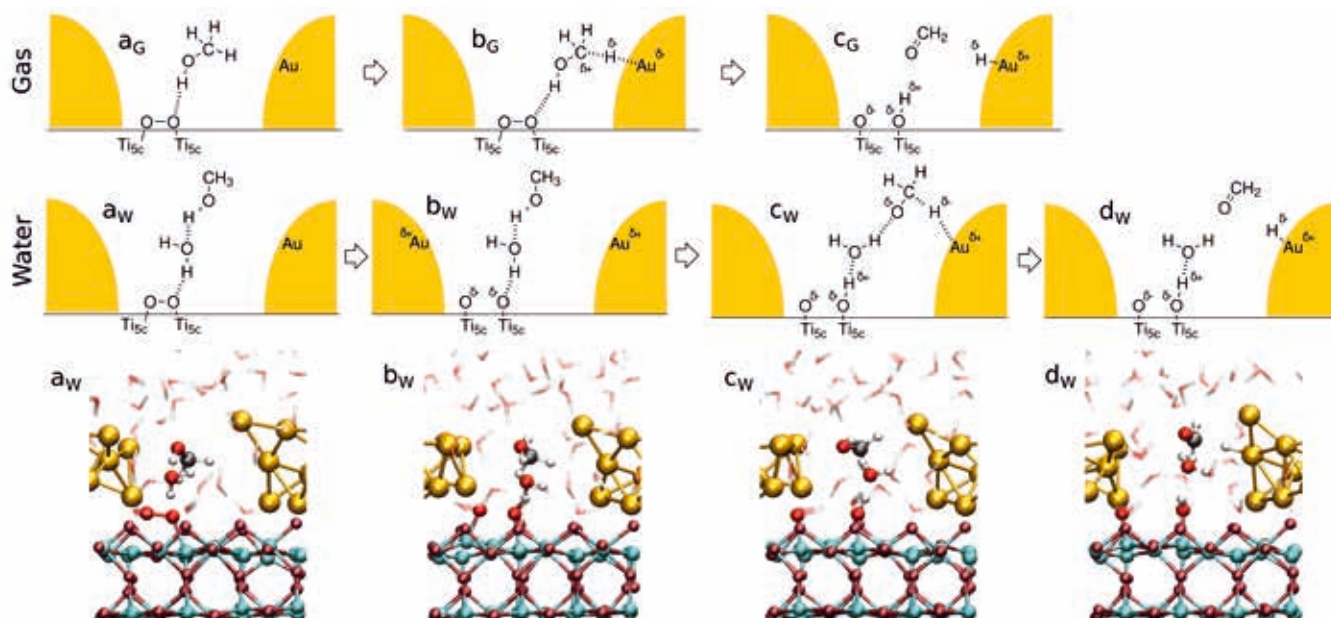


Figure 2: Top panels: Schematic reaction mechanisms for the CH₃OH oxidation on O₂/Au/TiO₂ in the gas phase and in water as extracted from the ab initio simulations (the AuNP is depicted in gold; only the reactive water molecules involved in the liquid-phase mechanism are shown). Bottom panel: Real-space snapshots of the aqueous system, from left to right: structures a_W, b_W, c_W and d_W.

On-going Research / Outlook

The simulations with our Au/TiO₂ model on SuperMUC Phase 2 are speeded-up by about 40% compared to Phase 1. This allows for larger system sizes and ab initio molecular dynamics simulations with computationally more demanding electronic structure methods. Currently we are using an extended Au/TiO₂ model to investigate the origin of the enhanced O₂ activation at this catalyst, with which we will be able to quantify such activation enhancement in liquid vs. gas phase in terms of free energy barriers, also comparing different adsorption sites. Such insights will be of great interest for the heterogeneous catalysis field. These simulations require at least twice the computational resources of the present study. We estimate an increase in computational cost by about 100 times for upcoming projects which would be required and hopefully accessible by “SuperMUC Next Generation”.

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Optical Simulation of Innovative Thin Film Solar Cells

RESEARCH INSTITUTION

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Introduction

Within this project the goal is to study and develop novel approaches to boost the performance of thin film solar cells. For this, 3D optical simulation of the photovoltaic devices is performed by discretizing Maxwell's equations. A sophisticated light management is important to construct thin-film solar cells with optimal efficiency. The light management is based on suitable nano structures of the different layers and materials with optimized optical properties. The design, development and test of new solar cell prototypes with respect to an optimal light management are a time consuming processes. For this reason, suitable models and simulation techniques are required for the analysis of optical properties within thin-film solar cells.

Methods

A rigorous analysis by a discretization method for Maxwell's equations is needed, in order to predict optical properties of thin film solar cells. Rigorous EMF simulation methods are more accurate because they include physical effects like wave interference, reflection, scattering as well as plasmonic effects. Suitable discretization methods are the finite integration technique (FIT) and the finite difference time domain method (FDTD). These simulation techniques are computationally intensive because the random textures at the interface of composite layers are difficult to simulate.

We have developed a simulation tool, based on finite integration technique FIT, for calculating external quantum efficiency and short circuit current density of thin film solar cells. We use FIT, because it can accurately model curvilinear interfaces and is less computationally intensive compared to FEM. The program is parallelized using MPI and OpenMP and can be used on supercomputers with several thousand processors.

Simulation Results

Flexible Silicon Tandem Solar Cells

The Silicon based Thin Film Flexible Solar cells project (SiSOFlex) was envisioned to use the advantages of thin film solar cells and work on different weak aspects of the solar cell to achieve a stable and working solar cell with >11% stable efficiency. To achieve this goal simulation, modeling and optimization of different ideas and concepts plays an integrated role along with experiments before going to production. Figure [1] shows the cross-section of such a solar cell. The roughness of the surfaces and the integration of scattering particles results in an optimized harvesting of the incident light. Optimization of those structures requires many simulations but allows for an accurate prediction of the performance of the resulting solar cells. More detailed results can be found in Ref. [2].

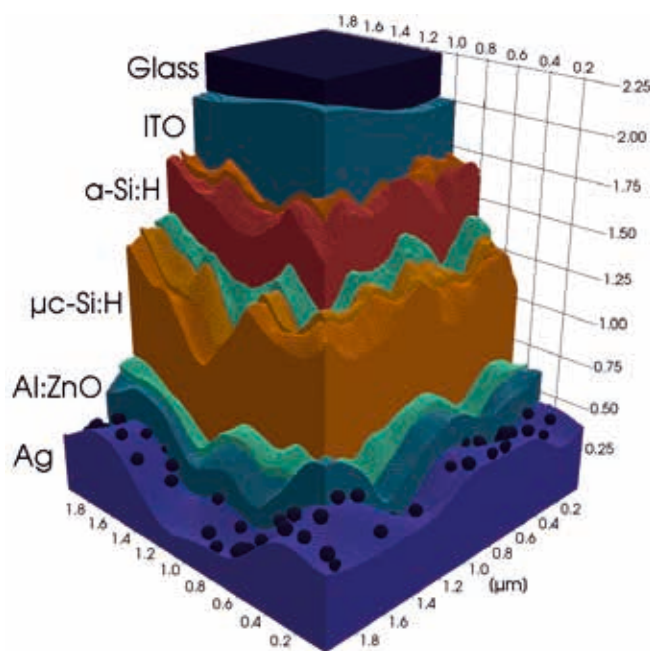


Figure 1: Cross-section of an exemplary simulation setup of a tandem thin-film solar cell. The amorphous (aSi:H) and microcrystalline silicon (μ c-Si:H) layers have textured surfaces to increase the light trapping ability of the cell. SiO₂ nanoparticles are incorporated to further increase light scattering at the bottom electrode (Ag).

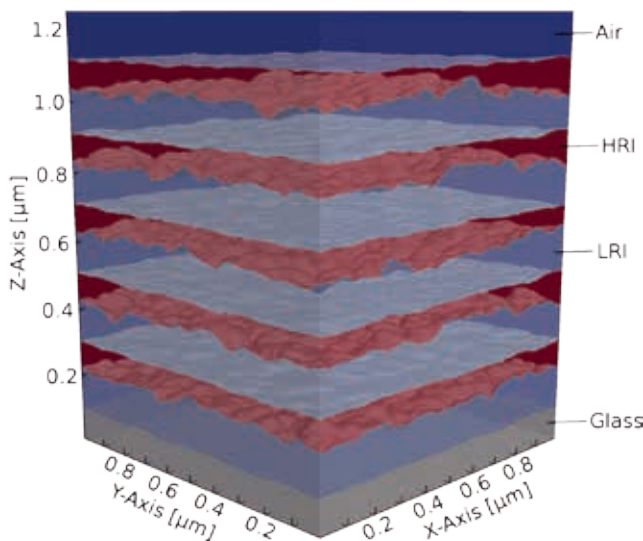


Figure 2: Setup of a dielectric (Bragg) mirror with five alternating high/low refractive index stacks. See Ref. [3]

Plasmonic Absorption Enhancement

The excitation of localized surface plasmons on metallic nano particles results in an enhancement of the electromagnetic field in the direct vicinity of the particles. The origin of this effect is the oscillation of the electron cloud in the metallic particles caused by the electric field of the incident light. The resulting field enhancement is perpendicular to the polarization of the incident electric field, see Figure [3]. Experimentally, it is extremely difficult to measure the near field absorption enhancement of such nano particles. Hence we are carrying out simulations to study the impact of several particle types and arrangements incorporated into thin film organic photovoltaic devices.

Wavelength Selective Dielectric Mirrors

Organic photovoltaics in combination with dielectric mirrors (DM) are a potential candidate for building integrated solar cells as they promise high efficiencies in parallel to the possibility to adjust the color and the transparency of the whole device. Wavelength selective filters which are also known as 1D photonic crystals, Bragg mirrors or DM are based on constructive or destructive interference in thin layers. For this purpose, a high refractive index (HRI) and a low refractive index (LRI) material have to be arranged alternately. Comparison to experimental measurements have shown that a good agreement can be reached with our simulation code. Figure [2] shows a simulation setup of a DM with five alternating HRI/LRI layers. The characteristic transmission spectrum of a DM depends not only on the used materials but also on the surface roughness between the HRI and LRI layers. This makes 3D optical simulations necessary.

Performance Optimization

Single Node Optimization

In order to optimize the single node performance of the used simulation tool we recently carried out a KONWIHR project at the RRZE, FAU Erlangen-Nürnberg. We

implemented a multi-core wavefront diamond blocking scheme with multi-dimensional intra-tile parallelization, see [4]. With this temporal blocking method we were able to boost single node performance by a factor of 3x to 4x, see Figure 3. The originally highly memory bound stencil code saw a reduction in main memory bandwidth between 40% and 80%.

Multi Node Optimization

We were able to reduce the time spent on data exchange between processes by an improved mapping of the processes to the simulation grid and by more sophisticated overlapping/asynchronous data exchange algorithms. The benchmarks show that we were able to improve the parallel efficiency of the simulation code from ~70% up to >90% for more than 100 compute nodes.

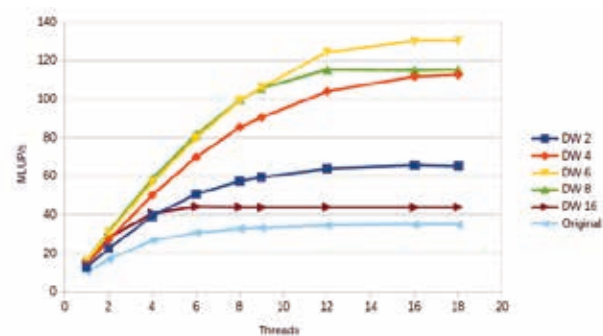


Figure 3: Single Node Performance improvement by the introduction of multi-core wavefront diamond blocking. Depending on the diamond tiling configuration (DW) a speed up of up to 4x can be achieved.

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Stabilization of ferroelectric properties in Hafnia with doping

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Introduction

Ferroelectricity is a property of a few crystalline insulators with partial ionic bonds. In such a crystal, the positively charged metal ions and negatively charged oxygen ions can be arranged in structures of different symmetry, the possible crystal phases. In a crystal, the symmetry of atom arrangement and symmetry of charge arrangement has to be distinguished. In most cases, the existing crystals, which are the energetically most favorable structures, have a reduced atomic symmetry, but the charge distribution is still symmetric. In a ferroelectric crystal, a less symmetric phase with asymmetric charge distribution is energetically most favorable. Figure 1 shows the unit cell of the ferroelectric phase of HfO_2 , with positively charged hafnium and negatively charged oxygen with an asymmetry in the oxygen subsystem. As a result the crystal has an electric polarization without the application of an external electrical field. The phenomenon is well-known in the perovskite structure of BaTiO_3 .

Ferroelectrics have a wide range of applications in modern technology, which started with the use of permanent polarization in FeRAM cells for data storage applications. As strong piezoelectrics, they enable electro-mechanical transducers. As strong pyroelectrics, they enable thermo-mechanical transducers, including sensors for heat or motion detection, and generators for electric energy from waste heat.

This project is part of a research collaboration [1] with the goal to investigate Hafnia (HfO_2) and Zirconia (ZrO_2) ferroelectrics and their possible applications. This recently discovered [2], new ferroelectric material class is especially attractive for many applications because of their compatibility to silicon microelectronics, their biocompatibility and their strong pyroelectric properties. In some applications, it may substitute lead containing compounds.

Both Hafnia and Zirconia show no ferroelectric behavior in nature and only become ferroelectric under certain conditions. The most important factor favouring ferro-

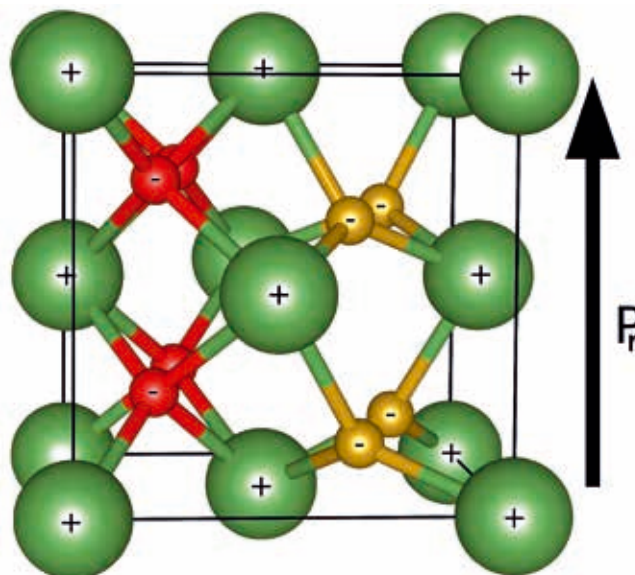
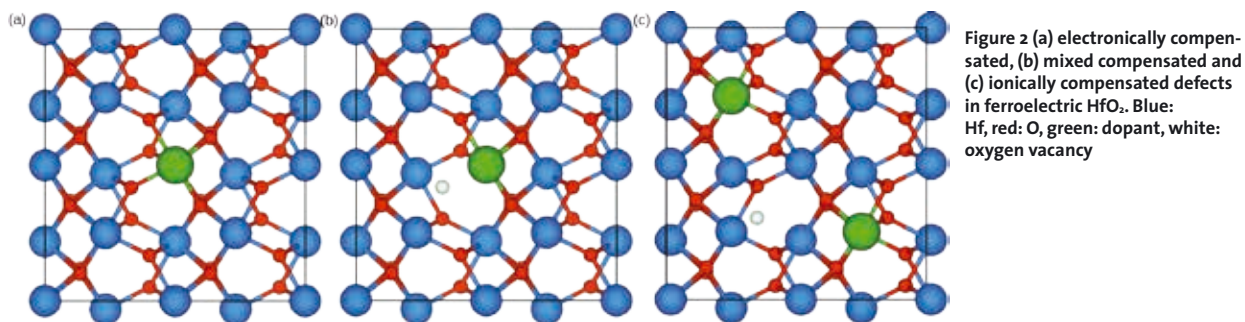


Figure 1: The ferroelectric Pca_2 , polar orthorhombic crystal structure of HfO_2 , competing with monoclinic, orthorhombic, tetragonal and cubic structures. Green: metal ions, Red: oxygen ions, Gold: stable asymmetric positioned oxygen ions responsible for ferroelectricity. Arrow marks the polarization vector P_r .

electricity is doping on the level of a few percent. One of the aims of this project is to find the most appropriate doping as well as an explanation why specific dopants promote ferroelectric and others do not.

Results and Methods

The crystal structures are simulated atomistically on the level of quantum mechanics with the simulation tools Abinit and FHI-Aims [3]. The electron distribution in the crystal is calculated with density functional theory, then the forces between the atoms are derived and new position of the atoms closer to the equilibrium are searched, iteratively until a convergence is reached. The results include the position of the atoms, the crystal structure, and the energy of the crystal. Different initial positions lead to different crystallographic phases. The energies are finally compared to find the most stable phase, depending



on the applied conditions. These conditions include pressure, temperature, strain, doping, and defects. For doping and defects on the percent level, structures up to 100 atoms have to be investigated.

Each calculation is scaled on up to 1000 cores and runs for 12 h – 48 h. Due to the large amount of competing crystallographic structures and several relevant conditions that may contribute to the stabilization of the phases, six millions of CPU-hours have been granted. In the project the doping of HfO₂ with a variety of II (Be, Mg, Ca, Sr, Ba), III (B, Al, Ga, Sc, Y, La Gd) and IV (C, Si, Ge, Sn, Ti, Ce) valent dopants has been investigated [4,5]. The analysis required the calculation of the formation energy of a variety of neutral and charged defect structures. The results show that oxygen rich conditions in the thin film deposition favor electronically compensated structures. Subsequently the free energy of the competing

phases were calculated to reveal ferroelectric stabilization. In particular interesting is the stabilization of the tetragonal phase relative to the ferroelectric phase as, it allows field induced antiferroelectric behaviour which is fundamental for giant pyroelectric and piezoelectric coefficients. Especially good ferroelectric stabilization is provided by La and Ce and antiferroelectric stabilization by Al and Si.

On-going Research / Outlook

The simulation correlates well with many observed trends but fails to explain why the monoclinic phase shows most of the time the lowest energy in spite of the doping effects. It is likely that the search for the energetic ground state is not sufficient, but that kinetic barriers allows metastable states and are necessary for a full explanation of the material system.

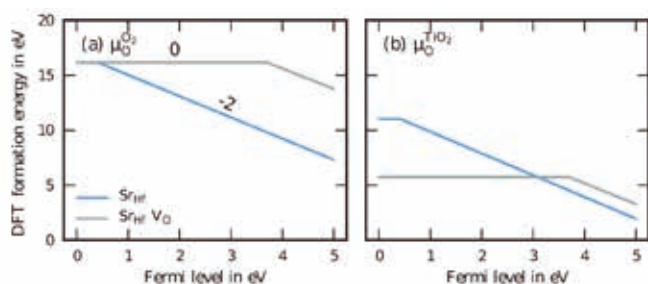


Figure 3: Formation energy for neutral and charged (number over line) strontium doped HfO₂ with and without a vacancy for the ferroelectric phase. For Figure (a), the atom chemical potential of oxygen μ_{O} is calculated from oxygen rich and for (b), it is calculated from TiO₂ oxygen deficient. The chemical potential of strontium μ_{Sr} is calculated from SrO₂.

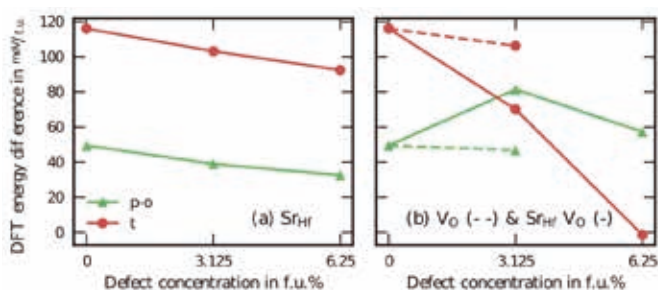


Figure 4: DFT energy of the competing polar-orthorhombic and tetragonal phase relative to the ground state with increasing Sr doping concentration. Solid lines in (a) indicate electronically compensated and in (b) ionically compensated structures. The dashed lines indicate oxygen vacancy. Sr doping favours the ferroelectric phase but introduction of vacancies creates ionic compensation followed by transition to tetragonal phase.

For this purpose, kinetic energy barriers of phase transition have to be computed. Furthermore, the required doping concentration is relatively large such that dopant dopant interactions are possible. This has to be investigated, especially for the technologically promising dopants Si, Al, La and Ce. In some cases, computationally more expensive hybrid density functionals will be required to confirm the results of the standard LDA or GGA approach.

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First principles multiscale kinetic modelling of catalytic reactions

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SuperMUC Project ID: pr92me

Introduction

In recent years, the growing demands for alternative power sources and rising concerns about global warming has renewed the interest in developing efficient processes for the synthesis of chemicals and fuels using solid (heterogeneous) catalysts. A mixture of carbon monoxide and hydrogen (also known as syngas) is the starting point for many reactions of technological interest. The obtained end products are highly dependent on the solid material that catalyzes the reaction. Often the catalytically active material is present in the form of nanoscopic particles of transition metals (e.g. Co, Ru, Rh, Ni, Cu or alloys) distributed on a porous support material. In order to tune the activity of the catalyst and the selectivity towards the desired end product (e.g. methane, longer chain hydrocarbons, methanol or ethanol) it is important to gain an atomic-scale understanding of the working catalyst. At the Technical University of Munich (TUM) scientists study catalytic processes at surfaces with a multiscale modelling approach, ranging from the microscopic elementary processes taking place at the catalytic surface to the construction of a microkinetic model that takes into account the statistical interplay between those processes and allows to predict the macroscopic reaction rate and product selectivity. This theoretical modelling relies on an extensive database of first principles density functional theo-

ry (DFT) calculations, the construction of which requires the use of high performance computing facilities such as LRZ's SuperMUC.

Results and Methods

The complexity of catalytic reactions on surfaces calls for efficient means of estimating both thermodynamic adsorption energies of the different species involved in the process and kinetic reaction barriers for the considered reaction steps, which are required input for a microkinetic model. For predictive-quality input data DFT is generally the method of choice owing to its favorable ratio between accuracy and computational cost. Still, the large system sizes required for a faithful model of real catalysts, along with the large number of calculations that need to be performed for complex reactions and the consideration of several (or the screening of many) different catalyst materials, renders this approach very challenging. A commonly used strategy to simplify this challenge is to employ scaling relations, which are linear relations between the adsorption energies of chemically related species. Of particular high computational cost is the calculation of reaction barriers (equivalently known as activation energies), which involves identification of the transition state of the reaction step. This is illustrated for the dissociation of a water molecule in Figure 1 (a). In Figure 1 (b) an example

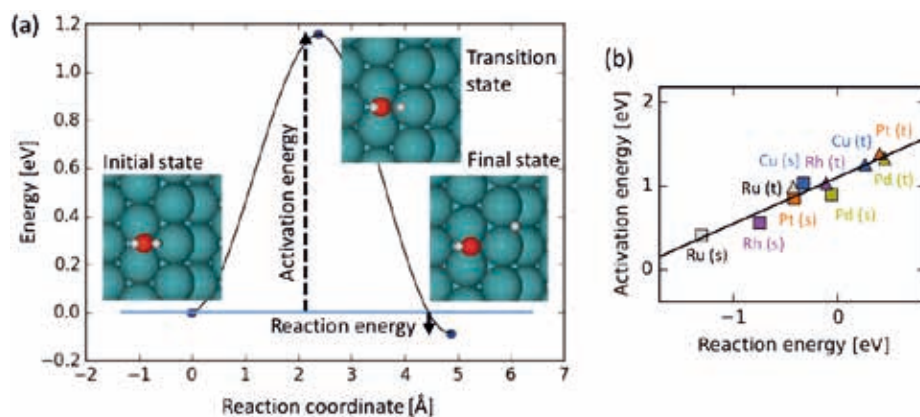


Figure 1: (a) DFT-calculated energies and structures of the initial, transition and final state for the dissociation of a water molecule on a terrace site of a Rh(211) catalyst. (b) Scaling relation between the activation energy and the reaction energy of the water dissociation step illustrated for terrace (t) and step (s) sites on the (211) facet of several transition metals. Based on data from Ref. [1].

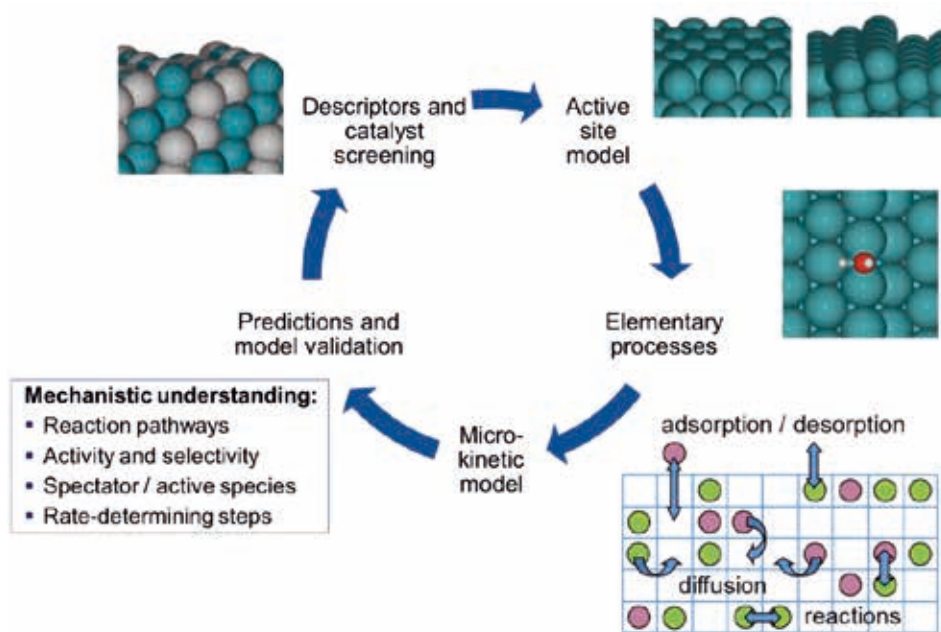


Figure 2: Overview of the various levels of theory involved in the multiscale modelling of catalytic reactions. The reaction energetics of the elementary processes is typically calculated at one or more active site models using DFT. This serves as input to a microkinetic model, which is typically constructed using either the mean-field approximation or kinetic Monte Carlo simulations. From the microkinetic model a number of predictions can be made related to e.g. the dominant reaction pathway as well as to which reaction steps within that pathway that are most important for the observed catalytic activity and product selectivity. Finally, the obtained insight can be used to identify descriptors for catalytic performance, which in turn facilitate the search for new and improved catalyst materials through computational catalyst screening.

of a scaling relation is shown, i.e. the activation energy of the reaction step scales linearly with the reaction energy. The latter quantity involves only thermodynamic adsorption energies, which are computationally much less costly to obtain than kinetic barriers. Scaling relations are therefore useful for predicting kinetic barriers on new materials (e.g. TM alloys) at a much lower computational cost than the explicit DFT calculation of all reaction energetics.

The work carried out at TUM addresses several different levels of theory involved in the multiscale modelling of catalytic reactions (c.f. Figure 2). A fundamental and critical choice for the theoretical modeling is the type of active site(s) to focus the investigations on [2]. For many reactions in heterogeneous catalysis this could be a specific site type on a TM nanoparticle such as a surface step or terrace site [1], but it could equivalently be a site at the interface between a TM and an oxide material [3]. Next, the elementary processes that can take place on the active site need to be considered for the particular reaction of interest. As discussed above, the reaction energetics is typically calculated from DFT, while scaling relations can be used to extend the theoretical predictions to many similar materials such as the TM and their alloys [1]. This is typically the part of the multiscale modelling approach that relies most heavily on supercomputing power.

The DFT-calculated reaction energetics allows to calculate rate constants for all elementary processes, which serve as input to a microkinetic model. For the prediction of rough trends and limitations in catalyst activities, the microkinetic model often relies on a mean-field approximation (MFA), in which a random spatial distribution of the chemical species on the catalyst surface is assumed. This approach has been used to estimate upper limits to the catalytic performance of bifunctional catalysts [4-5], which are special types of catalysts that rely on the cou-

pling of two different active sites, each catalyzing a particular reaction step. Such insights serve to provide ideas how to design new catalyst materials that go beyond the limitations to the optimal catalyst activity achievable posed by scaling relations.

To go beyond the MFA and explicitly account for spatial correlations in the distribution of the chemical species on the surface, we make use of kinetic Monte Carlo (kMC) simulations. For the specific reaction of carbon monoxide and hydrogen to form methane, explicit assessment of the MFA against the more elaborate kMC simulations showed that the MFA can break down for step sites on metal surfaces. This was shown to arise from the fact that these sites bind the chemical species very strongly and therefore hinder their mixing on the catalyst surface [1]. The work underlines the importance of considering more advanced microkinetic models despite their higher computational cost, also for the screening of new catalyst materials where the MFA has traditionally been applied.

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Calculating free energy barriers in photo-electrochemistry

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Introduction

In recent years theory and specifically computer simulations have assumed an ever increasing role in the study of (photo-)electrocatalytic reactions. Thereby, deceptively simple thermodynamic approaches such as the famed computational hydrogen electrode approach or the identification of scaling relations between certain intermediates allowed first insights into industrially relevant reactions and even a computational “screening” for efficient catalyst materials. In comparing the thermodynamic stabilities of reaction intermediates, thermodynamic approaches straightforwardly show if a reaction can proceed at a given material and driving potential or not. Yet, compared to theoretical approaches in other fields of chemistry, such as e.g. heterogenous catalysis, the simulation of (photo-)electrocatalytic reactions still lags behind. Kinetic barriers, the lowering of which is the very definition of a catalyst, are generally disregarded completely.

The reason for this lies in the complexity of the interfaces, generally water and a catalyst, and the reactions, which are generally comprised of electrochemical and non-electrochemical steps. In our work we present the first fully dynamic calculation of the free energy barrier of the rate limiting first step of water oxidation on TiO_2 .

Results and Methods

Computationally, such simulations are extremely demanding of both the methodologies applied, as well as the available computing hardware. Recent simulations showed that the description of the electronic structure and thus reactivity of non-metallic oxide surfaces in computationally efficient semi-local density functional theory can be highly inaccurate.[1] In terms of the kinetic barrier this can even lead to a complete disappearance of the other minimum due to erroneous delocalisation of the electron-hole driving the reaction. Thus, in order to determine the kinetic barrier of proton abstraction, we have to resort to highly expensive hybrid density functionals[2] or beyond.[3] While these

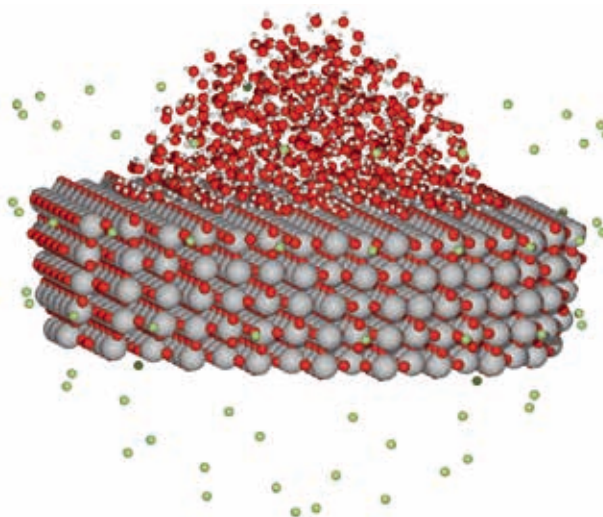


Figure 1: Illustration of the embedded simulation setup. Colored spheres depict the elements Ti (grey), O (red), and H (white) and additional fitted charges designed to reproduce the long-range electrostatic potential (green).

offer the necessary accuracy they are computationally highly demanding and scale badly with system size. At the same time, in order to accurately model the catalyst-solvent interface, a sufficient number of solvent molecules needs to be simulated as well. Even with modern embedding methods,[4] this leads to a very large number of atoms to be simulated on the level of hybrid DFT. Together with the fact that kinetic barriers demand a thorough sampling of phase space in the form of e.g. molecular dynamics, the rate estimations of photo-electrochemical reactions absolutely necessitate Tier-0 resources both in terms of memory, and CPU-time.

Utilising a combination of state-of-the-art methods including solid-state embedding,[5] quantum and molecular mechanical dynamics, as well as umbrella sampling Gaussian process regression profile reconstruction we determined the free energy profile of the first proton abstraction from a water molecule adsorbed on TiO_2 . [2] The resulting profile plotted versus a Mar-

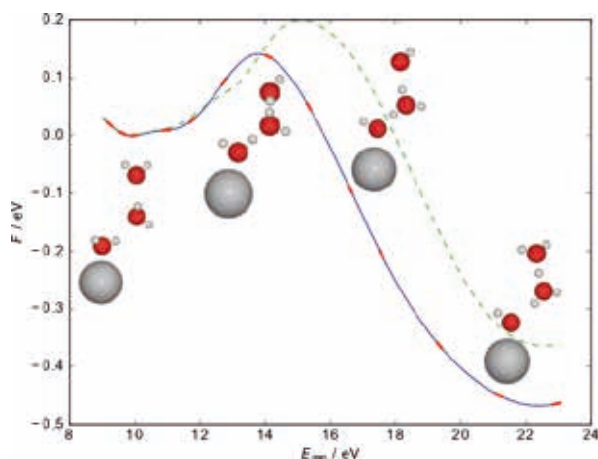


Figure 2: Minimum free energy profile along the reaction coordinate (blue) as well as alternative pathway (green). To illustrate the reaction coordinate, snapshots of the reaction at different values are shown as insets. Our simulations clearly show non-vanishing kinetic barriers for the proton abstraction.

cus-like reaction coordinate is depicted in Fig. 2. For this reaction coordinate the barrier already occurs when the proton is delocalised between initial H_2O and the first solvation shell of the molecule. Nevertheless, in order to rule out further, potentially higher barriers later along the reaction coordinate we calculated the profile until the proton fully arrives in the second solvation shell. Our simulations show a barrier height between 160 and 200 meV which, given the already considerable thermodynamic cost of water oxidation, can easily make the difference between a working catalyst and an inert surface.

On-going Research / Outlook

Due to the size of the system and the necessary computational methodology, our simulations could only be conducted on Tier-0 computing resources in the first place. Not only available CPU-time but also limitations in memory per core are limiting the achievable accuracy, in terms of integration grid density and basis set size, even on SuperMUC Phase 2. Planned improvements to SuperMUC-NG will allow us to improve on our earlier studies in two ways. First, significantly improved memory will allow us to significantly lower systematic errors still present in our lower accuracy calculations. Second, and perhaps even more important. Improvements in computing speed will allow us to go beyond calculating barriers of just a single step in a whole reaction chain but explore the full reaction pathway. This in turn can help us to formulate predictive models for a greatly improved understanding of photo-electrocatalysis.

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Effect of electrolyte solution on standard reduction potentials of polyoxometalates

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Introduction

Polyoxometalates (POMs) are a class of nano-sized (early) transition metal compounds formed by oxyanions, Fig. 1. POMs exist in a variety of shapes, sizes, and compositions; they are stable in solution over a wide range of pH values and temperatures and they are able to undergo reversible multi-electron redox processes. POMs can be used in a variety of applications, e.g. chemical synthesis, materials science, electrochemistry, biology, medicine. Recently, the use of POMs has been suggested for large-scale energy storage, in particular in redox flow batteries (RFB). A high demand of energy storage technology results from the increasing use of sustainable resources, such as sun, wind, and ocean tides, whose output is not easily adapted to fluctuation in demand.

POMs of special interest are the so-called Keggin ions with structures of the type $[X_{M_2}O_{40}]^{n-}$. Replacing some metal centers M by another transition metal M' generates Keggin-type POMs where redox potentials due to the two types of metals are separated by up to a few Volts. As an example, we mention a V-exchanged W-based Keggin ion, a system which was shown to yield an aqueous 0.8 V battery that could be cycled 100 times [1]. Although the current densities of this prototype were measured at least one order lower than those of common RFBs, POMs based systems may offer a new approach to RFBs.

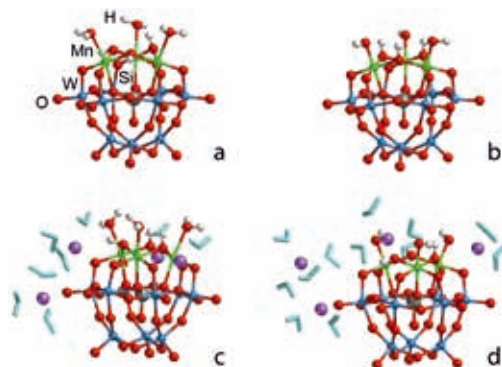


Figure 1: Bare POMs with all Mn centers in oxidation states III (a) and IV (b). Snapshots of partially solvated $Li_4[Mn(III)_3(H_2O)_3]$ (c) and $Li_4[Mn(IV)_3(OH)_3]$ (d). For an explanation of the short-hand notations, see the text.

Inspired by this new concept, another substituted POM, namely a tri-Mn substituted W-based Keggin ion, $[Mn(III)_3(OH)_3(H_2O)_3SiW_9O_{34}]^{4-}$, was recently introduced and examined electrochemically [2]. For this Keggin ion we modeled redox potentials for the redox pairs Mn(IV/III) and Mn(III/II) by means of quantum mechanical electronic structure calculations on the basis of density functional theory (DFT). Computational chemistry offers an approach complementary to experiment for characterizing the (electrochemical) properties of POMs.

Results and Methods

In general, the calculation of standard reduction potentials of transition metal centers is still a challenge. There are two main redox reaction mechanisms: proton coupled electron transfer (PCET) and cation coupled electron transfer (CCET). Modeling bare POMs without taking into account protons or counterions which neutralize the system leads to significant uncertainties in the absolute reduction potentials. Therefore, counterions have to be taken into account. Modeling the effect of the surrounding solution (solvation) is another source of uncertainties. A simple way of modeling solvation is to represent the solvent environment by a polarizable continuum (PC), e.g. as done in the COSMO model. Yet, this model might not be accurate enough for a reliable representation of the electrolyte field [3]. An improved approach is to surround the investigated POM and the neutralizing counterions by explicit water molecules, applying periodic boundary conditions. This environment is modeled at the quantum chemical level. Such a model system has a very large number of degrees of freedom, making it practically impossible to determine a global energy minimum. Instead, one aims at determining free energies at a chosen temperature. This implies a sampling of the phase space, carried out via molecular dynamics (MD). We combined both solvation treatments by taking snapshots from MD simulations and calculating reduction potentials for a system where the POM and its counterions, together with just a few explicit water molecules, are embedded in a PC. Our study shows that treating short-range solvation effects in this hierarchical way considerably improves the results.

Fig. 1 shows bare POMs (without counterions) with all Mn centers in oxidation state III ($[\text{Mn(III)}_3(\text{H}_2\text{O})_3]^{4-}$, Fig. 1a) and in oxidation state IV ($[\text{Mn(IV)}_3(\text{OH})_3]^{4-}$, Fig. 1b). Experiment suggests that Mn(III/II) reduction is of CCET type and Mn(IV/III) reduction exhibits the PCET mechanism. Therefore, the POM with Mn(IV) is deprotonated, compared to the POM with Mn(III).

We started by simulating the system $[\text{Mn(III)}_3(\text{H}_2\text{O})_3]^{4-}$ (Fig. 1a). The POM together with 4 Li^+ counterions was surrounded by water molecules in a box of $18 \times 18 \times 18 \text{ \AA}^3$. An ab Initio molecular dynamics (AIMD) simulation of this system with about 500 atoms was carried out using the parallelized plane-wave based Vienna Ab Initio Simulation Package VASP [4]. 448 cores were used to treat a few tens of picoseconds. One picosecond takes about 12 hours of real time at SuperMUC. During the first few picoseconds the energy has to be equilibrated among the various degrees of freedom. Subsequently, the production run is started, producing snapshots for the statistical analysis. Equilibrated systems are assumed to show stable positions of the Li cations around the POM. To study this, the number of Li-O bonds to the POM was analyzed, Fig. 2, calculating the moving average of CN (coordination number of Li-POM bonds). Initially, each Li cation was 4-coordinated to the POM. After $\sim 18 \text{ ps}$, 2 of the 4 Li cations stay 4-coordinated to the POM, the other two ions being only monocoordinated. In total 32 ps of AIMD were carried out, 14 of which were devoted to the production run where 10 snapshots were taken to determine the structure of a model cluster (POM, Li^+ counterions, and aqua ligands of the first coordination shell of each Li^+ , Fig. 1c). These snapshots were used for calculating standard reduction potentials by means of DFT with the hybrid exchange-correlation functional B3LYP using the software package TURBOMOLE [5].

We also modeled the POM with all Mn in oxidation state IV. Experiment suggests that oxidation of all Mn(III) centers to Mn(IV) proceeds via the PCET mechanism [2]. We carried out a computational experiment in which we simulated the oxidized system Mn(IV) and reduced the number of Li counterions to one, resulting in the model $\text{Li}[\text{Mn(IV)}_3(\text{H}_2\text{O})_3]$. This computational experiment showed a deprotonation of the POM and the number of released protons is the same as the number of Mn ions in oxidation state IV. In this way, we were able to confirm the PCET mechanism, suggested experimentally, for the Mn(IV/III) redox reaction.

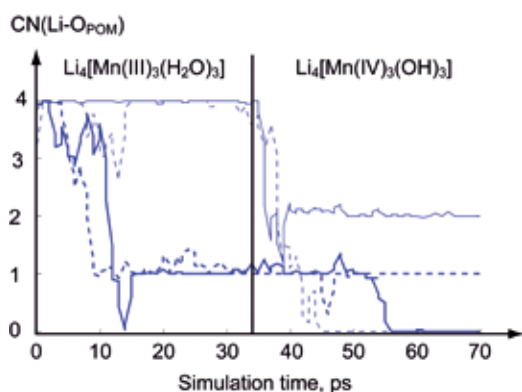


Figure 2: Moving average for CN of each Li cation to O centers of the POM. Shift 0.5 ps, width 1 ps.

Therefore, we removed three hydrogens from the equilibrated system $\text{Li}_4[\text{Mn(III)}_3(\text{H}_2\text{O})_3]$ in order to create $\text{Li}_4[\text{Mn(IV)}_3(\text{OH})_3]$. This fully oxidized POM with only Mn(IV) centers was equilibrated and a production run of more than 10 ps was carried out. The corresponding CNs of the Li ions to the POM are shown in Fig. 2 (right panel). Full equilibration of $\text{Li}_4[\text{Mn(IV)}_3(\text{OH})_3]$ results in two Li cations with no direct contacts to the POM, a monocoordinated and a bicoordinated Li^+ . The equilibrated state was reached at $\sim 55 \text{ ps}$ overall time, followed by another 15 ps production run. As for the system with Mn(III), during the last 10 ps of production run structure snapshots were taken (Fig. 1d).

U_{red}°	Model 1 [3]	Model 2	Exp. [2]
Mn(IV/III)	1.63 ± 0.04	0.77 ± 0.03	0.85 (pH 6)
Mn(III/II)	1.05 ± 0.07	0.55 ± 0.04	0.65

Table 1: Standard reduction potentials in eV, calculated according to Model 1 and Model 2. For details see text.

Standard redox potentials U_{red}° were estimated for two models: (1) POMs with Li^+ counterions four-coordinated on the surface of POMs and solvation modeled implicitly (COSMO) [3]; (2) snapshots of POMs with equilibrated positions of Li^+ and additional aqua ligands together with implicit solvation (COSMO). The resulting U_{red}° values decrease considerably due to Li equilibration, from 1.63 ± 0.04 to 0.77 ± 0.03 eV for Mn(IV/III) and from 1.05 ± 0.07 to 0.55 ± 0.04 eV for Mn(III/II), Table 1. The position of the Li^+ counterions closer to the POM in Model 1 creates a stronger positive electrostatic field, which makes electron addition (reduction process) easier and consequently U_{red}° higher. In Model 2 the Li^+ counterions are further away from the POM and also are partially explicitly solvated, shielding the positive electrostatic field of Li^+ counterions. Therefore, the calculated U_{red}° from Model 2 are lower. Note, U_{red}° changes from Model 1 to Model 2 differently, depending on the reduction step of Mn: by 0.86 eV for Mn(IV/III) and by only 0.5 eV for Mn(III/II). This can be rationalized by different local structures of the near-field electrolyte, Fig. 2.

In summary, with a standard PC solvation treatment, redox potentials are overestimated due to a poor representation of the electrolyte environment. Explicit (atomistic) modeling of the aqueous solution yields redox potentials in excellent agreement with experiment because it adequately reflects the effect of solvated counterions and the fluctuating aqueous medium. With these investigations we convincingly demonstrated how important it is for a reliable prediction of electrochemical properties of POMs to describe the electrolyte explicitly at the atomic level. Such good agreement between measured redox potentials and calculated values is unprecedented for such complex molecular charge carriers.

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Sorption of U(VI) by calcium silicate hydrate (CSH) phases

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Introduction

Sorption of actinides is regarded as a main retardation mechanism against transport of these hazardous elements in the environment. Cementitious materials as commonly used for solidification and as construction material in geological repositories for radioactive waste affect the release of many radionuclides. Calcium silicate hydrates (CSH) are major constituents of cement and its degradation products and have been identified as the main sorbing phase for actinide ions. To gain a mechanistic understanding of actinide sorption processes at the atomic scale, which is an important prerequisite for thermodynamic and transport modeling for safety considerations, quantum chemical (QC) calculations offer an alternative and direct approach, complementary to the often used spectroscopic methods. We study the sorption of U(VI), which forms the uranyl ion UO_2^{2+} at common conditions. Still under discussion, the structure of CSH is considered to be nano-crystalline or even gel-like. At least locally CSH phases are assumed to be similar to the mineral tobermorite for calcium-to-silicon (C/S) ratios below 1.5 and similar to jennite for higher C/S ratios. Aging cement undergoes decalcification where Ca cations leave the interlayer region, thus leading to lower C/S ratios. We model aged CSH by tobermorite, ideal and defective. Tobermorite consists of layers of calcium oxide, CaO, decorated with chains of silicate units, SiO_4 . The silicate chains show a periodicity of three units. Two SiO_4 tetrahedra are paired and directly connected to the CaO layer (“pairing” tetrahedra) and the third one connects

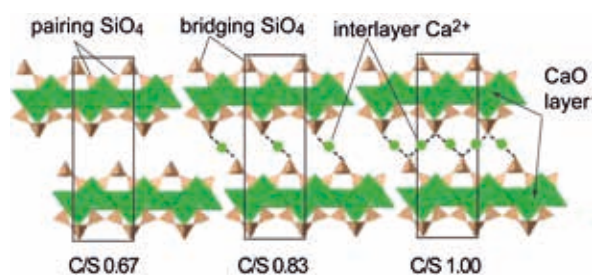


Figure 1: Schematic representation of tobermorite 14 Å for various C/S ratios. Green: CaO layer and interlayer Ca ions; brown: SiO_4 tetrahedra.

two pairing tetrahedra in bridging fashion (“bridging” tetrahedron, Fig. 1). We chose the variant of tobermorite which shows a layer separation of 14 Å. This is the most hydrated form of tobermorite with the chemical formula $\text{Ca}_{4+n}\text{Si}_6\text{O}_{14+n}(\text{OH})_{4-2n} \cdot 7\text{H}_2\text{O}$, $n = 0-2$. It exhibits a varying number of interlayer Ca^{2+} cations, Fig. 1, which define the C/S ratio. We studied ideal models of tobermorite with C/S ratios of 0.67, 0.83, and 1.0, Fig. 1, as well as defective variants with a low number of missing bridging SiO_4 moieties, resulting in slightly enhanced C/S ratios.

Results and Methods

We carried out QC calculations, applying a plane-wave density functional method as implemented in the program VASP [1]. The effect of core electrons was represented by the projector augmented wave (PAW) approach. Tobermorite bulk and surface systems were described by periodic supercell models, comprising 8 formula units (about 450 atoms) or four unit cells of the ideal tobermorite crystal (Fig. 1). A critical aspect in these calculations is the structure of the interlayer due to soft degrees of freedom of water molecules and OH groups. Incorporation of the UO_2^{2+} ion into the interlayer region or the removal of a unit of the silica chains may lead to rearrangements of the interlayer water molecules. To avoid biasing structures and energies of sorption complexes due to the initial structure of the interlayer, the relaxation of interlayer water has to be accounted for. In a previous study we have shown that reliable energies of U(VI) adsorption on solvated clay mineral surfaces are achieved by carefully equilibrating the water overlayer [2]. Thus, we applied ab initio molecular dynamics (AIMD) simulations for at least 4 ps with fixed lattice parameters for relaxing and “equilibrating” the soft degrees of freedom. This procedure requires ~224 cores on SuperMUC for ~46 hours. Next, the structure of the bulk system was optimized together with the lattice parameters. Then we cycled 1 ps of AIMD simulations with fixed lattice parameters and full optimization steps until subsequent optimization steps yielded total energies closer than 10 kJ/mol. A vibrational analysis was carried out for the converged structures.

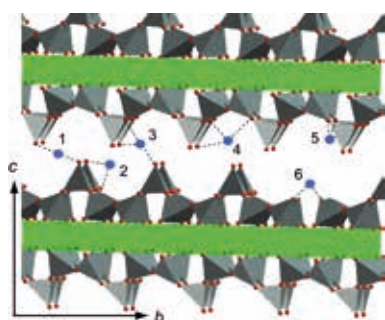


Figure 2: Schematic representation of sorption sites for UO_2^{2+} (blue dots) in the interlayer region of tobermorite which serves as model of CSH.

Ideal tobermorite bulk structures with various C/S ratios were modeled in this way. The resulting geometries agree well with experiment and are used as a reference. As a common defect and also to account for the low crystallinity of CSH, we studied structures with missing bridging SiO_4 units. The removal of such bridging tetrahedra is calculated to be endothermic, by 20–40 kJ/mol per unit. Compared to the ideal structure, defective tobermorite exhibits red-shifted Si-O related vibrational bands. Optimized structures of tobermorite were used to study the sorption of U(VI). To preserve charge neutrality after introducing UO_2^{2+} , we removed either two protons or a Ca^{2+} ion. For mineral models with the same C/S ratio the exchange of Ca^{2+} by U(VI) is energetically more favorable than the exchange of two protons. Therefore, we compensated the uranyl charge by Ca^{2+} exchange wherever possible. To find favorable sorption sites for U(VI), we considered places suggested by chemical intuition and in the literature. In addition, we carried out an automated search where we scanned the interlayer region for places yielding distances to substrate O, Si, and Ca centers in agreement with the results of X-ray absorption fine structure (EXAFS) data. The latter show typically U-O distances larger than 200 pm, U-Si distances of ~310 pm and ~370 pm, as well as U-Ca distances larger than 380 pm [3]. Water molecules and Ca ions in the interlayer region were not considered in the search rules.

By this elaborate search, we identified six absorption sites for U(VI) (Fig. 2), most of which include U(VI) coordination to the edge of a bridging SiO_4 moiety (sites 2–5, Fig. 2) resulting in a short U-Si distance of ~310 pm, as seen in experiment [3]. The bridging site 1 and site 6 at a silica tetrahedron defect exhibit U-Si distances of 340–370 pm, which tend to be somewhat shorter than the longer U-Si distance of 370 pm found in experiment [3]. For $C/S = 0.67$, site 1 is energetically most favorable. For higher C/S ratios, sites 3 and 4 as well as the defect site 6 are preferred. Thus, U(VI) favors also other places, not only replacing interlayer Ca ions. Comparison of absorption energies of sites shows a tendency to more endothermic values with increasing C/S ratio. Sorbed uranyl ions are mostly four-coordinated in the equatorial plane. With increasing C/S ratio, absorbed uranyl coordinates up to two OH ligands in the first coordination shell (instead of aqua ligands), bridging uranyl ions with interlayer Ca^{2+} cations. Values of all structural parameters are calculated as measured by EXAFS, but not all of them are simultaneously present in a single absorption complex. Therefore, we conclude that several species contribute to the EXAFS result.

To examine the absorption and absorption (incorporated into the interlayer region) of U(VI) in tobermorite, we studied the ideal and defective (001) surface by means of a slab model comprising a single mineral layer (Fig. 2). For this surface, we modeled C/S ratios varying from 0.67 to 1.2, which are independent from the corresponding bulk values. We probed monodentate and bidentate adsorption of U(VI) on silanol groups. Preliminary results show that bidentate coordination is favored over monodentate coordination. Uranyl adsorbed on the tobermorite (001) surface exhibits OH ligands in the first coordination shell, but these ligands are not necessarily bridging to Ca^{2+} cations. Structural parameters of the adsorption complexes and uranyl vibrational frequencies (Fig. 3) were found to be similar to the ones calculated for absorbed species. For the uranyl stretching vibrations a weak trend to lower frequencies was calculated for absorbed compared to adsorbed species, by ~7 cm^{-1} on average. The same tendency had been deduced from a recent fluorescence spectroscopy experiment [4]. Sorption energies, calculated as exchange of Ca^{2+} by UO_2^{2+} , are also comparable for ad- and absorbed complexes for substrates of the same C/S ratio. Thus, U(VI) species adsorbed at the (001) surface of tobermorite or incorporated in the tobermorite interlayer will not easily be distinguishable by geometric or vibrational parameters. Preliminary energy considerations suggest that both sorption mechanisms may occur simultaneously.

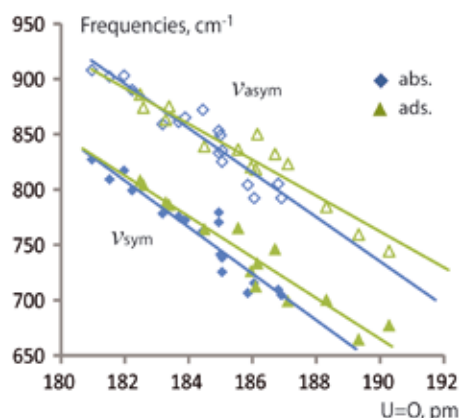


Figure 3: Symmetric ν_{sym} and antisymmetric ν_{asym} stretching frequencies of a uranyl ion sorbed in CSH.

On-going Research / Outlook

In this ongoing project which is supported by the German Federal Ministry for Economic Affairs and Energy (grant No 02E11415E) further sorption complexes will be examined for U(VI) and U(IV), including surface adsorption and incorporation into the CaO layer. These studies aim at the elucidation of the overall uranium sorption mechanism and possible redox reactions.

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Numerical simulations of topological and correlated quantum matter

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SuperMUC Project ID: pr94vu

Introduction

To unravel the complexity of the solid state, complementary state-of-the-art numerical approaches are required. In Würzburg, a number of researchers embedded in the SFB 1170 on Topological and Correlated Electronics at Surfaces and Interfaces, are in the unique position of mastering a number of very different and complementary methods which will allow for progress. On one hand one can start with density functional theory in the local density approximation and then add dynamical local interactions using the so called dynamical mean-field approximation. This approach has the merit of being material dependent in the sense that it is possible to include the specific chemical constituents of the material under investigation. Progress in this domain will be described below. Spatial fluctuations alongside temporal ones are crucial to understand a number of materials. In this project, we will concentrate on magnetic materials in three spatial dimensions, and test the new pseudo-fermion functional renormalization group (PFFRG) method to understand aspects of frustrated magnetism in three spatial dimensions. Here, the approach will be tested against exact QMC simulations. Testing the quality of this tool is important due to its potential application in the domain of Iridates.

Access to the LRZ supercomputing center is imperative to carry out the relevant simulations on a wide range of models and to cover the parameter space. In all cases, access to supercomputers allows to carry out simulations on larger and larger system sizes, enabling extrapolation to the thermodynamic limit which is relevant for the understanding of experiments and collective phenomena.

To carry out the relevant simulations we require in total 34 Mio cpu hours. The details how they will be used will be described in the following sections.

The magnetic fluctuation profiles of 3D frustrated magnets

The search for unconventional phases of matter, such as quantum spin liquids, is one of the fundamental and most debated issues in condensed matter physics. Traditionally, frustrated quantum magnets in 2D are a promising arena to look for such topological phases [1]. However, tremendously exciting developments and discoveries of exotic magnetism and spin liquid physics have been made experimentally in 3D frustrated quantum magnets. In particular, neutron scattering experiments on Hyper-Kagome ($\text{Na}_4\text{Ir}_3\text{O}_8$), Hyper-Honeycomb (Li_2IrO_3), and various Pyrochlore and Double-Perovskite systems have revealed a novel magnetic fluctuation profile, which has so far lacked any theoretical understanding. This is because of a complete absence of any numerical quantum manybody method which can be used for a microscopic investigation of 3D systems, for a system size large enough to make connection with ex-

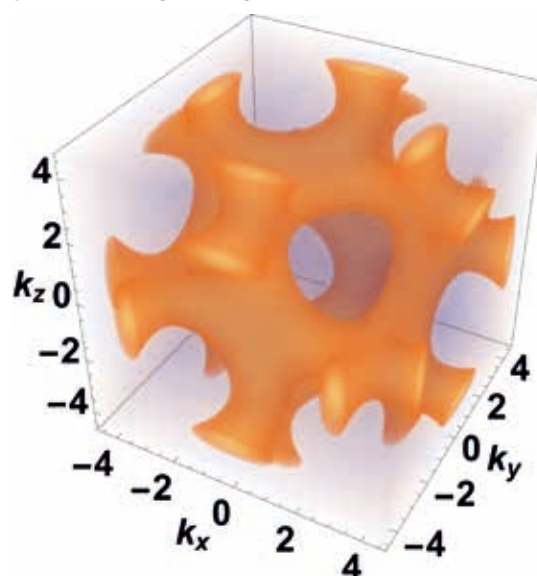


Figure 1: Representative magnetic fluctuation profile of the spin liquid in the spin-1/2 Cubic lattice Heisenberg model

perimental data. However, the very recent development and successful application of the pseudo-fermion functional renormalization group (PFFRG) method to record large (>4000 sites) 3D systems [2] has shown it to be a promising approach, able to access system sizes which are an order or magnitude larger than those achievable by other methods; this is made possible by a straightforward parallelizability of the RG flow equations. However, its successful application proves to be computationally extremely intensive and requires massive parallelization of the RG flow equations. To exploit this property in all its potential we need access to a large supercomputer like the SuperMUC. Given these resources our future goal is to explain the observed magnetic fluctuation profile of 3D frustrated magnets (see Fig. 1) using spin models of magnetism which are known to accurately capture the physics. To carry out this study we plan to investigate 9 materials/model Hamiltonians, where for each of them we plan to run 200 simulations to study the parameter space. This amounts to a resource usage of around 2.4 Million CPU hours/model. Therefore, these projects need, in total, resources of 21.6 Million Hours.

These efforts are coordinated with the *ab-initio* DFT calculations in the group of Prof. G. Sangiovanni, in order to understand the microscopic origin of the couplings in the materials and to provide estimates of the same, which form the starting point of our FRG calculations. In the unfrustrated regime, we plan to carry out large-scale and systematic quantitative benchmarking of PFFRG with Quantum Monte Carlo calculations coordinated together with the efforts in the group of Prof. F. F. Assaad, and a first such study has already been done [2].

Ab-initio study of iridate heterostructures

Iridium is also the protagonist of another fascinating line of research of the many-body physics of 5d correlated electrons. Its spin-orbit interaction competes indeed so strongly with the Coulomb repulsion, that compounds differing only in small structural details can display dramatically different electronic and magnetic properties. This is for instance the case of perovskite-like iridium strontium-oxides of the Ruddlesden-Popper series $Sr_{n+1}Ir_nO_{3n+1}$: While the $n=1$ (214) compound is a quasi-two dimensional Mott system and the $n=2$ (327) a narrow-gap antiferromagnetic insulator, the $n=\infty$ (113) is a correlated metal on the verge of a ferromagnetic instability [3].

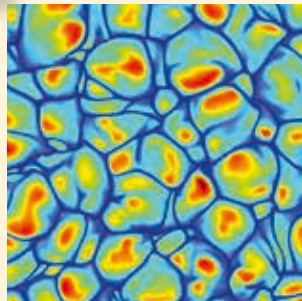
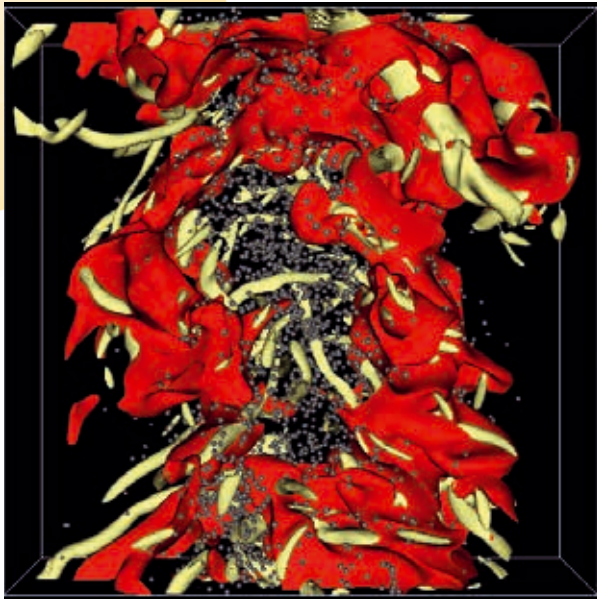
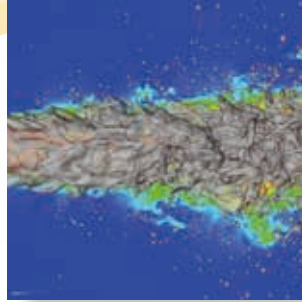
Nowadays a big effort focuses on controlling and tuning these properties by heterostructuring iridium oxides and growing them on different substrates. The success or the failure of these attempts is strongly bound to our ability of making reliable theoretical predictions. The physical effects playing a role in this class of materials are many, from strong mass enhancement of electronic quasiparticles, to sizeable scattering due to electron-electron interaction, from magnetic instabilities to spin-orbit-driven physics. For this reason, the theoretical modeling is extremely challenging and it requires large-scale computational resources, like those available at the Leibniz Supercomputing Centre with the SuperMUC HPC-system.

We are going to set up Density Functional Theory (DFT) calculations of $SrIrO_3$ -films on $SrTiO_3$ [4], in close contact with the group of Ralph Claessen at the University of Würzburg, where these heterostructures are currently grown and experimentally investigated. To accommodate the out-of-plane tilting of the oxygen-octahedra and the epitaxially grown films, the unit cells required contain more than 150 atoms. The atomic relaxation in the presence of magnetism and interactions due to the Hubbard interaction with strength U are therefore going to be extremely heavy, considering in particular the fact that the calculations include spin-orbit coupling. Since we need a correct description of the paramagnetic phase above the magnetic ordering temperature, approaches beyond DFT are highly desirable. This poses even more technical challenges. We plan to address them by means of our hybridization-expansion continuous time quantum Monte Carlo code for Dynamical Mean Field Theory (DMFT) calculations called “*w2dynamics*” [5]. We highly optimized our code package over the years to treat systems that have a size at the border of feasibility. It is already installed on SUPERMUC and shows high performance and perfect scalability. The DFT calculations will be done with VASP (already installed and optimized on SuperMUC) and, due to their size and the spin-orbit coupling, require of the order of 500 cores. The heterostructures we need to simulate differ for the number of Ir-layers which ranges from 1 to 6. For each of these cases we will need to explore different magnetic orders, different terminations, oxygen vacancies and clusters thereof. Considering that the largest cases will need several restarts over the 24-hour time, we foresee of the order of 70 simulation for each of the six thicknesses. This means 400 simulations, that for 24 hours on 512 cores correspond to 5 Mio core hours. DFT+DMFT calculations will not be done for each of these structures. Since however they require a paramagnetic DFT run as a starting point, some of the DFT calculations will have to be repeated without magnetic order. For this we estimate a fifth of the previous estimate, i.e. 1 Mio core hours. From the current experience with our *w2dynamics* CTQMC package on SuperMUC, we need 512-core jobs for low-temperature five-orbital CT-HYB with full Coulomb interaction. Good DMFT convergence for such big setups requires of the order of two or three restarts over the 24-hour time.

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Computational Fluid Dynamics and Engineering



Investigation of Vehicle Wheels Aerodynamics

using DoE-based Computations and Experiments

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Introduction

With constantly growing fuel prices and toughening of environmental legislation, the vehicle industry is struggling to reduce fuel consumption and decrease emission levels for the new and existing vehicles. One of the ways to achieve this goal is to improve aerodynamic performance by decreasing aerodynamic resistance.

Rotating wheels together with the wheelhouses can produce up to 25% of the total aerodynamic drag. Furthermore, there are power losses associated with the resistance moments acting on the wheels; originating from the relative movement of the wheels in the air.

The improvement of vehicle aerodynamics requires the tools of aerodynamics development to perform at ever-increasing levels of accuracy. Computational Fluid Dynamic (CFD) is very important due to the complexity of problems and accuracy required. Requirements of CFD are high process integration to keep pace with vehicle development cycle and the accuracy of results must be reliable, especially where no experiments are available.

The simulations of full car in a computational domain have been studied with rotating wheels and different parameters. And the forces and moments were calculated. The simulations were carried on on the super computer of Munich (superMUC) in Leibniz Supercomputing Center.

Preliminary Results and Methods

The DrivAer model was selected for the project. The DrivAer model is developed at TU München in cooperation with the automotive industry companies BMW and Audi. The experiments reported in this project were executed in the Wind Tunnel A of the Institute of Aerodynamics and Fluid Mechanics at Technical University Munich, a 1:2.5 model wind tunnel with a blockage ratio 8%. The test section is 4.8m long, the cross section of nozzle exit is 4.32m². Vortex generators are installed at the nozzle exit to reduce the pressure fluctuations induced by the developing shear layers. The maximum wind speed is 65m/s. Four different

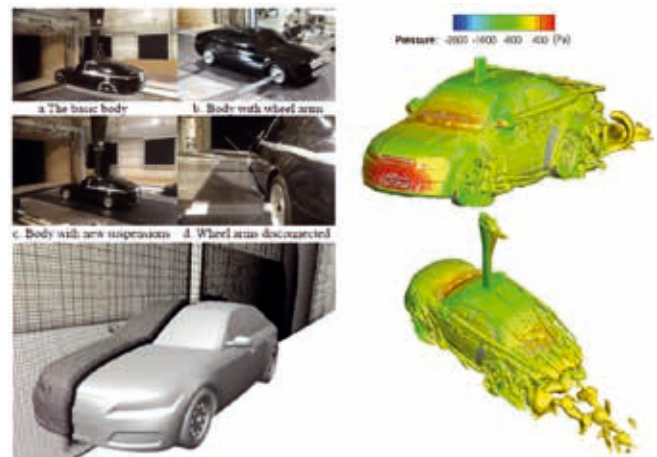


Figure 1: Four different Setups in wind tunnel, Meshing and Iso-surface of pressure distribution

Setup experiments were studied, which would be used to validate the simulation results.

For the numerical investigation, the open source code OpenFOAM was chosen. The customizability of open-source software, along with the absence of licensing restrictions, is increasing its presence in the engineering and research environments [1]; the user has the choice of technology provider. Full transparency of technology permits complete analysis and solves problems, which is very flexible for calculating the ventilation moment of the rotating wheels.

The pressure-velocity coupling in the present work is realized using the SIMPLE algorithm implemented in simpleFoam. For the Reynolds Averaged Navier Stokes Equations (RANS) simulations, the $k-\omega$ -SST (Shear-Stress-Transport) model following Menter [2] was chosen. The ω -equations has significant advantages near adverse pressure gradient flows, leading to improved wall shear stress. The SST model combines the $k-\omega$ model near the wall and the $k-\epsilon$ model away from the wall as a unified two-equation turbulence model. It was developed for external aerodynamic flow simulation and has shown to be

superior to other two-equation models in view of separation, lift and drag prediction.

The simulations were conducted at the velocity $u = 41.2$ m/s with rotating wheels, the turbulent intensity is 0.4% and the turbulent length scale is 1.5mm, which are in line with the inlet conditions during the wind tunnel experiment. To prevent backflow into the domain, the velocity boundary condition at the outlet was set to an inletOutlet condition. A no-slip boundary condition was enforced at the walls and a symmetry boundary condition was chosen for the wind tunnel buffer.

First, the results of the body-shell alone without wheels and mirrors were compared to the simulation. It can significantly reduce the turn-around time for optimizing the numerical schemes and boundary conditions with the basic body, with less and easier cells regardless of the wheels. The model was positioned $x=2000$ mm downstream of the nozzle exit and the tests were conducted at a Reynolds number of $Re=5.2$ Mio, which corresponds to a freestream velocity of about 45 m/s. All the tests are conducted under moving ground. Tab.1 shows the comparisons of the drag and lift coefficients with the experiments under the moving ground condition. The simulations were computed in an idealized box. On the whole, good accuracy is obtained for the prediction of drag coefficient over different range of vehicles. The simulation has the best agreement with the experiment for sedan car, the station wagon.

	Rear end	Experiment	CFD
Cd	FB	0.117	0.118
	NB	0.129	0.129
	SW	0.192	0.189
delta-Cd	FB-NB	-0.012	-0.011
	FB-SW	-0.075	-0.071
	NB-SW	-0.063	-0.060
Cl	FB	-0.345	-0.367
	NB	-0.370	-0.398
	SW	-0.494	-0.522
delta-Cl	FB-NB	0.025	0.031
	FB-SW	0.149	0.155
	NB-SW	0.124	0.124

Table 1. comparison of drag and lift coefficients of different vehicle rear end between wind tunnel tests and simulation results, FB(fastback) NB(notchback)SW(station wagon)

The chosen wall function for the viscosity term imposes a continuous vt profile near the wall based on the velocity, as proposed by Launder and Spalding [3]. To solve the transport equations, a basic second-order scheme linearupwind discretization was implemented for the divergence terms. To compute the velocity components from the divergence term the Gauss bounded linearUpwindV scheme has been chosen. The Gauss linear scheme, a basic second-order gradient scheme using the Gauss theorem and face interpolation, was chosen as the base gradient scheme for the simulations. Gauss limited was

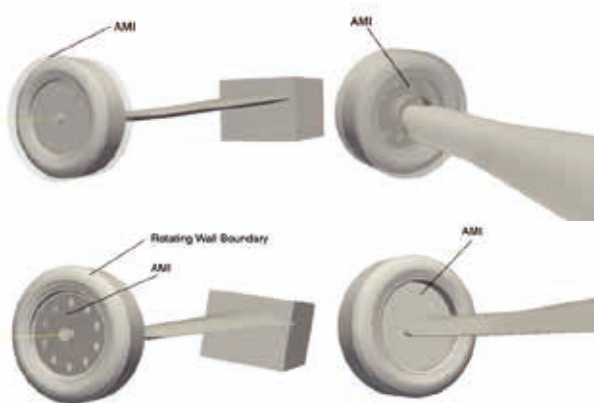


Figure 2. AMI of two different methods with sliding mesh In the next step, the full car simulations with DDES and sliding mesh is studied, in order to study the influence of wheel parameters, based on the DoE plan, there are 25 configurations covering 15 parameters of a automotive wheel, as seen in Fig.3.

chosen as the snGradSchemes based on the mesh information, normally when orthogonality bigger than 60, and cellimited Gauss linear scheme was used for gradSchemes, in order to avoid unphysically oscillation.

In this project it addresses the accuracy of different methods to simulate the wheel rotating, like the multiple reference frame (MRF) and two ways using sliding mesh, seen in Fig.2, and also the differences between Reynolds-averaged Navier-Stokes equations (RANS) and Delayed Detached Eddy Simulation (DDES), all compared with particle image velocimetry (PIV) data and drag forces for an isolated rotating wheel. The sliding mesh case wheel on ground gives a similar flow field like the MRF-DDES case. The flow filed around spokes in sliding mesh case is more rotating-symmetry than the MRF case. A full parametric wheel was used, seen in Fig.3. Different parameters of the Wheels can be changed for the simulations.



Figure 3. Fully Parametric Wheel based on DoE plan.

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Three-dimensional reacting shock-bubble interaction

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Introduction

The efficient mixing of fuel and oxidizer is essential in modern combustion engines. Especially in supersonic combustion the rapid mixing of fuel and oxidizer is of crucial importance as the detention time of the fuel-oxidizer mixture in the combustion chamber is only a few milliseconds [1]. The shock-induced Richtmyer-Meshkov instability (RMI) promotes mixing and thus has the potential to increase the burning efficiency of supersonic combustion engines [2]. However, the shock wave, necessary to induce RMI, causes a second effect in a reactive

gas mixture. The compression and temperature increase over the shock front can ignite the gas mixture, followed by a subsonic deflagration or a supersonic detonation wave. The reaction wave in turn interacts with the RMI, which affects the flow field evolution and the mixing significantly.

Our LRZ project [3] is used for three-dimensional simulations of a reacting shock-bubble interaction (RSBI) to study the interaction between RMI and shock-induced reaction waves. A planar shock wave propagates through a gas bubble filled with a reactive gas mixture. The ba-

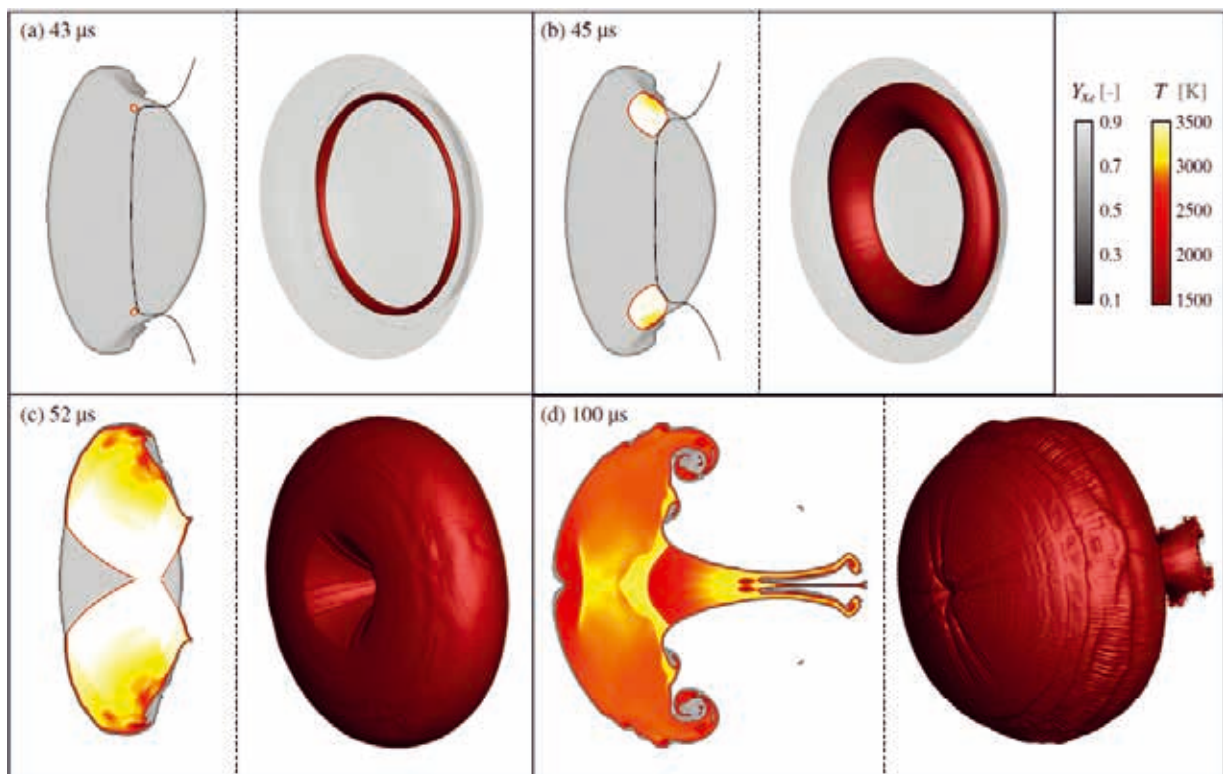


Figure 1: Ignition and detonation wave propagation in a RSBI with a shock Mach number of $Ma = 2.83$. For two-dimensional plots: gray color scale shows the xenon mass fraction, red-yellow color scale the temperature with a cutoff at $T = 1500$ K. Black lines in (a) and (b) depict the initial shock wave, propagating from left to right. For three-dimensional plots: red isosurface depicts the temperature at cutoff level of $T = 1500$ K, gray isosurface illustrates the bubble shape ($Y_{Xe} = 0.1$) [7].

roclinic vorticity generated at the interface causes the bubble to evolve into a vortex ring. Upon contact, the incident shock wave is partially reflected and partially transmitted. In our setup of a convergent geometry (a heavy gas bubble surrounded by a light ambient gas) the transmitted shock wave propagates at a lower velocity than the incident shock wave. Hence, the transmitted shock wave is deformed such that it is focused at the downstream pole of the bubble. Pressure and temperature increase at this shock focusing point, which is sufficient to ignite the gas mixture.

Our setup contains a gas bubble filled with a stoichiometric composition of Hydrogen (H_2), Oxygen (O_2) and Xenon, surrounded by pure Nitrogen. The H_2 - O_2 reaction is highly pressure sensitive. Previous two-dimensional studies have already shown promising results by the variation of the initial pressure and the shock Mach number [4,5]. For the three-dimensional study we use a shock Mach number of $Ma = 2.83$ to trigger detonation. The influence of the reaction wave on the mixing and the spatial evolution of the bubble is studied in detail.

Numerical Method

We use the parallelized numerical framework INCA [6] on the SuperMUC to solve the full set of compressible reacting multicomponent Navier-Stokes equations. The 2nd-order accurate Strang time splitting scheme is used to separate the stiff source term, containing the chemical reaction kinetics, from the Navier-Stokes equations, which results in a system of partial differential equations (PDE) and a system of stiff ordinary differential equations (ODE). The time integration for the PDE system is realized by the 3rd-order total variation diminishing Runge-Kutta scheme. The numerical fluxes at the cell faces are reconstructed from cell averages by the adaptive central-upwind 6th-order weighted essentially non-oscillatory (WENO-CU6) scheme. The 5th-order backward differentiation formula is applied to solve the stiff source term of the ODE, containing the chemical reaction kinetics. A complex H_2 - O_2 reaction mechanism with eight species and 19 intermediate reactions is chosen to provide accurate results.

The simulations are performed at a resolution of 140 points per radius (ppr) in the fine region of the grid, which amounts to a total number of 115 million cells. More than 10.000 cores consumed approximately 5 million CPUh for a single simulation.

Results and Methods

The ignition spot and the propagation of the reaction wave is shown in Fig. 1. Each set of isosurface and isocontour plots contains a two-dimensional slice and a three-dimensional rendering of the RSBI. Figure 1 (a) shows the bubble shortly after ignition. The solid line represents the initial shock wave, propagating from left to right. The gas mixture is ignited directly behind the shock wave after a short induction time and propagates as a combustion ring through the bubble gas. At the early stage of combustion the reaction wave spreads radi-

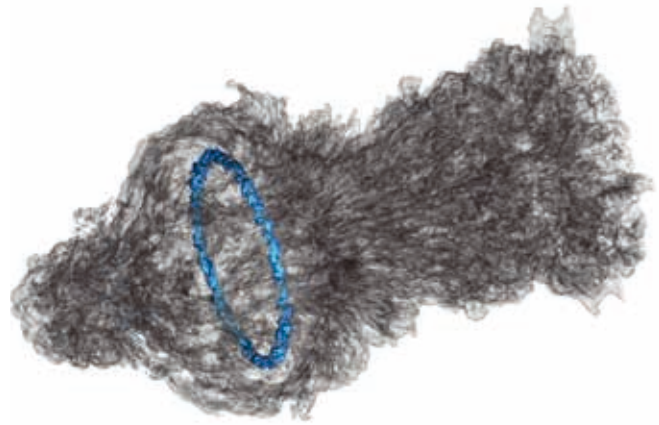


Figure 2: Vortex ring with Widnall-type instability in the long-term evolution.

ally in all spatial directions, see Fig. 1 (b). After approximately $10 \mu s$, the reaction wave has consumed most of the bubble gas and a toruslike region of burned gas is formed, which is outlined in Fig. 1 (c). The last set of isosurface and isocontour plots in Fig. 1 (d) shows the RSBI at $t = 100 \mu s$. The H_2 - O_2 mixture has been burned, shock reflections cause a complex temperature field inside the bubble and the roll-up with the formation of the main vortex ring is initiated. The propagation of the detonation wave towards the shock-focusing point and the subsequent blow out of bubble gas leads to a characteristic jellyfish-like structure of three-dimensional RSBI.

Additionally we observe that the main vortex ring becomes unstable at late stages. Figure 2 shows the vortex ring at $t = 600 \mu s$, destabilized by azimuthal bending modes. Our observations are in very good agreement with the results of Klein et al. [8]. They also observed that their shocked sphere undergoes an azimuthal bending mode instability, which is analogous to the Widnall instability [9]. Furthermore a restriction for the growth of Widnall-type instabilities is observed by Hejazialhosseini et al. [10]. The Atwood number has to be larger than 0.2 to induce the azimuthal instability, which is fulfilled in our setup with an Atwood number of $A = 0.476$. The destabilized vortex ring significantly affects the mixing process at late stages of evolution.

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Numerical investigation of turbulent heat transfer in a high aspect ratio cooling duct

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SuperMUC Project ID: pr48me

Introduction

The objective of project D4 within the SFB/Transregio 40 [1] is the development of numerical tools in the context of Large-Eddy Simulations (LES) for the investigation of turbulent heat transfer in nozzle cooling channels of rocket engines.

The turbulent flow and heat transfer in high aspect ratio (AR) cooling ducts is of great interest for many engineering applications ranging from ventilation systems to rocket engines. Cooling duct flows are strongly influenced by secondary flows. The literature distinguishes between the curvature induced Prandtl's flow of the first kind and the weaker turbulence induced Prandtl's flow of the second kind. The latter is the focus of the present study of a straight cooling duct. Even though the secondary flow is relatively weak with 1-3% of the bulk flow velocity u_b , it exhibits a strong influence on the momentum and temperature transport. To gain a deeper understanding of cooling duct flows, a combined experimental-numerical experiment has been conducted in cooperation with SFB project partners from the technical university of Braunschweig, see Rochlitz et al. [4] and Kaller et al. [5]. In the first step, the focus of the analysis was on straight high aspect ratio cooling ducts. The flow field was experimentally investigated using Particle Image Velocimetry (PIV) and Particle Tracking Velocimetry (PTV) and numerically using a wall-resolved LES.

The investigated duct setup has a cross-section of 6 mm width and 25.8 mm height resulting in an aspect ratio of $AR = 4.3$. The duct is operated with liquid water at a bulk temperature of $T_b = 60^\circ\text{C}$, a Reynolds number of $110 \cdot 10^3$ and an average Nusselt number of 371. The temperature at the lower wall is kept at a constant $T_w = 100^\circ\text{C}$, whereas the remaining walls are adiabatic. The flow is first pumped through a 600 mm unheated feed line before entering the equally long heated straight test section. In the simulation the feed line is modelled as a short adiabatic periodic duct section serving as a turbulent inflow generator and the test section is spatially fully represented, see fig. 1.

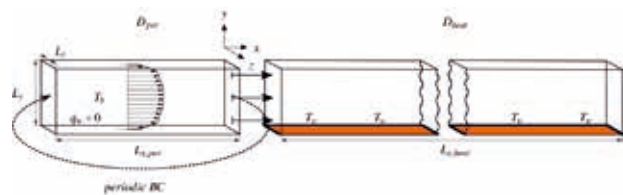


Figure 1: LES cooling duct setup, reproduced from [5].

Numerical Method

We solve the 3D incompressible Boussinesq equations with our in-house LES code INCA [2]. The temperature is treated as an active scalar. The temperature and density dependent thermodynamic properties of the fluid are obtained using the IAPWS correlations.

The transport equations are discretized by a fractional step finite-volume method on a block structured, staggered Cartesian grid. As time advancement method an explicit third-order Runge-Kutta scheme is applied, while the time-step is adjusted dynamically to maintain a maximum Courant number of 1.0. For discretizing the pressure Poisson equation and the diffusive fluxes, second-order accurate central difference schemes are implemented. The pressure Poisson equation is solved in every Runge-Kutta substep using a Krylov subspace solver with an algebraic-multigrid preconditioner for convergence acceleration. For discretizing the convective fluxes, the Adaptive Local Deconvolution Method (ALDM) is used. ALDM is a non-linear finite volume method that provides a physically consistent subgrid-scale turbulence model for implicit LES, see Hickel et al. [3]. In order to reduce numerical costs and have a sufficiently high wall resolution, we apply a 2:1 connection between the ducts boundary layer and core blocks. The grid resolution of the present study is 280 Mio cells and the simulations were conducted on up to 7100 cores of SuperMUC Phase 2. The grid used for the LES was determined by an extensive grid sensitivity analysis.

Results

The comparison of experimental PIV and numerical LES duct center results shows a good agreement of the velocity profiles and satisfactory agreement for the Reyn-

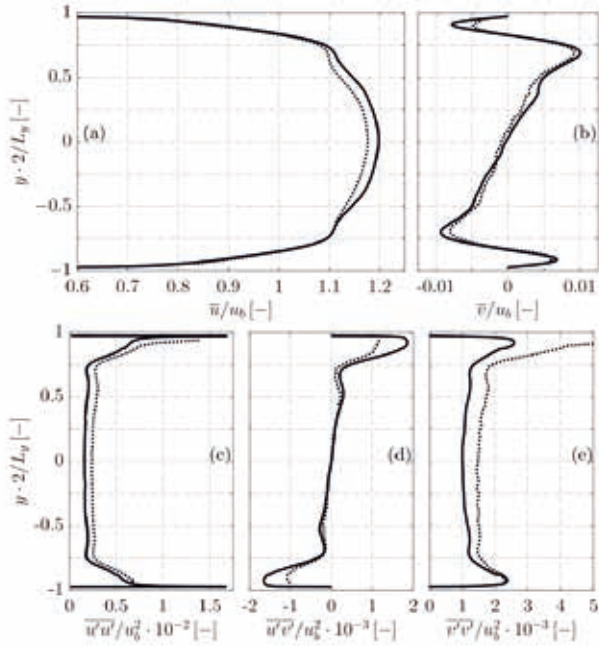


Figure 2: Comparison of experimental (dotted) and numerical (solid) results for the heated duct for (a)/(b) streamwise and wall-normal velocity and (c)-(e) Reynolds stresses along the duct center line, reproduced from [5].

olds stresses, see fig. 2. The latter exhibit larger deviations due to measurement noise and a slight asymmetry of the experimental data.

Figure 3 focuses on the lower quarter of the duct at the heated wall. In the top frame the typical secondary flow structures are depicted. In each duct corner a relatively weak pair of counter-rotating vortices forms. In the middle frame, fig. 3 (b), the temperature distribution in the duct end section at 600 mm is shown. The influence of the secondary flow becomes apparent by the typical bent shape of the temperature profile. Even though the temperature increase is relatively moderate the associated viscosity decrease is not. Locally the kinematic viscosity may drop up to $\nu(T_w)/\nu(T_b) = 0.62$.

In fig. 3 c), we observe, that the viscosity drop leads to a weakening of the secondary flow strength of up to 25%. As the secondary flow is a consequence of the anisotropy of the Reynolds stress tensor and is connected to the turbulent ejection mechanism, we had a deeper look into how the turbulent flow field is affected by the asymmetric wall heating. We found, that the turbulent fluctuations in all directions become weaker. This seems at first counter-intuitive, but is in accordance with observations made by other groups. It has been demonstrated in the literature, that the fluctuations become weaker as the reduced viscosity has a stabilizing effect on the boundary layer. Also the turbulent ejection mechanism becomes weaker in size as well as intensity explaining the reduced strength of the corner vortices in the end section compared to the adiabatic case. Furthermore, the turbulence anisotropy in the vicinity of the heated walls, especially in the duct corners, is reduced slightly giving another explanation of the secondary flow weakening. Moreover,

we compared turbulent length scales in the end section of the heated duct with those in the adiabatic case and observed a significant reduction of $\sim 9\%$ in streamwise integral length scales in the immediate vicinity of the heated wall.

Outlook

In further investigations we will include the effects of the curvature induced secondary flows by adding a heated bent section after the 600 mm straight duct duct – both in the experiment and the LES. Based on the current results we develop a LES wall model for cooling duct flows to reduce numerical costs and hence allowing for the simulation of more realistic configurations. Furthermore, we will focus on cooling duct configurations relevant for rocket engine applications using kryogenic hydrogen as working fluid. This requires the application of real gas thermodynamics developed in another SFB/Transregio 40 partner project.

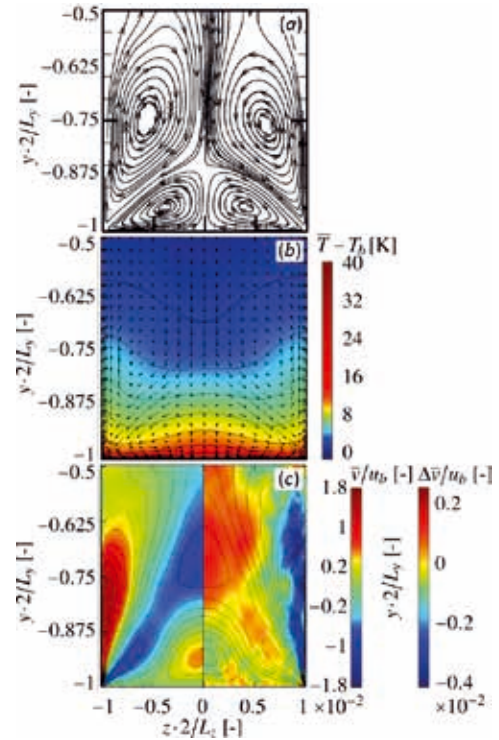


Figure 3: Corner vortices, temperature distribution and wall-normal component of the secondary flow velocity (left half) and its change due to the heating (right half) in the lower quarter of the duct at 600 mm, reproduced from [5].

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Towards Large-Eddy Simulation of Primary Atomization of Liquid Jets

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Introduction

Atomization describes the disintegration of a liquid core into a large number of droplets. In order to improve the design of industrial devices, predictive computational methods are desired. Whereas models for secondary atomization (drops or small liquid structures collapsing into smaller drops) are well established, primary breakup remains the major deficiency for predicting atomization by numerical tools. Especially in the vicinity of the liquid core, where experimental access is limited, numerical simulations help to gain insights in the mechanisms of turbulent liquid jet breakup.

Progresses in numerical methods allow computations of primary breakup by means of Direct Numerical Simulation (DNS), at least for academic cases at moderate Reynolds and Weber number. The wide range of time and length scales results in excessive computational costs and DNS for industrial devices will remain out of scope in the near future. Due to its ability to resolve large scale structures, Large-Eddy Simulation (LES) provides a good compromise in terms of accuracy and computational effort. LES for multiphase flows including a sharp phase interface remains as of this day a largely unexplored area. Because of the lack of spatial resolution not only turbulent but also interfacial structures remain subgrid. The complex coupling between turbulence and the phase interface at the unresolved scale needs to be modeled. The development of next generation models for large scale multiphase flows using input from DNS results is one of the most urgent challenges.



Figure 1: Gas-liquid surface of a spatially developing round jet with $Re=5,000$ and $We=2,000$.

The project primarily aimed at the generation of a DNS data base of multiphase primary atomization. The DNS data served as starting point for the development of an LES framework. On the one hand, the fully resolved DNS flow fields were used for a-priori subgrid scale analysis, on the other hand, DNS flow statistics will be needed for the a-posteriori evaluation of the developed LES code. In order to avoid the development of closure models which are only valid for one specific configuration, a parameter study is realized for the DNS data base. Finally, within this project a new method to generate turbulent inflow data has been developed in order to allow realistic DNS computations.

Results and Methods

The one-fluid formulation of the incompressible isothermal Navier-Stokes equations is solved with the open source code “PARIS Simulator” [1]. The phase interface is advected by a geometrical volume-of-fluid method. Numerical methods and algorithms are explained in Tryggvason et al. [2]. The computational grid typically consisted of approximately 1.3 billion cells. The simulations were run on 9,216 cores. Highest grid resolutions included a 2.1 billion cells run on 16,384 cores. In total, the project demanded approximately 18 million core hours. All simulations were run on phase 1 of SuperMUC.

Round and plane jets were analyzed. The Reynolds and Weber number were varied between $Re=2,000-10,000$ and $We=2,000-5,000$ respectively. The influence of the density and viscosity ratio between the gas and the liquid phase was investigated. Material parameters were chosen to represent a diesel injection. Figure 1 shows the primary breakup of a round jet. After turbulent injection, the jet immediately starts wrinkling. These corrugations grow, ligaments are being stretched and droplets are formed.

In order to collect a sufficient amount of independent samples to compile flow statistics, 15 flow-through times based on the centerline velocity were computed. Figure 2 exemplarily depicts statistics of jet breakup. The axial evolution of the jet half width and the center-

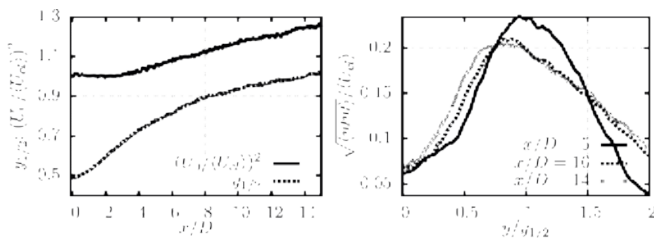


Figure 2: Flow statistics. Left: Axial evolution of the jet half width and the centerline velocity. Right: velocity fluctuations in lateral jet direction.

line velocity are plotted on the left and velocity fluctuations in lateral direction for different axial positions are shown on the right.

The DNS flow field has been used for the development of LES models by means of a-priori analysis. A-priori analysis of the fully resolved flow field allows the identification of the most impacting subgrid scale parameters and provides helpful knowledge for modeling small scale effects. An order of magnitude study allowed a ranking of the subgrid scale terms by order of relative importance. Additionally, the impact of varying flow quantities e.g. density ratio, Reynolds and Weber number, on the subgrid scale could be identified.

For the most important subgrid scale terms, closure models have been proposed. A-priori analysis allows the assessment of closure models with respect to explicitly filtered DNS data. The accuracy of closure models has been extensively studied [3]. Existing modeling ideas from single phase flow, combustion and wall modeling have been transferred to multiphase flow. The detailed flow data from DNS computations enabled the development of two new closure models for the subgrid scale stress and for the scalar flux [3]. The new models performed equally or better than a variety of existing models that had been tested.

A crucial issue for successful numerical prediction of primary breakup is the prescription of realistic turbulent inflow at the injection nozzle. DNS and LES of spatially inhomogeneous flows strongly depend on turbulent inflow boundary conditions. Realistic coherent structures need to be prescribed to avoid the immediate damping of random velocity fluctuations. A new turbulent inflow data generation method based on an auxiliary simulation of forced turbulence in a box has been developed [4]. The new methodology combines the flexibility of the

synthetic turbulence generation with the accuracy of precursor simulation methods. In contrast to most auxiliary simulations, the new approach provides full control over the turbulence properties and computational costs remain reasonable. The lack of physical information and artificiality attested with pseudo-turbulence methods is overcome since the inflow data stems from a solution of the Navier-Stokes equations. The generated velocity fluctuations are by construction divergence-free and exhibit the well-known non-Gaussian characteristics of turbulence.

On-going Research and Outlook

The project aims at the establishment of an LES solver for multiphase flow in order to predict the breakup of a liquid jet. The a-priori developed and assessed closure models are implemented in the LES code and are further analyzed by a-posteriori LES computations. The LES framework is evaluated by comparing first and second order statistics as well as droplet size distributions with the high resolution DNS results and first results have been presented in [5].

Due to the lack of a Kolmogorov scale equivalent for interfacial structures, the resolution demands for multiphase DNS are still topic of current research. A grid convergence study for atomization reached no convergence of the droplet probability density distribution, even for excessively refined grids far beyond the Kolmogorov scale. A follow-up project is planned to develop a mesh resolution criterion for multiphase DNS, equivalent to the Kolmogorov scale in single phase flows.

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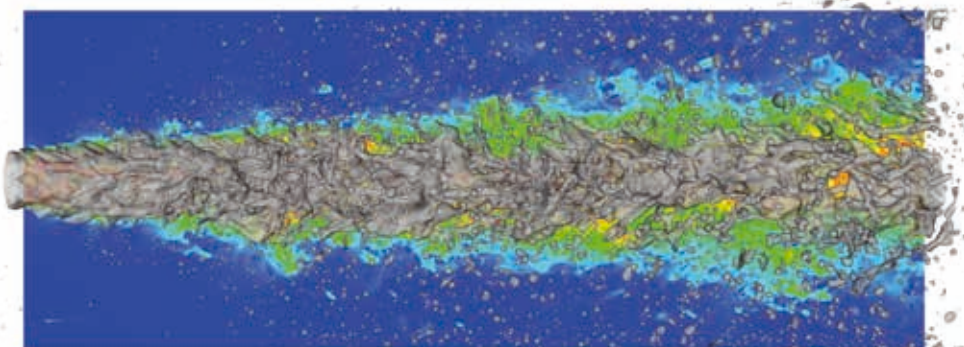


Figure 3: Liquid surface and velocity magnitude of a spatially developing round jet with $Re=8000$ and $We=5000$.

Massively-parallel molecular dynamics simulation of fluids at interfaces

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SuperMUC Project ID: pr48te

Introduction

Molecular modelling and simulation is an established method for describing and predicting thermodynamic properties of fluids. It is well suitable for investigating phenomena on small length and time scales; often, however, scale-bridging series of simulations are needed to facilitate a reliable extrapolation from the nano-scale to the respective technically relevant length and time scales. The supercomputing project SPARLAMPE (“Scalable, Performant And Resilient Large-scale Applications of Molecular Process Engineering”) examines interfacial properties of fluids, their contact with solid materials, interfacial fluctuations and finite-size effects, linear transport coefficients in the bulk and at interfaces and surfaces as well as transport processes near and far from equilibrium. These phenomena are investigated by massively-parallel molecular dynamics (MD) simulation, based on quantitatively reliable classical-mechanical force fields. The simulation results are combined to obtain an understanding of the complex processes undergone by cutting liquids during machining, in particular in the region of contact between the tool and the work piece.

With efficiently parallelized MD codes, scale-bridging simulation approaches for systems containing up to a trillion molecules have become feasible in recent years. Here, the program *ls1 mardyn* is used, i.e., an in-house code which is developed in collaboration with multiple academic partners [1], beside LAMMPS, which is externally developed free software.

Results and Methods

Nine publications have so far appeared on the basis of the computational resources allocated by LRZ within the SPARLAMPE supercomputing project. The representative results which are briefly illustrated here concern quantitatively accurate modelling of the vapour-liquid surface tension of real fluids [2], cf. Figure 1, wetting of struc-

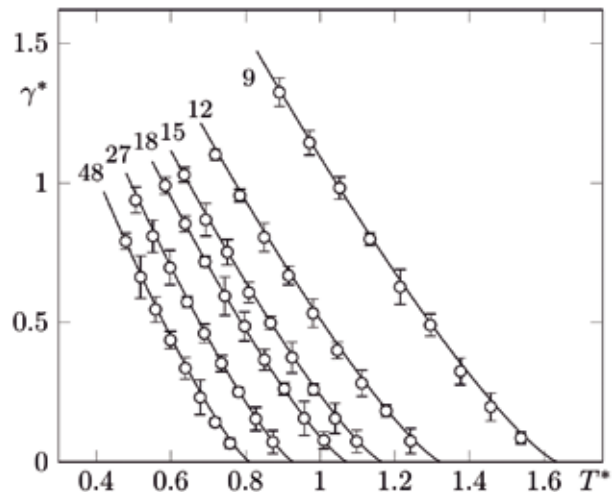


Figure 1: Surface tension over temperature (as dimensionless reduced quantities) for the Mie-6 class of fluid models, which has three parameters. By systematic exploration of the parameter space, the behaviour of the whole model class can be captured and correlated [2]. On this basis, molecular models can be adjusted to bulk and interfacial properties of real fluids, e.g., by multicriteria optimization.

tures [3], cf. Figure 2, and molecular simulation of the processes experienced by cutting liquids during nano-machining operations [4], cf. Figure 3.

The present MD simulations were carried out with *ls1 mardyn* [1-3] as well as LAMMPS [4]; the boundary conditions mainly correspond to the canonical ensemble, i.e., to constant N , V , and T . Concerning computational requirements, four major types of simulation runs exist:

- (1) Test runs with small systems, or production runs for small single-phase systems; supercomputing resources were not needed for this purpose, except for very few test runs concerning the SuperMUC environment itself. Such simulations are always required to a limited extent.
- (2) Scenarios where of the order of 30 to 300 simulations need to be carried out with different model pa-

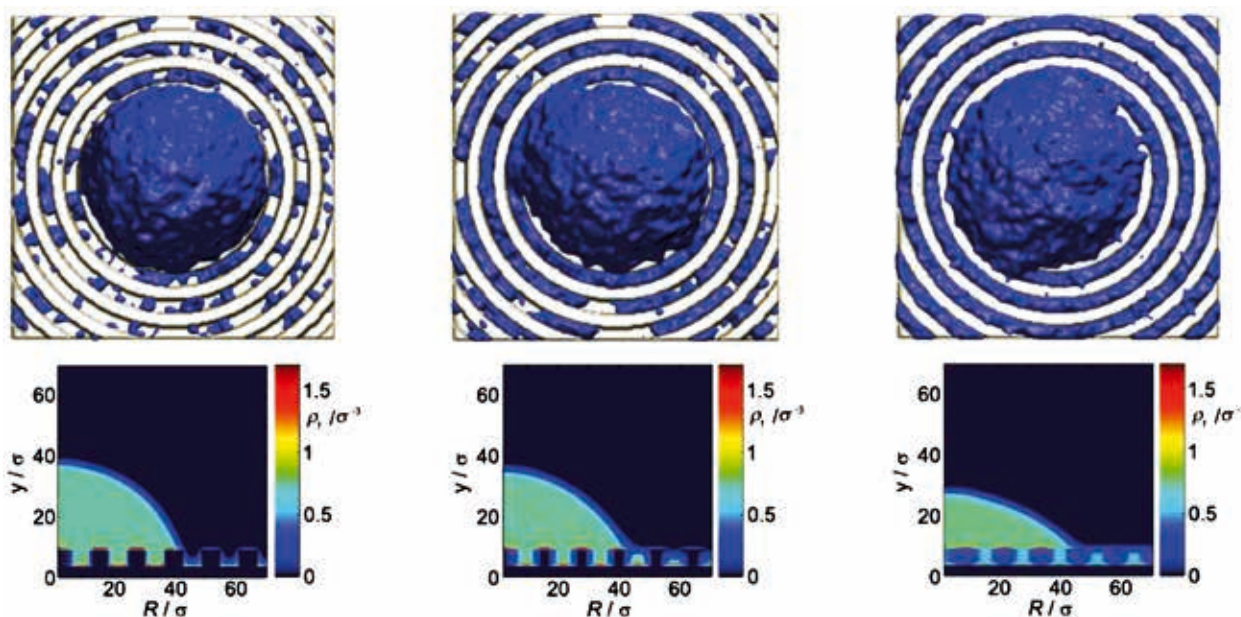


Figure 2: Three snapshots from a single simulation of a sessile droplet on a solid substrate which is structured by concentric cylindrical grooves; spreading of the droplet, i.e., a special case of wetting dynamics, is observed here [3]. The present regime follows a spreading mechanism conjectured by de Gennes: Thereby, first a metastable state is established (left), which breaks down by nucleation of a bridge (middle). The bridge continuously grows in azimuthal direction; depending on the boundary conditions, the final state may exhibit symmetry breaking (right).

rameters or boundary conditions, where the simulated systems are heterogeneous (which makes them computationally less trivial and requires a greater number of simulation time steps) and typically contain of the order of 30000 to 300000 molecules. The vapour-liquid surface tension simulations [2] and the three-phase simulations of sessile droplets on structured solid substrates [3] are of this type.

(3) Scenarios where a small series of computationally intensive production runs need to be carried out; large systems, particularly if they involve fluid-solid contact and even more so if the simulated scenarios are inherently dynamic in nature, also require a large number of simulation time steps. Here, this is the case for the MD simulations of nano-machining processes [4], cf. Figure 3, where five million interaction sites were included, and

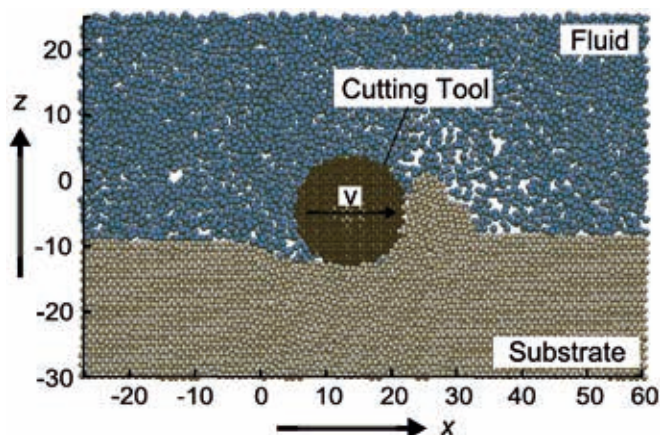


Figure 3: Scenario considered in MD simulations of nano-machining. The influence of the unlike interaction between the fluid and the solid components (substrate and cutting tool) on the friction coefficient was discussed for the truncated-shifted Lennard-Jones potential [4].

even though the simulation parameters were varied to a lesser extent than for the other scenarios, simulations needed to be repeated a few times to facilitate an assessment of the validity and the uncertainty of the simulation outcome.

(4) Scaling tests in the narrow sense, where simulations are conducted with the main purpose of analysing the strong and/or weak scaling of a code for a particular application scenario on a particular platform. These simulations by design typically cover all the range of available scales, up to the whole cluster. Nonetheless, the resource requirements are limited, given that only few time steps are needed. No such results are shown here; however, from such a test on SuperMUC, the present MD code *ls1 mardyn* holds the standing MD world record in terms of system size. Ongoing work, which is still in progress, will extend these results by performance tests that compare *ls1 mardyn* to LAMMPS, GROMACS, and further MD codes, on SuperMUC and on other platforms.

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Large-scale Order in Turbulent Convection

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SuperMUC Project ID: pr62se (Gauss Large Scale project)

Introduction

Turbulent convection flows, which evolve in horizontally extended domains, are often organized in prominent and regular patterns on scales that exceed the characteristic layer height. Furthermore these patterns, which we term turbulent superstructures of convection, evolve gradually with respect to time [1,2]. This large-scale organization challenges the classical picture of turbulence in which a turbulent flow is considered as a tangle of irregular and chaotically moving vortices and swirls. Examples for superstructures in nature are cloud streets in the atmosphere of our planet or the granulation at the surface of the Sun. In the latter astrophysical case, this structure formation is additionally affected by magnetic fields, which are generated inside the Sun. Our understanding of the origin of turbulent superstructures, their mechanics, their role for the turbulent transport of heat and momentum as well as the influence of magnetic fields on their structure is presently still incomplete. High-resolution direct numerical simulations of the equations of turbulent fluid motion in the simplest setting of a turbulent convection flow, Rayleigh-Bénard convection in a layer that is uniformly heated from below and cooled from above, aim at a detailed study of these superstructure pattern formation processes in several working fluids with very different kinematic viscosities and thermal conductivities. In order to fully resolve the flows in horizontally extended domains, we have to rely on massively parallel super-computers for our numerical investigations [3].

Results and Methods

We solve the equations of motions that couple the dynamics of the velocity and temperature fields numerically. These are the three-dimensional Boussinesq equations of thermal convection. The external magnetic field is assumed to be strong such that we can apply the quasi-static limit of magnetohydrodynamics [4]. The thermal driving by the applied outer temperature difference is quantified by the Rayleigh number Ra , the properties of the working fluid by the Prandtl number Pr , and the strength of the applied magnetic field by the Hartmann number Ha . Astrophysical flows are mostly found at very

low Prandtl numbers, which cause a very vigorous fluid turbulence in the convection system. This is one point that makes our numerical simulations very challenging since all vortices have to be resolved. Two numerical methods are applied, a spectral element method [3] and a second-order finite difference method [4]. The latter is used when convection with magnetic fields is considered. The simulation domains are closed square cells with no-slip boundary conditions at all walls. The side-walls are thermally insulated. Typical production runs in domains with an aspect ratio of 25:25:1 required 16384 SuperMUC cores for the non-magnetic cases. The simulations with magnetic field require 4096 cores for a box of aspect ratio 4:4:1. All simulations are long-term runs that involved sequences of several 48-hour runs in a row.

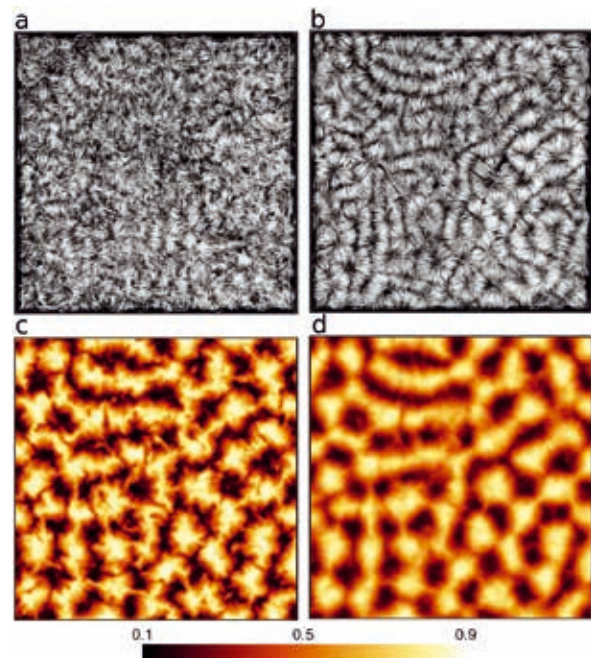


Figure 1: Instantaneous and time-averaged fields. The top figures show field lines of the instantaneous (a) and time-averaged (b) velocity field at a very low Prandtl number $Pr=0.021$ viewed from top. The bottom row shows the corresponding instantaneous (c) and time-averaged (d) temperature in midplane. The 3d simulation domain is covered by more than a billion mesh cells. The Rayleigh number in this simulation was $Ra=100000$. Regular superstructure patterns become visible, in particular for the velocity, after the time averaging has been applied.

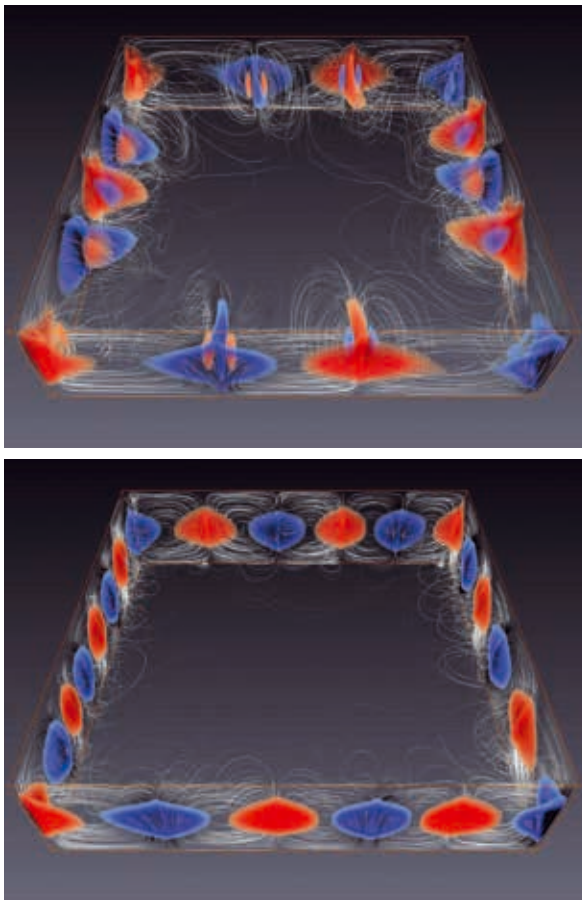


Figure 2: Wall modes in a thermal convection flow with a strong vertical magnetic field. Colored isosurfaces stand for the vertical velocity component (red for upwelling, blue for downwelling). In addition we show streamlines of the 3d velocity field. **Top:** Hartmann number $Ha=1000$. **Bottom:** $Ha=2000$. The Rayleigh number is $Ra=10$ million and the Prandtl number is $Pr=0.025$. Without the magnetic field such convection flow would be highly turbulent in the whole square cell volume. The aspect ratio is 4:4:1.

In the course of the two project years, this sums up to 80 million consumed core hours.

Figure 1 displays an example of turbulent superstructures of convection for our Rayleigh-Bénard setup [5]. Regular roll patterns, reminiscent to those known from the onset of thermal convection, are visible once the small-scale turbulent fluctuations are removed (see the right panels). We have conducted these studies for turbulent flows at different Prandtl and Rayleigh numbers and analysed the typical spatial and temporal scales of the superstructures. It is found that these scales depend on Rayleigh and Prandtl numbers. In particular the Prandtl number dependence turned out to be rather complex at a fixed Rayleigh number. We also connected the temperature superstructures in the mid-plane with the strongest thermal plume clusters, which are formed in the boundary layers close to the top and bottom walls. Our study provides thus a recipe to separate the fast small-scale turbulent fluctuations from the gradually evolving large-scale patterns. The analysis can thus be interesting for the modeling of mesoscale convection in natural systems.

It is known since the linear stability analysis by Chandrasekhar in an infinitely extended layer, that either a strong rotation about the vertical axis or a strong constant vertical magnetic field lead to a stabilization of the thermal convection flow. Laboratory experiments in rotating Rayleigh-Bénard convection in closed cells demonstrated however also that so-called wall velocity modes are formed. These modes persist to exist beyond Chandrasekhar's calculated linear stability threshold. One further aim of our supercomputing project was to study if these wall-attached modes do also exist in magneto-convection with a strong vertical magnetic field, where they have not been observed experimentally so far. The influence of such a strong vertical magnetic field on the structure in an originally highly turbulent convection flow in a liquid metal is illustrated in Figure 2. The grid resolution of this box is $2048 \times 2048 \times 513$ points. The very strong magnetic field expels convection rolls from the cell centre where heat can be carried only by diffusion. Turbulence is completely suppressed and fluid motion can proceed only in form of up- and downwelling jets, which are attached to the sidewalls. These jets persist to exist far beyond the Chandrasekhar threshold. The convective heat transport can proceed although the amount of transported heat is small. The challenge of these simulations is to resolve the very thin boundary layers at the top and bottom plates as well as those, which are formed at the electrically insulated sidewalls. We could demonstrate for the first time the existence of these wall modes in a magneto-convection flow in the presence of a very strong vertical magnetic field.

On-going Research / Outlook

The presented numerical investigations would not have been possible without the use of the most powerful supercomputers. In both discussed examples, we studied turbulent convection in horizontally extended domains. The numerical effort for such runs grows typically with the square of the aspect ratio at a given Rayleigh and Prandtl number. The large aspect ratio of 25 in the first part was necessary to minimize sidewall effects in the pattern formation processes and to reliably extract the typical superstructure pattern scales. The SuperMUC computer made furthermore long-term simulations possible that resolved the very slow dynamics of the turbulent superstructures for the first time. An important question of the future work will be how these superstructures vary once the Rayleigh number is further increased. In view to astrophysical convection phenomena a further decrease of the Prandtl number would be a second challenge that we want to address in the near future.

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LES of Rocket Combustion Applications Under Real-Gas Conditions

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SuperMUC Project ID: pr63ze

Introduction

Space-transportation and launcher systems enable access to space and are therefore the gateway to earth and planetary explorations. These systems are powered by chemical propulsion systems as this type of propulsion is an excellent compromise between cost and efficiency. Non-military launcher systems are based on liquid-propellant technologies and have high demands in terms of reliability and environmental compatibility which still require further development. In particular, the extreme thermal and mechanical loads of liquid rocket engines (LREs) call for intensive fundamental research as a basis for radically improved and innovative technical solutions. At the Institute for Thermodynamics at the Bundeswehr University Munich we are investigating the combustion chamber of LREs by means of numerics within the framework of the SFB TRR 40 [1]. More precise, we are conducting large-eddy simulations (LESs) to investigate the mixing of the propellants, the subsequent combustion and the thermal load acting onto the components of the combustion chamber. Such simulations are challenging as the flow is highly compressible, turbulent and three-dimensional. Furthermore, very steep gradients, e.g. in the density (see Fig. 1), are present having to be properly resolved by the mesh and handled by the solver.

Methods

For the LESs a pressure-based version of the C++ toolbox OpenFOAM [2] is used with in-house modifications concerning the thermophysical and combustion modeling. The algorithm solves the conservation equations in a segregated manner based on the Pressure-Implicit with Splitting of Operators approach.

Typically, LREs are operated at supercritical pressure with respect to the pure components value and at least one of the propellants is injected at cryogenic temperatures. Therefore, real-gas effects, i.e. the fluid properties are dependent on both pressure and temperature, are a prominent feature and the widely used ideal gas assumption is not valid. The real-gas effects are taken into account

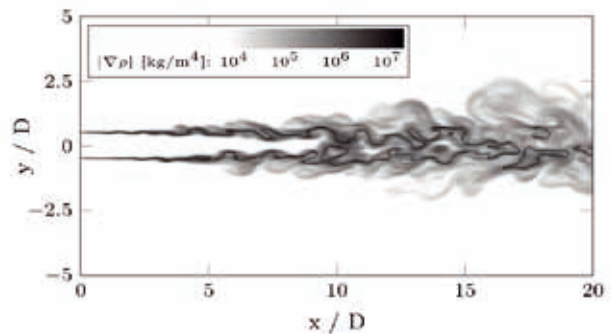


Figure 1: Snapshot of the density gradient [3].

by applying a framework based on the cubic equation of state. For the modeling of the combustion process different approaches are applied ranging from detailed and expensive ones like transported PDF methods to cheaper and more common approaches like tabulated combustion models. In the flamelet concept the turbulent flame is represented of thin, laminar and locally one-dimensional flame structures.

The size of our meshes is ranging from one million up to two hundred million cells depending on the domain size and the required spatial resolution. In Fig. 2 an exemplary mesh for the investigation of a single element injector is shown. For our simulations we are typically applying 400

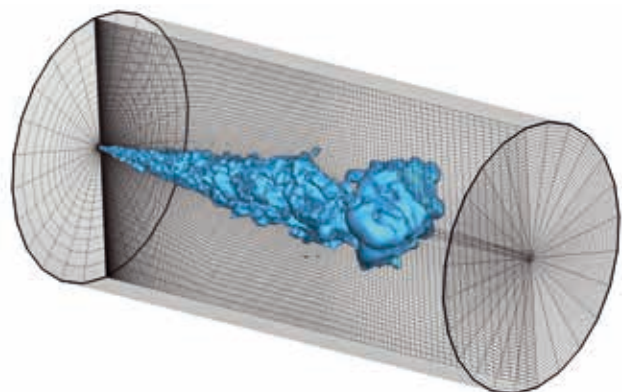


Figure 2: Typical mesh for single-injector simulations.

to 5,000 CPU-cores resulting in CPU-expenses between 20.000 to 500.000 CPU-hours per simulation. In one year we are using approximately 25 million CPU-hours on SuperMUC to do our most expensive simulations. The typical storage usage is approximately 50 TB.

Results

The final research goal of our project is the simulation of multi-injector combustion chambers at LRE-conditions. To do so, several milestones have been reached during the past years, for which the provided computing power of SuperMUC was a key-factor.

Inert, single-phase injection

Strong density stratifications are a main characteristic of the injection process at rocket-relevant conditions. The injected cryogenic fluid undergoes a pseudoboiling process where it transits from a liquid-like (low temperature, high density) to a gas-like (high temperature, low density) state. Due to the density stratification, the instability growth is delayed, see Fig. 1, and turbulent kinetic energy is redistributed from the radial to the axial flow direction. In multi-component injection cases, real-gas effects can lead to an endothermic mixing resulting in a sub-cooling of the mixture in the turbulent mixing layer, see Fig. 3.

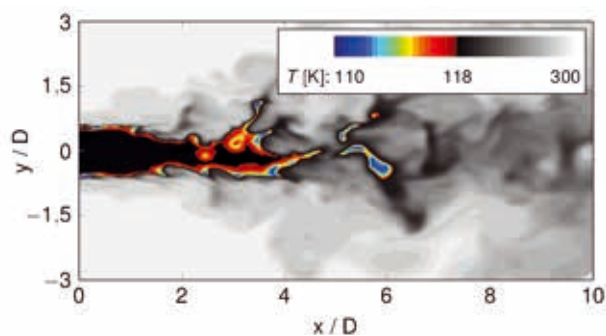


Figure 3: Snapshot of the temperature field in a multi-component, real-gas coaxial-jet.

Combustion

The injection of fuel and oxidizer into the combustion chamber of LREs is done through a coaxial injector and therefore non-premixed approaches are used to model the combustion process. Usually, the oxidizer is injected at cryogenic temperatures (liquid-like state) leading to strong real-gas effects. The length and extent of the

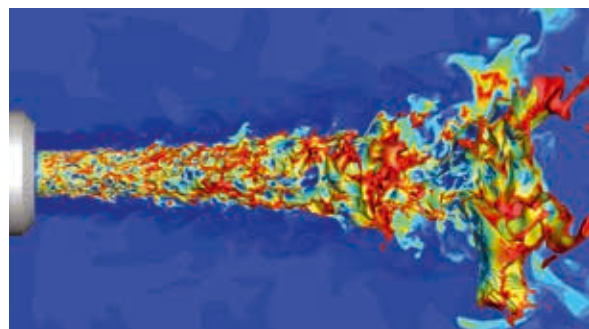


Figure 4: Methane-oxygen flame forming downstream of a coaxial-injector at rocket-relevant conditions.

liquid-like, stable oxidizer-core is crucial for the flame's structure, extension and form. Typically, the flames in LREs are thin and robust against stretching. In Fig. 4 the structure of a methane-oxygen flame is shown.

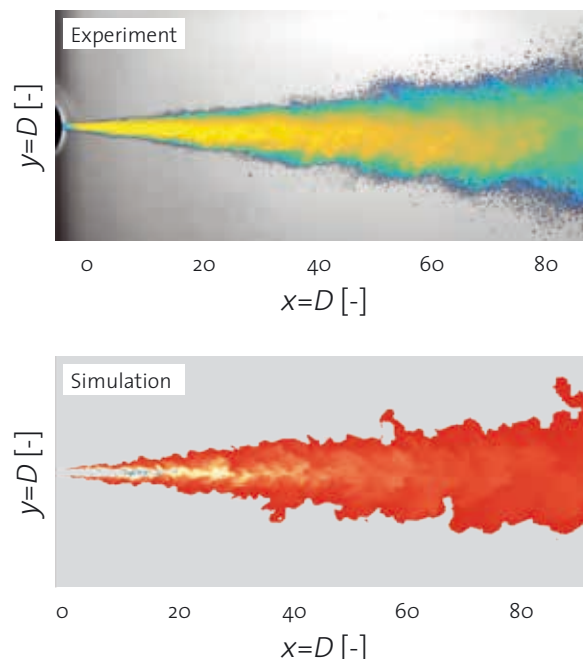


Figure 5: Multi-component phase separation during the injection of n-hexane into nitrogen. Upper frame: Experiments conducted by the ITR (University of Stuttgart); Lower frame: LES result OpenFOAM.

Inert, multi-phase injection

In multi-component mixtures the mixture critical pressure can by far exceed the value of the pure components forming the mixture. Therefore, phase separation is possible to occur although the individual pure components have been injected in a single-phase state. Recently, our thermodynamic framework was extended in order to consider multi-component phase separation, see [4]. In Fig. 5 the comparison of simulations with experiments for a spray-like jet is shown.

On-going Research / Outlook

Recently, we started with the simulation and investigation of multi-injector combustion chambers, see [5]. In addition, the investigation of phase separation effects will be continued and extended with respect to modeling and injection conditions. As these simulations require a lot of computing power, we are planning to use SuperMUC further on. Without the CPU-hours provided by SuperMUC we would not be able to reach our goals successfully.

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Dissipation element analysis of turbulent non-premixed flames

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SuperMUC Project ID: pr74li

Introduction

In today's industrialized world, energy requirements have constantly increased over recent decades, and they will possibly still do so in the foreseeable future. Although the amount of renewable energies will have increased by more than 150% by the year 2050, according to the International Energy Outlook 2017, fossil fuels such as petroleum, coal and natural gas are still market-dominating. Therefore, the importance of combustion is obvious, and will possibly be unchallenged even after the year 2050. However, the conversion of chemical energy to thermal energy by combusting fossil fuels has adverse effects and novel concepts are required for future combustion devices. While admission procedures are often interminable for new devices and are still largely based on laboratory experiments, such developments could be achieved faster and at lower costs if accurate, robust, and truly predictive computational design tools were available. However, reacting flows are one of the most difficult flow scenarios, since many highly complex physical processes are involved which have to be coupled nonlinearly to accurately describe the behavior of the overall system. Furthermore, chemical reacting flows are multi-scale problems, meaning that a fully coupled description involves various time and length scales, which additionally stresses a numerical treatment.

A promising approach for the modeling of turbulent non-premixed combustion are flamelet models. They are based on the assumption that chemical reactions are fast and occur in thin confined layers around the reaction zone. If the characteristic length scale of these layers is smaller than that of the surrounding turbulent eddies, turbulence is unable to penetrate the reaction zone and the flame is assumed to be embedded in a quasi-laminar flow field. This view of turbulent flame structures allows the complex chemical structures of the flame to be decoupled from the flow dynamics. This decoupling of scales constitutes an important advantage of flamelet models compared to other combustion models, as it allows for a pre-tabulation of thermo-chemical states based on a small number of independent parameters.

One of these quantities and at the same time a central quantity in the modeling of non-premixed combustion is the mixture fraction which is used to determine the mixedness of the initially unmixed fuel and oxidizer streams.

However, depending on the physics, the choice of these parameters is not obvious and different flamelet modeling strategies have been proposed in the past, e.g. accounting for differential diffusion of the chemical species and curvature effects [1]. Furthermore, the decoupling of scales introduces an additional closure problem for the flamelet equations since effects of the surrounding flow field have to be considered in order to accurately describe the physics.

Parts of this SuperMUC project focused on the analysis of dissipation elements, a recent concept of Wang and Peters [2], which might promote novel insights into flamelet-based modeling strategies.

Results and Methods

Within this project all analyses were based on direct numerical simulations (DNS) which were conducted with the DNS code DINO [3]. The solver is designed for the simulation of low-Mach number reactive flows, where spatial derivatives in the governing equations are discrete.

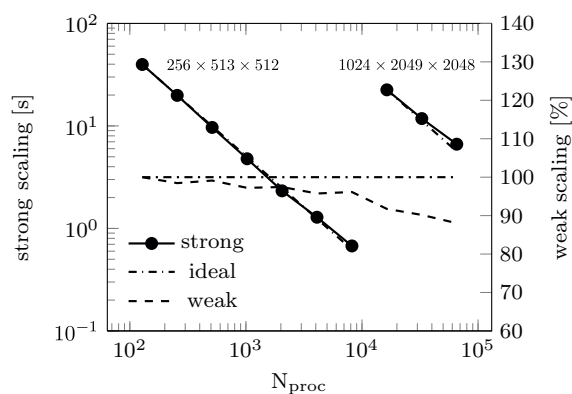


Figure 1: Strong and weak scaling of the semi-implicit Runge-Kutta solver implemented in DINO [5] for two different cases.

tized with 6th order finite differences. The temporal integration is done by a 3rd order semi-implicit Runge-Kutta scheme. Based on the distributed memory architecture of SuperMUC parallelization of the solver is achieved by the message passing interface (MPI), where an excellent scalability up to 65536 cores is achieved, see Figure 1.

A working copy of DINO can be obtained on request by contacting the group of Prof. Thévenin at the Otto von Guericke University Magdeburg.

DNS of a non-premixed jet flame

The setup used for the simulation is based on a temporally evolving jet configuration which was originally proposed by Hawkes et al. [4]. The simulations were carried out on an isotropic mesh with a grid spacing of 14 μm and required nearly 3 billion grid points. A single run consumed 2 MCPUh on 23904 cores and generated roughly 10 TB of raw data. To give an example of the turbulent nature of the jet, figure 2 shows isocontours of the scalar dissipation rate, $\chi = 2D_Z \nabla Z \cdot \nabla Z$, normalized by χ_q , where D_Z is the diffusion coefficient of the mixture fraction Z .

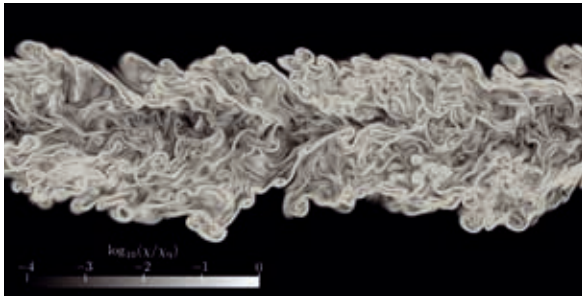


Figure 2: Logarithm of the normalized scalar dissipation rate. The scalar dissipation rate is proportional to the gradient of the mixture fraction

Dissipation element analyses

Based on the highly resolved DNS the mixture fraction field is decomposed into small subunits called “dissipation elements”.

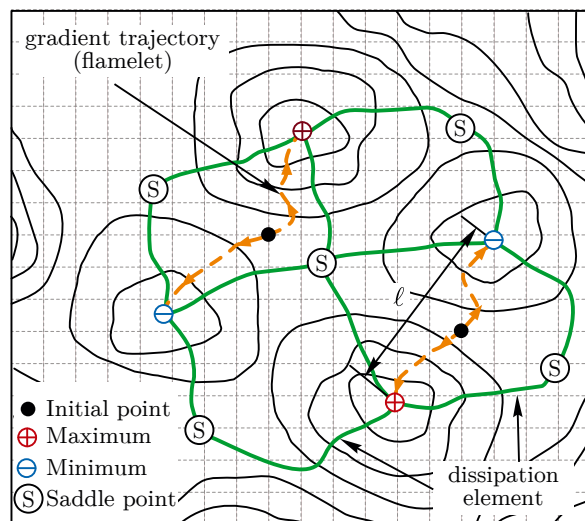


Figure 3: Schematic of the mixture fraction field including two hypothetical trajectories (orange) originating from an initial point to their respective minimum and maximum points. The green solid lines correspond to dissipation elements, enclosing all trajectories that end at the same minimum and maximum point.

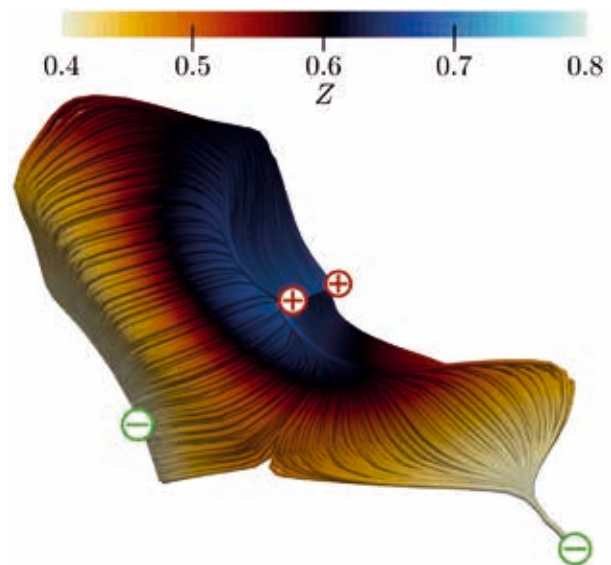


Figure 4: Illustration of two connected dissipation elements. The red plus and the green minus represent local maximum and minimum points, respectively. Reprint from Ref. [3], with permission from Elsevier.

The essence of the theory of Wang and Peters [4] is to trace gradient trajectories along the ascending and descending gradient until a local extremal point is reached. The ensemble of all trajectories that end at the same local extremal points form a dissipation element, eventually leading to a geometrical decomposition of the mixture fraction field. A schematic of this procedure is shown in figure 3 and a visual representation of two dissipation elements in three dimensional space is given in figure 4.

Based on this geometrical decomposition, a parametrization solely based on the endpoints was introduced [5]. Apart from this parametrization, a regime classification based on the stoichiometric mixture fraction is proposed which distinguishes between: (i) a fuel rich regime, (ii) a stoichiometric regime and (iii) a fuel lean regime. This classification in conjunction with the two-point character of dissipation elements allows conclusions to be drawn about the connectivity of different regions of the jet, e.g. the connection between the reaction zone and the turbulent core. Based on these regimes, dissipation elements are classified according to the location of their endpoints and various statistics related to the endpoints are computed.

On-going Research / Outlook

The analysis of turbulent reactive flows by means of dissipation elements complements the on-the-fly analysis, conducted in an earlier SuperMUC project (pr83xa), by providing a statistical characterization of flamelet-related parameters. This statistical analysis in turn could be used to develop possible closure strategies for the extended flamelet equations [1] by providing further insights into the topology of the mixture fraction field.

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Superstructures in turbulent thermal convection

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SuperMUC Project ID: pr74sa (Gauss Large Scale project)

Introduction

A tremendous variety of physical phenomena involve turbulence, such as bird and airplane flight, propulsion of fish and boats, sailing, and even galaxy formation. Computational fluid dynamics uses numerical methods and algorithms to analyze such turbulent flows. Turbulent flow is characterized by chaotic swirling movements that vary widely in size, from sub-millimeters, over the extent of storm clouds, to phenomena on a galactic scale. The interaction of the chaotic movements on different scales makes it very challenging to simulate and understand the underlying geometric structures within turbulent flows. At the same time understanding these fundamental patterns is crucial for industry to optimize a wide range of applications.

Turbulent thermal convection is a class of turbulent flows in which temperature differences drive the flow. Prime examples are convection in the atmosphere, the thermocline circulation in the oceans, heating and ventilation in buildings, or in industrial processes. The model system for turbulent thermal convection is Rayleigh-Bénard flow in which a layer of fluid is heated from below and cooled from above. The temperature difference between the two plates leads to the formation of a large-scale flow pattern in which hot fluid moves up on one side, while cold fluid moves down on the other side of the cell, see figure 1. The beauty of the Rayleigh-Bénard system is that it is mathematically very well defined. This feature allows direct comparison of simulation results with theory, and one-to-one comparison with state of the art laboratory experiments. As a result, for more than a century, Rayleigh-Bénard convection has been the perfect playground to develop novel experimental and simulation techniques in fluid dynamics that enable a better understanding of underlying turbulent flow structures [2,3].

Results and Methods

According to the classical view on turbulence, strong turbulent fluctuations should ensure that the effect of

the system geometry on the turbulent flow structures is minimal in highly turbulent flows as the entire phase space is explored statistically. This view justifies the use of small aspect ratio, i.e. small horizontal length compared to its height, domains when studying very turbulent flows. This massively reduces the experimental or simulation cost to reach the high Rayleigh number, which indicates the dimensionless temperature difference between the bottom and top plate, regime relevant for industrial applications and astrophysical and geophysical phenomena. Therefore, in a quest to study Rayleigh-Bénard convection at ever increasing Rayleigh, most experiments and simulations have focused on small aspect ratio cells in which the horizontal domain size is small compared to its height. This approach has resulted in major developments in our understanding of heat transfer in turbulent flows.



Figure 1: Three-dimensional visualization of Rayleigh-Bénard convection in a small periodic domain revealing the large-scale flow organization of a region with warm upwelling fluid represented in red and cold downgoing fluid in blue.

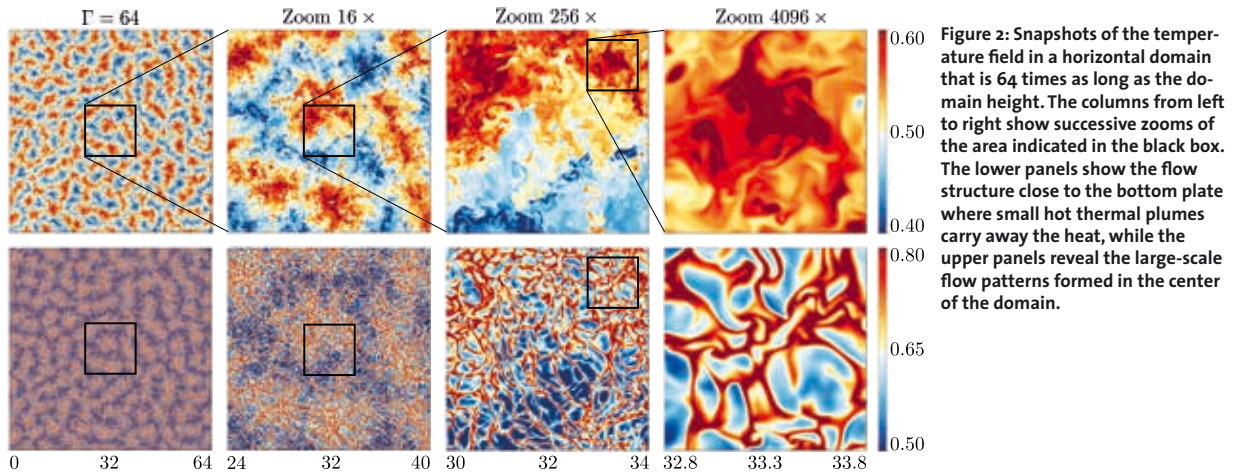


Figure 2: Snapshots of the temperature field in a horizontal domain that is 64 times as long as the domain height. The columns from left to right show successive zooms of the area indicated in the black box. The lower panels show the flow structure close to the bottom plate where small hot thermal plumes carry away the heat, while the upper panels reveal the large-scale flow patterns formed in the center of the domain.

However, while heat transfer in industrial applications occurs in confined systems, many natural instances of convection take place in horizontally extended systems. Previous experiments and simulations at relatively low Rayleigh have shown that large scale horizontal flow patterns can emerge. Until now it was unclear what happens at very high Rayleigh when the flow in the bulk of the domain becomes fully turbulent. Motivated by major advances in computational capabilities of supercomputers like SuperMUC, we set out to perform unprecedented simulations for very large aspect ratio Rayleigh-Bénard convection at high Rayleigh.

In order to achieve this, we performed large-scale simulations of turbulent thermal convection using an in-house developed second order finite difference flow solver. Our code is written in Fortran 90 and large-scale parallelization is obtained using a two-dimensional domain decomposition, which is implemented using Message Passing Interface (MPI). On SuperMUC we performed simulations on grids with up to 60×10^9 computational nodes using up to 16 thousand computational cores. A snapshot of the entire flow field requires up to 1.7 terabytes, while the entire database we generated is over 1 petabyte. The generated database allows us to perform advanced flow field analysis unlocking detailed flow characteristics. This has, for example, allowed us to analyze the analogies and differences in the energy-containing flow structures found in pressure and thermally driven wall-bounded flows. In order to achieve this, we performed many simulations for which long term averaging was required to obtain the required statistical convergence. As a result, the simulations in this project required over a hundred million computational hours. The developed code has been made available to the fluid dynamics community at www.afid.eu and can also be used to simulate other canonical flow problems such as channel, Taylor Couette, and plane Couette flow [4].

Our simulation campaign [5] reveals that, in contrast to views from classical theories, turbulent thermal superstructures survive in fully turbulent flows, see figure 2. It turns out that these thermal superstructures have a profound influence on the heat transfer. An intriguing, and still unexplained, result is that the heat transfer be-

comes independent of the system size before the flow structures become independent of the system geometry. Explaining this intriguing phenomenon will require further research. We hope that the discovery of these thermal superstructures will allow us to gain better insight into the mechanism that drives large scale flow organization in astrophysical and geophysical systems such as cloud formation in the Earth's atmosphere.

On-going Research / Outlook

SuperMUC allowed us to perform unprecedented simulations of turbulent thermal convection. Computer simulations of such turbulent flows are notoriously computationally demanding due to the large range of length and time scales that needs to be resolved. Therefore, such groundbreaking simulations can only be performed on the largest supercomputers in the world, such as SuperMUC. In addition, our simulations were only made possible due to algorithmic developments aimed at limiting the communication between different computational tasks. This improved the computational efficiency of our code and ensures good parallel efficiency on a large number of processors. Long term storage and data accessibility are assured by using the open source HDF5 data format.

Even with the massive computational and storage facilities offered by SuperMUC, it is still impossible to consider all physically relevant flow problems. For instance, a question of crucial importance is what happens at even stronger thermal forcing than can be simulated currently. For strong enough thermal forcing, one namely expects an ultimate state of thermal convection. It is conjectured that this ultimate regime is triggered when the boundary layers close to the plate become turbulent. Simulating ultimate thermal convection will require immense computational resources and will only become possible using a new generation of supercomputers like SuperMUC-NG.

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Investigation of Green Propellants in Rocket Combustion Chambers

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SuperMUC Project ID: pr83bi

Introduction

Currently almost all in-space propulsion systems depend on the propellant Hydrazine or its derivatives for thrust generation. However, within the REACH framework EU has declared Hydrazine a Substance of Very High Concern, due to its toxic and carcinogenic properties. Therefore this essential propellant has to be phased out in the upcoming years. The propellant combination methane / oxygen promises to be a good replacement, offering good performance, storability and handling. Anyhow, a number of problems regarding high-pressure combustion, ignition, cooling and injection have to be solved before it can be applied in full-scale space propulsion systems.

The Institute of Flight Propulsion (LTF) at Technische Universität München investigates all relevant properties of methane and oxygen for space propulsion applications by implementing various experiments. In order to improve experimental design, numerical investigations employing Computational Fluid Dynamics shall supplement the current efforts. It also aids in interpreting results and allows producing numerical models able to predict full-scale applications.

Results and Methods

Resonance Igniter:

While the combination methane/oxygen has a number of advantages compared to traditional propellants, all “green” bipropellants have the disadvantage of requiring a dedicated ignition system. This is a severe drawback for in-space propulsion applications, where reliable ignition has to be ensured over the entire lifetime of the vehicle, often in excess of ten years. Therefore LTF also investigates resonance ignition methods for integration into a novel methane/oxygen thruster.

Earlier investigations showed, that 2D URANS simulations can quantitatively predict and reproduce the heating characteristics of Hartmann-Sprenger tubes, provided that numerical schemes and meshes with low

numerical diffusion are used. Since the fundamental working principle of the supersonic stem nozzle is yet to be fully understood, a numerical study was conducted in order to verify that the designed igniter configuration can achieve the fluid temperatures required for auto-ignition.

As in earlier studies, the pressure-based, coupled ANSYS Fluent solver is used and run on SuperMUC. The low-diffusion QUICK scheme is used for spatial discretization while the bounded 2nd Order Implicit formulation was used for time advancement. A fully structured mesh with $\approx 450k$ elements was used. By fine-tuning the mesh topology and optimizing AMG settings, very aggressive timesteps of up to $1e-7$ s can be achieved

As can be expected from the temperature distribution of the heatup-simulations reactions originate at the tip of the resonator approximately $140 \mu\text{s}$ after reactions are enabled. Since the developing reaction zone is compressed and expanded several times before hot products reach the open cavity end complex reaction fronts devel-

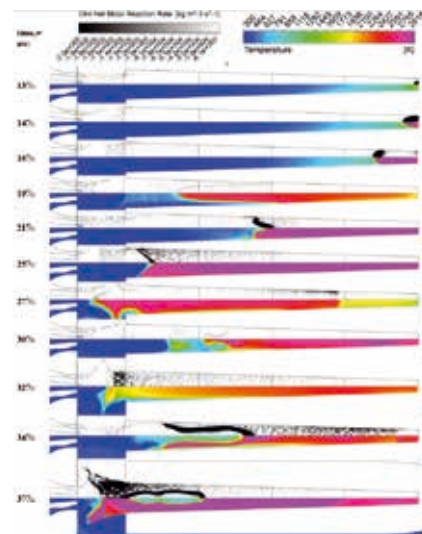


Figure 1: Methane reaction rate and temperature in a resonance igniter.

op. More in-depth analysis is required for quantitatively validating the simulation, but the obtained results suggest that the novel igniter design is suitable for igniting methane/oxygen mixtures at the specified conditions.

Rocket Combustor Investigations

A main focus of the research has been the investigation of the methane rocket combustor test case 1 of the Transregio SFB-TR40 [2]. The research aims to improve the numerical prediction of rocket performance parameters as well as the prediction of the heat transfer through the combustor wall. In order to get reliable predictions from simulations, the physical processes, such as fluid flow and combustion, must be modeled accurately and the simulation model must be validated.

Investigations of the aspects of turbulence and chemistry modeling have been conducted for the test case configuration [4]. In a combined effort including other research groups a comparison of different overall models with experimental data has been made. The results show clear differences in the prediction of the different tools and with the test data as shown in Figure 2.

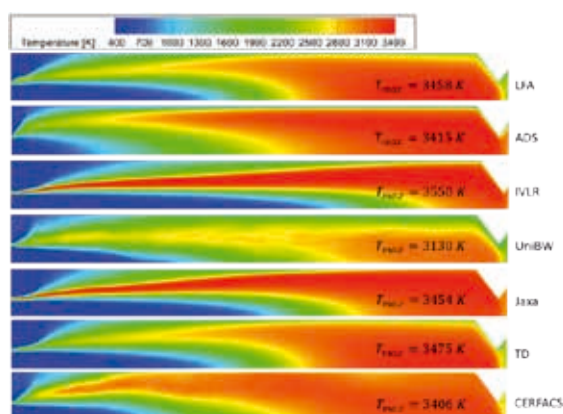


Figure 2: Predicted temperature fields in the test case combustor.

The research on the modeling of the combustion processes in the high pressure combustor is on-going.

Currently research is conducted on tabulated chemistry models. These models allow the thermochemistry calculations to be done in a preprocessing step. They are therefore in general less computationally expensive and combustion is simplified to a mixing problem. Standard models implemented in commercial solvers are often not sufficient for the complex physics in a rocket combustor and must be extended. An interface has been developed to read user defined tables into the commercial solver ANSYS Fluent and was tested on the SuperMUC. Chemistry Table generation strategies are currently still under development and the validity of the physical assumption going into the chemistry models must still be tested.

A special focus is on the recombination processes in the thermal boundary layer due to the enthalpy defect near the cooled combustor walls. Investigations are made into different propellant combination as comparison. For hydrogen, where in the past the assumption of chemical

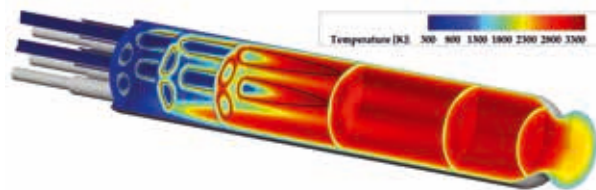


Figure 3: Temperature field in the thrust chamber.

equilibrium has provided good results, the assumption is tested and the influence of reaction kinetics is investigated. A comparison with methane as fuel is conducted to see if the assumption holds true or must be dropped due to the larger chemical time scale.

The tabulated chemistry model has been also applied to a multi-element chamber operated with gaseous methane and oxygen within the framework of the 2017 Transregio summer program. The chamber with 7 coaxial injector elements is illustrated in Figure 3. The configuration used for the simulated experiment consists of four water cooled chamber segments and a nozzle segment. Using a calorimetric method, the average heat flux in each of the segments can be calculated in the experiment. For the purposes of the Summer Program, a test case at 20 bar and O/F of 2.6 was chosen. The results of the tabulated chemistry approach showed a very good agreement with the experimental measurements of heat flux and pressure.

On-going Research / Outlook

The use of the HPC resources provided by SuperMUC allowed for the investigation of the considered configurations using a multitude of simulations to conduct parametric studies, helping to determine the main processes driving the design parameters looked for from the simulation. It also allowed for the simulation of more complex combustor configurations which aim to be scalable to flight hardware and therefore are a good basis for tool validation.

Within this project the feasibility of resonance ignition methods for gaseous oxygen and methane at ambient temperatures could be demonstrated. However, in actual applications both methane and oxygen are stored at cryogenic conditions around 100 K. How the implemented igniters behave under these more challenging operating conditions has yet to be evaluated. Additionally, the interaction between igniter and rocket combustion chamber still has to be investigated. Since a delayed or failed ignition can easily destroy a rocket engine and lead to a complete loss of the mission, the transient engine start-up is of particular interest. In future projects it is therefore planned to conduct coupled simulations of both combustion chamber and igniter, in order to evaluate the effect of these interactions.

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Fully-resolved, finite-size particles in statistically stationary, homogeneous turbulence

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SuperMUC Project ID: pr831a (Gauss Large Scale project)

Introduction

Turbulent flow seeded with solid particles is encountered in a number of natural and man-made systems, as diverse as the earth's atmosphere, rivers, the human respiratory tract, chemical engineering devices, etc. From a technological point of view it is often important to be able to understand and describe the dynamics of such particulate flow systems with sufficient accuracy and at reasonable cost. However, some physical effects occurring when the fluid and the solid phase interact strongly have so far obstinately resisted analytical and experimental approaches. Three such phenomena bearing fundamental open questions shall be named in the following: (i) the spatial distribution of the dispersed phase (i.e. the tendency of particles to accumulate under some circumstances); (ii) the enhancement or attenuation of the turbulent fluid flow when adding even small amounts of particles; (iii) the modification of the particle settling velocity when sedimentation takes place in a turbulent background flow. All of these effects have far-reaching consequences in various practical applications, e.g. in cloud physics, where the collision rate of rain drops is strongly and non-trivially affected by turbulence.

The current project is an extension of a previous large-scale Gauss project in which we performed high fidelity simulations of particles in homogeneous isotropic turbulence. Those simulations provided unprecedented results on particle-turbulence interactions and it was

then necessary to open our study to a new set of parameters to extract as much information as possible from the generated data [3,4]. Here we test the influence of particle time scale as well as the intensity of turbulence relative to settling of the particles. This allows us to get more insight into interaction mechanisms involved and brings us a step closer to more general and practically relevant configurations.

Results and Methods

The current project has two parts. First, we are considering the interaction between heavy particles and forced homogeneous-isotropic turbulence in the absence of gravity. Here we have considered three new cases D5-R6, D11-R6, and D11-R12 (cf. table 1). These cases are identical to former cases D5 and D11 of reference [4], except that the particle-to-fluid density ratio was increased by a factor of 4 and 8 (in the latter case). In the second part of the project, we are continuing the simulation of settling particles with background turbulence for longer times, in order to reach a proper statistically stationary case and in order to sample a sufficiently long time interval. Two cases have been considered with different relative turbulence intensity (measuring 0.2 and 0.3 in cases R120-G178 and R140-G180, respectively, cf. Table 1), in order to gauge its effect upon the disruption (or not) of columnar particle clusters. Moreover, case R120-G178 starts with a clustered initial condition (taken from [2]), while R140-G180 has initially randomly distributed particles.

Case	Grid	# particles	Re	Ga	D/η	$D/\Delta x$	nproc
D5-R6	2048 ³	20026	120	0	5.5	16	4096
D11-R6	2048 ³	2504	140	0	11	32	4096
D11-R12	2048 ³	2504	140	0	11	32	4096
R120-G178	2048 ² x4096	11867	95	178	7	24	8192
R140-G180	2048 ² x4096	11868	140	180	9	24	8192

Table 1: Parameters of the simulations. “Re” refers to the Taylor micro-scale Reynolds number in the absence of particles, “Ga” is the Galileo number, “ D/η ” is the ratio between the particle diameter and the Kolmogorov scale, “ $D/\Delta x$ ” is the number of grid points per particle diameter, and “nproc” is the number of processor cores used for the respective simulation.

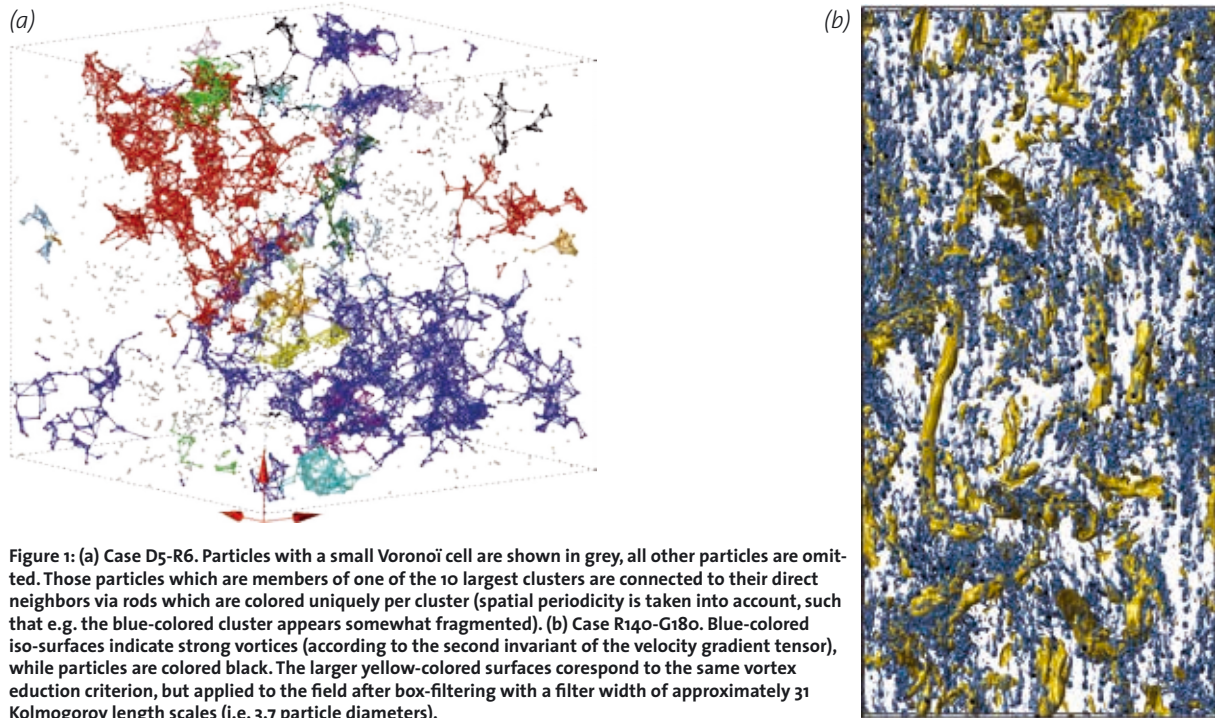


Figure 1: (a) Case D5-R6. Particles with a small Voronoi cell are shown in grey, all other particles are omitted. Those particles which are members of one of the 10 largest clusters are connected to their direct neighbors via rods which are colored uniquely per cluster (spatial periodicity is taken into account, such that e.g. the blue-colored cluster appears somewhat fragmented). (b) Case R140-G180. Blue-colored iso-surfaces indicate strong vortices (according to the second invariant of the velocity gradient tensor), while particles are colored black. The larger yellow-colored surfaces correspond to the same vortex education criterion, but applied to the field after box-filtering with a filter width of approximately 31 Kolmogorov length scales (i.e. 3.7 particle diameters).

The numerical method employed is based upon the immersed boundary method proposed in [1] and further extended with respect to turbulence forcing in [3]. The Navier-Stokes equations are solved in the entire domain, including the volumes occupied by the solid inclusions. The no-slip condition at the fluid-solid interfaces is then imposed via appropriately formulated momentum source terms. Particle-particle contact (which occurs infrequently at the current dilute conditions) is handled via a simple repulsion force mechanism. Turbulence is forced via a random scheme acting upon the first few Fourier modes. The domain is decomposed in 3D Cartesian fashion, such that (with the current second order finite-difference discretization) essentially all communication is via nearest-neighbor communication. The Poisson problem is solved with the aid of a multi-grid method, while approximate factorization is applied to the three Helmholtz problems (per Runge-Kutta sub-step), such that only one-dimensional parallelization is required here.

Concerning part 1, we have found that particle density (i.e. inertia) has a major influence upon the clustering properties in the range of parameters under investigation. The clustering intensity (measured with the aid of quantities derived from Voronoi tessellation) was found to increase with density ratio, and to decrease with particle size. The largest tendency to cluster was observed for case D5-R6, for which one snapshot is illustrated in figure 1a. The figure shows the ten largest clusters colored individually. We are currently investigating the role of both time scales and length scales upon the clustering process. For this purpose extensive filtering and conditional averaging of the flow field and the particle-related quantities is currently being performed. Without the invaluable data generated on SuperMUC we would not be able to dig that deep.

Concerning part 2, we have found that, as expected, the relative turbulence intensity has a major impact upon the results, both in terms of the tendency to cluster and the particle settling velocity. However, it turns out that the effect is not monotonous, i.e. that the larger turbulence intensity does not lead to less clustering than the lower one. In the case of particles settling with background turbulence, it should be recalled that various mechanisms for particle clustering are available, namely the wake-attraction mechanism of [2] and the sweepstick mechanism demonstrated to apply in [4], as well as other mechanisms involving the coupling between turbulence and gravity.

In March 2016, 22.5 mio. core-hours were allocated on SuperMUC for the current phase of this project. Table 1 shows the number of cores typically used (both phases of SuperMUC were utilized for the runs). The raw data was for the most part directly retrieved to the “large-scale data facility” of Steinbuch Center of Computation (SCC, Karlsruhe), where most post-processing was carried out. We acknowledge that the present work has received funding from DFG under project UH 242/1-2.

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From Fully Resolved to Wall-Modeled Turbulence Simulations

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SuperMUC Project ID: pr83te

Introduction

Incompressible flows in the turbulent regime are of fundamental significance for engineering and biomedical applications. In comparison to the classical Reynolds-averaged Navier-Stokes approach, where all turbulent motions are modeled, the explicit resolution of vortical structures enables much higher levels of accuracy. Eddy-resolving simulation approaches demand for extremely high spatial and temporal resolution. Therefore, efficient numerical methods and models enabling short simulation times while retaining the high fidelity of fully resolved simulation methodologies are essential.

The aim of our project is threefold:

- Develop highly efficient numerical methods for extreme-scale simulations
- Apply the resulting algorithms and computer software to compute benchmark flows, providing highly accurate reference results
- Devise and analyze numerical models which drastically reduce the required resolution in industrial applications

At the Institute for Computational Mechanics at TUM [1], these developments are performed within a spectral incompressible discontinuous Galerkin solver INDEXA (INcompressible Discontinuous Galerkin towards the EXA scale) [2].

Results and Methods

We give a short overview of the most important algorithmic characteristics of INDEXA and present recent computations of direct numerical simulation (DNS) and wall-modeled large-eddy simulation using these algorithms.

Fast Matrix-Free Implementations

Our numerical models use high-order discontinuous Galerkin methods for spatial discretization and splitting methods for time integration [2]. In this scheme,

a time step propagates the nonlinear convective term explicitly first. The intermediate velocity is made divergence-free by solving a pressure Poisson equation. The viscous term is advanced in the last stage by a Helmholtz equation. Linear systems are solved with state-of-the-art iterative schemes based on multigrid techniques, ensuring linear complexity and thus optimality also with hundreds of millions or billions of unknowns. For the solvers, matrix-vector products are evaluated in a matrix-free way based on sum factorization. The kernels are relatively heavy on arithmetics with 1.5 to 6 floating point operations per byte loaded from main memory. To reach optimal performance, the worker kernels are vectorized with AVX [3], using 4-wide double precision registers for the outer iterations and 8-way single-precision registers whenever their higher throughput can be leveraged for intermediate results within the solvers. The implementations have been tuned to minimize the time to solution both on the node level and on a large scale with MPI.

Direct Numerical Simulation

The periodic hill flow is a popular fluid dynamics test case due to its simplicity regarding boundary conditions and complexity with respect to simulation and modeling. The flow is visualized in Figure 1. At the lower wall, the flow separates behind the hill crest and includes flow phenomena such as a shear layer and a recirculation bubble. These strong nonequilibrium boundary layer conditions make the flow particularly interesting as a benchmark for wall modeling including hybrid RANS/LES.

In the study [4], we performed the first DNS of the Reynolds number $Re_H = 10,595$ based on the hill height H . The mesh consisted of $128 \times 64 \times 64$ cells each with high-order polynomial approximations of 6th degree (scheme is of 7th order of accuracy) with a mild grid stretching towards the walls, giving a discretization of $896 \times 448 \times 448$ nodes and 719 million degrees of freedom. The curved boundary at the lower wall is represented using the high-order polynomial ansatz of the elements. A detailed h/p convergence study has been performed to support the quality of the DNS.

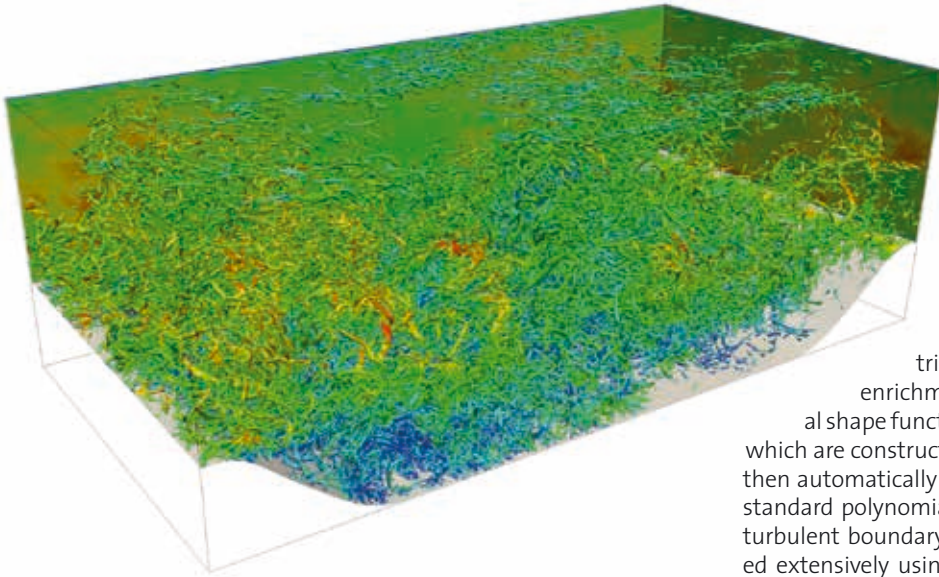


Figure 1: Visualization of the flow over periodic hills at $Re_H=10,595$ via the Q -criterion, colored by velocity magnitude, taken from [4].

The DNS was carried out on the Phase 1 of SuperMUC. In order to determine a suitable parallel setup, Figure 2 shows strong scaling experiments of the DNS as well as a coarser DNS at the lower Reynolds number of $Re_H=5,600$ on up to 65,536 CPU cores. Representing a good compromise between simulation time and resource efficiency, the final production run at $Re_H = 10,595$ was performed on 8,192 cores, resulting in a simulation time of approximately six weeks and corresponding to approximately 8 million core hours. The simulation results have been made available to the scientific community on the public repository mediaTUM.

Wall-Modeled Large-Eddy Simulation

In underresolved simulations, the present scheme provides sufficient numerical dissipation for stable simulations. This characteristic may be used for implicit large-eddy simulation (ILES), i.e., a supplementary subgrid closure is not necessary. Despite the drastic reduction in computational requirements in comparison to DNS, the presence

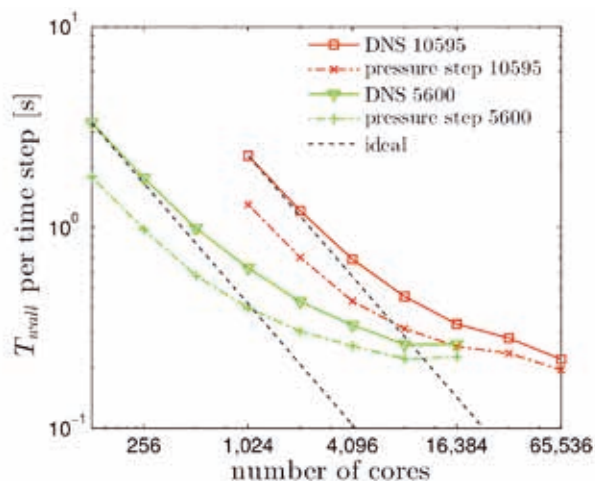


Figure 2: Strong scaling of the DNS setup on SuperMUC, Phase 1, taken from [4]

of solid walls limits the application of ILES to the low and medium Reynolds number regime. At high Reynolds numbers, the small size of the energy-carrying near-wall turbulent eddies poses excessive grid resolution requirements, which will not be available for several decades.

The computational resources on SuperMUC have enabled essential contributions to wall modeling via function enrichment [5]. The basic idea is that additional shape functions are used in a thin layer at the wall, which are constructed using a wall function. The method then automatically employs these shape functions if the standard polynomial part is not sufficient to resolve the turbulent boundary layer. The wall model has been tested extensively using the periodic hill flow example and results of much higher quality in comparison to standard equilibrium wall models have been obtained.

On-going Research / Outlook

In ongoing research, our group is investigating further extensions to the incompressible flow solver and the wall modeling. On the one hand, the formulation from [2,5] is new and must be verified on additional problem settings before it can be employed in industrial applications. This includes a thorough verification of the scheme for implicit large eddy simulation. On the other hand, the solver components are subject to further improvement. For SuperMUC-NG, we work on reducing the memory transfer of our kernels, which we anticipate to be the most pressing aspect. Besides merging memory-heavy vector operations with the more arithmetically intensive matrix-free operator evaluation, the MPI-only implementation will be replaced by an efficient hybrid parallelization. OpenMP within the nodes can be up to 30% faster than the current pure-MPI setup because it avoids the explicit memory traffic of the MPI point-to-point communication routines. These optimizations, together with the increased performance of SuperMUC-NG, would enable a DNS of the next higher Reynolds number of the periodic hill flow, $Re_H=19,000$, which would provide another big step forward for research on schemes for turbulence and our wall modeling in particular.

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Direct Numerical Simulation of Turbulent Oxy-Fuel Flames

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SuperMUC Project ID: pr83xa

Introduction

The increasing demand for power and environmental concerns motivates research activities in the field of alternative fuels. One example of these alternative fuels is synthesis gas (CO/H₂ mixtures), which can e.g. be generated by partial oxidation/gasification of hydrocarbons such as biomass to obtain a hydrogen and carbon monoxide rich mixture. This mixture can be used for energetic and non-energetic applications. For example it can be used during the production process of methanol / fuel synthesis or it can be used directly as fuel in a gas turbine. Oxygen-enriched mixtures or even pure O₂ as oxidizer are employed in partial oxidation for syngas production.

During past decades computational fluid dynamics (CFD) have led to fundamental insights in the field of re-

active flow systems which would not have been possible by experiments only. For example, the measurement of spatially (3D) and temporally resolved species concentrations in turbulent flames is almost impossible. On the other hand, combustion mostly occurs on the smallest scales where chemistry interacts with the smallest eddies, making numerical modeling a difficult task.

The rapid advancement of supercomputing capabilities helped to establish a powerful and promising tool in combustion science, which is able to overcome these difficulties. In the so-called direct numerical simulation (DNS) approach, the governing equations describing fluid motion, species and energy transport are solved without any modeling assumptions. Even if currently limited to simple geometries, spatially and temporally resolved datasets encourage the use of DNS for model development and validation.

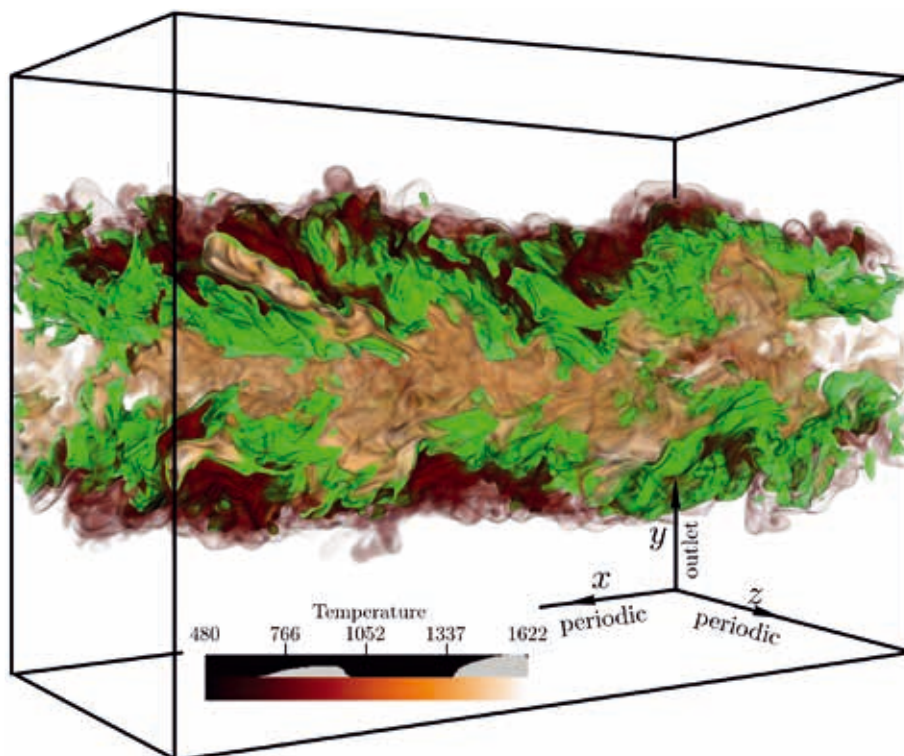


Figure 1: Visualization of the direct numerical simulation performed on SuperMUC. The illustration shows a volume rendering of the temperature field. The reaction zone is highlighted by the green iso-surface.

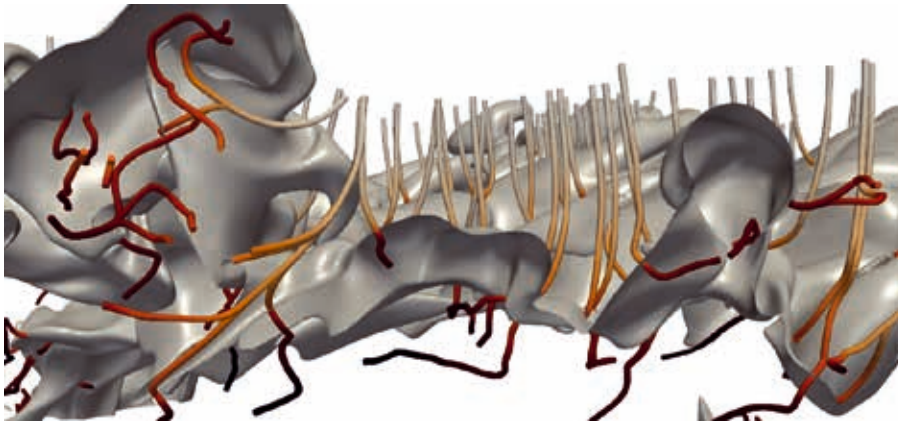


Figure 2: Cutout from the upper half of the jet flame. The grey iso-surface marks the reaction zone which is thrilled by quasi one-dimensional flame structures.

The simulation performed in the course of this LRZ project investigated a temporally evolving non-premixed syngas jet flame, which was run on 8192 cores and comprised nearly one billion grid points. The obtained results serve as a database for the validation of a recently published set of enhanced model equations for non-premixed combustion. The scientific question was how the curvature of the underlying fields affects molecular transport processes within the reaction zone. For reference, a snapshot of the simulation is shown in figure 1. The reaction zone is highlighted by the green iso-surface.

For the analysis it was necessary to extract several different flame structures, see figure 2, and to track their evolution while the simulation was running.

With this, so called in-situ tracking, we were able to show for the first time that the extended set of model equations is actually applicable under turbulent conditions and that curvature-induced transport has an important contribution to combustion dynamics.¹

These findings are illustrated in figure 3. The plot shows the temporal evolution of temperature (at the point of stoichiometric mixture) of one flame structure. During early times of the flame structure significant deviations between the extended formulation and the classical formulation exist. These differences become negligible as soon as the underlying field flattens. Further details about the results can be found in ref. [1].

These results in combination with the extended set of equations will be important for future modeling strategies when it comes to Large Eddy Simulations (LES). In contrast to DNS, LES resolves only large flow scales and requires a proper closure of the governing equations. However, with LES more realistic flow scenarios are feasible.

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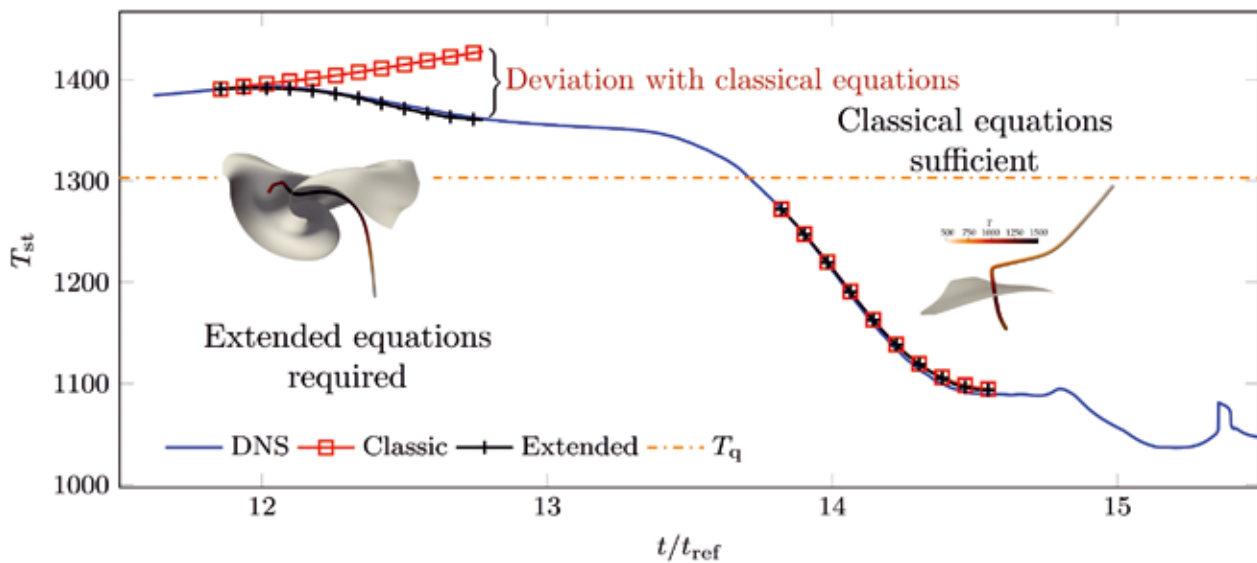


Figure 3: Comparison of temperature evolution between DNS, the classical model and the extended set of equations accounting for curvature induced transport. The temperature was extracted at the point of stoichiometric mixture and is shown for one flame structure tracked over time. As a reference the orange line marks the temperature at which a flame structure extinguishes.

Large Eddy Simulation of turbulent flow interacting with complex structures

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SuperMUC Project ID: pr84gi (KONWIHR Project)

Introduction

Turbulent flows are of intrinsic complexity due to the non-linear character of the governing equations. In a turbulent flow regime, flow structures with a continuous spectrum of scales are interacting. With increasing Reynolds number, the range of the involved scales increases. Thus, typical technical or geophysical flows at large Reynolds numbers can only be predicted by the use of models reducing the number of degrees of freedom. Computer simulations have emerged as a powerful tool to improve the understanding of such flows.

The example investigated in this project is the development of scour holes around bridge piers standing in mobile river beds. In this project, we intend to particularly understand the scaling of the flow with Reynolds number and the change in the flow structure within a developing scour hole. To this end, we investigate the flow around a circular cylinder mounted on a flat plate and of a cylinder standing in a scour hole. The enhanced level of wall shear stress in the local flow field around the cylinder is considered to be the main reason for the developing scour hole.

The main goals of the project are: (i) to gain deeper understanding of the flow and its dynamics, and how these dynamics change with increasing Reynolds number and scour depth; and (ii) to perform simulations of such flows which can be used as reference for model development.

This project has been funded as a combined numerical/experimental study by the DFG (MA 2062/11). Parallel to the simulations performed at LRZ, experiments have been performed at the Hydromechanics Laboratory at TUM for validation and to obtain complementary data to the numerical simulations.

Computational aspects

For the Large-Eddy Simulations within this project, the flow solver MGLET is employed. It uses a Finite Volume method to solve the incompressible Navier-Stokes equa-

tions on Cartesian grids with a staggered arrangement of the variables. A local grid refinement is implemented by adding refined grids in a hierarchical, overlapping way. An explicit third-order low-storage Runge-Kutta time step is used for time integration.

Curved surfaces are represented by an Immersed Boundary Method. MGLET is parallelized by a domain decomposition method using Message Passing Interface (MPI). Recently, the code has been optimized for massively-parallel computing architectures, such as SuperMUC, within two successive KONWIHR projects with their outcomes being published in [1,6]. These projects were intensively supported by experts from the CFDLab organized by the LRZ.

The first optimization addressed the communication infrastructure of the multi-block grids. Initially, the wall-time needed for updating variables stored in a cell was approximately 5 micro seconds when the number of MPI processes was around 2000. After the optimization, we are able to use efficiently four islands of the SuperMUC Phase 1 (~33000 cores) with a cell-update time of approximately 2 micro seconds. In Figure 1, an example result for a weak scaling test is plotted. The figure demonstrates that MGLET is well scalable now for large numbers of cores and problem sizes. It has to be noted that even multi-block configurations run efficiently now on many cores. The second optimization project aimed to implement a parallel I/O strategy into MGLET, as the performance of the original serial I/O implementation became a progressively

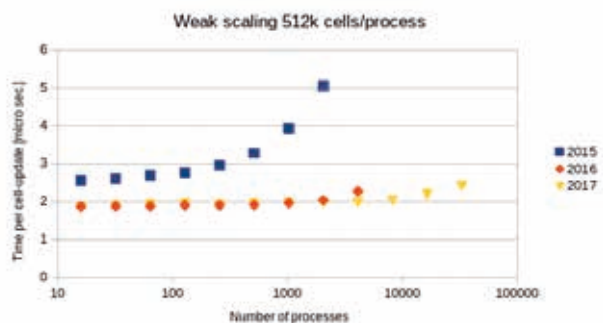


Figure 1: Improvement of parallel weak scaling performance expressed as time per cell-update as function of number of MPI processes. The problem size was set at 512×10^3 cells per MPI process. The tested architecture is SuperMUC Phase 1 (Intel Sandy Bridge).

predominant bottleneck while the problem size which MGLET can handle grew drastically. We decided to resort to the parallel HDF5 I/O library to realize this task. The performance of the original serial I/O was bounded at approximately 200 MB/sec. In contrast, the new parallel I/O implementation was confirmed to perform up to 5 GB/sec. with ~33000 MPI processes.

Results and Methods

So far, we have performed Large-Eddy Simulations for the case of a cylinder on a flat plate at three Reynolds numbers ($Re=20000, 39000$ and 78000) [2,3,4,5] and for a cylinder placed in a scour hole for the smallest Reynolds number ($Re=20000$). The sub-grid scale stresses are parametrized by the Wall-Adapting Local Eddy-Viscosity model (WALE). A free surface channel flow at a low Froude number is approximated by a free-slip condition at the upper wall. A fully turbulent open-channel flow is set as inflow condition. It is generated by a so-called precursor simulation which is run in parallel to the main flow simulation, see Figure 2.

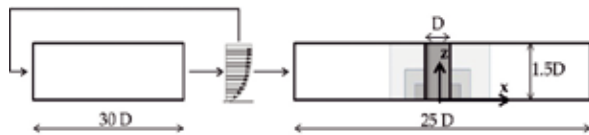


Figure 2: Side view of the setup. The grid around the cylinder is refined with three locally embedded grids [2,4].

The region of interest around the cylinder/plate junction is resolved by zonally embedded grids which give a total refinement factor of eight with respect to the global grid [2,4]. For each Reynolds number, the grid was adapted according to the expected inertial stresses of the fluid. The finest configuration ($Re=78000$), using in total a number of 1.6 billion grid cells, was run on 2048 cores with about 12 seconds per time step (SuperMUC Phase 2) before the optimization. As expected, this was about a factor of 2-3 slower than a configuration with a single block grid due to the complex communication patterns that arose from the zonally embedded grids.

The simulations for the cylinder on a flat plate have been carefully evaluated and analyzed, and several aspects have been and will be published, see [2,3,4,5].

The main results are summarized in the following. The approaching boundary-layer-type flow leads to a down-flow in front of the cylinder. This down-flow forms a vortex when reaching the bottom plate, see Figure 3. Due to the main flow, this vortex wraps around the cylinder and forms the so-called horseshoe vortex system.

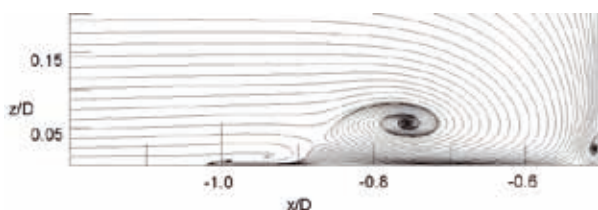


Figure 3: Time-averaged streamlines in the symmetry plane in front of the cylinder. Plotted is data at $Re=78000$.

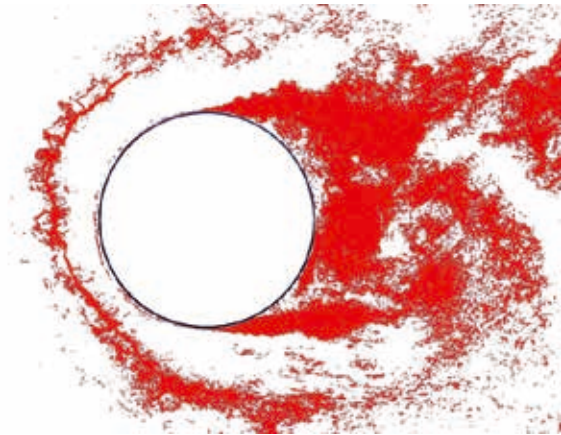


Figure 4: The Q-criterion visualizes coherent structures around the cylinder in a cylinder-plate junction flow (top view, $Re=78000$).

The horseshoe upstream of the cylinder can be visualized by the second invariant of the velocity gradient tensor (Q-criterion). Figure 4 clearly illustrates the complex interaction of structures on a continuous spectrum of scales. One can identify structures having the size of the diameter of the cylinder (horseshoe vortex, von Karman-vortex) as well as structures having the length scale of the grid resolution.

A detailed comparison of measured and simulated results reveal a satisfying accordance for the horseshoe vortex topology, the positions of critical points, the wall shear stress and the turbulence structure [2]. We have demonstrated that conventional wall models based on the law of the wall will fail in large regions in front of the cylinder as Reynolds stresses play a minor role in the momentum balance near the wall [3].

We found that the horseshoe vortex system is only weakly changing with Reynolds number when scaled by the outer flow variables, the inflow velocity and the cylinder diameter. However, the maximum wall shear stress in front of the cylinder normalized by outer variables, the friction coefficient, scales with the inverse of the square root of the Reynolds number. This is a viscous scaling. We explain this scaling by the observation that Reynolds stresses play a minor role in the region, in which the wall shear stress is large. A publication on this effect in in preparation.

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Detailed coal and flamelet modeling for large eddy simulation of pulverized coal combustion

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SuperMUC Project ID: pr84mu (Gauss Large Scale project), pr92ni

Introduction

Pulverized coal combustion (PCC) still plays a major role for the world energy supply. However, future coal power plants need to be more efficient and produce less pollutants to mitigate global warming.

Computational fluid dynamics in general, and large eddy simulation (LES) in particular, have become important tools for studying combustion systems. However, significant research effort is still needed for numerical simulations of practical systems to become predictive. The aim of the project is improve some of the models used in the LES of PCC to move towards more reliable predictions and to elucidate the complex nature of turbulent pulverized coal flames.

Results and Methods

Our in-house code PsiPhi has been used for all simulations in this project. The code solves the governing implicitly filtered Navier-Stokes equations in the low-Mach limit using the finite volume method. Continuity is enforced by a pressure-correction scheme and projection method using a Gauß-Seidel solver with successive over-relaxation. The code is discretized in time by an explicit third-order Runge-Kutta procedure and in space by second-order central or total variation diminishing schemes.

Coal particles are described using a Lagrangian parcel strategy. The Lagrangian phase is fully coupled to the Eulerian phase employing tri-linear interpolation schemes. A discrete ordinates method is used to treat thermal radiation.

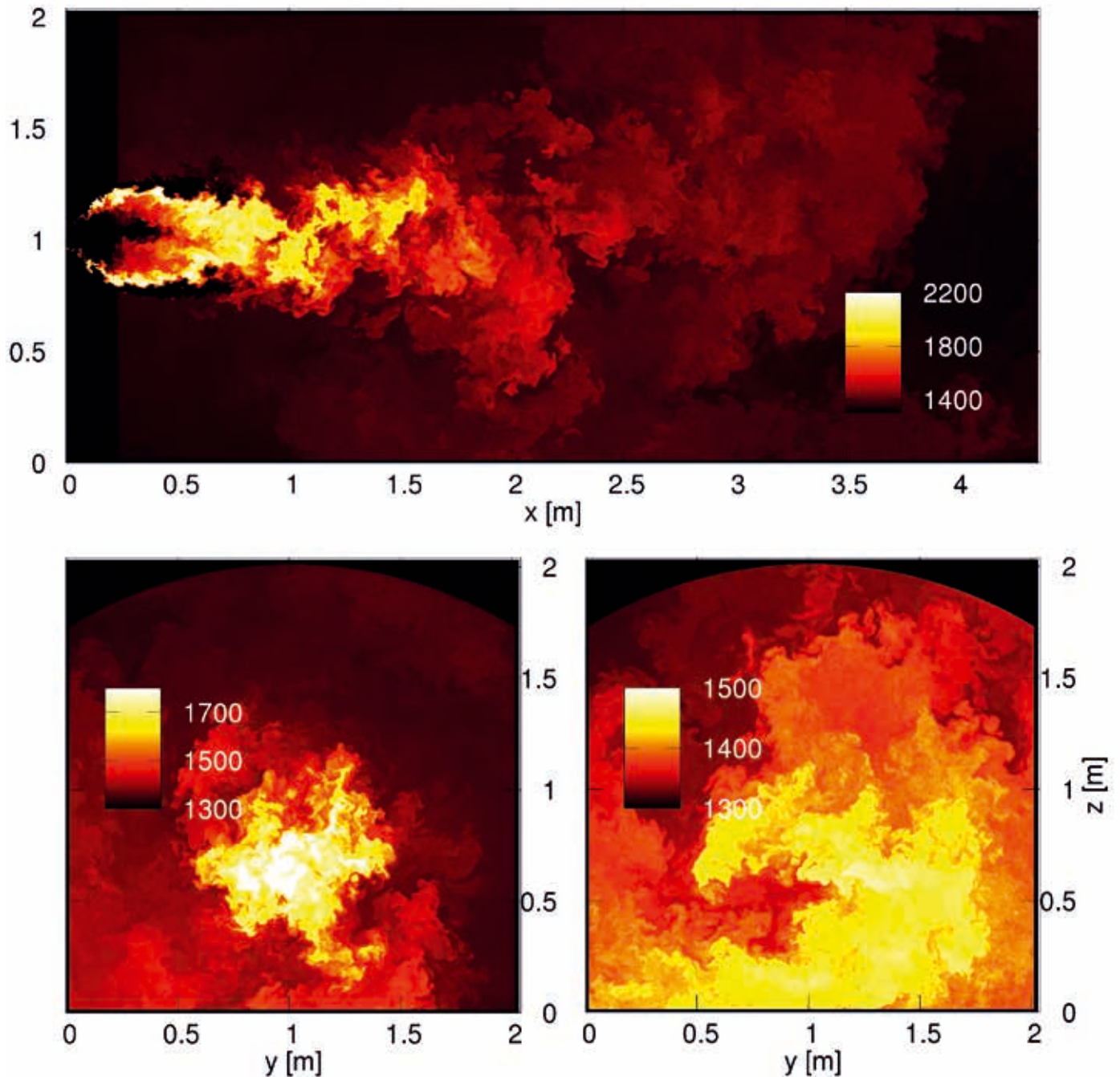
The code is written in Fortran and parallelized using non-blocking MPI and domain decomposition. For this project, the code has been compiled with the Intel compiler, and Intel as well as IBM versions of MPI have been used. The code has been optimized through several projects with our collaborators on SuperMUC, JUQUEEN and HazelHen, and has been demonstrated to scale up to 128,000 cores.

This project used 30M cpu-h in total. A typical large run used 16,384 cores and generated 6 TB of data for restarting and post-processing purposes.

The first study aimed at the investigation of different devolatilization models [1]. To do this in a meaningful way, a compact test case featuring a simple coal jet flame experiment from the Central Research Institute of Electric Power Industry (CRIEPI) in Japan has been selected and simulated using devolatilization models of different level of complexity. Among these models, the detailed Chemical Percolation for Devolatilization (CPD) model has been used, for the first time directly plugged into the LES of PCC. This allows for a much improved description of the behavior of individual coal particles. In the future, this will become particularly relevant for the accurate predictions of pollutant formation in subsequent studies.

The second study aimed at the incorporation of the flamelet model for a detailed description of the gas phase into a simulation of a realistic test case, the semi-industrial scale furnace of the International Flame Research Foundation (IFRF) [2,3]. Different sub-grid models have been tested, and a large scale simulation with 1.7 billion cells and 40 million Lagrangian particles has been performed. The main goal of these studies was to show whether a flamelet based on gas flames works well in such large scale simulations of PCC and to validate the model in a realistic environment. These goals were achieved such that the flamelet model can now be extended and/or used in future simulations of full scale boilers. Additional to the validation of the method, processes such as particle heating, devolatilization and char combustion in a turbulent environment could be investigated in detail. Additionally, the simulations revealed further possible improvements of the approach such as proper treatment of recirculating flue gases or better description of the combustion by a more detailed description of the volatile gas released during devolatilization.

In the third study different models for devolatilization and char combustion were tested in an LES of pulverized coal and biomass combustion, co-fired in a large-scale



laboratory furnace from Brigham Young University [4]. The LES results show that the devolatilization model has a strong impact on the flame structure, while the char conversion mode modeling had a marginal effect. The predictions provide a better understanding of the flame structure and the differences between the devolatilization and combustion of coal and biomass particles in a realistic turbulent environment.

On-going Research / Outlook

Detailed LES at such scale are only possible on large HPC systems such as SuperMUC. Machines such as the upcoming “SuperMUC Next Generation” will enable to simulate PCC systems at even larger scale with unprece-

Figure 1: Temperature contours [Kelvin] in the x-y-plane through the quarl center for approximately two thirds of the computational domain in axial (x) direction (top), in a y-z plane at x=1.6 m (bottom left) and a y-z plane at x=3.2 m (bottom right) [3].

ented level of detail, allowing to better understand the complex interplay between turbulence, particle dynamics and chemical reactions. In addition, future projects will focus on more detailed and improved flamelet models for a detailed description of the gas phase.

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Superstructures Enhance Heat Transport

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SuperMUC Project ID: pr84pu, pr92jo

Introduction

Being one of the three ways to transport heat, thermal convection is ubiquitous in nature and technical applications. It is studied in atmospheric physics, astro- and geophysics. Besides that, thermal convection is also studied in engineering, as it is very important for technical applications as well, e.g. in cooling processes. The utilized cooling fluids range from water (cooling CPUs) to liquid metals, which are used for cooling nuclear power plants. Thereby, it becomes necessary to understand and predict convective heat transport in fluids with different properties.

Rayleigh-Bénard convection (RBC), where a fluid is confined between a hot bottom plate and a cold top plate, is one of the main model systems to investigate the physics of turbulent thermal convection. In our project we focus on the investigation of the interaction between the shear and buoyancy force. Especially we want to know, how these forces can enhance the mean heat transport across a cylindrical domain (represented by the dimen-

sionless Nusselt number Nu) and which kind of superstructures emerge inside the flow, and how they contribute to the global heat transport [1].

How does our model system work?

We consider convection in fluids, which density decreases with increasing temperature. Thus, here buoyancy drives convection. The strength of the driving is determined by the Rayleigh number Ra , which is proportional to the temperature difference between the plates. Additionally, shear is induced in our system by inclining the cylindrical cell with respect to gravity. This splits the buoyancy force into two components and the additional component is directed parallel to the hot/cold plates, creating a shear flow along the hot and cold thermal boundary layers.

Previous studies for cylinders of the diameter-to-height aspect ratio one ($\Gamma=1$) evince a complex behavior of the heat transport for various fluids [2]. In our current studies, we include an additional aspect, which is geometrical confinement of the convection cell. The aspect ratio is reduced to $\Gamma=1/5$ (i.e., the height H of the cylinder equals five times the diameter D), and our setup becomes a slender cylinder [3].

Recent experiments conducted for a slender cylinder of even stronger confinement ($H = 20D$) showed that the heat transport in such configurations can significantly increase ($\sim 10\times$) compared to that in RBC [4]. These experiments used liquid sodium as a working fluid, which has a very small Prandtl number Pr , i.e. the ratio of the viscosity to the thermal diffusivity is very small.

The main goal of our study is to provide insight into the flow fields in this kind of convective setup, because it is very difficult to do this experimentally. Furthermore, we want to analyze the heat transport scaling relations with Rayleigh number and Prandtl number.

Results and Methods

Our model system is described by the momentum (incompressible Navier-Stokes) and energy equations. The Boussinesq approximation is used, which means that

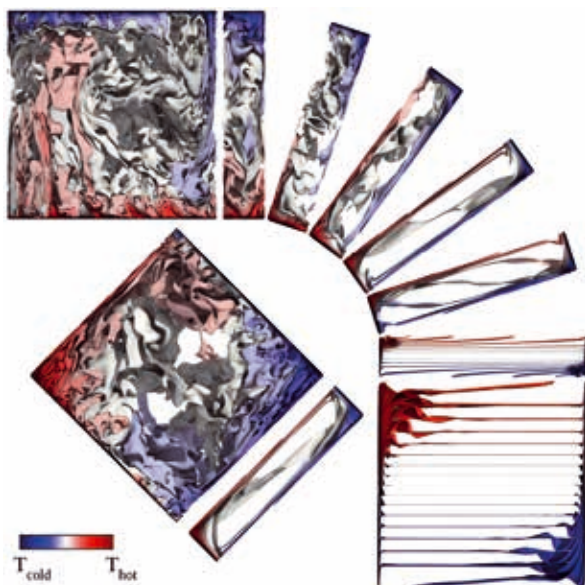


Figure 1: Instantaneous snapshots of the temperature isosurfaces for the aspect ratio $\Gamma = 1$ and $\Gamma = 1/5$, for different inclination angles of the convection cell, $Ra=10^9$, $Pr=1$.

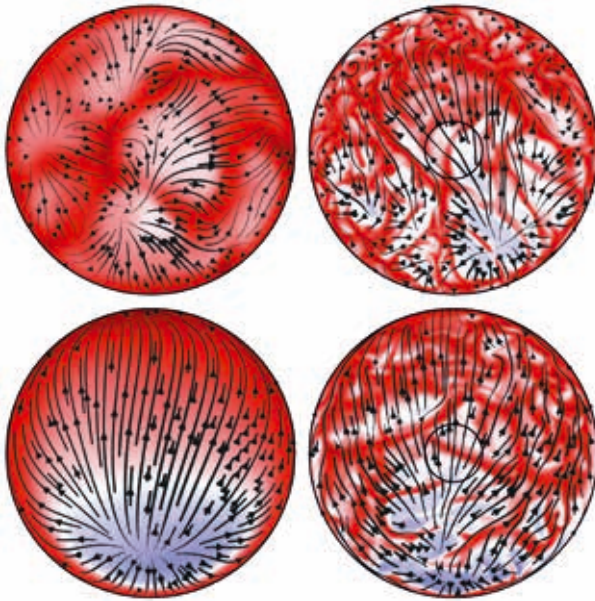


Figure 2: Slices of instantaneous flow fields near the heated plate for the aspect ratio $\Gamma = 1/5$ (left column) and $\Gamma = 1$ (right column) in RBC (top row) and inclination of $\pi/4$ (bottom row). The temperature is indicated by color (color scale as in Fig. 1) and the black streamlines represent the velocity field, $Ra=10^9$, $Pr=1$.

the change of the temperature affects only the buoyancy term, all other fluid properties are independent of the temperature. These equations are solved by the *Goldfish* code, which implements a highly advanced high-order finite-volume method. We perform direct numerical simulations (DNS), which do not need any closure models and our results are free from additional assumptions. We use cylindrical coordinates and non-equidistant, staggered meshes, to adapt the geometry of the cylinder. In Table 1 we list typical numbers about the mesh, CPU-time and memory requirements. The mesh is denser in proximity to the boundaries of the cylinder, in order to properly resolve the boundary layers (BLs). The necessary resolution of the mesh is determined by the smallest turbulent scale. For small Prandtl numbers, this is the Kolmogorov scale. The boundary conditions for the velocity are no-slip at all walls and for the temperature adiabatic side walls are used and the temperatures at the cold top and hot bottom plates are kept constant.

A non-dimensional form of the equations has four input parameters: the Rayleigh number (strength of the thermal driving), the Prandtl number (fluid property), the diameter-to-height aspect ratio and the inclination angle of the cell.

The inclination angle is varied from 0, which corresponds to Rayleigh-Bénard convection (RBC), to $\pi/2$, which is so-called vertical convection (VC). Currently the Rayleigh number reaches up to 10^9 for Prandtl numbers 1 and 0.1.

In this report we focus on one of our most interesting findings for $Ra=10^9$, $Pr=1$. These results illustrate how geometrical confinement and inclination of the cylinder can alter the flow structure in such a way, that the mean heat transport significantly increases. In RBC, that

means without inclination, the heat transport is similar for both aspect ratios (less than 2% difference). Fig. 1 shows that in the $\Gamma=1$ case there is a large-scale circulation (LSC) but in the slender cylinder there is not. Thus, the LSC does not play an important role on the global heat flux in RBC. The similarity between the flows can be seen in Fig 2. It shows that the sheet-like thermal plumes have similar dimensions in the case without inclination for both aspect ratios. Nevertheless, other results show that in the inclined slender cylinder, the LSC is always present, its direction is fixed by the splitting of the buoyancy force and its strength plays an important role in the heat transport.

D / H	mesh (z ϕ r)	CPUh	cores	size of single snapshot
1	770 x 512 x 384	~60k	128	4.9 GB
1/5	512 x 256 x 65	~30k	64	286.5 MB

Table 1: Information about a typical mesh size, cpu-hours (collecting statistics) and number of MPI cores. Note that 11 inclination angles are simulated for each particular combination of the Rayleigh number and Prandtl number, which results in about 1 million core hours for each combination.

When the inclination angle reaches $\pi/4$, the mean heat transport is optimal (maximal) for both aspect ratios. However, in the slender cylinder the heat transport increases by about 40%, while in the $\Gamma=1$ cylinder the increase amounts only 6% compared to the no inclination case. This significant difference is observed also in Figs. 1 and 2. The sheet-like thermal plumes do not change much in the aspect ratio one case. Inside the slender cylinder, however, we do not observe any sheet-like plumes. Instead there is one large zone of an impinging cold plume and one large zone of a rising hot plume.

Our simulations show also for other Rayleigh numbers and Prandtl numbers, that this kind of appearance of the thermal BLs seems to be favorable for the heat transport enhancement in the system. Apparently the interaction of the LSC and the BLs is stronger in the sense that a more rapid LSC squeezes the thermal BL together, thus, enhancing the heat transport.

On-going Research / Outlook

Going to lower Prandtl numbers requires especially fine meshes to resolve the relevant turbulent scales and, therefore, these simulations consume much more cpu-hours. In our future work we plan to directly compare the results of our simulations with measurements from our collaborators. This will help us to gain more detailed insight into the heat and momentum transport in turbulent thermal convection of liquid metals. The simulations in the desired parameter range are already started.

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Modulation of Turbulent Properties in Spray Flame

Burning n-Heptane: Direct Numerical Simulation

RESEARCH INSTITUTION

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SuperMUC Project ID: pr84qo (Gauss Large Scale project)

Introduction

The current report shows the status of our HLRB project pr84qo, which is running on SuperMUC since November 2014. The aim of this project is to investigate turbulent spray flames, quantifying possible modifications of the turbulent properties. Ignition is analyzed using direct numerical simulation in different configurations: (1) homogeneous isotropic turbulence, (2) temporally-evolving jet (TEJ), and (3) spatially-evolving jet (SEJ). The droplets of liquid n-heptane, being smaller than the grid resolution and Kolmogorov length scale, are modeled as point droplets, while the Navier-Stokes equations are solved in the low-Mach number regime. Detailed models are employed to describe chemical reactions and molecular transport in the gas phase. In the current DNS, the continuous (gas) phase is simulated in a standard manner (Eulerian frame) whereas the discontinuous (droplet) phase is tracked in a Lagrangian frame. Two-way coupling interaction between both phases is quantified via the exchange of mass, momentum and energy. The impact of different parameters is investigated, in particular: initial temperatures, initial pressure, equivalence ratio/droplet mass fraction, droplet size, turbulence level (with a Taylor Reynolds number up to 150) and mean shear effect.

Algorithms and Numerical Methods

The current project relies on an in-house DNS code. DINO (*Direct Numerical, high-Order Simulation and On-the-fly Analysis of Reacting flows and Sprays*) is a new Fortran-2003 code, which has been developed in our group since the beginning of 2013 [1-3]. DINO is a three-dimensional low Mach number DNS solver code with a 6th order finite-difference spatial discretization for reacting and multi-phase turbulent flows. The code is parallelized in two dimensions using the 2DECOMP&FFT library that acts on top of standard MPI and FFTW. The Poisson equation for pressure is solved by means of FFT, both for periodic and non-periodic boundary conditions (in the latter case with pre- and post-processing steps). A

3rd order semi-implicit Runge-Kutta scheme is used for time integration. By default, the chemical source terms are computed using the Cantera-1.8 library. The transport properties are computed either with the Cantera library or with the EGLib-3.4 library. The discontinuous phase in multi-phase flow simulations (droplets/spray) is tracked by using either a classical Lagrangian point force approach (for non-resolved particles) or with the Immersed Boundary Method (IBM) technique for fully resolved droplets (an approach that is not discussed further here). The initial turbulent field is generated by inverse Fourier transform with analytical energy spectrum (Passot-Pouquet or Von Karman-Pao). Input/output operations rely on MPI-I/O routines provided by the 2DECOMP&FFT library. These files are used for restarting the simulations while using parallel HDF5 saving for storing data used for postprocessing. The code is already under GIT version control, which helps all users to quickly and safely carry out changes or updates, if needed. As build environment DINO uses cmake and it can be compiled with both GNU and Intel Fortran compilers. Required resources, until the end of 2017, for this project are summarized in Table 1.

Total CPU-h	Overall storage	Typical #cores	#generated files
28 Mio	40 TB	4096	6000

Table 1: summary of required resources (over 3 years).

Scientific Results

As mentioned in the previous section we are investigating the burning of n-heptane liquid droplets in three different configurations: Homogeneous isotropic turbulent flow (HIT), temporally-evolving turbulent jet flow (TEJ), and spatially-evolving jet flows (SEJ). The corresponding results of cases with HIT had been presented during the Combustion Symposium [4]. It had been found that the cases with HIT configuration deliver good scientific results, however, spray in HIT is over simplified configuration and can't give complete view about the shear effect since



Figure 1: Spray combustion in TEJ; the gray spheres represent the liquid droplets (size is multiplied by factor of 5 for visualization), the yellow iso-surface is the Q-criterion showing the main turbulent structures, and the red iso-surface represents the temperature ($T = 1800$ K)

it has no mean velocity. Alternatively, cases with TEJ configuration were employed. The results of these cases clarified more about the shear effect. Typical result of spray combustion in TEJ is presented in Fig. 1. In this figure, the droplets are randomly distributed inside the central part of the domain (jet flow region) with initial temperature of 300 K and with the same velocity as the surrounding jet flow. The jet flow and co-flow mixture consist of oxidizer with a uniform temperature of 1500 K and pressure of 5 bar. In Fig. 1 the gray spheres represent the size and location of the droplets (the size is multiplied by a factor of 5 for easier visualization), while the red and yellow iso-surfaces represent the the temperature of 1800 K and Q-criterion (main turbulence structure), respectively. Part of the results corresponding to this configuration have been already reported in Refs. [3, 5].

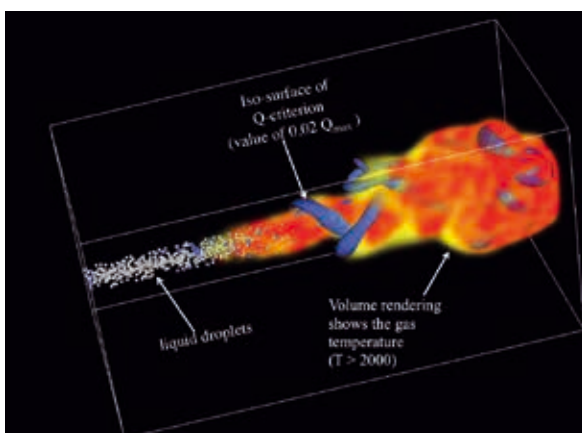


Figure 2: Spray combustion in a spatially-evolving jet (SEJ); the white spheres represent the liquid droplets (size is multiplied by a factor of 5 for visualization), the blue iso-surface is the Q-criterion showing the main turbulent structures, and the colored volume rendering shows the gas temperature ($T > 2000$ K).

Even though the DNS of spray in TEJ clarified many mysterious issues, it doesn't deliver clear results about the spatial-evolution of spray. For this reason, DNS of spray in SEJ is employed. The typical output of this case is presented in Fig. 2. This figure illustrates the spray dispersion, and ignition; where the white spheres represent the liquid droplets (size is multiplied by a factor of 5 for visualization), the blue iso-surface is the Q-criterion showing the main turbulent structures, and the colored volume rendering shows the gas temperature ($T > 2000$ K).

The output statistics and published data of the current project can ultimately become a reference data-set for many practical and academic works dealing with turbulent spray combustion. The complete 3D output data generated in the project will thus be gathered in a database accessible for other researchers who would like to validate their own spray evaporation or ignition model by analysis and comparison with DNS data.

On-going Research / Outlook

At the beginning of March 2018, we were able to prolong our project on SuperMUC for two more years (till 2020). In this way we will be able, with help of this strong resource, to perform our DNS in a larger domain. Also it will

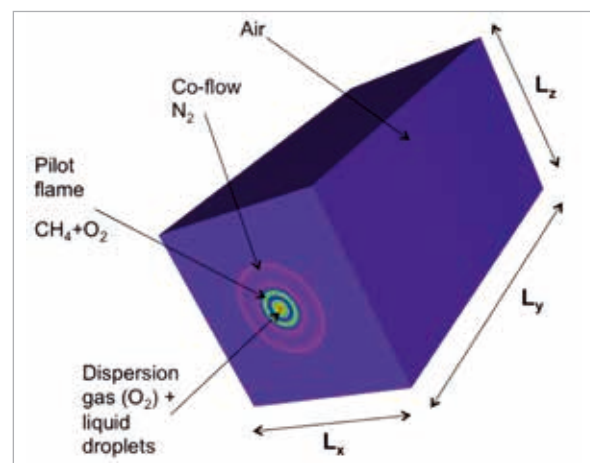


Figure 3: Reactor configuration in DNS

open the door for dealing with nanoparticle production as well. As a first step, we would start a case with a configuration similar to that using in laboratory scale as can be seen from Fig. 3

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Partitioned Multi-Physics on Massively Parallel Systems

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SuperMUC Project ID: pr84va

Introduction

The forthcoming exa-scale era promises enormous amounts of computational power. If we can translate the increasing computational power into covering more relevant physical effects in multi-physics simulations, we can aim for the most challenging applications, such as climate simulations or simulations of the human body. To this end, however, we have to reconcile scalability and flexibility of coupling approaches, as classical approaches typically suffer from the one or the other.

The open-source coupling library preCICE [1] allows for the flexible coupling of existing single-physics legacy codes at runtime. Since preCICE treats these codes as black-boxes, only minimal alterations to the codes are necessary to prepare them for coupling. To couple codes, the library offers methods for equation coupling, means for communication between separated executables, and methods for data mapping between non-matching coupling meshes. The SuperMUC project ported preCICE to a fully parallel layout without lowering the existing flexibility, compare Figure 1. The coupling of highly scalable single-physics solvers is possible without degenerating their scalability.

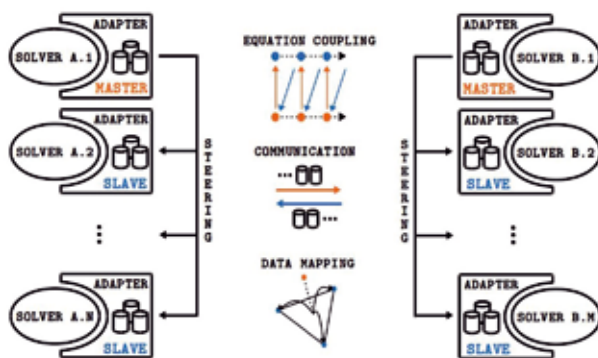


Figure 1: New parallel concept of the coupling library preCICE. Two parallel solvers A and B are coupled. Equation coupling, communication, and data mapping are performed on the solver ranks on distributed data.

The SuperMUC project closely follows the ExaFSA project [2], part of SPPEXA – the German priority program for exa-scale computing, where we exemplarily focus on fluid-structure-acoustic interaction as a challenging multiphysics application.

Results and Methods

In the following, we briefly summarize the methods of the three feature groups of preCICE and their parallelization. For more details, we refer the reader to [3].

Equation Coupling

To re-establish a strong coupling between various codes in each timestep, preCICE offers fixed-point acceleration techniques. Simple underrelaxation schemes are supported as well as sophisticated quasi-Newton methods. For the latter group, we support Anderson acceleration and a generalized Broyden method. The parallelization of both methods relies on a parallel tall-and-skinny QR-decomposition, which can be efficiently updated with Given's rotations. In particular, we worked on block-Jacobi quasi-Newton coupling schemes, which allow for a simultaneous execution of various codes [4].

Communication

The parallel M:N communication of preCICE builds up on several 1:N kernel communications, which avoids deadlocks at initialization in an elegant way as one side of the communication only needs to establish one 1:N communication per rank. preCICE offers two variants for the kernel communication: MPI and TCP/IP. Thus, we can either rely on efficient MPI implementations or avoid any MPI dependence for binary distributed single-physics codes. Both kernel implementations use asynchronous communication to further avoid deadlocks during the data communication.

Data Mapping

To map data between non-matching coupling meshes, preCICE offers projection-based interpolation as well as radial-basis function (RBF) mapping. The latter only op-

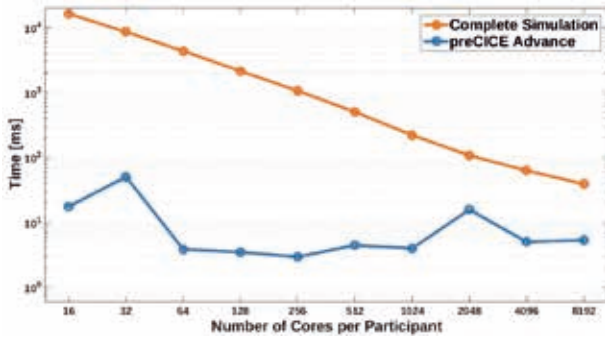


Figure 2: Strong scaling of the work per timestep for a density pulse traveling through an artificial coupling interface in an Euler domain. The time spent for the coupling does not influence the overall scalability.

erates on point clouds, which makes it perfectly suited for black-box coupling. While the parallelization of projection-based mapping is rather trivial, the parallelization of the RBF mapping is more involved. We rely on the PET-Sc library [5] to solve the RBF system in every coupling iteration.

With the newly-developed parallelization concepts, singlephysics codes can now be coupled without interfering with their scalability. Figure 2 shows an example for a fluid-fluid coupling, where all coupling operations are negligible compared to the solvers' costs. The example uses an explicit coupling with matching interface meshes. However, also for sophisticated fluid-structure couplings with RBF mappings and quasi-Newton schemes, the coupling costs in our experiments were always significantly less than the solvers' costs.

Applications

Enriching the existing flexibility of preCICE by a profound parallelization concept allows us to study several exemplary applications. Figure 3 shows three-field flow coupling for a subsonic jet. Figure 4 shows a fluid-structure interaction for an aortic blood flow. Figure 5 shows a first fluid-structure-acoustic application.

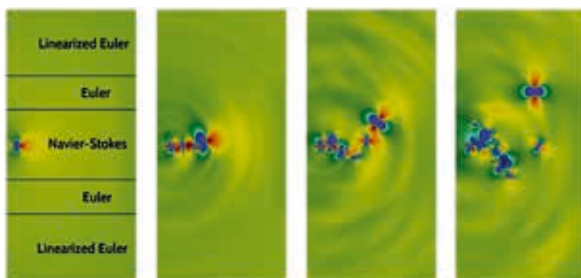


Figure 3: Three field flow coupling with the discontinuities Galerkin solver Ateles, coupled with preCICE. Pressure values of a subsonic jet are shown at various time instances. The leftmost snapshot also depicts the subdomains.

On-going Research / Outlook

The ExaFSA project is currently at the beginning of its second phase, including further parallelization challenges for preCICE, for which we also want to apply for a followup SuperMUC project. The gather-scatter initializa-

tion will be replaced by an hierarchical concept making multiple re-initializations possible. Thus, changing coupling interfaces can be treated efficiently as necessary for fully Eulerian fluid solvers with moving boundaries, for solvers with dynamic adaptivity, and for an overall inter-solver load balancing. Furthermore, algorithmic changes should eliminate interface operations with quadratic complexity for the generalized Broyden method and the RBF mapping. Finally, also technical problem concerning the construction of the MPI inter-solver communication, which we faced during this project, will be a focus of our research.

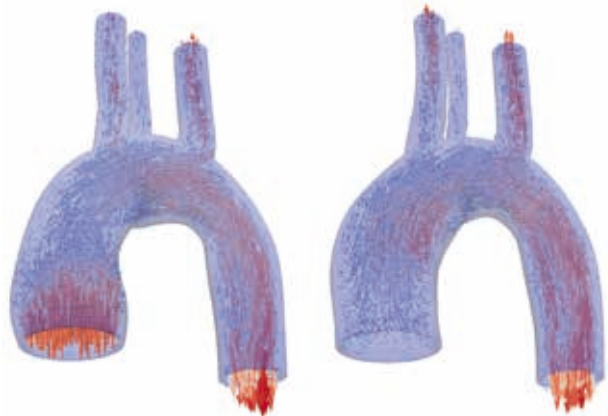


Figure 4: Fluid-structure interaction of an aortic blood flow. Two snapshots during one cycle show velocity vectors besides the structural deformation. The fluid and the structure solver from the finite element Alya System are used, coupled with preCICE.

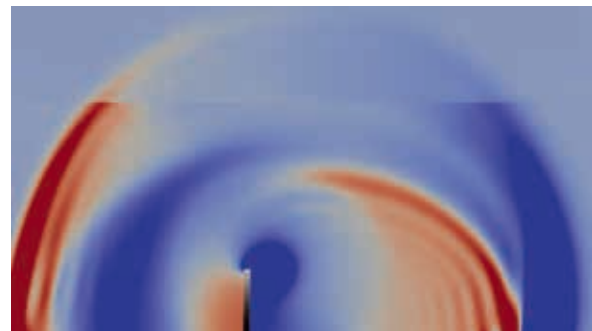


Figure 5: Prototype for a first fluid-structure-acoustic interaction: a bending tower in cross flow. Pressure values at a cut through the 3D domain are depicted. At the fluid-acoustic interface, there is still a visible jump due to incorrect boundary conditions in span-wise direction. For the fluid and the structure domain, we use the finite volume solver OpenFOAM, while the acoustic domain uses the discontinuities Galerkin solver Ateles.

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Coupled Direct Aeroacoustic Simulations on Massively Parallel Systems

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SuperMUC Project ID: pr84xu

Introduction

The ExaFSA project [1] aims for the integrated simulation of acoustic wave propagation and generation in flows around obstacles. The ambition in this project is the inclusion of the interaction of the structural movement with fluid motion, as well as the simulation of the generated sound waves from this configuration. A basic sketch of the overall problem is shown in Figure 1.

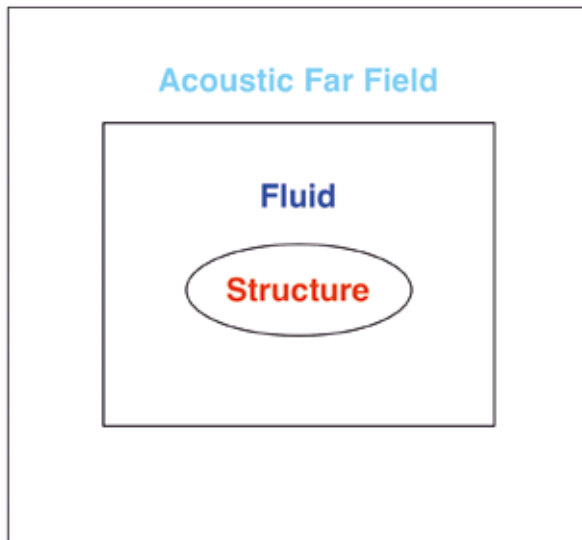


Figure 1: Schematic sketch of the Fluid-Structure-Acoustics problem setup.

The inclusion of the various physics and different scales involved in this overall problem has become possible by large computing facilities like the SuperMUC. Within this research, the present compute time project is concerned with computations and investigations for the coupling between the fluid domain and the acoustic wave propagation across larger distances. These two parts build an essential pair in the complete setup, as they are expected consume the largest part of the total compute time. The fluid domain due to its need for high resolution and the nonlinearity of the problem and the acoustic propaga-

tion due to the large domain that needs to be covered for the wave propagation.

The structural part imposes further restrictions on the fluid domain, especially with respect to the time resolution. Thus, even though the fluid-structure interaction increases the computational costs drastically, those additional computations are still found mostly in the fluid part. Therefore, this first compute time project for this research concentrates on the fluid motion and acoustic wave propagation.

Results and Methods

The fluid and acoustic domain require the resolution of different scales. While we are dealing with high energies and small length scales in the fluid domain, we are facing large length scales and low energy fluctuations in the acoustic waves. A monolithic solution with the same resolution prescribed by the maximal requirements in either domain is not feasible from the computational point of view. Instead the two domains need to be separated to allow for a proper discretization with respect to each involved regime.

To enable a direct aeroacoustic simulation with the two-way interaction between fluid and acoustic domain, the ExaFSA project uses a coupled approach, where the domains are spatially separated, and each part can be computed with different methods and discretization.

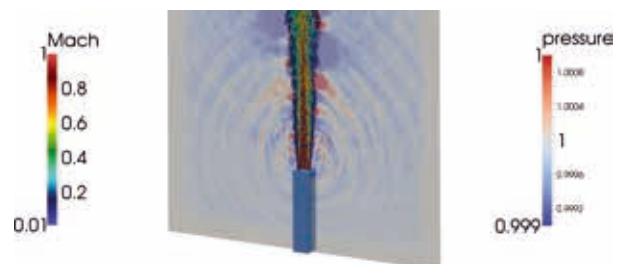


Figure 2: Iso-surfaces of vorticity magnitude colored by Mach number and acoustic pressure on a slice around the core of the free-stream jet. Multi-level mesh with 139,424 elements, $O(16)$, yielding more than 2.85 billion degrees of freedoms. The simulation was run on 2048 nodes, i.e. 32,768 cores, of Super-MUC [5].

Figure 2 illustrates the generation of sound by a fluid in motion with a jet from a nozzle. The noise generating fluid motion usually can be found in a comparable small area around the structure in the ExaFSA setting. Thus, a spatial separation can be naturally found for these scenarios. In Figure 2 the jet with turbulent fluid motion can be clearly separated from the area, where pressure waves are transported. The idea in the coupled simulation is now to gradually simplify the equations to solve as soon as possible. Starting with the full compressible Navier-Stokes equations, including friction the first simplification that can be done is to neglect the viscous terms when there are no shear flows expected anymore. This results in the nonlinear inviscid Euler equations. Finally, when the variation in the state gets sufficiently small, the nonlinearities can be neglected and only the linearized Euler equations need to be solved.

For the surface coupling between the individual domains we use the general library preCICE [2]. This tool allows the coupling of various solvers via a generic interface description. This will allow us to combine dedicated solvers for each physical domain. For the current investigation we first utilize the same solver for both, the fluid and the acoustic domain, albeit with different discretizations. Our solver Ateles [3] is a Discontinuous-Galerkin solver with a modal basis. This is especially well suited for linear problems like the acoustic wave propagation. A high spatial scheme order can be utilized with this scheme, which reduces the required memory to represent the solution accurately.

Nonlinear equations, however, drastically increase the computational effort in this scheme for high orders. Nevertheless, we employ this solver for this project also for the fluid domain, though with a low spatial scheme order. We plan, to replace the solver in the fluid domain.

During this project we computed several jet configurations and improved the scalability of the approach. The communication algorithm in preCICE was changed and some load-balancing was introduced to account for increased computational efforts for the interpolation in the high-order scheme.

A large part of the project was concerned with the generation of a reference solution with a large fluid domain without coupling. We then investigated the feasibility and accuracy of the coupled simulation. These showed good agreement with the produced reference and after the mentioned improvements also a good runtime. We use more than 20 million core-h on the various investigations, with around a third of it spent on the production of the reference for comparison.

In most runs we used 16,384 cores per simulation as this proved to be the most feasible queueing option. The resulting data is stored in one file per point in time and domain. Typically, 100 points in time were used for each simulation resulting in around 200 files, where each contains the complete solution in space and is also suitable

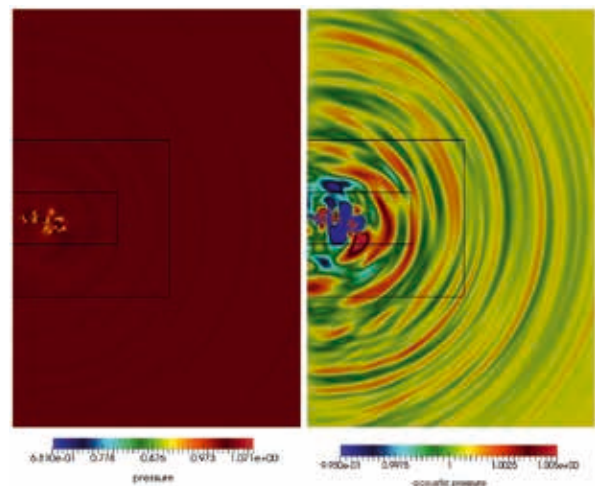


Figure 3: Snapshot of a coupled simulation of a subsonic jet, coupling interfaces are marked by black lines. Innermost: Navier-Stokes; middle: inviscid flow surrounding; linearized Euler. Left: flow phenomena. Right: acoustic wave propagation.

to restart the simulation. Tracking specific probes in the domain with a higher time resolution produces some other, smaller files. But these are only few and small. In the fluid domain the solution requires around 10 GB of disk-space for a mesh with around 30 million elements. In the acoustic domain with a high scheme order, less degrees of freedom are required and the solution data fits into files of less than half that size. In total a simulation, therefore, produced roughly 1.5 TB of data. In the course of the project we utilized more than 10 TB of disk-space for the various investigations.

On-going Research / Outlook

The just finalized phase 1 of the ExaFSA project focused on setting up the framework and gaining experience with the general quality of the coupling algorithms, especially on the data mapping between the processes. Future work focuses on the simulation of real world fluid-structure-acoustic interaction to bring new insights into such applications and realize computational optimization of e.g. the sound design of aircraft or wind turbines.

We can now build on the foundation and improvements that we were able to build during the compute time project. The detailed simulation of the problem including also the fluid-structure interaction further increases the computational costs the follow-up work on these large scale investigations have already started in a new compute time project.

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Fuel Flexible Combustion Systems with High Hydrogen Content (HHC) Fuels

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SuperMUC Project ID: pr84yo

Introduction

The recent increase of renewable energy resources requires the development of advanced energy storage techniques in order to balance the increasingly temporally fluctuating energy production. According to the vision 'Energiekonzept 2050' of the German government [2], energy storage may be realized by transforming excessive electric energy into chemical energy, e.g. by the electrolysis of water that splits water into molecular hydrogen and oxygen. The generated hydrogen can be stored and if needed, the energy can be regained by an electrification of hydrogen via combustion in stationary gas turbines. Within the vision 'Energiekonzept 2050', hydrogen is of particular interest as it represents a carbon-free energy carrier.

One way to integrate the generated hydrogen into the existing energy infrastructure is to enrich conventional fuels such as natural gas by hydrogen. Such fuels are termed High Hydrogen Content (HHC) fuels. However, HHC fuels show an entirely different combustion behavior as they are prone to thermodiffusive combustion instabilities [3]. This results from the high diffusivity of hydrogen or, respectively, its low Lewis number. These instabilities are yet not sufficiently understood, but significantly affect the overall combustion process as they can lead to an acceleration of the flame due to flame wrinkling and strong variations of the heat release. Thus, before HHC combustion becomes applicable in actual combustion engines a deeper understanding of its combustion behavior is required.

Nowadays the development process of new combustion devices is strongly supported by computational fluid dynamics in order to cut the high cost associated with experimental tests. This again requires accurate and reliable models for high-fidelity simulations. Recently, combustion processes in gas turbines have been analyzed by Large Eddy Simulation (LES) [4], which resolve the largest turbulent scales but require modeling of the smallest scales in a turbulent flow. Typically, LES represent a satisfactory trade-off between computational cost and

resolving a turbulent flow with a reasonable degree of accuracy. However, model development for LES requires the knowledge of the interaction of the unresolved turbulent scales and combustion. These details can only be obtained from Direct Numerical Simulations (DNS) where all turbulent scales are resolved. In contrast to LES, DNS of realistic combustion engines are yet not feasible due to their significant computational cost, but provide unique data sets for LES model development and validation due to their enormous richness of details. Since current LES modeling approaches developed for hydrocarbon fuels cannot describe the complex phenomena occurring for thermodiffusive unstable flames, entirely new LES models need to be developed for HHC fuels. This model development process starts with the generation of a DNS data sets of thermodiffusive unstable flames.

Results and Methods

Numerical Framework

The governing equations of the DNS are given by the reacting Navier-Stokes equations in the low-Mach limit. For the computation, an in-house code called CIAO is employed. The code is a high-order, semi-implicit finite difference code that uses Crank-Nicolson time ad-

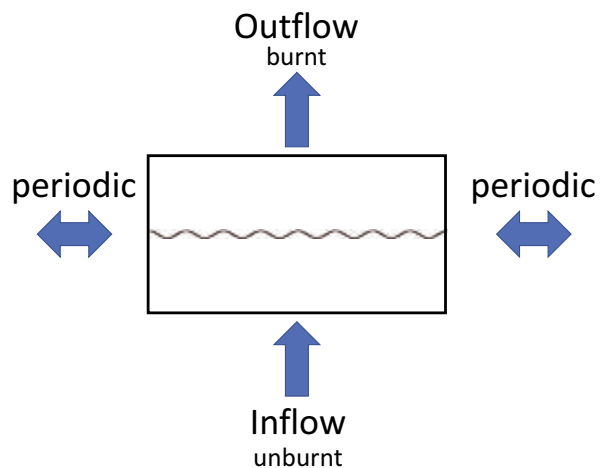
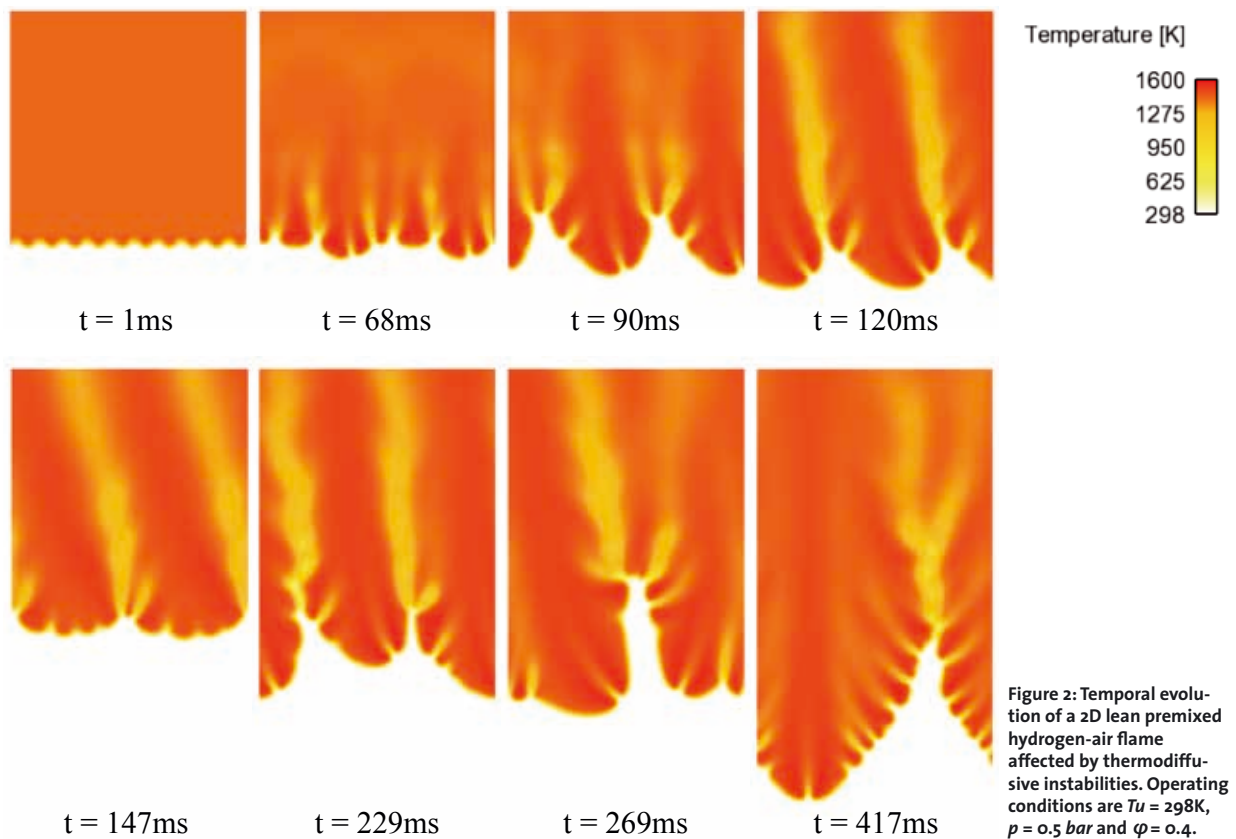


Figure 1: Simulation setup for the 2D DNS of a lean, premixed H₂-flame.



vancement and an iterative predictor corrector scheme. Spatial and temporal staggering is used to increase the accuracy of stencils. The Poisson equation for the pressure is solved by the multi-grid HYPRE solver. Momentum equations are spatially discretized with a second order scheme. Species and temperature equations are discretized with a third order WENO scheme. The temperature and species equations are advanced by utilizing an operator splitting according to Strang. The chemistry operator uses a time-implicit backward difference method, as implemented in the stiff ODE solver CVODE. For further details about the applied numerical algorithms and code verification, the reader is referred to Ref. [5]. The code uses the message passing interface (MPI) standard.

DNS of a Thermodiffusively Unstable H_2 -Flame

Fig. 1 shows the simulation setup of a 2D large-scale DNS flame that burns towards the inlet. The simulation domain is periodic in crosswise direction and the planar flame is initially perturbed in order to trigger thermodiffusive instabilities. The inlet velocity is chosen such that the flame is stabilized sufficiently long within the simulation domain. Fig. 2 shows a 2D large-scale simulation of a lean, premixed H_2 -flame at $T_u=298\text{K}$, $p=0.5\text{ bar}$ and $\varphi=0.4$. After an initial phase, the flame quickly forms typical patterns that are characteristic of thermodiffusive instabilities. During the simulation, cusps of different sizes are formed along the flame front and hence, increase the overall flame surface leading to an acceleration of the flame. Two distinct sizes of such cusps are visible. First, a characteristic smallest length scale can be identified that repeats itself multiple times along the flame front and

second, two large-scale structures are seen at, e.g., 90 ms, 229 ms, and 269 ms, which will be referred to as flame fingers. The smallest cell sizes can be attributed to the most unstable wave length of the dispersion relation, which describes the linear phase of such instabilities. However, Fig. 2 clearly shows that a strong non-linear interaction also exists, which yields the formation of flame fingers. These structures periodically arise from the flame front and quickly propagate towards the unburnt. However, during their propagation, the flame fingers show a tilting behavior (see e.g. $t = 269\text{ ms}$) such that they are reabsorbed by the flame. Thus, a periodic formation and collapse of flame fingers is observed. Understanding and predicting the size of these fingers is a critical component of an LES model as the flame acceleration strongly depends on the increase of the flame surface area. The overall acceleration of the flame front can be studied by means of the flame's consumption speed. For this case, it is found that the consumption speed is about 2.5 times larger than the laminar burning velocity, which underlines the importance of incorporating the effects of thermodiffusive instabilities into current LES models.

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Condensation Shock Phenomena in Cavitating Flow

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SuperMUC Project ID: pr85ki

Introduction

Cavitation denotes the local evaporation of a liquid medium caused by a decrease in static pressure below the vapor pressure, at almost constant temperature. *Hydraulic cavitation* bases on Bernoulli's principle: an acceleration of the liquid leads to a reduction in static pressure. Hence, given a large acceleration, the static pressure can fall below the local vapor pressure. Many engineering devices operating with liquid media, such as pumps, impellers, turbines, or ship propellers are subjected to hydraulic cavitation. Furthermore, it can also occur in hydraulic ducting, e.g. at discharge valves, throttles, venturi or orifices. The generated vapor pockets, also called *cavities* or *voids*, are typically transported with the main flow. When reaching again into areas of increased pressure, a sudden implosion or collapse-like re-condensation of the vapor occurs.

These collapses may induce intense pressure levels, which can exceed orders of several hundreds of MPa. On the other hand, characteristic length- and time-scales of the last stages of collapse events are nanometers and a few nanoseconds, respectively. This induces shock waves, which subsequently propagate through the liquid medium.

Cavitation is, in most cases, associated with a range of adverse effects. The aforementioned cavity collapse events generate far-field noise. Furthermore, collapse-associated pressure peaks are high enough to cause surface fatigue, or even material erosion. When exposed to cavitation over a sustained time, this may eventually lead to the failure of affected components. Cavitation may also excite vibrations, potentially leading to resonances of the structure. Finally, cavitation causes a degradation of the performance of the device, e.g., a reduction of static head for pumps, or of the deliverable thrust for a ship propeller.

The speed of sound in a two-phase flow can be orders of magnitudes lower than in either the pure liquid or pure vapor. Hence, even though cavitation occurs in a seemingly incompressible liquid flow, Mach-numbers $Ma \gg 1$

can be reached, and the flow becomes locally super-sonic. In addition to the aforementioned shock waves associated with cavity collapse events, this enables the occurrence of further compressible phenomena.

An important compressible mechanism is the so-called *condensation shock*, which denotes a shock wave propagating within the two-phase flow, associated with condensation of the vapor across the front. Condensation shocks represent a major driving mechanism for the unsteady nature of cavitating flow: global system dynamics, such as shedding frequencies of attached sheet cavities, can significantly be altered by their occurrence. As such, structural vibrations or resonances can be excited. Moreover, by affecting global cavity unsteadiness, flow aggressiveness and, hence, erosivity, as well as noise can largely be influenced by condensation shocks as well.

Despite their relevance for understanding the physics of and implications associated with cavitating flow, condensation shocks only recently gained attention in the literature, primarily by experimental investigations.

The goal of this project hence is to study this phenomenon by means of numerical simulation. Computational Fluid Dynamics (CFD) is advantageous in this regard, as it enables the analysis of flow structures and dynamics at both a temporal and spatial accuracy which is not achievable by experimental studies. As demonstrated, the formation and propagation of shock wave systems is crucial for understanding cavitation and its impact. However, the separation of length- and time-scales between wave dynamics associated with cavity collapse events on one hand, and the convective flow in conjunction with, e.g., condensation shocks on the other, typically span several orders of magnitudes. In order to spatially resolve the finest structures, grids with tens to hundreds of millions of control volumes are necessary. Simultaneously, with time-steps on the order of nanoseconds, covering convective time intervals requires tens of millions of iterations. This leads to a substantial numerical effort, requiring massively-parallel, high-performance computing resources.

Numerical Method

In order to capture collapse-induced pressure peaks and associated shock wave dynamics, the numerical approach developed at the TUM Institute of Aerodynamics and Fluid Mechanics [1], takes into account the two-phase compressibility of the water-vapor-mixture. The density-based, 3D finite volume method is based on a homogeneous mixture model [2]. Spatial reconstruction utilizes a 2nd-order TVD scheme on body-fitted, structured grids. An explicit, 4-stage Runge-Kutta method is used for integration in time. In this study, we focus on inertia-dominated physics and thus neglect viscosity. Furthermore, the flow is assumed barotropic and the effects of gas content are neglected. The described numerical method is implemented in the flow solver CATUM. It is entirely written in Fortran, and parallelized using Message Passing Interface (MPI) directives for massively-parallel computations on Intel x86 architectures. Static domain decomposition and load-balancing is achieved using the METIS partitioning algorithms [3].

Results

In order to study condensation shock phenomena, the canonical configuration of a partial cavity, developing at the sharp apex of a prismatic test body and undergoing subsequent sheet-to-cloud transition, is studied. The numerical set-up reproduces the experiments of Ganesh [4] as close as possible. The results of this study are documented in a recent publication of the authors [5], and major findings are summarized in the following. The predictions are in close agreement with the experiments: typical coherent flow structures found in the experiments are well reproduced by the simulations, as depicted in Figure 1, comparing a top-view on the partial cavity from the experiments with the simulation results.

As indicated, the simulations equally predict an attached sheet cavitation, detached cavity clouds, cavitating horse-shoe vortices, “streamers”, cavitating side-wall vortices, cavitating vortices stretching around a detached

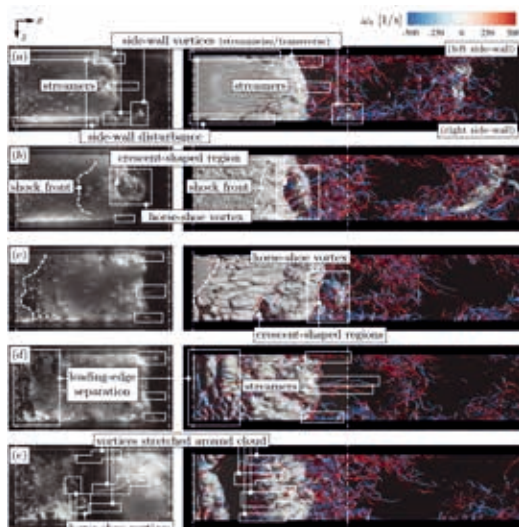


Figure 1: Illustration of a typical shedding cycle in top-view, comparing experiments (left) and simulations (right). The numerical results show vapor structures by means of the iso-surface of 10% vapor volume fraction (grey) and vortical structures with the iso-surface of λ_2 -criterion, $\lambda_2 = -2 \cdot 10^6 \text{ s}^{-2}$, colored by the axial vorticity. The white boxes indicate coherent flow structures. Reprinted with permission from [5], copyright Cambridge University Press.

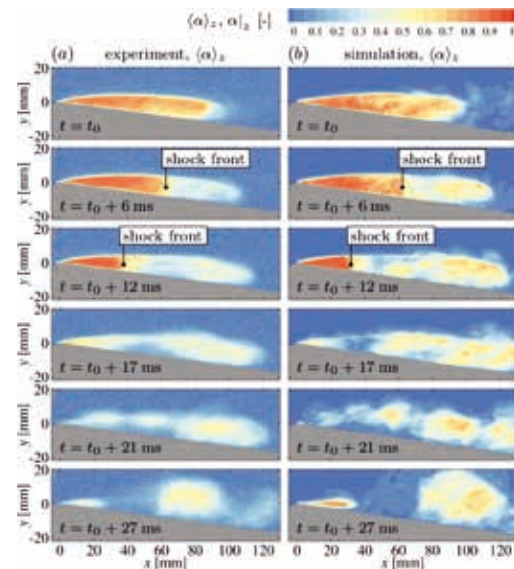


Figure 2: Comparison of instantaneous void fraction between experiment (left) and simulation (right) during the collapse phase of the partial cavity, with an indication of the location of the condensation shock front. Reprinted with permission from [5], copyright Cambridge University Press.

cloud, as well as crescent-shaped regions. The simulations show a highly three-dimensional flow field, characterized by a high level of vorticity. It is predominantly generated by the corrugated condensation shock front traveling upstream through the attached partial cavity. The front is subjected to Rayleigh-Taylor instabilities, while the post-shock fluid undergoes Kelvin-Helmholtz instabilities.

A closer comparison between numerical prediction and experiment is given in Figure 2, juxtaposing the evolution of the vapor volume fraction during the collapse phase of the sheet cavity. The global structure of the flow, as well as the level of the vapor volume fraction are both well-reproduced. Moreover, it is found that velocities of cavity growth and collapse, as well as the resulting shedding Strouhal-number, which characterizes the frequency of the periodic shedding, are in close agreement.

It is found that global flow unsteadiness of this configuration is entirely dominated by the occurrence of the condensation shock phenomenon. Moreover, it is demonstrated that it satisfies locally Rankine-Hugoniot jump relations. Estimations of the shock propagation Mach number show that the flow is super-sonic. Our results indicate that, in addition to classically observed re-entrant jets, condensation shocks feed an intrinsic instability mechanism of partial cavitation.

HPC resources were a prerequisite for the presented study. Utilizing up to 1024 cores in parallel, the investigations required a total of approximately $10 \cdot 10^6$ CPU-hours on SuperMUC phase 2.

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Technically Premixed Flame Response via Large Eddy Simulation

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SuperMUC Project ID: pr86fa

Introduction

Power plants that employ gas turbines are major source of energy production and will also play a significant role in the future renewable energy era by providing a reliable back-up source. Over the last decade, stricter regulations are imposed on gas turbines for hazardous gas emissions such as NO_x. A common approach to reduce emissions is to burn leaner mixtures in combustion applications. However, lean burned flames are susceptible against combustion instabilities. The underlying mechanism of these instabilities is: acoustic waves perturb the heat release rate of the flame. The unsteady heat release is a volume source and thus generates acoustic waves, which are reflected from combustor boundaries. The reflected acoustic waves again perturb the flame such that a feedback loop is formed. If this aspect is not respected in the design stage, perturbations might grow and cause fatigue or even damage the burner.

Combustion instability is a multiscale phenomenon. The state of art modeling approach relies on network modeling to account for large-scale acoustics in the complete combustor. However, the interaction between the unsteady heat release rate with acoustic waves requires high fidelity computational fluid dynamic (CFD) approach such as *large eddy simulation*, where small-scale turbulent combustion interaction is resolved. The *flame transfer function*, i.e. the flame response to acous-

tic waves, is obtained from the numerical simulation by employing system identification (SI) [2] and connected to the acoustic network model to predict the combustion instability.

The CFD-SI approach to compute flame transfer functions are computationally demanding and therefore performed in SuperMUC. This method was proven to be efficient and accurate for perfectly premixed flames, where the fuel and oxidizer are mixed homogeneously. However, in industrial application the perfect mixing is difficult to achieve, and the flame is often subject to mixture inhomogeneities. Lean premixed flames are highly sensitive to the mixture inhomogeneities, i.e. strong unsteady heat release rate oscillations might occur.

The focus of this project is to identify the flame transfer function in the presence of mixture inhomogeneities. For this purpose, an experimental test rig is devised at TU Berlin and corresponding numerical computations are carried out at TU München in the framework of a FVV project (Vorhaben Nr. 1170, Vorhersage von Flammentransferfunktionen). The setup is illustrated in Fig. 1, where the red color indicates the heat release in the combustion chamber and the grayscale color indicates

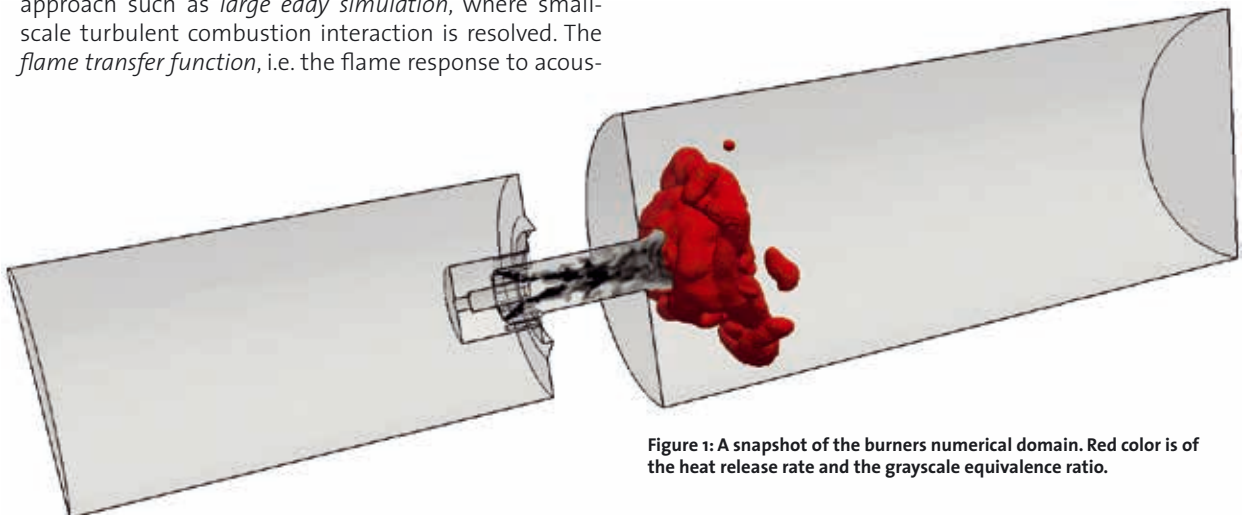


Figure 1: A snapshot of the burners numerical domain. Red color is of the heat release rate and the grayscale equivalence ratio.

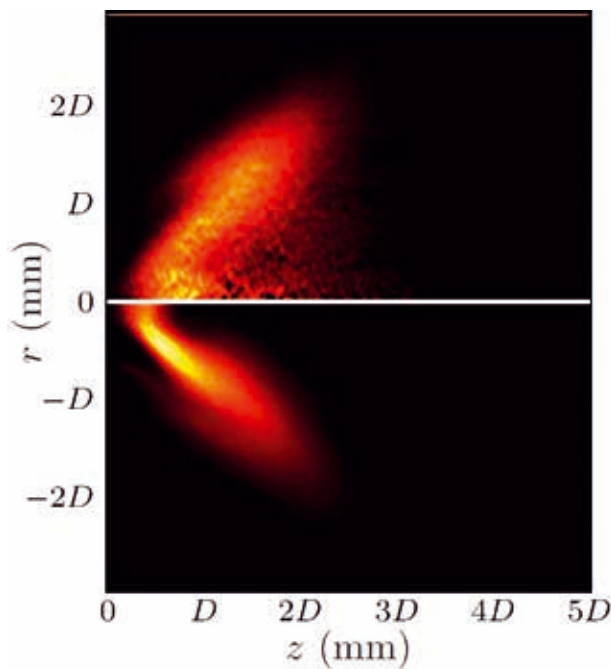


Figure 2 Flame Transfer Function of a technically premixed flame from experiments (circles), standard thickened flame model (TFM) and extended local thickened flame model (LTFM).

the equivalence ratio in the mixing duct. The darker colors indicate higher concentration of the fuel and it is evident that the flame is subject to strong mixing inhomogeneities.

Results and Methods

The Navier-Stokes equations with low Mach number combustion assumption are employed in the object-oriented C++ Software package OpenFOAM [3]. An implicit finite volume scheme with well-known PISO algorithms is used. The solver is derived from the standard solver reactingFOAM. The sub-grid scale turbulence is modeled with WALE model in the large eddy simulation framework. Global 2-step chemistry is used to model Methane-Air combustion. The time averaged heat release rate from the simulation is compared against CO^* images

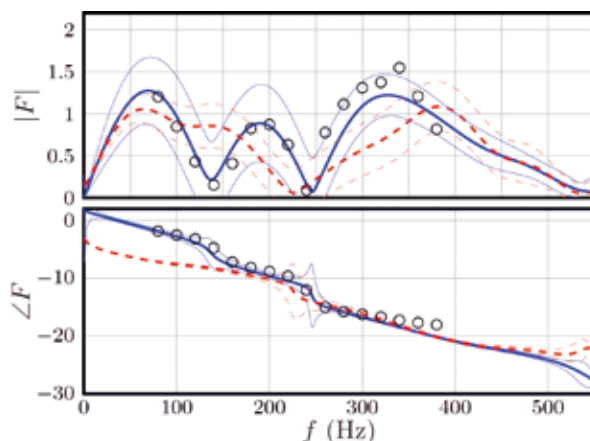


Figure 3: Left: The time averaged heat release rate from CFD. Right: Time averaged CO^* image from experimental measurement.

from the experimental measurement. A good agreement is achieved in terms of the correct flame anchoring position, flame length and flame angle.

The thickened flame model (TFM) is employed to model the sub-grid scale turbulent chemistry interaction. This model was already validated for perfectly premixed flames. However, preliminary tests showed poor agreement in the technically premixed setup. This is illustrated in Fig. 3, where the Bode plots are shown for flame transfer functions from experiments (circles) and CFD-SI results with the standard thickened flame model (red line). The Bode plot shows the gain (top plot) and phase (bottom plot) as a function of the frequency in Hertz. The mismatch is observed in gain especially at around 125 Hz and the trend is off for the phase at around low frequencies.

We identified that the discrepancy is caused by the thickened flame model, which is valid solely for perfectly premixed flames. In the framework of this project, the local thickened flame model (LTFM) is devised, which extends the capabilities of the thickened flame model to account for the local mixture inhomogeneities. Very good agreement against the experiments is achieved as shown in Fig. 3 with the blue line.

To perform the CFD-SI approach, the flow velocity at the inlet of the domain is perturbed with a broadband signal around 0.25s. The corresponding time series of the heat release rate oscillations is used to calculate the flame transfer function. This simulation is realized in 650000 CPU hours by employing 1120 processors. We also observed a speed up around %40 in SuperMUC phase 2 compared to SuperMUC phase 1.

On-going Research / Outlook

The results shown in Fig. 3 relies on Single-Input-Single-Output (SISO) identification, where the velocity is the input and the heat release is the output. Another possibility is to employ Multiple-Input-Single-Output (MISO) system approach, where two input channels are selected as velocity and equivalence ratio. Employing uncorrelated broadband signals in a single simulation will yield the identification of the flame transfer function caused by both velocity and equivalence ratio fluctuations. The latter will reveal the underlying physics of the flame response with the mixture inhomogeneities and it is planned to derive corresponding low order models.

In future, it is planned that this tuned CFD framework will be further developed. The characteristic based state-space boundary conditions (CBSBC) will be implemented, which enables to couple any acoustic network model with the CFD framework. By doing so, self-sustained non-linear combustion instabilities will be efficiently simulated.

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Aerodynamic Investigations of Vortex Dominated and Morphing

Aircraft Configurations with Active and Passive Flow Control

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SuperMUC Project ID: pr86fi

Introduction

Several research activities are currently in progress at the Chair of Aerodynamics and Fluid Mechanics (AER) of the Technical University of Munich (TUM) [1]. This report provides an overview of the SuperMUC project pr86fi entitled 'Aerodynamic Investigations of Vortex Dominated and Morphing Aircraft Configurations with Active and Passive Flow Control' and consists of three parts.

In the first part, a diamond wing configuration with low-aspect ratio and high leading-edge sweep angle is investigated. For a safe and efficient flight of such a configuration, a sound knowledge about the control surface efficiency and the static and dynamic aerodynamic characteristics is indispensable. The investigated vehicle is equipped with three separated pairs of control surfaces, which enable roll, pitch and yaw maneuvers by deflection. Due to the changes in the overall flow field with increasing angle of attack and sideslip angle (leading-edge vortices, large scale flow separation), linear theories like the potential theory are not valid for the investigated case. Using high-fidelity numerical tools enable a realistic computation of the flow field and a representation of non-linear effects. In addition to the controllability by means of control surfaces, the dynamic stability in consequence of unsteady free stream conditions is investigated.

Investigations of flow control on a 65° sweptback half delta-wing are comprised in the second subproject. The flow around delta wings is dominated by two large counter rotating leading-edge vortices that provide additional lift in comparison to conventional wings. At very high angles of attack the vortices break-up or even disappear leaving a dead-water region above the wing thus limiting the flight envelope. By using active flow control at the leading edge through pulsed blowing or oscillating flaps the aerodynamic performances, especially the maneuverability, controllability and stability of delta-wing configurations can be enhanced.

Furthermore, in the context of a DFG project (Strömungs-Struktur-Eigenschaften von flexiblen Tragflächen

für Windrotoren, DFG-BR1511-8) flexible wing structures for wind turbine blades are investigated. The blade structure concept is flexible and adapts its geometry with respect to the local free stream conditions. The lift polar can be shifted towards higher maximum angles of attack and higher maximum lift coefficients due to the adaptivity of the structure. In this way, the aerodynamic of such a concept is significantly improved over a wide range of angles of attack.

Results and Methods

The control surface efficiency and dynamic characteristics of a low aspect-ratio diamond-wing configuration are investigated by means of steady state and time-accurate simulations. Control surfaces responsible for roll, pitch and yaw are considered in experimental and numerical analyses. The dynamic characteristics are exploited by means of harmonic rigid body motions. Both, the control surfaces and dynamic characteristics are numerically investigated by solving the compressible (U)RANS equations using the SA-turbulence model with the DLR TAU-Code. Due to the complex flow field (vortex formation, large scale flow separations), Figure 1, well resolved hybrid grids (50-90 M elements) and a large number of iterations (steady: 40 000, unsteady harmonic motion: up to 200 000) per simulation are necessary. The boundary layer is resolved by

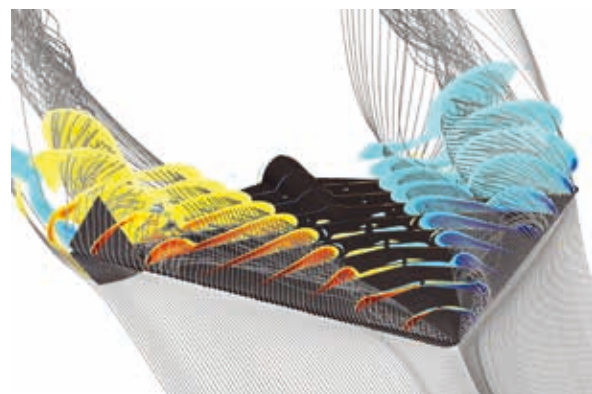


Figure 1: Flowfield of the diamond wing configuration with 50 degree deflected outboard flap at $\alpha = 20^\circ$ and $\beta = 10^\circ$.

a prismatic grid with a first cell height ensuring $y^+ < 1$. Depending on the configuration, the simulations have been run on SuperMUC with 544 up to 1204 cores. [2, 3]

The investigations of the active vortex-flow manipulation at very high angles of attack comprise wind tunnel testing and complementary numerical simulations. The latter investigations are conducted with the commercial flow solver ANSYS-CFX by computing the incompressible Navier-Stokes equations on a discretized computational domain (40.6 M cells) through the finite volume method. The Unsteady Reynolds Average Navier-Stokes (URANS) approach with the Shear Stress Transport (SST) turbulence model is used. To enhance the spectral content the Scale Adaptive Simulation scheme and a hybrid RANS/LES approach are employed. The latter method combines the accuracy of LES and the efficiency of RANS. Advection and transient schemes are second order accurate. Convergence is assured by 13 inner coefficient loops per time-step whose size is correlated to the spatial refinement. Figure 2 shows the flow field above the delta wing with and without pulsed leading edge blowing in the post-stall flight regime. The simulations predict well the flow reattachment on the wing's upper surface [4]. The problem was computed by several simulation runs on 196 cores on SuperMUC. Each run computed about 1500 time steps resulting in 9222 used CPUh. In total, 10 such runs have been calculated for different cases and turbulence models.

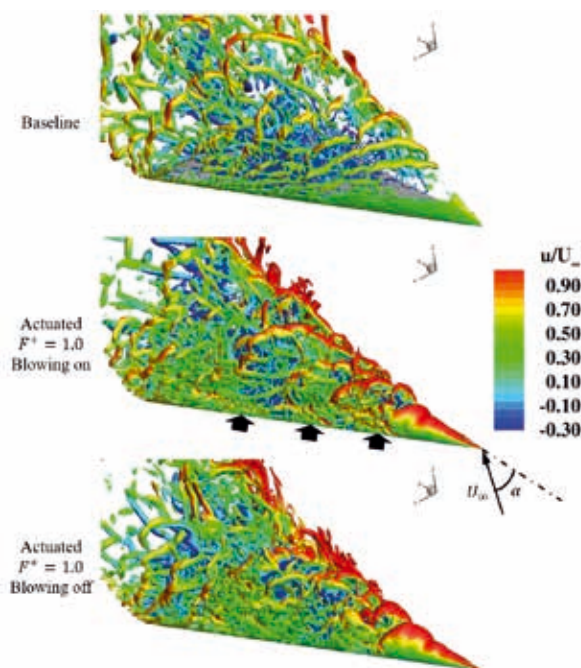


Figure 2: Instantaneous flow field computed with DES represented by isosurfaces of the Q -criterion of $Q = 10^5 \text{ s}^{-2}$ colored with the normalized axial velocity for the baseline (above) and actuated case (during and after blowing) with a dimensionless actuation frequency of $F^+ = 1.0$ at $\alpha = 45^\circ$ and $Re = 5 \cdot 10^5$.

The investigation of the flow around a morphing wing includes experiments and numerical investigations. The morphing wing is made of a rigid inner structure as leading- and trailing edge and a membrane wrapped around the

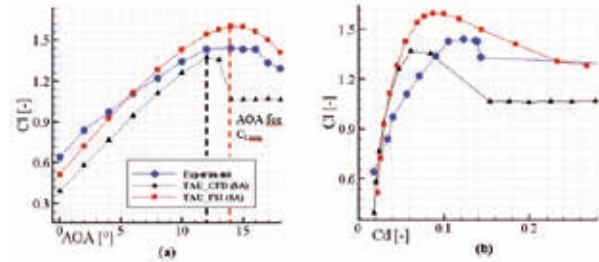


Figure 3: (a) Lift coefficient C_l as a function of the angle of attack (AOA) for the experiment, the rigid TAU-investigated case and the FSI computations; (b) Lift coefficient as a function of the drag coefficient for the same cases.

two spars. This membrane has the capacity to adapt itself to the incoming flow by equilibrating the pressure around its upper and lower sides. This capacity results in a deflection of the membrane (camber/geometry change), which leads to a higher lifting capacity and a delay in the stall phenomenon, which appears additionally smoother. The configuration was numerically investigated by the means of Fluid Structure Interaction simulations using two different FSI couplings: on the one side the coupling CFX-ANSYS/APDL-ANSYS and on the other side the coupling TAU/CARAT++. In both cases, the coupling was investigated for a quasi 2D case, which corresponds to an airfoil extruded in the third direction, with the extrusion length equal to 1% of the chord length. Concerning the experiments, a quasi 2D model was investigated with end-plates in order to avoid the tip vortices. The polar C_l - α is plotted in Figure 3 and shows the characteristics of the elasto-flexible membrane airfoil/wing and for its rigid counterpart geometry [5]. The lift coefficient of the elasto-flexible membrane airfoil is higher or similar to its rigid case in the linear domain of the polar. The deflection leads to a higher maximal lift coefficient and later to a smoother and delayed stall phenomenon. Nevertheless, the results of the experiments are different with the FSI as the maximal lift coefficient is achieved for 17° whereas it appears around 12° - 14° in the simulations. This supposes that the 3D effects are too significant during the experiments, which could be avoided by simulating a 3D model. The next FSI simulations, which are currently in preparation, will be the next step of the project.

On-going Research / Outlook

The TUM-AER institute continues the research in the field of vortex dominated flows and morphing wings within the new project pr27ce. The new project incorporates a subproject regarding improvement of URANS turbulence modeling by conditioning and optimization based on experimental results.

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Numerical Simulation of Flame Acceleration and Deflagration-to-Detonation Transition in Large Confined Volumes

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SuperMUC Project ID: pr86ka

Introduction

In the framework of a research project funded by the German Federal Ministry of Economic Affairs and Energy (BMWi), a CFD combustion solver has been extended for the purpose of nuclear safety analysis. The methodology aims at the prediction of *industry-scale* hydrogen explosions like in the Fukushima-Daiichi core meltdown accident, with a particular focus on the hazardous Deflagration-to-Detonation Transition (DDT) phenomenon. Independent of the specific nuclear safety motivation of this work, the methodology can equally be applied to other potentially hazardous situations involving accidental release of hydrogen in air, e.g. in chemical and process industry.

Results and Methods

Contrary to the state-of-the-art in large-scale explosion modeling, the entire combustion process was computed within a single solver framework. The usage of empirical combustion regime transition criteria was deliberately avoided. The multi-physics multi-scale problem poses several challenges which were met by special numerical techniques. As a key element, the developed hybrid flame-tracking shock-capturing scheme reduces grid dependency by treating the flame as a reactive discontinuity which is propagated by a geometrical Volume-of-Fluid method. At the same time, gas-dynamic discontinuities, especially shocks, are calculated by an approximate Riemann solver. Adaptive mesh refinement was additionally implemented to reduce overall computational cost. The spatial resolution is locally adapted according to the highly unsteady evolution of explosions.

For the validation of the numerical model, the largest ever conducted indoor DDT experiments in the RUT facility (Kurchatov Institute, Moscow region) were chosen because of their industry-scale geometrical dimensions. Investigated DDT mixtures are close to the safety-relevant lower detonation limit which was measured at 12.5% of hydrogen in air. As the simulations showed, the methodology is generally able to capture the essential

phenomena behind flame acceleration and DDT in obstructed channels, even on necessarily under-resolved meshes. The quality of DDT predictions itself depends on the underlying mechanism. In contrast to successful simulations of DDT by shock focusing, prediction of DDT in the vicinity of the turbulent flame brush is less reliable. The code accurately reproduces key safety characteristics such as the detonation propagation velocity and associated pressure loads.

Following the motivation of this work, the developed solver was finally applied to a full-scale Konvoi-type light water reactor, i.e. a standardized German pressurized water reactor design. Hypothetical DDT scenarios in globally lean hydrogen-air mixtures demonstrate a highly three-dimensional behavior of flame propagation in the containment. As expected, a strong sensitivity of the explosion process on mixture composition was observed.

A spherical shape of the containment is characteristic of the Konvoi design. It contains the entire four-loop reactor coolant system which is under operating pressure. The steel-made spherical structure is self-supported and provides a barrier against the release of radioactive substances to the environment. Its diameter is 56 m and its wall thickness is typically 38 mm. The containment is designed to withstand a static pressure of 6.3 bar. The surrounding reactor building is built of reinforced concrete to shield

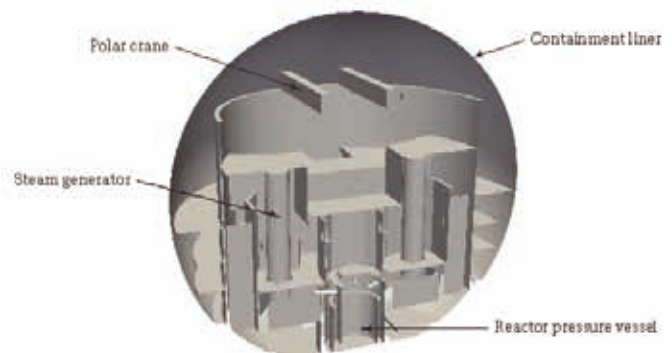


Figure 1: Sectional view of the Konvoi-type pressurized water reactor model.

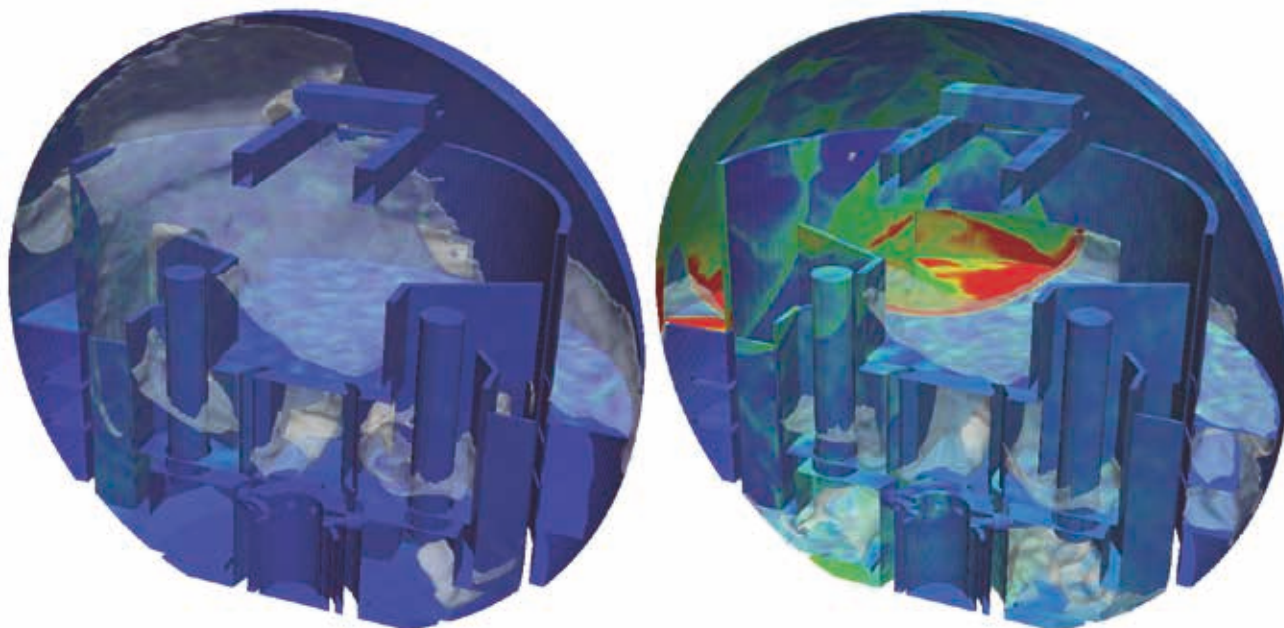


Figure 2: Wall pressure including semi-transparent flame contour before DDT (left picture) and after DDT (right picture); Rainbow color scale representing the range from 2.5 to 30 bar.

the reactor against external events. Here, the primary question to answer is whether the integrity of the steel liner containment is jeopardized by explosions of certain severeness. The domain of interest is thus limited to the inside of the containment. Reactor building rooms outside of the containment are not taken into account.

The corresponding three-dimensional model in Fig. 1, provided by Germany's nuclear safety agency GRS gGmbH, includes typical plant components (reactor pressure vessel, steam generators, pressurizer, polar crane etc.) as well as internal concrete structures (steam generator towers, reactor cavity, spent fuel pool, sump etc.). Small-scale installations like pipes in the low-level rooms have to be neglected given a reasonable mesh density. It remains an open question to what extent the combustion process is influenced by such simplifications. Doors and burst disks, mounted at the top of the steam generator towers, are assumed open. They either failed prior to ignition or they are destroyed by precursor pressure waves. In any case, these components do not hinder explosive flame propagation decisively.

For one of the investigated cases, Fig. 2 gives an impression of the complexity of the explosion process in a nuclear reactor. It underscores the point of doing extensive CFD simulations for this kind of analysis. At two points in time, the wall pressure and the semi-transparent flame contour are visualized for one half of the reactor. The snapshot at 450 ms after ignition (left picture) is before DDT, and 500 ms (right picture) is after DDT. With the onset of detonation comes a strong rise in wall pressure load. A detonation wave and reflected pressure waves are propagating in the upper part of the containment.

On-going Research / Outlook

Using the SuperMUC high performance cluster, a step towards deterministic DDT simulations on full reactor scale has been made. A total of 6 mio. CPU hours was granted for this purpose and the simulations were usually executed on 1024 or 2048 cores. Several publications resulted from the project, see references list below for example.

In a follow-up project, the capabilities of the OpenFOAM-based solver are currently being enhanced (with respect to nuclear safety analysis): extending the hydrogen-air mixture by inert steam and flammable carbon monoxide, including the effect of unresolvable small obstacles via the distributed porosity concept and improving the sub-grid modeling of intrinsic flame instabilities.

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Scalable Multi-Physics with waLBerla

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SuperMUC Project ID: pr86ma

Introduction

Applications in the field of computational fluid dynamics (CFD) often demand high resolutions and thus computational resources, in particular regarding memory and arithmetic operations. The waLBerla framework is designed for massively parallel simulations of different applications from CFD. waLBerla is a lattice Boltzmann based numerical fluid flow software framework for the simulation of numerous physical applications, e.g., blood flow in the human heart or moving obstacles representing cells or bacteria in a fluid. The framework is developed carefully for outstanding single-core performance as well as excellent scalability. Especially on the large scales, this approach enables to utilize the scarce and expensive computing resources most efficiently and allows for domain sizes otherwise not achievable on current supercomputers.

(1) One project using waLBerla investigates collective swarming behavior of numerous self-propelled microorganisms at low Reynolds numbers (Stokes flow), e.g., a swarm of *Escherichia coli* (*E. coli*) bacteria. In this project, waLBerla is consistently coupled with the researchers's rigid body simulation tool *pe* (physics engine). This allows the scientists to simulate self-propelled de-

vices consisting of fully resolved rigid bodies of arbitrary shape in 3D. An effortless exchange of the constituents of the considered micro devices, adapting the surrounding channel geometry according to the specific needs, or regarding regimes beyond low Reynolds numbers are only some of the potential benefits associated with the use of this coupled software framework.

(2) Another project implemented within waLBerla is the simulation of electron beam melting, an additive manufacturing method. Electron beam melting is used to produce successive layers of a part in a powder bed and offers the ability to produce components closest to their final dimensions with good surface finish. Currently, this process is faster than any other technique of comparable quality. However, the parts are not produced at sufficient rate to make them economically viable for any but very high value applications. One key output of the project is the knowledge surrounding the use of the high powder electron beam gun, including the process control, and a modelled and validated understanding of beam-powder bed interaction. The outcome of the simulations are compared with real experimental data and therefore the model parameters are adjusted in such a way that the resulting numerical melt pool sizes correspond to the experimental ones.

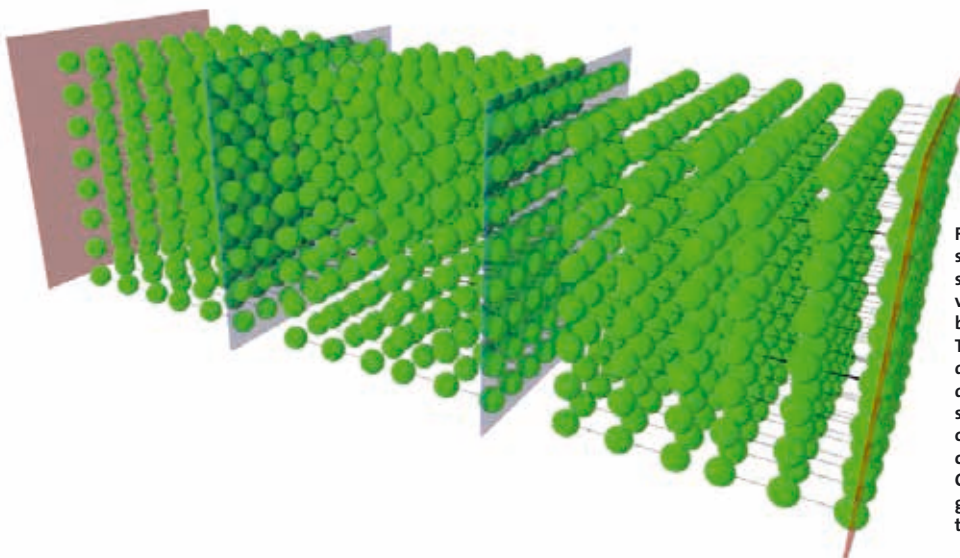


Figure 1: Exemplary setup of a small swarm consisting of 384 swimmers on 3 processes. The visualized planes represent process boundaries in swimming direction. The surrounding simulation domain is set periodic in all directions. The largest simulated setup of exact copies of such grids of 2x8x8 swimmers per process consisted of 16,777,216 swimmers. Copyright: Universität Erlangen-Nürnberg, Lehrstuhl Informatik 10 (Systemsimulation)

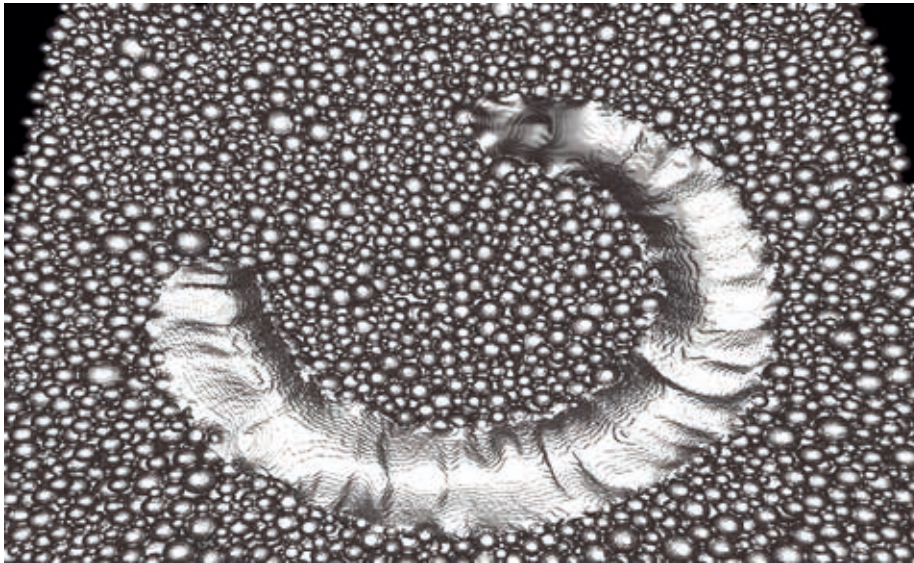


Figure 2: Powder bed partially melted with a moving electron beam. The liquid melting pool with its free surface is positioned on top of the melted ring, while the rest of the ring has solidified already. Arbitrary items can be manufactured by repeating the powder application and melting process. Copyright: Universität Erlangen-Nürnberg, Lehrstuhl Informatik 10 (System-simulation)

(3) A third project is motivated by the increasing importance of lab-on-a-chip (LoC) systems. The great interest in LoC systems is attributable to the fact that they can be used as portable biological analysis devices for point-of-care diagnostics. Electro-osmosis and electrophoresis are the mechanisms of choice for microfluidic manipulation and actuation in LoC devices. At the small scales of LoC systems, measurements of the flow are very difficult. Thus, simulations are required for the design and optimization of those systems. In order to capture the multiple physical effects accurately at small scale a very fine discretization and small time steps are necessary, resulting in the need for a large amount of computational resources. In the scope of this project, the separation of charged macromolecules in electrolyte solutions inside channels of dimensions relevant for LoC is simulated.

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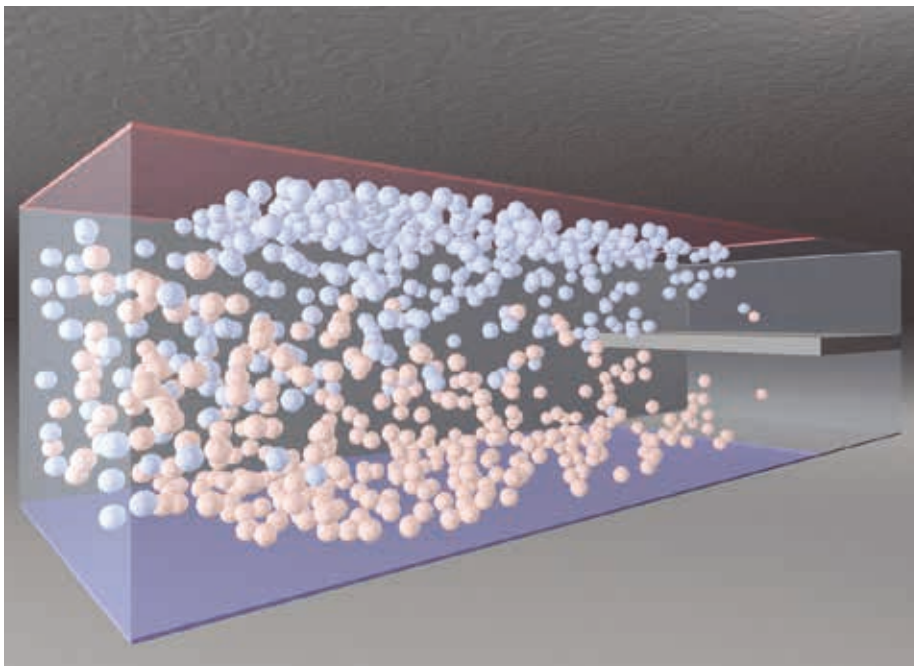


Figure 3: Bisecting micro-channel: separation of oppositely charged particles with a radius of $60\mu\text{m}$ in fluid flow.

Cavitation Erosion in Injection Systems

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SuperMUC Project ID: pr86ta

Introduction

Developments in direct Diesel injection systems increase rail pressures to more than 2500 bar. This trend aims at enhancing jet break-up and mixing to improve combustion and reduce emissions. Higher flow acceleration, however, implies thermo-hydrodynamic effects, such as cavitation, which occur when the liquid evaporates locally. The collapse of such vapor structures causes strong shock waves. When bubbles collapse near a solid wall, high-velocity liquid jets directed towards material surfaces are created. Imposed structure loads can lead to material erosion, which may be so strong that the performance degrades severely or devices may fail. On the other hand, these loads are used to clean nozzle holes and throttles from surface deposits, and can promote jet break-up. Furthermore, two-phase flows can be used to maintain choked nozzle conditions and a constant mass flow rate.

Understanding the flow phenomena inside an injection system is necessary to quantify the effects of turbulence and cavitation, and their influence on jet and spray characteristics. Small dimensions, high operating pressures and short timescales make the instrumentation of fuel nozzles with experimental equipment challenging. Computational Fluid Dynamics (CFD) can provide time-resolved information on flow structures in arbitrary small geometries. Numerical simulations thus have become an important tool in the design process of injection systems.

The present research project focuses on the prediction of cavitation erosion in fuel injection systems using a CFD approach. This includes wave dynamics, interaction of cavitation and turbulence as well as flow transients due to moving geometries. In our project, we use Large Eddy-simulation (LES) to understand the flow dynamics. Our simulations run on SuperMUC in the massively parallelized numerical framework *INCA* [1].

Numerical Method

With LES, the smallest turbulent flow scales are not resolved on the computational grid. Effects of these scales thus must be modelled. We employ an implicit

LES approach based on the Adaptive Local Deconvolution (ALDM) method [2]. To consider two-phase effects, we apply the homogenous-mixture cavitation model. The actual vapor-liquid interface of cavitation structures is not reconstructed in this barotropic model. Surface tension thus is neglected. Recently, we have extended the single-fluid two-phase model by a component of non-condensable gas. Complex (moving) bodies are considered by a conservative cut-cell method [3].

Results

Interaction of turbulence and cavitation

We have performed wall-resolved LES of the flow through a generic throttle to validate our model in the context of turbulent, cavitating nozzle flows [4]. At pressure difference 300 to 115 bar, we observe periodic formation of vapor in the detached shear layer at the throttle inlet (left column of Fig. 1). When the pressure difference is increased (300 to 55 bar, right column of Fig. 1), a stable vapor sheet develops at the throttle inlet. In the throttle center, cavitation in large, stable vortices is observed.

We find that while turbulence and vortex dynamics play a dominant role at low pressure differences, the formation of a stable sheet cavity and cavitating vortices suppress turbulence at high pressure differences.

Break-up of cavitating liquid jets

Recently, we have studied the break-up of cavitating liquid jets in a free gas phase [5]. The setup resembles a generic, scaled-up automotive fuel injector and consists of a cavitating water jet emanating from a rectangular nozzle

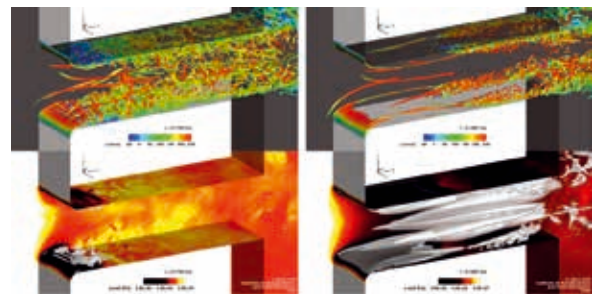


Figure 1: Instantaneous turbulence (top) and cavitation structures (bottom) in a generic throttle valve. Pressure difference 300 to 115 bar (left) and 300 to 55 bar (right).

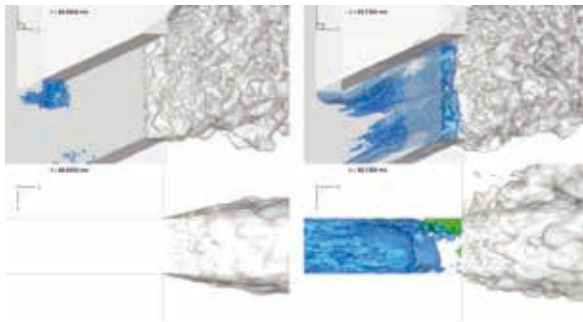


Figure 2: Cavitation structures (blue) and jet surface (grey) for developing cavitation (left) and supercavitation (right): perspective view (top) and top view (bottom).

injected into air. We investigated several operating conditions that lead to different cavitation characteristics.

In a supercavitating state, see right column of Fig. 2, we observe a stronger break-up of the liquid jet than in case of developing cavitation, see left column of Fig. 2.

From an analysis of the transient data we have identified three main mechanisms that lead to distortions of the jet surface and, ultimately, to a widening and break-up of the jet. First, turbulent fluctuations, which are induced by collapse events in the proximity of the exit plane of the nozzle, add to the momentum in wall-normal direction. Second, low pressure vapor regions near the nozzle exit and the gas filled plenum form a pressure gradient, which enables entrainment of gas from the outlet region into the nozzle. When the gas is being ejected again, the water is accelerated towards the side walls and creates large scale bulges of liquid. Third, collapse events of cavitation structures inside the jet near the liquid-gas interface induce high velocity liquid jets directed towards the interface.

9-hole Diesel injector with moving needle

To study our models in realistic environments, we investigate the turbulent multiphase flow inside a 9-hole common rail Diesel injector during a full injection cycle of ISO 4113 Diesel fuel at a pressure of 1500 bar into air. Our simulation includes a prescribed needle movement. The nozzle holes have a mean diameter of 150 μ m.

The analysis of the turbulent flow field reveals that the opening and closing phase are dominated by small-scale turbulence, while in the main injection phase large vorti-



Figure 3: Coherent vortical structures during the main injection phase colored by velocity in the half-domain.

cal structures are formed in the volume upstream of the needle seat, and reach into the nozzle holes, see Fig. 3. In each hole, several of these structures are present at the same time. During and after the closing phase, cavitation structures are detected in the nozzle holes and in the sac hole region, see Fig. 4, and cause violent collapse events. Subsequently, the collapse of the sac hole cavity and rebound effects cause a large number of strong events near the lowest point of the sac hole. These events during this phase thus are considered to be most likely to cause surface erosion inside the device during operation.

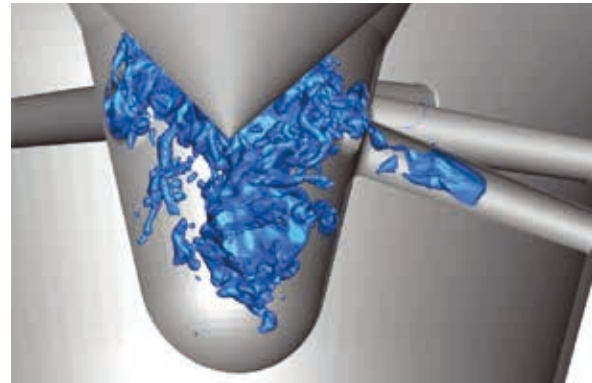


Figure 4: Cavitation structures inside the nozzle holes and sac hole shortly after full closing of the injector needle.

On-going Research and Outlook

Our studies helped us to better understand the dynamics of cavitating, turbulent fluid flows in injection systems. High-performance computing is a necessary tool to address the requirements that the investigations of turbulent, cavitating flows impose on spatial and temporal resolution. Due to the high speed of sound, the time step size usually is on the order of less than a nano-second, while near-wall turbulence requires a high grid resolution and thus causes a large number of cells. Our simulations usually run on up to 5600 cores to compute physical time scales on the order of micro-seconds. Current research topics include the development of improved gas models with degassing and solution of gas in liquid, the analysis of fluid structure interaction in the context of cavitation, the modeling of quantitative erosion prediction in cavitating flow environments, and acceleration methods for CFD codes for the simulation of cavitating flows.

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Direct Numerical Simulation of Open-Channel Flow at Fully-Rough Regime

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SuperMUC Project ID: pr87yo

Introduction

Fluvial streams are determined by the balance between gravitational forces and bed resistances. The former are proportional to the bed slope while the latter are characterised by the geometrical features of the bottom. If the flow is steady and the bed is fixed, the flow rate is a function only of the flow depth (*rating curves*). Nonetheless, estimating the rating curves is challenging, in particular if the ratio of the bed roughness size to the flow depth, namely the *relative roughness*, is large, because the entire flow structure is affected by the shape, the size and the arrangement of the roughness elements (e.g. the grain and spatial distributions of a gravel-bed creek). Also obtaining accurate information of the open-channel flow in the vicinity of the bottom is not easy, even in the laboratory, while the support provided by direct numerical simulation (DNS) is typically limited to cases where the flow rate is not relevant for practical applications. In the context of the present project, however, the open-channel flow was investigated by DNS for values of the bulk Reynolds which fall in the range of the fully-rough regime, revealing the structure of turbulence close to and through the bed roughness. In a first simulation Mazzuoli & Uhlmann (2017) considered spherical roughness elements arranged in a square closely-packed pattern in order to compare with the previous investigations of Chan-Braun et al. (2011, 2013) in the transitionally-rough regime. Some of these results were also presented by Mazzuoli & Uhlmann (2016).

In a recent experiment, Amir et al. (2014) investigated the open-channel flow at the fully-rough regime and for large values of the relative roughness (ranging between 0.2 and 0.4) and measured the velocity field and the hydrodynamic (pressure) forces acting on spheres placed in honeycomb arrangement on a plane wall. Mazzuoli & Uhlmann (2017) compared with the experiment of Amir et al. (2014) which were carried out for similar Reynolds numbers. Some detail of the comparison is described in the following section.

The relevance of the arrangement of the roughness elements for the problem of estimating the flow resistance clearly appeared from the simulations and previous experimental findings. Schlichting (1936) was the first who systematically investigated the effects on open-channel flow of combining different (regular, homogeneous) arrangements of spheres mounted on a smooth wall and varying their size and their relative distance. Schlichting (1936) understood the limit of parametrising the roughness with a single parameter (e.g. the roughness Reynolds number) and introduced the “roughness density”, namely the number of roughness elements per unit area. In fact, Nikuradse (1933) carried out several series of experiments on artificially roughened pipe characterised by constant roughness density. Indeed, a great and unjustified effort is required to reduce the effect of any generic roughness to the Nikuradse standard (the so called “equivalent sand roughness”). Beyond the practical application of these concepts for engineering purposes, which are required for simplicity and generality, our interest is to understand the effect of the arrangement of roughness elements on the turbulence structure. In particular, at constant roughness density, can the only arrangement affect the flow resistance?

In order to contribute to this knowledge, another simulation was carried out which was characterised by the same flow rate (bulk Reynolds number approximately equal to $Re_b=7000$) and relative roughness (equal to 0.18) as the previous one, but with the spheres arranged randomly on the wall. Thus, the effect of the arrangement was isolated. The two simulations with square and random arrangement of roughness elements are hereafter referred to as S and R. Before computing run R, the spheres were preliminarily released from their positions, “shaken” and re-crystallized, thereby the bed displaying a “random” pattern. Since the spheres were close, they tended to pack in patches with a hexagonal arrangement and orientation of the hexagons that changed “randomly” patch by patch. The number of roughness elements (1024), the relative roughness as well as the size of the domain were preserved from the run S. Actually,

such bed geometry, although it preserves a certain regularity, is more representative of the natural configuration of a river bed than the square one. Some of the results obtained from the latter simulation are outlined in the following.

runs	(L_x, L_y, L_z)	(N_x, N_y, N_z)	D^+	Re_τ	Re_b
S	(12, 1, 3)H	(6912, 576, 1728)	120	550	7000
R	(12, 1, 3)H	(6912, 576, 1728)	140	650	7000

Table 1: Grid size and parameters of present simulations.

Methods and Results

The Navier-Stokes and continuity equations were approximated by a second-order finite-difference scheme and solved numerically with a fractional-step method. The velocity was forced to vanish at the surface of the roughness elements by means of the direct-forcing immersed-boundary method proposed by Uhlmann (2005). A uniform and equispaced computational grid was used with grid-spacing of about one wall unit. Table 1 shows the details of the domain size in the streamwise (x), wall-normal (y) and spanwise (z) directions, where H denotes the open-channel height and N the number of grid points in each direction.

The results obtained from the simulation S were compared to those obtained by Chan-Braun et al. (2011) in the transitionally-rough regime. Mazzuoli & Uhlmann (2017) describe the flow structure in the region about the crest of the spheres which is no longer characterised by the presence of a buffer layer between the flow through the interstices of the roughness and the logarithmic region extending above the spheres. It was showed that in the fully-rough regime most of velocity fluctuations below the crest of the spheres was not turbulent, but was associated with the stationary vortex structures developing through the roughness. Both in the transitionally and fully-rough regimes secondary flows stem from the interaction of the primary flow with the spheres, which consist in spanwise oriented recirculating cells. In the latter case, the roughness elements penetrate deeper towards the core flow, causing different effects: the secondary flow to develop significantly closer to the bottom; the vortices about the spheres to stretch in the streamwise direction; the average lift force acting on the roughness elements to increase with respect to the transitionally-rough case along with the intensity of both drag and lift fluctuations.

Finally, Chan-Braun et al. (2011) noted that, in the transitionally-rough regime, the statistics of the fluctuations of hydrodynamic forces acting on the spheres could be put in an analogy with those measured over a smooth wall. Such analogy fails in the fully-rough regime.

It is found that the only change of the arrangement of the roughness elements substantially affects the flow resistance and, consequently, mean and turbulent quantities. In particular, the Chézy coefficient (which is a “con-

ductance” defined as the ratio between the bulk velocity and the friction velocity) is found to be about the 15% smaller than in run S. Figure 1a shows that the velocity profile sufficiently far from the sphere crests (in the logarithmic region) is shifted with respect to the case in absence of the roughness by an amount, ΔU^+ (called roughness function) that is significantly larger in run R than in run S. A value of ΔU^+ similar to that obtained for the run R was measured by Amir et al. (2014) in an open-channel with relative roughness equal to 0.2 and spherical roughness elements in closely-packed hexagonal arrangement, but for values of Re_b and of the roughness Reynolds number, D^+ , much larger than in run R. Indeed, it is confirmed what Mazzuoli & Uhlmann (2017) supposed, that the flow resistance in a random

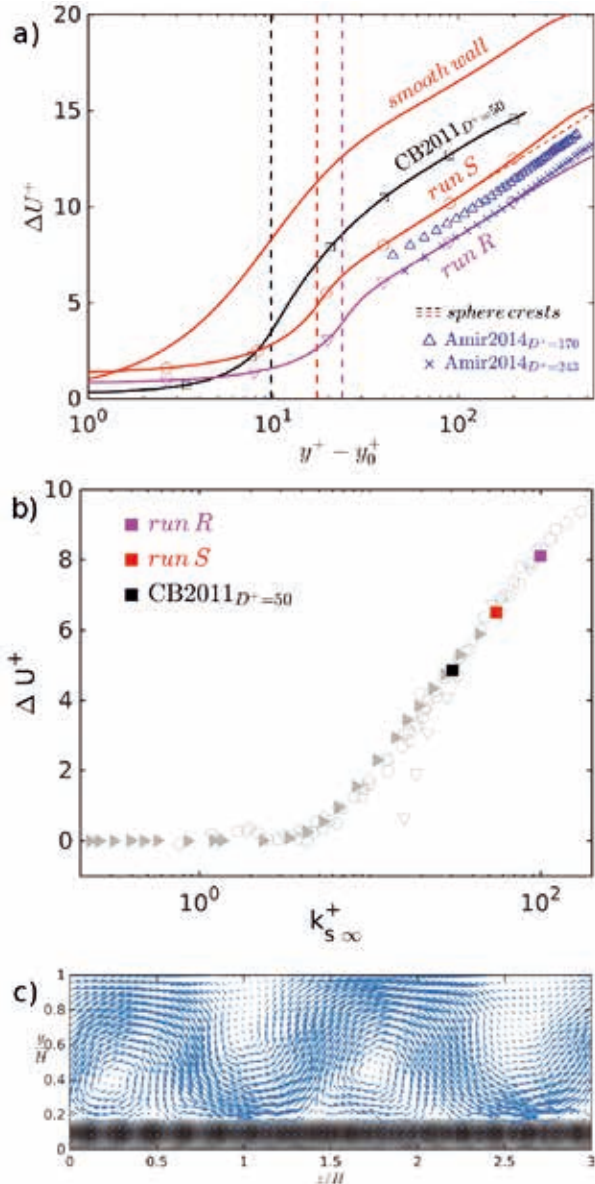


Figure 1: (a) Velocity profiles. (b) Roughness function plotted vs the equivalent sand roughness (grey markers indicate previous experimental results – see Figure 3 of Chan-Braun et al. (2011) for a reference). (c) Secondary flow developing in the plane orthogonal to the primary flow for the case of run R.

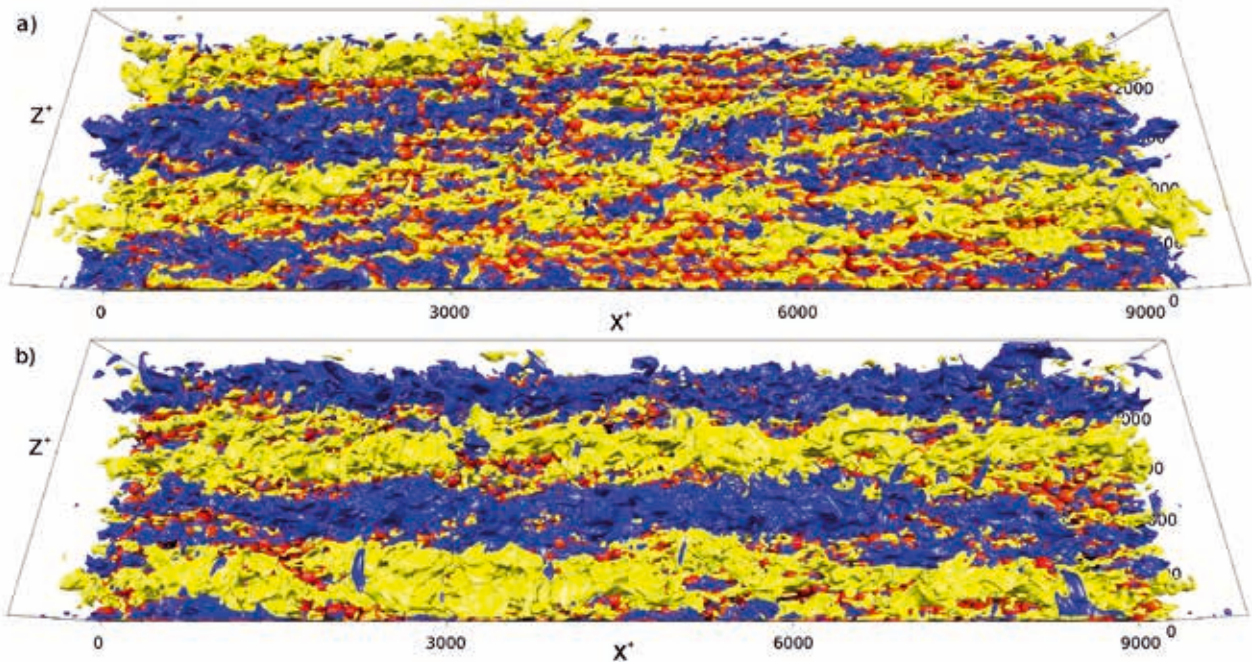


Figure 2: Low- and high-speed streaks identified by isocontours of the streamwise component of velocity fluctuations at $u^* = \pm 2.2$ for the run S (a) and run R (b).

arrangement of roughness elements is larger than in a regular arrangements, even if the “roughness density” is unchanged. Comparing the value of ΔU^+ obtained for run R with previous experimental and numerical results plotted as a function of the equivalent sand roughness (cf. Figure 1b), it is found that run R is placed well in the region of the fully rough regime (which is approximately limited by $\Delta U^+ > 50$). Far from the bottom, the spatial scales of turbulent structures are controlled by the spatial distribution of the flow-blockage produced by the spheres. In fact, the number of spheres that can be counted along a streamwise line is not independent of the spanwise coordinate. This non-homogeneity reflects, for instance, on the generation of secondary flows of size comparable with the open-channel height (as shown in Figure 1c) and, contextually, on the spacing of low- and high-speed streaks (cf. Figure 2b). Indeed, large coherent

structures (with size of order H) are observed in both the simulations, but a regular pattern of low- and high-velocity regions like that induced in run S by the square arrangement of the spheres (Figure 2a) can not be detected in the case of run R. Also the intensity of the streaks is larger in the run R, causing stronger secondary flows.

It is worthwhile to stress that, at the scale of the computational domain, the roughness density is identical for the two runs and slight differences arise only locally (i.e. at the scale of a few times the roughness size) or along a line. Hence, the remarkably different turbulence structure and hydrodynamic forces are only related to the arrangement of the roughness elements. Indeed, it is well documented for gravel beds, the drag acting on the stones is somewhat affected by the presence of other stones, typically of different size, aligned upstream.

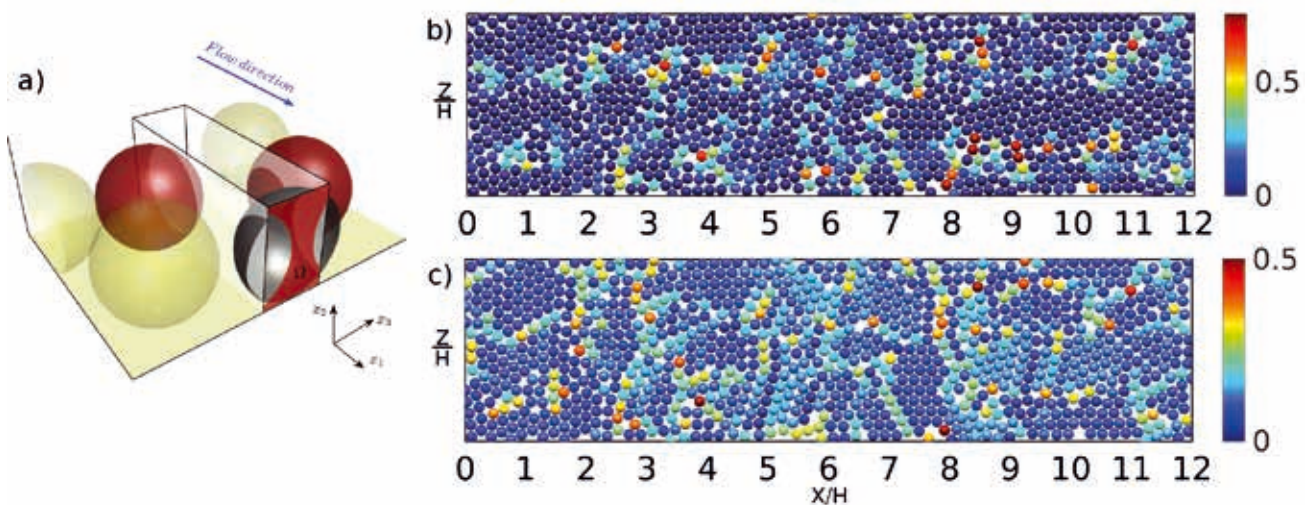


Figure 3: (a) Sketch of the calculation of the hiding effect produced by red spheres on the grey one. Top views (b) and (c) show the spheres coloured by the complement of local blockage and dimensionless drag fluctuations.

Sometimes it is referred to as “hiding effect” because stones, shielded by other stones, experience a weakened drag force and are possibly not set into motion (García & Parker, 1991).

Presently, a remarkable correlation is found between the drag force fluctuations acting on individual roughness elements and the relative position of upstream neighbouring spheres (local blockage). The complement to 1 of the blockage (also referred to as conductance) for each sphere (e.g. the grey sphere in Figure 3a) is calculated as the ratio between the complement to the projection area of upstream neighbouring spheres (the red area in Figure 3a) to the full area of the rectangle Ω . In Figure 3a the red spheres are those that “hide” the grey one.

The spheres in Figure 3b marked with warmer colours correspond to those for which the conductance is large, i.e. the spheres most exposed to the flow. It can be appreciated from Figure 3c that these spheres also experience strong drag fluctuations.

About 24M CPU hours were allocated on SuperMUC for the project. Run S and run R were computed over 6561 cores of SuperMUC Phase 1 (411 nodes) and Phase 2 (235 nodes). Each time step was computed in ~ 24 s, even though the best performance (15 s) was observed on Phase 2 by using 6561 cores over 411 nodes. The post-processing was performed on SuperMUC with Octave using simple MPI-like-parallel scripts while graphical objects were created on the facilities of the Steinbuch Center of Computation (SCC, Karlsruhe).

On-going Research

In the next future, a systematic series of simulations, even in the transitionally rough regime, should be performed to understand the effect of the arrangement of the roughness elements on the flow structure for instance by using different patterns and inter-distances between the spheres.

We acknowledge that the present work was funded by the DFG project UH 242/4-2.

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Model development for sooting turbulent flames by means of two complementary high-fidelity numerical simulations

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SuperMUC Project ID: pr87zi

Introduction

Combustion is one of the oldest heat and power generation technologies and continues to play an important role in covering the energy demand of the world. The intense use of combustion, however, has led to several environmental problems. One of them is the emission of soot. Soot and soot precursors are suspected to be carcinogenic. Furthermore, soot particle emissions from aircraft engines influence the formation of cirrus clouds at high altitudes and have thus an impact on the climate. From a technical point of view, soot indicates incomplete and hence less efficient combustion. By its high radiative emissivity, soot contributes to locally elevated heat loads on combustion chamber walls. Therefore, continuous efforts are made to improve combustion systems and to reduce their soot emissions.

Due to the increasing availability of computational resources, CFD (Computational Fluid Dynamics) has become an important tool in the design process of combustion systems. CFD provides detailed, time-resolved information about the three dimensional, reactive flow field and thereby complements experimental investigations which are in many cases limited to exhaust gas analysis, since optical access to the flame is not realizable. Soot predictions in technical combustion are particularly challenging. Firstly, the chemical and physical processes of soot evolution are highly complex and involve countless of intermediate steps. Also some aspects of soot evolution are not yet fully understood and are a topic of ongoing research. Secondly, technical combustion occurs predominantly in turbulent flows, which are characterized by a highly unsteady, fluctuating velocity distribution.

The direct numerical simulation of such flows is computationally very expensive and therefore limited to a small range of problems. It is therefore common practice to derive transport equations for statistical quantities (termed “Reynolds Averaged Navier Stokes”, RANS) or to remove the smallest turbulent scales by spatial filtering operations (“Large Eddy Simulation”, LES). In either

case the governing equations contain unclosed correlations which require modelling. Especially challenging in this context are the highly nonlinear, unclosed terms which originate from filtering the chemical source term (turbulence-chemistry-interaction). Due to the high computational cost, it is at present not possible to use highly elaborate modelling approaches for each of the cited problems at the same time (turbulence, and turbulence-chemistry-interaction). Thus, two modelling approaches are followed in this project, where a simple approach is deliberately chosen for one of the fields in order to develop elaborate models at realistic simulation times.

The first approach uses computationally efficient RANS turbulence modelling in combination with a Transported Probability Density Function (TPDF) method [1], to describe turbulence-chemistry-interaction. A great advantage of TPDF is that the chemical source term appears in closed form. In the second approach the focus is on a detailed and time-resolved description of large turbulent structures by LES. An efficient model is used for sub-grid scale turbulence chemistry interaction, namely a Finite-Rate-Chemistry (FRC) model with an Assumed Probability Density Function (APDF) closure [2].

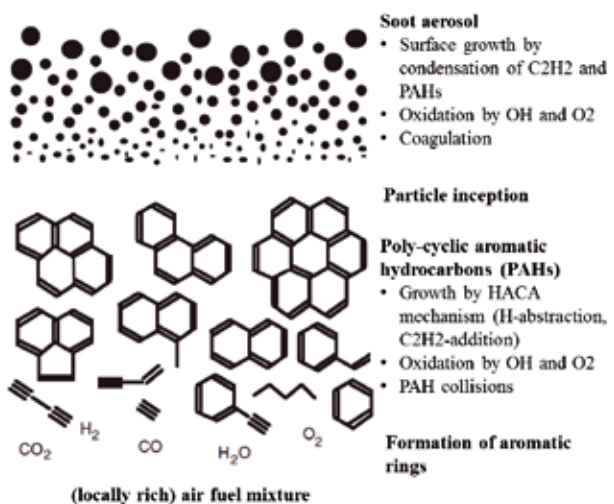


Figure 1: Phenomenology of soot formation based on [5].

Gas-phase-chemistry is modeled in both approaches by a detailed reaction mechanism, which describes the formation of small aromatics. Polycyclic aromatic hydrocarbons (PAHs) and soot are treated by sectional approaches, where the particle size distribution is discretized by sections with averaged chemical and physical properties. Commonly accepted PAH and soot surface chemistries are considered as summarized in Fig. 1 (for model details refer to [3]).

By developing LES and TPDF methods separately, this work represents a first step towards an improved LES/Filtered-Density-Function model for the simulation of soot formation in the future. To this end a turbulent, lifted ethylene-air flame is simulated, where comprehensive experimental data [4] are available for model validation. Due to the large number of unknowns, which are introduced by chemistry, and the extreme numerical stiffness of the problem, these simulations are computationally very expensive. Therefore high performance computers such as the petascale System SuperMUC at LRZ are required.

Results and Methods

The simulations in this project have been performed by means of the in-house code THETA (Turbulent Heat Release Extension of the Tau Code). THETA is a finite volume method based solver, optimized for low Mach number combustion simulation on unstructured grids. It features efficient, matrix-free linear solvers and uses domain decomposition for parallelization. State of the art turbulence models and special numerical strategies for the solution of a stiff system of coupled differential equations, common to finite-rate chemistry, are implemented. To solve the transport equation of the joint thermochemical PDF for the TPDF model, a Monte-Carlo method is available. Stochastic particles are simulated, whose evolution mimic

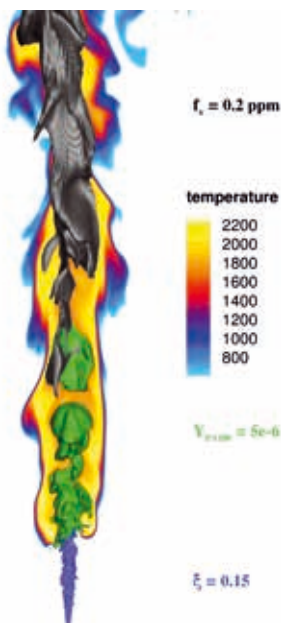


Figure 2: Instantaneous APDF/LES results.

the behavior of the PDF of the thermochemical system. The Monte-Carlo solver features a hybrid MPI/OpenMP parallelization paradigm and is coupled to the finite volume solver THETA.

To reduce computational costs in the TPDF/RANS simulation, the rotational symmetry of the flame is exploited and only a 5 degree segment is considered. This segment is discretized with 175,312 cells. In each cell a total of 64 stochastic particles is used in order to provide samples for PDF representation.

The grid for the APDF/LES consists of 17 million hexa-

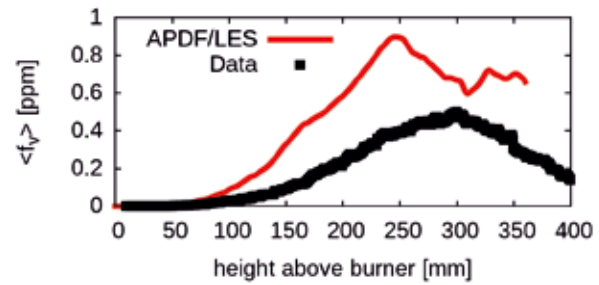


Figure 3: Axial profiles of computed and measured [5] soot volume fractions.

hedral cells on which all 81 transport equations for species masses, momentum and enthalpy are solved. Statistical averaging was done for 0.1s so far and is still ongoing.

According to the phenomenology of soot formation as shown in Fig. 1, soot formation in the APDF/LES is illustrated in Fig. 2 for the real flame.

By means of temperature contour the lifted-off flame structure is given. The remaining iso-surfaces indicate the path from fuel to soot. As fuel (blue iso-surface at the bottom) reaches the combustion zone, PAHs (green iso-surface) are formed and transform into soot (black iso-surface) further downstream. A comparison of the small structures in the fuel jet and the large structures observed further downstream shows a large disparity of turbulent time and length scales which results from the interaction of the high-velocity fuel jet with the slow co-flowing air. This is particularly challenging for time resolved simulations, since small time steps are required for reasons of accuracy and numerical stability and on the other hand long data acquisition times are needed to obtain converged statistics. This can be seen in Fig. 3 where a preliminary result of the mean soot volume fraction ($\langle f_v \rangle$) on the centerline of the combustor is given. The APDF/LES result shows uncomplete statistical convergence in the upper part of the flame. Therefore, further statistical averaging is necessary which is ongoing. The convergence of the TPDF/RANS is not yet sufficient to compare it to experimental data. Considering the complexity of the flame, the result is in excellent agreement with the measurements.

On-going research / Outlook

In this project soot-formation in a turbulent, lifted, sooting ethylene-air jet-flame is modelled successfully using TPDF/RANS and APDF/LES methods in conjunction with finite-rate chemistry and a sectional soot model. Both simulations are still running to obtain converged statistical quantities and preliminary results are promising. The comparison of both methods will help to work towards a filtered density function approach (LES/TPDF) for soot prediction.

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Heat and gas transfer across water surfaces

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SuperMUC Project ID: pr92da

Introduction

The present project is motivated by the problem of atmospheric gas transfer across the water surface driven by buoyant - convective instability. The physical mechanisms that govern the process are not well understood, despite their significant contribution to the global heat budget and environmentally important gas cycles. An important example of such a process is the oxygen absorption across the air-water interface into lakes or reservoirs promoted by surface cooling. Note that gases, such as oxygen and carbon dioxide have low-diffusivity in water. The most challenging aspect of obtaining accurate data associated with such low-diffusivity (high Schmidt number) mass transfer processes lies in resolving the very thin boundary layer at the water side and the steep concentration gradients occurring in the bulk. In this project, direct numerical simulations are performed using a specifically designed code capable of resolving those details on a computationally feasible mesh size.

Results and Methods

In our previous simulations of buoyant-convectively driven mass transfer due to surface cooling [1], the interfacial mass transfer was accurately resolved up to a realistic Schmidt number of $Sc=500$, which is typical for oxygen in water, ($Sc= \nu / D$, where ν is the kinematic vis-

cosity of water and D is the molecular diffusion coefficient of the gas in water). It was shown that cold sinking plumes transport high gas-saturated fluid deep into the bulk. Due to the relatively small sizes ($5 \times 5 \times 5 \text{ cm}^3$ and $10 \times 10 \times 10 \text{ cm}^3$) of the computational domain, the sinking plumes were found to reach the bottom of the domain before they naturally lost their buoyancy. Hence, those previous investigations focused on the development of the Rayleigh instability and the early stages of transition (including the formation of convection cells).

In the present project we aim to understand the physics of gas transfer in deep calm water bodies, such as found in lakes. For that purpose, the size of the computational domain has to be sufficiently large to allow all cool water plumes to sink downwards until they naturally lose their buoyancy. Therefore, a relatively large computational domain (cf. Table 1) combined with a linear temperature gradient imposed at the bottom (cf. Fig. 1) was chosen to achieve this goal.

Domain size (cm ³)	$\beta \Delta T$	Ra	Pr, Sc
30 x 30 x 30	0.00057202	5.3×10^5	7, 16

Table 1: Overview of simulation. Ra, Pr, Sc are the Rayleigh, Prandtl and Schmidt numbers, respectively. β is the thermal expansion coefficient of water at 293.15K so that here ΔT corresponds to about 3K.

The initial conditions for the velocity and gas concentration fields were set to zero. The normalized temperature field was initially set to $T=1$, except at the surface, where a fixed temperature of $T=0$ was prescribed for all times. Near the bottom a temperature gradient (stratification) was imposed, see Fig. 1. Consequently, a thin thermal boundary layer of cool water is formed adjacent to the interface. To trigger the Rayleigh instability, small random disturbances were added to the temperature field at $t=9.65$, causing the formation of thin sinking plumes of cool water (Fig. 1). Simultaneously, portions of warmer water move upwards forming convection cells near the surface (Fig. 2).

For free-slip boundary conditions at the surface, our previous simulations confirmed the scaling of the transfer velocity K_L with $Sc^{-1/2}$ over a broad range of Schmidt

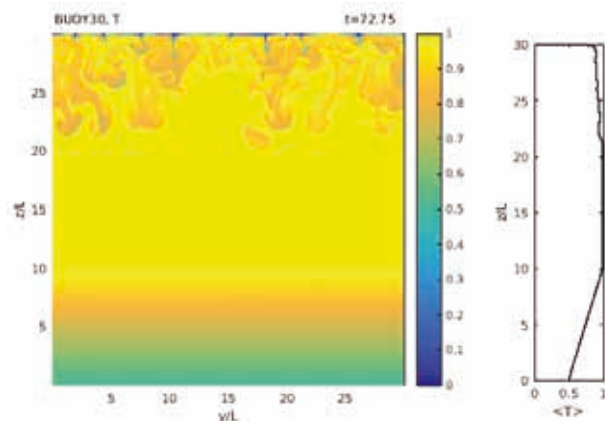


Figure 1: Vertical cross-section of the temperature field after 72.25 time units of simulation.

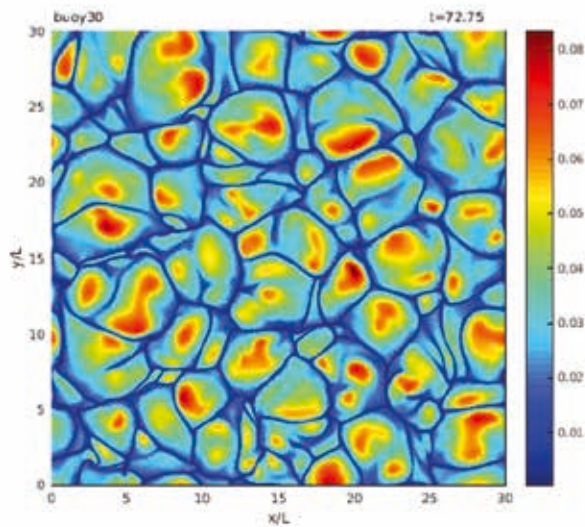


Figure 2: Temperature field adjacent to the surface at $t=72.75$ time-units. The temperature distribution (normalized so that $T=0$ and $T=1$ are the coldest temperature at the surface and the initial warmest temperature in the bulk, respectively) shows the typical pattern of convection cells.

numbers ($Sc=2-500$). The same scaling law was found to apply in the present simulation at all instances for the two computed scalar fields (i.e. the temperature field T and the gas concentration field c for $Sc=16$, cf. table 1).

Method

The present DNS computations were performed using a specifically-designed numerical scheme that is capable of resolving details of the interfacial low-diffusivity scalar transport process, which is marked by the occurrences of steep concentration gradients. In this code we employ a fifth-order accurate WENO scheme [2] for scalar convection. The scheme is able to capture the steep gradients in the scalar distribution accurately (as illustrated in Fig. 3), without any spurious oscillations that are typically found when using spectral methods on relatively coarse meshes (Gibbs phenomenon). The WENO-scheme (for scalar convection), combined with a fourth-order central method for scalar diffusion, was implemented on a staggered and stretched mesh (further details see [3]). The solver has been parallelized by dividing the computational domain into a number of blocks of equal size by using a standard decomposition. Because of the application of the WENO scheme and other higher-order methods a three point overlap between blocks is used.

Computing resources used

Up to now, we have used 28 Mio CPUh for the calculation of the present simulation, as shown in Table 2. The number of cores employed was 32,000.

Grid points	Cores	Time steps	CPUh used
2400 x 2400 x 1520	40 x 40 x 20	750,000	28Mio

Table 2: Overview of computing resources.

On-going Research / Outlook

The present simulation was our largest simulation of buoyant-convectively mass transfer so far. In the past, for such buoyant-convectively driven gas transfer simulations, the maximum number of cores we used was 16,384. During the test account period of the current project, it turned out that it was necessary to perform modifications to the code so that it could handle the 32,000 cores employed. One of the issues was related to the writing of results which needed to be done using MPI routines. Also, some of the algorithms needed to be adapted in order for the code to become more economic with memory. To get the large simulation running correctly, we had to use several trial runs. Another problem we encountered was that at times our simulation did not start correctly as it did not get all the cores correctly assigned and because of the large amount of cores required the running of the simulation at times suffered from problems with the operating system of SuperMUC.

As mentioned above, the aim of the present study is to investigate the air-water gas transfer process driven by surface cooling in deep sheltered lakes and other water bodies exposed to low wind speeds. To achieve this it is necessary to run the simulation for a long time, at least until the cool sinking plumes naturally lose their buoyancy in the lower bulk. So far the simulation has completed 750,000 time-steps. However, as indicated in Fig. 1b, the thermal plumes did not sink deep enough to lose their buoyancy. Based on the current state of the simulation, we estimate that another 900,000 time-steps would be needed to achieve a steady isothermal.

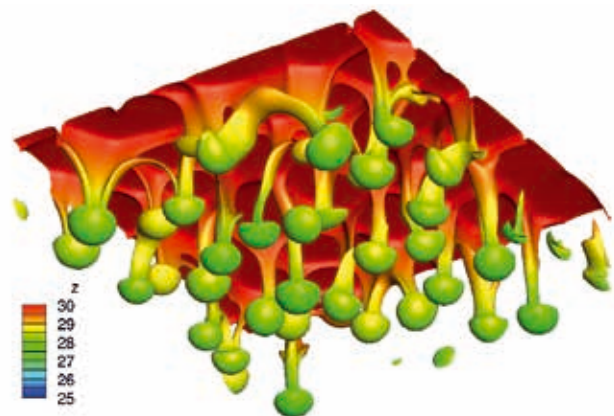


Fig. 3: Heat transfer at the air-water interface driven by surface cooling. Visualized are cold sinking plumes identified using the isosurface of the normalized temperature ($T = 0.5$), colored by their distance from the bottom of the computational domain, which spans from $z=0$ (bottom) to $z=30$ cm (top). Only a small part (7.5×7.5 cm²) of the total 30×30 cm² horizontal area is shown.

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Direct numerical simulation of turbulent plane Couette flow with wall-normal transpiration velocity

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 SuperMUC Project ID: pr92la

Turbulent Couette flows with wall transpiration

The main objective of the project was a detailed DNS study of a turbulent Couette flow with transpiration which has never been studied in laboratory experiments. The present study is the first simulation describing this kind of flows in detail. The number of simulations ran are summarized in the following table.

Case	Re_τ	Re_{V_0}	U_w/V_0	U_w/U_0^{COO}	V_0^+	N_x	N_y	N_z	TU_b/L_x	Tu_τ/h
Co0	1000	0	∞	1	0	6144	383	4608	9.0	20.5
Co2	1000	32	1243	1.382	0.032	3072	383	2304	18.7	32.2
Co5	1000	50	685	1.907	0.051	3072	383	2304	22	60.1
C10	1000	60	492	2.741	0.063	3072	383	2304	22	97.5
C20	1000	75	395	4.402	0.071	3072	383	2304	24.7	194
A12	250	19	400	2.673	0.070	768	251	576	60.6	281
A15	500	37.5	400	3.342	0.070	1536	251	1152	25.4	151
A20	500	42	323	3.60	0.085	1536	251	1152	52.3	344

Table 1: Parameters of the simulations. The largest mesh has roughly 10e9 points.

The first objective of this subproject was completely achieved, as this set of simulations has given us a perfect benchmark to test our turbulence theory about the breaking and creation of symmetries in turbulence, and the generation of new scaling laws.

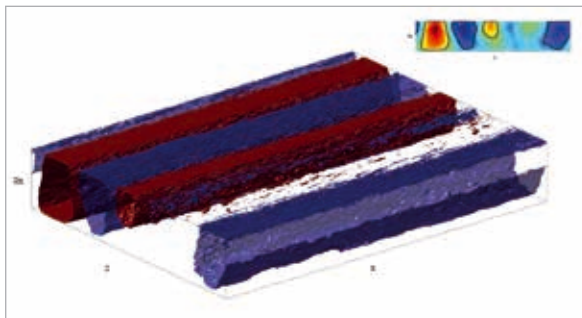


Figure 1: Coherent structures obtained from the ensemble average of the C20 case. The shape of the figures is defined by iso-contours of velocity. The colors indicate fast flow regions (red) and slow ones (blue)

Moreover, we have seen that the large and wide rolls present in Couette flows are not destroyed by transpiration flows, which was largely unexpected. A clear indication of the structures at relatively large transpiration numbers may be taken from figure 1.

In addition, we have found new and unexpected structures in a region very close to the wall which were wider

and shorter than expected. Their appearance is best observed in a spectral representation resembles a butterfly wing shape, as shown in figure 2.

These structures are of importance to understand the dynamics of transpiration flow and the momentum exchange between velocities.

All raw data sets are stored at SuperMUC's tape system and we are presently finalizing all the postprocessing routines. The use of fat nodes is necessary due to the relative big size of the data.

Canonical Couette flow without wall transpiration.

In order to better appraise our results and the key differences and similarities to the canonical Couette flow, we have run a very large simulation of the latter. This simulation was run in 4096 processors of SuperMUC at a Reynolds friction number of $Re_\tau=2000$ in a large com-

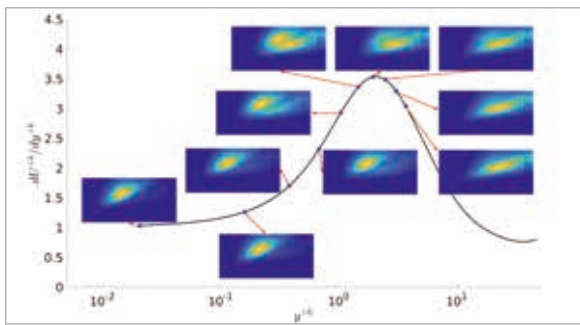


Figure 2: Distribution of the butterfly spectrum with respect to the wall distance. The spectrum is in particular apparent near the turning point of the velocity profile (here the maximum of the velocity derivative).

putational box. One of the main results is that the well-known rolls present in Couette Flows still persist and seem to grow in length with increasing Reynolds number. This is somewhat surprising as in theory the higher the Reynolds number, the more chaotic the behavior becomes. We have also found a slightly lower value for the Karman constant than the one obtained in Poiseuille flows. Presently, we are testing the symmetry based turbulence theory against this flow and we will publish our results shortly.

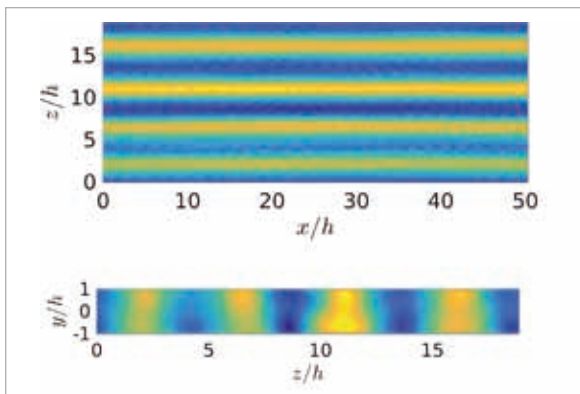


Figure 3: Footprints of the structures in the x-z and y-z planes

Realization of the project

It is worth mentioning that the project has been running without any problem. The code was further accelerated and optimized during the 2016's SuperMUC extreme scaling workshop. Quite surprisingly, our routine for all-to-all communications worked better than ALLTOALL MPI routines.

Due to the further optimization of the code and the time granted to us during the participation in this workshop, we still have time left that we are using for a challenging computation: turbulent Poiseuille flow at the highest Reynolds possible for DNS which is roughly $Re_\tau = 10e4$. The simulation is running at 2048 cores of SuperMUC second phase nodes. Our estimations indicate that we are going to need a total of 3.5M CPU-H.

We would like to stress the importance of this simulation, as it would be a reference for the next years being

the first one to reach this high Reynolds number. It makes our simulation comparable to the largest flows characterized in experiments, with the main advantage that we have access to every detail of the flow, which is needed to compare to the symmetry based turbulence theory.

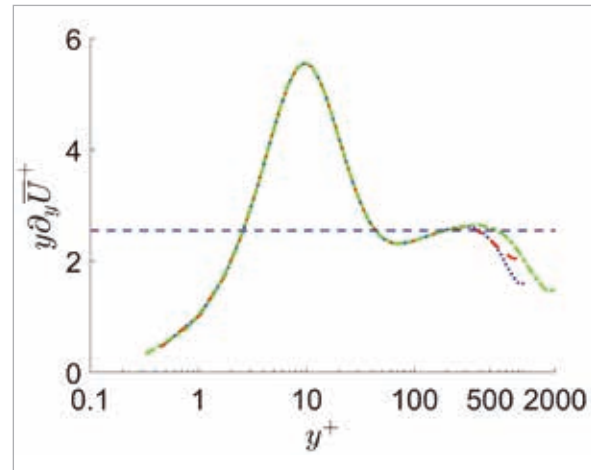


Figure 4: Indicator function, for three canonical Couette Flows: blue and red profiles are for a Reynolds number of $Re_\tau = 1000$ in different boxes and the green one is at a Reynolds of $Re_\tau = 2000$.

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Large-eddy simulation of fuel injection and turbulent mixing under high pressure conditions

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SuperMUC Project ID: pr92lo

Introduction

The objective of the project C1 of the SFB/Transregio 40 [1] is to develop numerical tools for Large-Eddy Simulations (LES) of fuel injection and turbulent mixing under high-pressure conditions. Such conditions are found in liquid rocket engines (LRE), modern diesel engines and gas turbines. The operating pressure and temperature in these devices is often well above the critical pressure and temperature of the pure injectants.

Prior to injection, however, the propellants/fuels are in a compressed liquid state at low subcritical temperatures to allow for high densities and compact storage. The injection of such compressed liquids into high-pressure (and possibly high-temperature) atmospheres is typically described in one of two ways: Jet-disintegration either resembles a classical spray with primary and secondary breakup and potentially evaporation of droplets or turbulent dense fluid mixing with no visual evidence of surface tension. Under which operating conditions which type of jet-disintegration occurs is not well understood.

In a joint effort between the University of Stuttgart, Bundeswehr University Munich, Delft University of Technology and Technical University Munich experiments and numerical simulations were carried out to provide a better understanding of fuel injection under high-pressure conditions. The basic idea can be summarized as follows: N-hexane is injected through a single-hole injector into a quiescent nitrogen atmosphere at nominal 5 MPa chamber pressure and 293 K chamber temperature. The pressure in the chamber is therefore supercritical with respect to the critical pressure of n-hexane ($p_c = 3.0340$ MPa) and nitrogen ($p_c = 3.3958$ MPa). The total temperature of n-hexane within the injector is carefully controlled and the temperature range is selected such that jet disintegration is expected to undergo a transition from gaseous jet like mixing to a classical two-phase spray. In the experiment, simultaneous shadowgraphy and elastic light scattering (ELS) measurements allow for a qualitative statement whether or not phase formation takes place.

Numerical Method

We solve the three-dimensional compressible multicomponent Navier-Stokes equations with our in-house LES code INCA [2]. To represent the coexistence of supercritical states and multi-component subcritical two-phase states a thermodynamic model is used that is based on cubic equations of state, thermodynamic stability analysis, and vapor-liquid equilibrium (VLE) calculations [4]. The governing equations are discretized by a conserva-

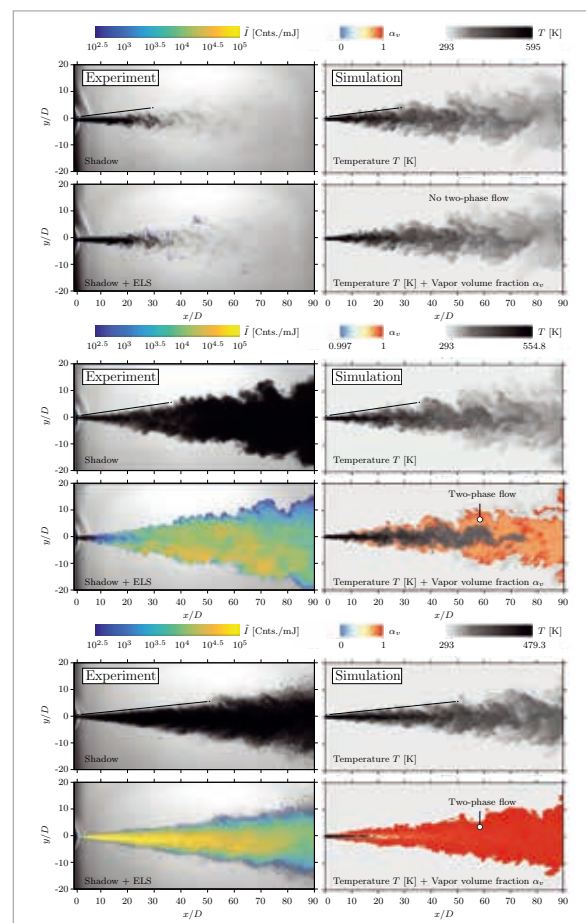


Figure 1: Comparison of experimental and numerical snapshots. Injection temperature decreases from top to bottom. Experimental images are courtesy of Steffen Baab, ITLR, University Stuttgart.

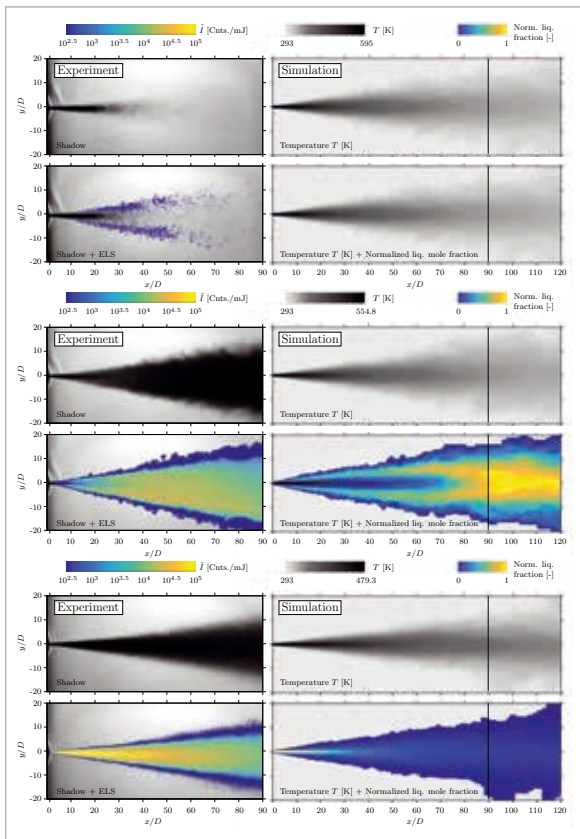


Figure 2: Comparison of averaged experimental and numerical data. Injection temperature decreases from top to bottom. Experimental images are courtesy of Steffen Baab, ITLR, University Stuttgart.

tive finite-volume scheme on a Cartesian grid. We use a second-order upwind biased numerical flux function for the advective transport of mass and internal energy.

Effects of unresolved subgrid scales (SGS) are modelled by the adaptive local deconvolution method (ALDM) of Hickel et al. [3]. The viscous flux is discretised using a 2nd order central difference scheme, and the 3rd order explicit Runge-Kutta scheme is used for time integration. We use an adaptive Cartesian blocking strategy with a static local refinement and a varying grid resolution along the spray break-up trajectory to keep computational costs tractable. In this and closely related projects, see, e.g., Matheis et al. [4], grid resolutions of up to 120 Mio cells were used. The simulations were run on up to 4000 cores of SuperMUC Phase 2.

Results

A comparison between single-shot measurements (left) and numerical snapshots (right) is shown in Figure 1. Each top frame shows the experimental shadowgram together with the temperature field in the LES. Each bottom frame depicts the scaled ELS signal superimposed on the shadowgram together with the vapor volume fraction field superimposed on the temperature field. The n-hexane injection temperature is decreasing from top to bottom. Note that the prescribed inflow temperature in the LES - which has a first-order effect on the type of jet disintegration - is calculated on basis of an isentropic nozzle flow. Further-

more, the total temperature in the injector element is measured with an uncertainty of +/-2 K. Therefore, focus is put on a qualitative comparison between experiment and simulation. Consider Figure 1 (left column): For case T600 only minor ELS intensities are measured, which indicates that no stable phase formation took place. This conclusion can be drawn based on the very high sensitivity of the scattered light towards the thermodynamic state, i.e., single- or two-phase flow. With decreasing inflow temperature the ELS signal intensity increases in the outer shear layer of the jet. For the case T560 we observe the highest ELS intensity several inflow-diameters downstream of injection and in the outer shear layer (meaning not on the jet centerline). For case T480 (bottom frame) the ELS characteristics change. The highest intensity is found very close to the injector exit and closer to the jet centerline. In the LES we observe a very similar pattern. While no two-phase flow is detected for case T600, we get a 'vapor-volume-fraction signal' for case T560 in the outer periphery of the jet. With decreasing inflow temperature, the spatial extent of two-phase flow increases and the axial position where the outer shear layer of two-phase flow merges on the jet centerline moves upstream. The picture changes for the cases T480. The vapor volume fraction is in the range 0 - 1 and the whole jet is in a two-phase state. For $x/D < 20$, a liquid-like core surrounded by two-phase flow can be identified. From a qualitative perspective, experimental observations can be explained in a consistent manner with the help of the LES. Figure 2 shows a comparison between averaged experimental and numerical data. In the experiment, the average was calculated on basis of 10-15 single-shots. In the LES, statistical properties have been obtained by averaging in time for about 2.5~ms. Similar as for the instantaneous data, we observe -- from a qualitative perspective -- a very good agreement between measured ELS pattern and regions of two-phase flow in the LES. Further results and details can be found in Traxinger *et al.* [5]

Outlook

There are a number of aspects that require further investigation: for example, in a dilute flow regime, i.e., very small liquid volume fraction, particle-particle interactions are rare, the continuum assumption, which goes hand in hand with the pure Eulerian framework - as done in this work - is invalid. Here, we plan to couple our LES solver with a Lagrangian spray solver. Furthermore, it is of great practical interest to consider chemical reactions in these simulations. Further experiments and numerical simulations are planned in the course of the SFB/Transregio 40.

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Modeling of Multi-Scale Interfacial Flows

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SuperMUC Project ID: pr94be (PRACE project)

With the computational resources awarded by PRACE we have investigated the problem of atomization through direct numerical simulation. We have developed a novel multi-scale simulation approach, which combines the interface tracking scheme, i.e., Volume-of-Fluid method, and the Lagrangian point-particle model [3]

We then implemented the numerical methods and models in the multiphase flow solver, ParisSimulator, and conducted simulation of atomization in two-phase mixing layers in order to understand the droplet formation mechanisms. A brief summary of the main findings from the simulations are given in this report; while extended discussions can be found in [2].

In this work, we simulate a model of the quasi-planar atomization experiment of Matas et al. 2011 (Phys. Fluids, 23:094112, 2011). In a large, three-dimensional box of dimension $L_x \cdot L_y \cdot L_z$, we inject gas and liquid streams through the boundary $x = 0$, which are separated by a solid plate with dimensions $l_x \cdot e \cdot L_z$. The thickness of the liquid and gas streams is H and $H - e$, respectively. In order to minimize the effects of the finite size of the domain, the dimensions of the box are large in the x and y dimensions ($L_x = 16H$, and $L_y = 8H$). Special care was taken to specify the exit conditions: to minimize the recirculating flow and avoid excessive reinjection of coherent structures near the inlet. The gas and the liquid are injected with velocities U_g and U_l . The thickness of the boundary layers on the liquid and gas sides of the separator plate were taken to be identical, and are denoted by δ . The values of the corresponding dimensionless parameters are shown in Table 1, using standard notations.

We solve the Navier-Stokes equations for incompressible flow with sharp interfaces and constant surface tension. The fields were discretized using a fixed regular cubic grid. The simulations were performed on three grids called M_0, M_1, M_2, M_3 , so that M_n has $H/\Delta x = 32 \cdot 2^n$ points in the liquid layer. An approximate steady state was reached at about $U_g t / H = 200$ and the simula-

tions were then continued until $U_g t / H = 400$. The computational time required for these four simulations are shown in Table 2.

Grid	Δx (μm)	$H/\Delta x$	Number of Cells	Number of Time Steps	Total CPU Time
M_0	25	32	8.4 Million	$4.9 \cdot 10^4$	$2.5 \cdot 10^3$
M_1	12.5	64	67 Million	$1.0 \cdot 10^5$	$4.3 \cdot 10^4$
M_2	6.25	128	537 Million	$2.2 \cdot 10^5$	$5.0 \cdot 10^5$
M_3	3.125	256	4 Billion	$5.0 \cdot 10^5$	$10.0 \cdot 10^6$

Table 2. Hierarchy of grids used and the CPU time required for the corresponding simulation.

There are two purposes for this set of simulations: 1) to prove the convergence of simulations at least for large-scale physical quantities such as droplet size distribution; 2) to explore in detail the underlying drop formation mechanisms.

An overview of the atomizing jet is shown in Fig. 1. As can be seen, the multiphase flow arising from atomization is complex and chaotic, involving a wide range of length scales. Different mesh levels and numerical methods were considered to investigate their effects on the spray formation. The computational resource allocated by PRACE in 2015 were mainly for the M_2 and M_3 simulations.

The most important result for which computing power was needed most is the convergence study of the distribution of drop sizes (Fig. 2). It can be seen that a convergence starts between M_1 and M_2 in the size range of d_p beyond $2.5 \langle d_p \rangle$ (about $50 \mu\text{m}$). We are awaiting for the simulation results of M_3 to confirm this convergence. The converged distribution results are then compared to various predictions and models (e.g., log-normal and Gamma distributions).

M	r	m	$Re_{g,\delta}$	$We_{g,\delta}$	$Re_{g,H}$
$\rho_g U_g^2 / \rho_l U_l^2$	ρ_l / ρ_g	μ_l / μ_g	$\rho_g U_g \delta / \mu_g$	$\rho_g U_g 2\delta / \sigma$	$\rho_g U_g H / \mu_g$
20	20	20	1000	10	8000

Table 1. Dimensionless parameters of the simulation.

Atomization process plays an important role in a broad range of industrial and environmental applications. In particular, the characteristics of the sprays produced by

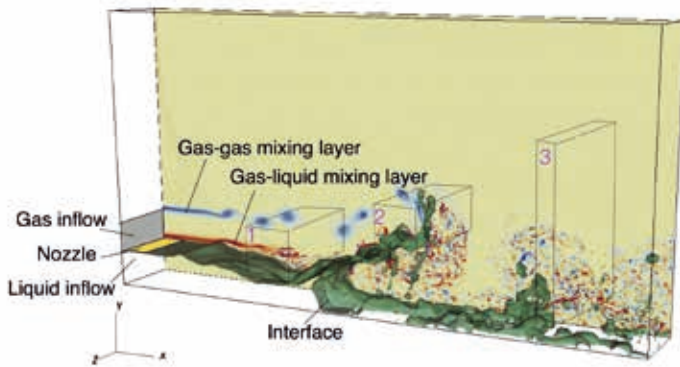


Figure 1: The atomizing jet.

atomizers in fuel injection systems (such as the droplet size distribution) have a significant impact on the combustion efficiency and pollutant emission. Since the liquid masses of scale of the injection nozzle (centimeters) break into droplets as small as sub-microns, a wide range of length scales and complex topological changes are involved in the flow field. Accurate simulations are thus challenging and extremely costly and massively parallel computing systems are required. As a full space-time data of the flow field can be obtained, numerical simulation offers flow details that are often difficult to measure in experiments, which are in turn vital to improve our understanding of the underlying physics in droplet formation and the interaction between the interface and turbulence. Furthermore, mining the huge simulation database with advanced statistical learning techniques such as neural networks opens a novel way to develop reduced order models which will be useful in practical industrial applications.

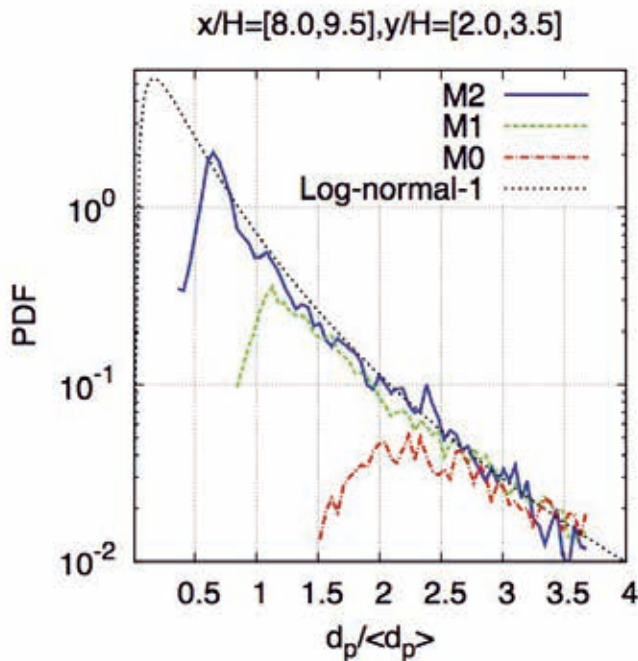


Figure 2: Droplet size distribution obtained by different meshes.

On-going Research / Outlook

With the allocation of computational resource through PRACE, we were able to perform very large scale simulations and significantly advanced the investigation of atomization. (to our knowledge, the M3 simulation listed above is the largest simulation up to today.) For the purpose of validation, we will continue to perform simulations with physical parameters that are consistent with experiments. Furthermore, we are interested in developing reduced order models by mining the huge data we obtained through advanced statistical learning techniques. In order to obtain the data we need for model development, we need to perform more fully verified and validated simulations like the M3 simulation.

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Enhanced Aerodynamics of Wind Turbines

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SuperMUC Project ID: pr94va

Introduction

Within the present project, the aerodynamic behavior of modern wind turbines has been investigated by the use of CFD. Three main sub-topics have been studied:

- The effect of inflow turbulence on the transient turbine loads.
- The possibility to control the rotor loads by applying trailing edge flaps to the outer part of the rotor blade.
- The analysis of the flow around the turbine nacelle.

Additionally, investigations have been conducted on the impact of complex terrain, aero-elastic rotor blade deformation and complex inflow conditions.

Results and Methods

All the simulations have been performed with the finite-volume CFD code FLOWer, developed by the German Aerospace Center (DLR) within the MEGAFLOW project. It solves the Navier Stokes equations in an integral form using different turbulence RANS and hybrid RANS/LES models.

The simulations requiring the highest resources were the ones regarding inflow turbulence, where 236,000 CPU hours and 1968 CPUs have been used for each case (6 cases in total). The used space in the \$HOME is around 50%, while in the \$WORK is around 56% of the available budget. The used code is not producing an exceeding amount of files that is why, up to now, no problem was faced in the file storage.

The influence of inflow turbulence (see Figure 1) has been studied within the European project AVATAR [1], using the reference rotor of the project with radius $R=102.88$ m and simulating three different levels of turbulence intensities (TI) at the turbine location, see table 1. As can be seen, the inflow turbulence has an impact on the mean power and thrust showing a continuous increase with increasing TI.

Cases	10L	10M	10H
TI [%]	4	7.3	12.5
CP	0.411	0.431	0.457
CT	0.614	0.627	0.643

Table 1: Effective TI at the turbine, averaged power and thrust coefficient.

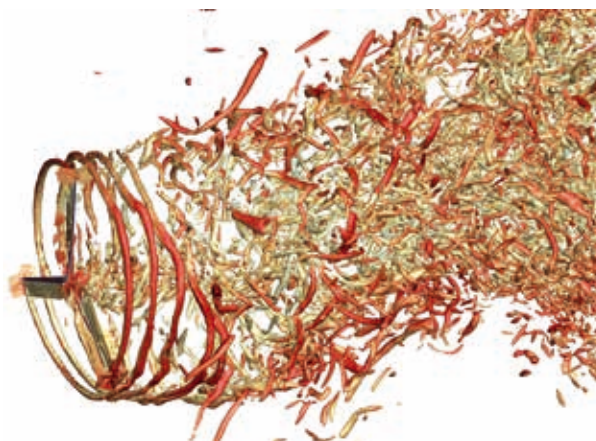


Figure 1: Interaction of the rotor with a high level of atmospheric turbulence.

Turbine loads and fluctuations are increasing with the rotor diameter. Trailing edge flaps [2] at the outer rotor blade part (see Figure 2) are a modern aerodynamic concept for load and fatigue control, since flaps allow modifying the airfoil lift at given angle of attack. The result of the research was that the flap needs to be centered at 80-85% on the blade radius with an extension of 10-15% along chord in order to avoid separation, depending on the turbine.

Within the national Assist research project, CFD analysis of the flow around a wind turbine nacelle have been performed in order to improve the understanding of the complex flow physics and to derive means to reduce the flow separation in this area and in this way to increase the turbine's efficiency. Simulations have been compared to an isolated rotor simulation, see Figure 3.

In the connection area between the blade and nacelle, the interference of the two boundary layers create a

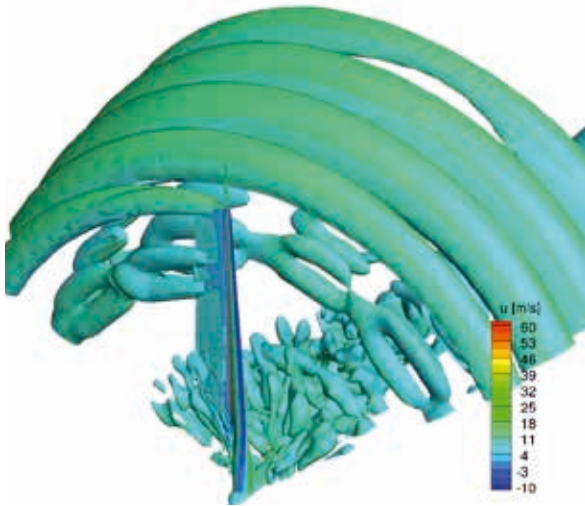


Figure 2: Harmonic flap oscillation (6p) – Vortices in blade wake

thicker one that cannot compensate the adverse pressure gradient of the blade and separation occurs. To avoid this, the corner of the nacelle has been rounded in order to increase the radius where the boundary layer is thicker. As can be seen in Figure 4, the separation is in this way eliminated resulting in a slight increase of axial and driving forces.

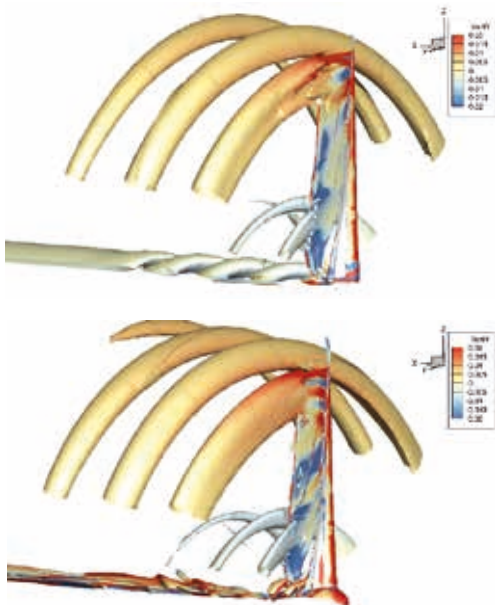


Figure 3: Vortex around the rotor. Isolated rotor (top), rotor with nacelle (down)

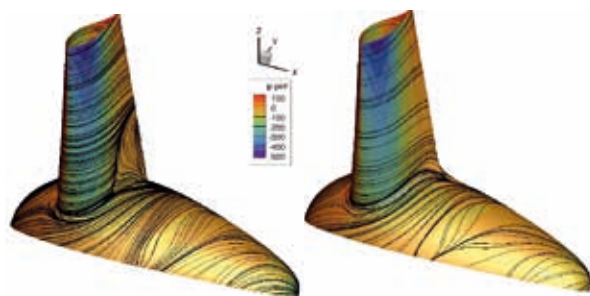


Figure 4: Surface streamlines of the flow around the baseline nacelle (left) and an optimized nacelle (right)

On-going Research / Outlook

The project has been prolonged in order to study the following topics:

- aero-elastic effects on wind turbines in complex terrain.
- vibration and acoustic analysis by onshore wind turbines.

Within the project WINSENT (WINd Science and ENgineering in complex Terrain) [3] new numerical models will be developed in order to take into account turbulent inflow conditions, complex terrain [4] and aeroelasticity. Complex terrain is a terrain whose topology and roughness is influencing the atmospheric boundary layer (ABL), see Figure 5. Results will be compared within different institutions involved in the project and with field results from the two research wind turbines that are going to be erected in Stöttener Berg, in South Germany. Fluid Structure Interaction (FSI) CFD-CSD coupled simulations [5] will be run in cooperation with TU Munich using both beam and shell elements to model the turbine structure properties.

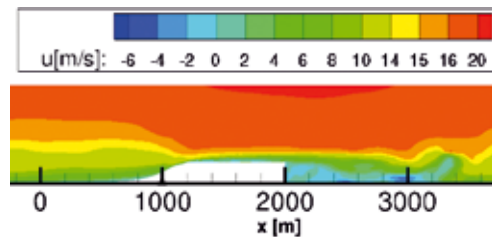


Figure 5: Velocity distribution in complex terrain

Within the national project TremAc, emission and immission of vibrations and low frequency noise from wind turbines are simulated. There can be different causes for noise, like non-uniform inflow conditions, atmospheric turbulence, flow separation, tower impact, rotor tilt and especially aero-elasticity. In particular for this last point, the coupling between the CFD flow solver FLOWer and the MBS solver SIMPACK has been extended.

Simulations including turbulent inflow conditions, aero-elasticity and/or complex terrain are really expensive because they require fine meshes, small timesteps and high order numerical schemes. Most of the simulations have been run on SuperMUC phase 2 and with the upcoming machine SuperMUC-NG it is hoped to increase the simulation velocity, saving in this way also computational time.

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Görtler vortices in an impinging shock-wave/ boundary-layer interaction

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SuperMUC Project ID: pr94we

Introduction

In the context of launch vehicles, shock-wave/boundary-layer interactions (SWBLI) are common flow features that may generate high-magnitude transient side loads. During the start-up of liquid propellant fueled rocket engines, the rocket nozzle operates in an overexpanded condition, which consequently leads to unsteady internal flow separation. The interaction can critically affect the rocket nozzle performance in case of shock-induced boundary-layer separation and it is a main source of maximum mean and fluctuating pressure loads that the underlying structure is exposed to. These high-magnitude transient loads can be severe enough to fail interfacing components as well as the complete nozzle in the rocket engine. High-fidelity numerical tools are necessary for a correct prediction of the complex flow physics, especially when addressing unsteady features of the interaction. Numerical Method

The governing equations are the compressible Navier-Stokes equations, which are solved with our in-house Finite Volume large-eddy simulation (LES) code INCA [1]. Within the LES framework, the smallest turbulent flow scales are not resolved on the computational grid, but must be modeled. The Adaptive Local Deconvolution Method [2] is used which implicitly provides sub-grid-scale effects. INCA operates on Cartesian grids, allowing for an efficient blocking-strategy and thus high parallel performance.

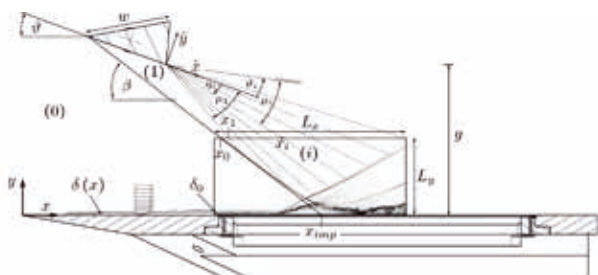


Figure 1: Schematic of the experimental setup. The insert shows the LES domain with a numerical Schlieren image. Image taken from [4].

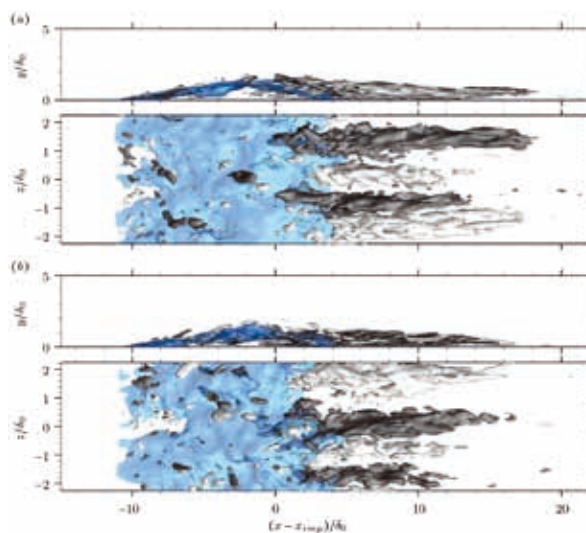


Figure 2: Visualization of Görtler-like vortices near flow reattachment at two times. Isosurfaces of reversed flow (blue) and of positive/negative streamwise vorticity (white/black) are shown. Image taken from [4].

Results

Wall-resolved LES and the high Reynolds number for this SWBLI study require the use of a large amount of computational cells. We performed a sensitivity study with up to 720 mio. cells and obtained grid-converged results with 360 mio. cells. Exploiting the very good scaling properties of our flow solver INCA, we run the simulations on 13860 cores on SuperMUC (Phase 1 & Phase 2). SWBLI inherently cover a broad range of time scales, requiring long integration times for statistically reliable data at low-frequencies. The high grid resolution near the wall (cell size on the order of micro-meters) and the high-speed flow result in a physical time-step size on the order of nano-seconds, thus requiring millions of time-steps. The SWBLI presented in the following ran for 6 mio. iterations and consumed a total number of approximately 15 mio. core-hours, indicating the necessity of high-performance computing (HPC) in the context of SWBLI at high Reynolds numbers. A detailed analysis can be found in [4].

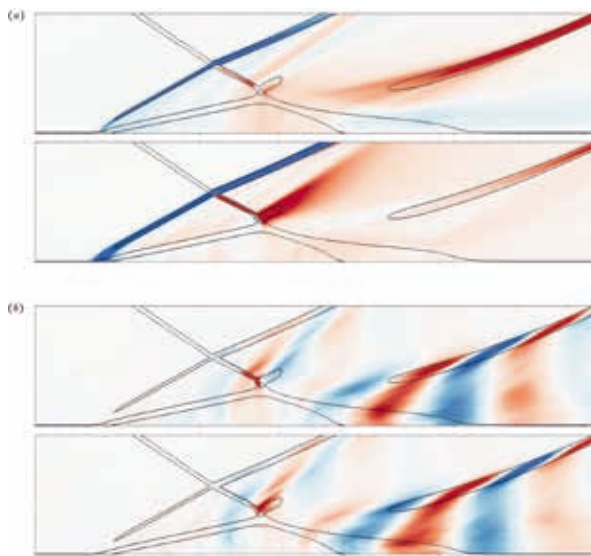


Figure 3: Real and imaginary part of DMD modes with contours of modal pressure fluctuations at (a) low and (b) medium frequency. Image partially reproduced from [4].

The topology studied in this work is an oblique shock-wave impinging on a flat plate turbulent boundary-layer (TBL), see Fig. 1. Experiments for this case were conducted at the German Aerospace Center [3]. The adverse pressure gradient imposed by the incident shock is strong enough to cause boundary-layer separation and a separation shock originating well ahead of the inviscid

impingement point. Although the interaction is nominally 2D, Fig. 2 gives evidence of 3D flow structures emerging in the reattachment region. Isosurfaces of positive and negative streamwise vorticity indicate the existence of two pairs of counter-rotating streamwise vortices. These so-called Görtler-like vortices emerge due to a centrifugal instability on concave surfaces and can often be found in compression-corner studies. We found that these vortices oscillate in spanwise direction at low frequencies, while directly influencing the instantaneous shape of the separated flow.

We performed a detailed modal analysis by means of Dynamic Mode Decomposition (DMD) for studying unsteady effects of the SWBLI. For this analysis we saved a total number of 7000 three-dimensional snapshots with approximately 100 TB storage. The analysis has been applied to both spanwise-averaged as well as wall-plane snapshots, see Fig. 3 and Fig. 4. Two types of dynamically important modes have been found: low-frequency modes (Fig. 3 top) show high activity around the shock system, the separated shear layer and the separation bubble, indicating a breathing motion of the recirculating flow together with a forward/backward motion of the shock system as a whole. At medium frequencies (Fig. 3 bottom, Fig. 4 bottom) shear-layer vortices are convected downstream while inducing eddy Mach waves in the supersonic part of the flow. The low-frequency skin-friction mode (Fig. 4 top) clearly shows streamwise streaks in the reattachment region, which we have identified as footprints of Görtler-like vortices. These vortices are

coupled to the separation bubble dynamics and cause a large-scale flapping of the reattachment line superimposed on a breathing motion of the recirculating flow.

Outlook

We performed unprecedented LES for the interaction of a TBL with a strong impinging shock wave at considerably high Reynolds number. Our analyses provide new insights into low-frequency mechanisms related to Görtler-like vortices for strong interactions. For the first time a clear coupling between unsteady Görtler-like vortices and separation bubble dynamics could be found for impinging SWBLI, a phenomenon so far only discussed in the context of compression-corner studies. While the exact cause of low-frequencies still remains an open question, unsteady Görtler-like vortices might act as a source for continuous (coherent) forcing of the intrinsic separation-shock-system dynamics.

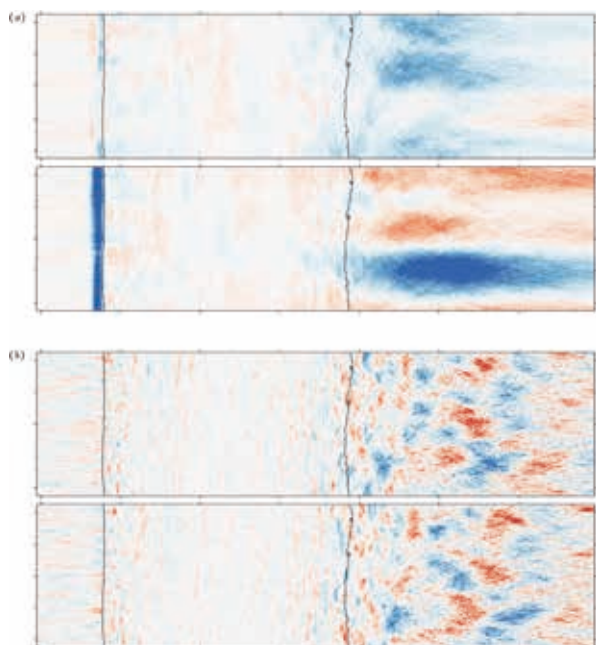


Figure 4: Real and imaginary part of DMD modes with contours of modal skin-friction fluctuations at (a) low and (b) medium frequency. Image partially reproduced from [4].

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Determination of Combustion Dynamics and Combustion

Noise in a Confined Turbulent Swirl Combustor

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SuperMUC Project ID: pr94yu

Introduction

Combustion noise is an undesirable but unavoidable by-product of every turbulent combustion device. In various industrial applications such as stationary gas turbines or aeronautical engines high levels of combustion noise are reached. For aeronautical engines combustion noise even may constitute the most significant contribution to the overall sound emission from a plane at approach and cutback conditions. Besides of being harmful to those exposed to noise emissions, high levels of combustion noise may lead to severe structural damages of the engine or may even trigger thermoacoustic instabilities. In case of a thermoacoustic instability the heat released by the turbulent flame oscillates in phase with the pressure amplitude of an acoustic eigenmode of the surrounding cavity. As a consequence, a self-sustained oscillation results, which may reach harmful pressure amplitudes within the combustion chamber of the engine. This scenario has to be avoided at any cost. Consequently, combustion noise and combustor dynamics are ongoing research topics.

In the current project the combustion dynamics as well as the generation of combustion noise is investigated within a confined combustion system. Therefore, an experimental test-rig of an application-relevant confined turbulent swirl combustor has been designed and commissioned at the Laboratoire EM2C, CNRS CentraleSupélec, Université Paris Saclay. The objective is then to reproduce experimental measurements of combustion noise and combustion dynamics by means of a compressible Large-Eddy Simulation (LES) of reactive flow. Through a successful comparison between LES results and experimental measurements a deeper understanding of the involved physical mechanisms shall be obtained.

Results and Methods

The Fortran based explicit LES code AVBP, developed by CERFACS, Toulouse [2], is used to solve the fully compressible Navier-Stokes equations on an unstructured grid, which consists of approximately 20 million tetrahedral cells. The smallest cells are located in the reac-

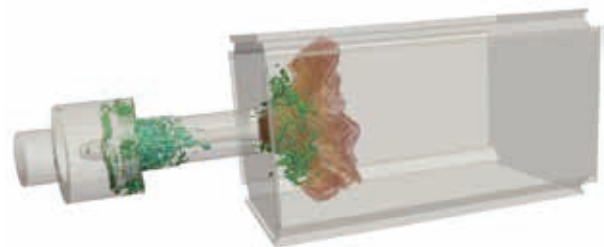


Figure 1: Snapshot of the compressible LES computation for the confined swirl combustor. The turbulent structures (green iso-surfaces) that are generated across the radial swirler impinge on the flame front (orange iso-surface) and cause thereby turbulent combustion noise.

tion zone having a maximum edge length of 0.6 mm. A Lax-Wendroff scheme is used being of second-order accuracy in time and space. Sub-grid stresses are modeled by the wall adapting linear eddy (WALE) model, whereas flame-turbulence interaction is taken into account via the dynamically thickened flame model. A reduced 2-step chemistry model is used to describe the premixed methane/air flame. The compressible LES resolves all physical mechanism needed to describe the combustion noise generation and the combustor dynamics, see Fig. 1.

A prerequisite for an accurate reproduction of the experimental values is a matching mean reaction zone shape between LES and experiment, see Fig. 2.

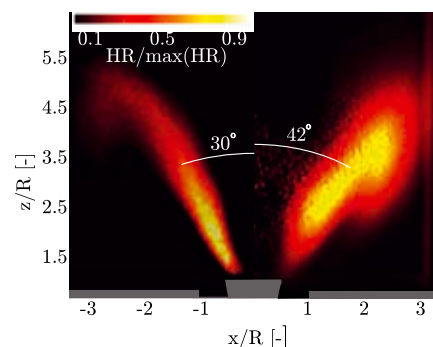


Figure 2: Comparison between measured and computed mean reaction zone shape. Left: time averaged heat release rate computed via LES. Right: time averaged OH* chemiluminescence measured in experiment. Reproduced from [3].

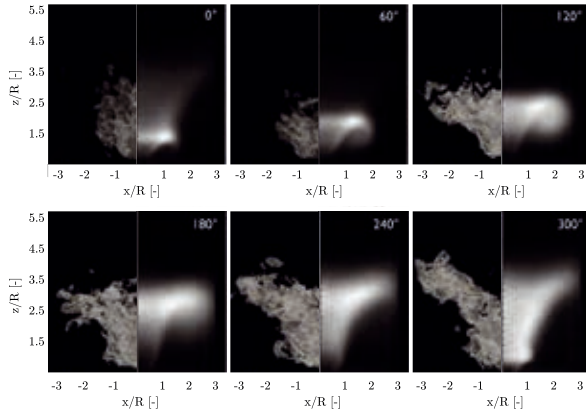


Figure 3: Phase averaged snapshots of the turbulent swirl flame undergoing a thermoacoustic oscillation cycle. Left: phase averaged (20 cycles) heat release rate isosurfaces from LES. Right: phase averaged (100 cycles) OH* chemiluminescence snapshots from experiment. Reproduced from [3].

Note that the OH* chemiluminescence is a good experimental indicator for the heat release rate of premixed flames. Even though the flame angle differs slightly, the flame length is well captured by the compressible LES. The LES has been averaged over 120 ms. As the acoustic CFL number has to be below unity, the time step size of the explicit LES solver is of order $1e-07$ s for the given operating conditions of the combustor. The computation of 120 ms physical time needs about 24 hours on 700 cores, resulting in 16800 core hours in total.

In a next step the phase averaged mean reaction zone shape is compared for a configuration that undergoes a thermoacoustic instability, see Fig 3.

The flame movement per phase angle increment is accurately recovered by the LES, which strongly suggest that the combustor dynamics are correctly described by the LES. With an instability frequency of 185 Hz, a physical time length of only 110 ms is needed to compute 20 oscillation cycles. However, as the complete 3D field has to be stored 6 times per oscillation cycle, the according LES run generates 700 Gb of data.

Finally, the measured sound pressure spectra are computed via LES. For confined configurations the acoustic impedances up- and downstream have a crucial impact on the resulting sound pressure spectrum. However, the complete geometry of the experimental testrig cannot be resolved within the LES domain due to the enormous computational costs. To circumvent this issue, the LES is coupled up- and downstream to characteristic based state-space boundary conditions [4], see Fig. 4.

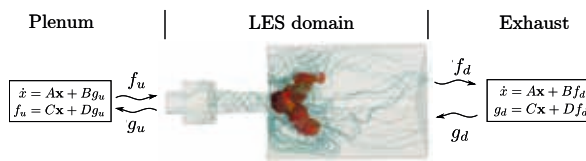


Figure 4: Schematic of coupling between compressible LES and characteristic based state-space boundary conditions. The acoustic waves leaving the LES domain serve as input for the acoustic boundary models. The outputs of the respective boundary state-space models are fed back into the LES.

These allow to model the effect of arbitrary acoustic impedances up- and downstream of the LES domain without resolving these parts explicitly within the LES domain. Thus, various plenum and exhaust geometries can be realized without the need of re-meshing. A simple reformulation of the acoustic boundary model is enough to take eventual changes into account. Figure 5 depicts the resulting sound pressure spectra for two different working conditions.

Excellent agreement between LES and measured data is observable. This allows now an LES based optimization of the operating conditions / combustor geometry in order to avoid thermoacoustic instabilities or to reduce the maximal reached sound pressure level.

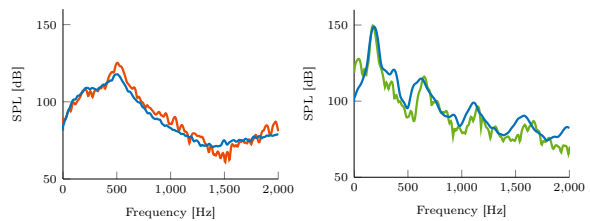


Figure 5: Measured (blue) and computed (red / green) sound pressure spectrum within the confined combustor geometry. Left: stable working conditions. Right: unstable working condition. Reproduced from [3].

For statistically well converged spectra, a minimal time series length of 350 ms is necessary yielding a computational cost of approximately 50000 core hours per computation.

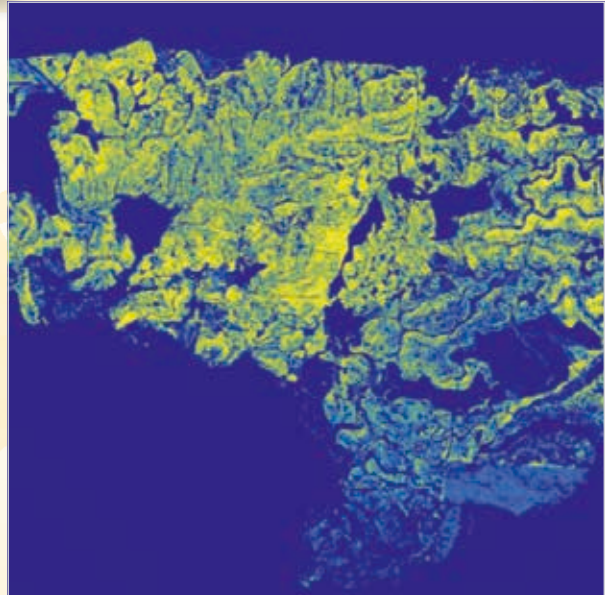
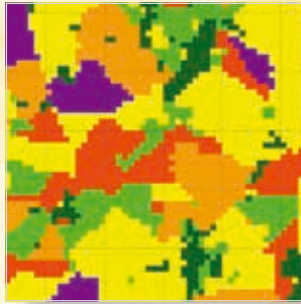
On-going Research / Outlook

After successfully validating the compressible LES in terms of flame dynamics and combustion noise generation, the next step in the current project will be to impose an acoustic broadband excitation signal to the compressible LES flow. By recording the resulting acoustic velocity fluctuations at a given reference position and the according heat release rate fluctuations, low-order models for the flame dynamics and the combustion noise source can be derived. Therefore, the LES broadband data is post-processed via advanced system identification techniques [5]. The obtained low-order models can, in turn, be used to predict the thermoacoustic stability and the sound pressure level within the confined combustor at reduced computational costs. However, for an accurate identification of the respective low-order models a time series data length of about 350 ms is needed. As the optimal characteristics of the broadband excitation signal are still unknown and their definition is part of the research project, a large set of computations will be necessary, which require the further use of Super-MUC resources.

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Earth, Climate and Environmental Sciences



Extreme scale simulations of the 2004 Sumatra-Andaman earthquake and the Indian Ocean tsunami

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SuperMUC Project ID: pr45fi

Introduction

The main goal of our research project ASCETE (Advanced simulation of coupled earthquake-tsunami events) is to establish a work-flow that couples several state-of-the-art geoscientific simulation softwares for more accurate simulation of earthquake-tsunami events. The spatio-temporal sea-floor displacement of an earthquake rupture simulation conducted by the software package SeisSol [1] is constrained by initial conditions from a geomechanical model and serves as input into a tsunami software. The full workflow shall enable us to better understand under which conditions subduction zone earthquakes lead to devastating tsunamis.

A particular devastating tsunami was caused by the 2004 Sumatra-Andaman earthquake. This event was extreme in size, length, and damage caused: A fault system with an extension of about 1500km ruptured for more than 8 minutes, leading to an M_w 9.1–9.3 earthquake that caused a tsunami reaching up to 30m height.

During last year's project period we were able to run the first full 3D dynamic rupture simulation of the Sumatra-Andaman earthquake. Such dynamic rupture simulations combine non-linear frictional failure on a prescribed fault surface coupled to subsequent seismic wave propagation. The output of the simulations is analysed in terms of fault mechanical properties such as slip rate and total slip on the fault. Additionally the resultant sea-floor displacement is evaluated against recorded GPS signals.

From a computational point of view this scenario is particularly challenging. Due to the intersection of the fault surface with the topography as well as with the subsurface structure, many small discretisation elements are generated during meshing. These small elements require small time steps in order to fulfil stability conditions. The discretised numerical models of this scenario, with sufficient fault and topography resolution, include 100 million to 220 million elements

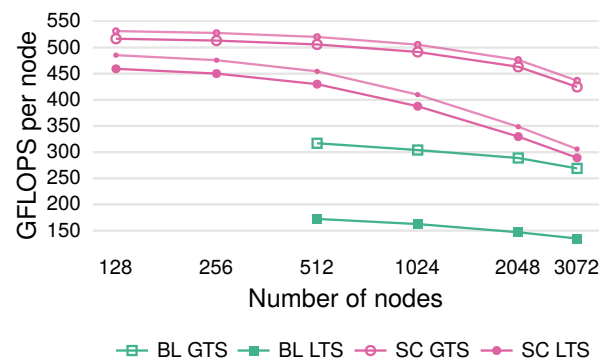


Figure 1: Performance on SuperMUC Phase 2, obtained during a strong scaling analysis. BL is the baseline version from 2015 and SC is our optimised version. GTS and LTS denote global and local time-stepping, respectively.

where the smallest elements force execution of about 3 million time steps (or worse). While our software package SeisSol has been able to handle comparably large element counts since 2014, the number of time steps here is about 14 times higher than our largest simulation to that date. Only with recent software improvements, such as local time-stepping support for dynamic rupture and asynchronous I/O, the full 3D simulation of this scenario became feasible. This is a vital prerequisite for the project ASCETE.

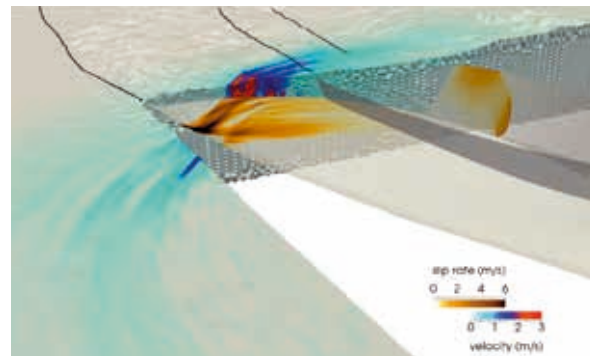


Figure 2: Snapshot of emitted seismic waves in the model volume and slip rates on the fault [2].

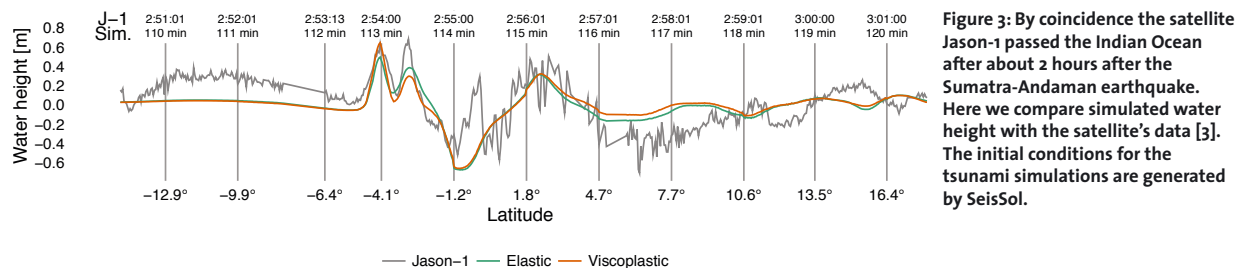


Figure 3: By coincidence the satellite Jason-1 passed the Indian Ocean after about 2 hours after the Sumatra-Andaman earthquake. Here we compare simulated water height with the satellite's data [3]. The initial conditions for the tsunami simulations are generated by SeisSol.

Results and Methods

SeisSol uses an explicit time-stepping scheme. As it is common for explicit solvers, the maximum time-step of a discretisation element depends on its insphere radius. In order to save computation time one may use static adaptivity, that is, insphere radii may vary drastically over the discretisation domain. More severely, one may obtain very small insphere radii due to deformed tetrahedral elements, which may be generated by the mesh generator due to intersections of the fault with topography, material layers, or due to fault branches. For example, in the preprocessing stage of the Sumatra-Andaman earthquake we obtained insphere radii as low as 0.06m where a spatial resolution of about 400m was desired. Even our final production model had a low minimum insphere radius of only 9.95m.

A possible solution for this issue is local time-stepping (LTS). Here, every element may have its own time-step, such that the bulk of tetrahedral elements has a reasonably sized time-step. In the last year, we extended our LTS scheme to support dynamic rupture simulations and we heavily optimised our dynamic rupture kernel, using code generation for small matrix-matrix multiplications. These optimisations are described in detail in our publication [2], which won the Best Paper Award at the Supercomputing 2017 conference.

During the extreme scaling period at LRZ in March 2017, we investigated the scalability of the Sumatra-Andaman earthquake scenario on the whole SuperMUC Phase 2 machine, with about 111 billion degrees of freedom. In total we used up to 3072 nodes, that is, 86016 cores. The results of our strong-scaling analysis are shown in Figure 1. On SuperMUC Phase 2, we achieve a parallel efficiency of 82% for global time-stepping and a parallel efficiency of 63% for local time-stepping.

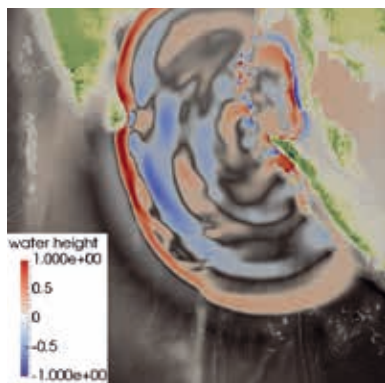


Figure 4: Simulated water height in the Indian Ocean 113 minutes after nucleation of the Sumatra-Andaman earthquake. The initial conditions are derived from a viscoplastic earthquake simulation.

The full simulation of the 2004 Sumatra-Andaman earthquake required 13.9h at 0.94 PFLOPS sustained performance using all 3072 nodes available at SuperMUC Phase 2. With the simulation we generated 15.8TB of output data for visualisation, post-processing, and checkpointing. Due to the overlapping of I/O and computation, the I/O time contributes to less than 1% of the total runtime.

In total, we managed to reduce a predicted run-time of 7 days and 19 hours to a real run-time, including output, of 13.9 hours in our largest scenario.

Figure 2 shows part of the fault and its intersection with the surface (black lines) including the splay faults. Slip rate on the fault is indicated by orange colours which represents the differential velocity of both sides (movement) of the fault. Dark colours indicate strong slip along the subduction zone. The blue-red colours in a cutout of the simulation volume indicate seismic waves generated due to slip on the fault.

On-going Research

With the pipeline ready to simulate coupled events, the main focus for the remainder of the ASCETE project is on the impact of various initial conditions, e.g. rough faults and stress parameterisation, in combination with advanced rheological models, i.e. viscoplastic or viscoelastic rheologies, on tsunami generation.

In a first study we compared the difference between a purely elastic model and a viscoplastic model, that is, a model with inelastic deformation. The distribution of sea-floor uplift obtained by both models is quite different, especially the maximum uplift is 3.2m higher in the viscoplastic model. In subsequent tsunami simulations, using the initial data generated by SeisSol, we observe a higher water height in the leading wave of the tsunami, due to the difference in sea-floor uplift. About 2 hours after nucleation of the Sumatra-Andaman earthquake the satellite Jason-1 passed the Indian Ocean tsunami, which allows a comparison of synthetic data to measured data along Jason's path. In Figure 3 a comparison of our tsunami simulation to the data of Jason-1 is shown. The viscoplastic model shows an excellent match of the leading wave's water height (first peak) with data. Figure 4 shows a snapshot of the water height 113 minutes after nucleation of the Sumatra-Andaman earthquake.

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4D City — Space-time Urban Infrastructure

Mapping by Multi-sensor Fusion and Visualization

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SuperMUC Project ID: pr45ne

Abstract

Static 3-D city models are well established for many applications such as architecture, urban planning, navigation, tourism, and disaster management. However, they do not represent the dynamic behavior of the buildings and other infrastructure (e.g. dams, bridges, railway lines). Such temporal change, i.e. 4-D, information is demanded in various aspect of urban administration, especially for the long-term monitoring of building deformation. Very high resolution spaceborne Synthetic Aperture Radar (SAR) Earth observation satellites, like the German TerraSAR-X and TanDEM-X provide for the first time the possibility to derive both shape and deformation parameters of urban infrastructure on a continuous basis.

This project aims at generating 4-D city models and their user specific visualizations to reveal not only the 3-D shape of urban infrastructures but also their deformation patterns and motion. The research envisioned in this project will lead to a new kind of city models for monitoring and visualization of the dynamics of urban infrastructure in a very high level of detail. The deformation of different parts of individual buildings will be accessible for different users (geologists, civil engineers, decision makers, etc.) to support city monitoring and management, as well as risk assessment.

With the support of Gauss Centre for Supercomputing (GCS), the project has successfully delivered the world's first city-scale 4-D model derived from spaceborne SAR sensor. In addition, in total eleven million CPU-hours have been dedicated to the generation of 4-D city models of various cities in the duration of the project, including Las Vegas, Berlin, Shanghai, Beijing, Washington D.C., and Paris.

Motivation

More than half of the world's population lives in urban areas. For instance, in China there were 83 cities with a population over 1.5 million in 2005 [1]. While this urbanization is expected to increase continuously, with around

135 cities having more than 1.5 million inhabitants in 2025 and around 1 billion people living in China's cities in 2030 [1], the monitoring of the structural healthiness of urban infrastructure gets increasingly urgent. There are several potential threats which may lead to structural degradation and damage of infrastructure, e.g. erroneous construction, bad building quality, subsidence or uplift due to groundwater levelvariation underground construction activities, or natural disasters. For example, Figure 1 shows a collapsed building in Shanghai due to unstable geological condition and poor reconstruction. Such event can be prevented if a continuous monitoring of the local ground deformation was conducted.

Methodology and Challenge

The most competent method for assessing long-term millimeter-level deformation over large urban areas is the so called *differential SAR tomography* (D-TomoSAR). D-TomoSAR is able to reconstruct dense 3-D point cloud as well as the deformation parameters of the monitored area. One can imagine D-TomoSAR as dense GPS measurements covering each temporally coherent pixel (usually more than 50% of all pixels) on the acquired SAR image.



Figure 1: Collapsed apartment building due to unstable geological condition of the ground, and poor construction. Photo from Flickr, CC-BY-ND.

However, for retrieving high precision 3-D position and the deformation parameters, D-TomoSAR needs to solve an inversion problem with a typical dimension of $100 \times 1,000,000$ (the forward model matrix) for the data typically used. Such inversion problem is repeated for each pixel in the SAR image that has a typical size of $6,000 \times 10,000$. Thus, D-TomoSAR processing on a city scale is computationally expensive.

Another method employed in this project to improve the resolution of the SAR images is the so called non-local (NL) means filtering. NL means filtering searches similar patches in the SAR image in order to significantly reduced the measurements noise while preserving the spatial resolution. As the similar patches have to be searched within the entire image space, it is extremely computationally expensive. Moreover, the computational complexity increases quadratically with the dimension of the image.

The abovementioned methods are not feasible for large area processing without high performance computational (HPC) support.

Results

With the support of Leibniz Supercomputing Centre (LRZ) of GCS, the research team so far is the only team in the world that is able to produce the 3-D reconstruction and deformation in city-scale using D-TomoSAR. This project consumed so far in total 11 million core-hours. For each processing job, a stack of tens to hundreds of images was uploaded and processed. Over 500 cores were usually requested for each job. As the computation is also memory intensive, most of the jobs were processed on the fat-island in LRZ. So far, the following datasets have been processed:

Dataset	# of images	Image size	resolution
Las Vegas	180	11,000 x 6,000	0.6 x 1.1m ²
Berlin	550	11,000 x 6,000	0.6 x 1.1m ²
Shanghai	29	25,000 x 55,000	1.2 x 3.3m ²
Beijing	60	25,000 x 55,000	1.2 x 3.3m ²
Washington	24	6,000 x 15,000	0.6 x 0.25m ²
Paris	41	6,000 x 15,000	0.6 x 0.25m ²

Table 1: Processed datasets and size

Some representative results are shown in the following content.

Las Vegas

The following upper subfigure is one of the input TerraSAR-X images of Las Vegas. By applying the D-TomoSAR algorithm on tens of such images, a 3-D point cloud was reconstructed (lower subfigure). This point cloud contains around 10 million points. Most importantly, each point contains not only the 3-D position, but also its deformation information with an accuracy of better than millimeter per year (so-called 4-D).

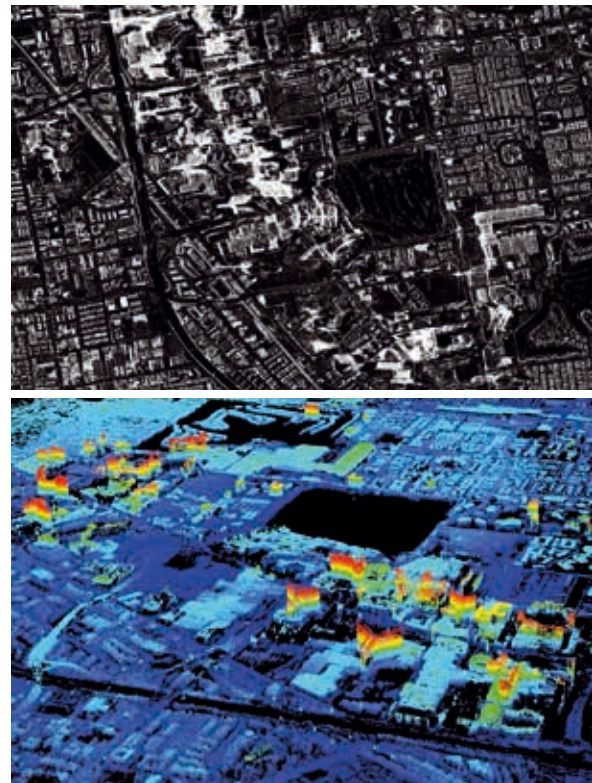


Figure 2: Upper: TerraSAR-X high resolution spotlight image of Las Vegas, and lower: 3D point cloud of Las Vegas reconstructed using our algorithm. Color represents the height [2], [3].

Figure 3 shows an example of the precise deformation discovered in Las Vegas. Since July 2009, the Las Vegas Convention Center has been undergoing a pronounced subsidence. The color of the figure shows the estimated linear deformation velocity in mm/year.

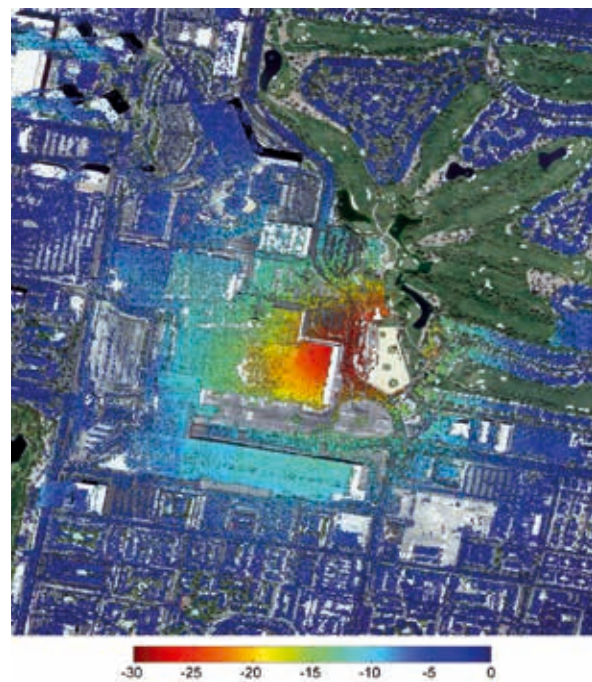


Figure 3: Deformation estimates of an area around the Las Vegas Convention Center: linear deformation velocity (unit: mm/y)[4].



Figure 4: Fusion of two reconstructed 3D point clouds. The combined point cloud contains over 40 million points [5], [6].

Berlin

By fusing two point clouds reconstructed using SAR images acquired from different viewing angles, one can obtain a complete coverage over an entire city. Figure 4 is the example of Berlin. As always, each point is associated with its movement information. The combined point cloud shown in Figure 4 contains about 40 million points. The number of points exceeds 100 million, if the reconstructed point cloud from all 550 images are combined.

NL Means Filter

Figure 5 is a comparison of the standard 12m TanDEM-X 3-D digital elevation model (DEM) and the resolution-enhanced DEM by NL means filtering [7]. The NL means filtered DEM revealed much more detailed structure buried in the noise [8]-[11].

Conclusion and Outlook

With the HPC support of GSC, this project delivered the world's first 4-D city model derived from spaceborne SAR data. Such 4-D model is essential in continuously monitoring of urban areas. As the data volume of earth observation mission exponentially increases, e.g. the Copernicus programme, HPC will surely be an essential edge in the future research. Such edge also supported us of winning an ambitious European Research Council starting grant project So2Sat: *Big Data for 4-D Global*

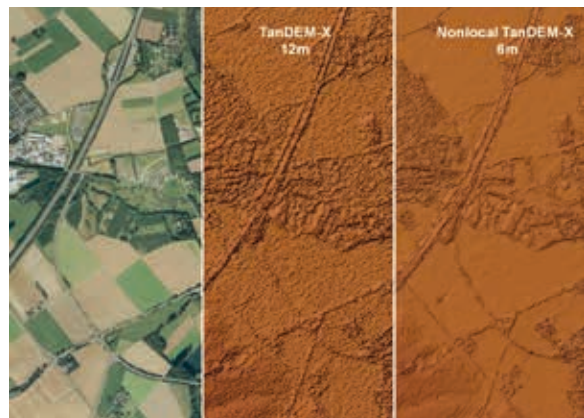


Figure 5: Jülich city area: Optical image ©Google (left), the standard 12m TanDEM-X DEM (middle) and improved nonlocal TanDEM-X DEM with 6m resolution (right) [7].

Mapping – 10¹⁶ Bytes from Social Media to EO Satellites (<http://www.sipeo.bgu.tum.de/projects/sozsat>), whose aim is to provide global 4-D urban models.

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Retrodictions of Past Mantle Flow Using Global High-Resolution Earth Models

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Introduction

Earth's mantle, although solid on short time scales, can flow like a very viscous fluid over the course of geologic eras. Heat coming from the underlying core and internal heat production due to radioactive decay are large enough to set the mantle in vigorous convection. Mantle convection drives the motion of tectonic plates and dictates the long-term evolution of the Earth: it controls the distribution of continents and oceans and their topographic elevation; it determines the formation of mountain ranges, shallow seas and land bridges between continents; and it is the cause of Earth's seismicity and volcanic activity. As such, it has a broad impact on

many aspects of the Earth system, ranging from its oceanic and atmospheric circulation to its climate, from its hydrosphere to the erosion and deposition of sediments, from the location and abundance of natural resources to the evolution of life. As such, mantle convection is one of the main research areas at the Geophysics section of the Ludwig-Maximilians-Universität München [1].

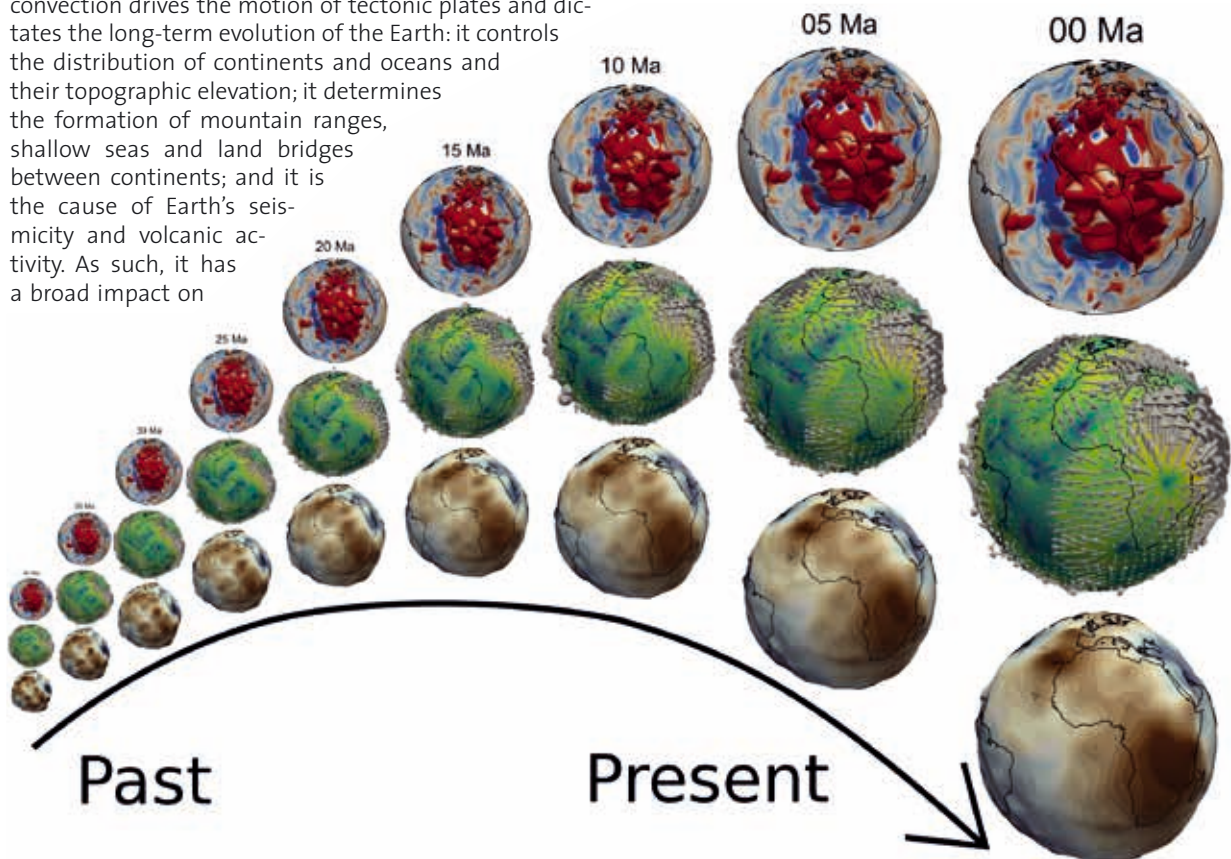


Figure 1: First mantle flow retrodictions for geodynamically plausible, compressible, high resolution Earth models with ~670 million finite elements, going back in time to the mid-Paleogene [3]. The visualization shows the time evolution of one of the four retrodiction models (top: temperature variations, middle: asthenospheric flow velocity, and bottom: the induced surface topography). The retrodictions produce a spatially and temporally highly variable asthenosphere flow with faster than plate velocities, and a history of dynamic topography characterized by local doming events, in agreement with considerations on plate driving forces, and regional scale uplifts reported in the geologic literature.

Results and Methods

In an earlier study, we showed that, although mantle convection at earth-like vigor is a chaotic process, one can constrain its flow history back in time for periods comparable to a mantle overturn, i.e. ≈ 100 million years, if knowledge of the surface velocity field and estimates on the present-day heterogeneity state are available [2]. Such “retrodictions”, which involve the solution of a geodynamic inverse problem through the adjoint method, are a promising tool to improve our understanding of deep Earth processes, and to link uncertain geodynamic modeling parameters to geologic observables. We have now performed the first mantle flow retrodictions for geodynamically plausible, compressible, high resolution Earth models with ≈ 670 million finite elements, going back in time to the Mid Paleogene [3].

The geodynamic inverse problem aims at finding the (unknown) state of the mantle some time in the past that naturally evolves into its (known) present-day state. The adjoint method minimizes the difference between the observed present-day mantle structure and the prediction of a geodynamic model by refining the initial condition in the past through an iterative method. Each iteration requires the solution forward in time of the equations that govern mantle convection, which are based on first-principle conservation laws of physics, and the solution backward in time of a set of adjoint equations, which are derived from the forward equations.

One of the appealing aspects of the adjoint method is the similarity of the forward and adjoint equations. They can thus be solved by the same numerical code with only slight modifications. For this project we used the parallel finite element code TERRA, modified to solve the forward and adjoint equations for compressible Earth models [4]. In order to simulate mantle convection at earth-like vigor, a sufficiently high resolution is needed. This is obtained by dividing the volume of the mantle into ≈ 670 million finite elements, for a maximum grid spacing of ≈ 11 km at Earth’s surface. An adjoint iteration for this model over 40 Ma requires between 75 and 150 thousand CPU-hours, equivalent to 36 to 72 hours of computation using 2048 CPUs. The initial condition is optimally recovered after 5 to 10 iterations, leading to a total of about a million CPU-hours per retrodiction. We have chosen to retrodict past mantle flow for four scenarios, combining two different estimates for the present-day state of the Earth’s mantle with two different viscosity profiles for the geodynamic model. Retrodictions of mantle evolution for these four scenarios were performed for the last 40 Ma of geologic history (i.e., back to the mid-Paleogene). We then investigated the related implications in terms of dynamic topography [3] and changes in the the shape of the Earth’s gravitational potential field induced by mantle circulation [5]. We found that the retrodicted history of mantle convection and dynamic topography in our simulations is sensitive to the assumptions about the present-day mantle state and its viscosity, suggesting that mantle flow retrodic-

tions obtained from adjoint modeling can provide powerful constraints on the assumptions of structural and rheologic parameters of Earth models. In particular, this can be achieved by comparing their predicted dynamic topography evolution to constraints gleaned from the geologic record.

Furthermore, we assessed the signal retrievability of the modeled geoid rates by current and future satellite gravity missions using closed-loop numerical simulations, with different satellite gravity retrieval mission assumptions [5]. Temporal gravity signals induced by deep Earth’s processes are commonly thought to lie below the observational threshold of satellite gravity missions, as one assumes them to be small in amplitude and restricted to the longest spatial and temporal scales. However, the fast rates of surface uplift and subsidence in the retrodiction models of the mantle, and the geologic observations of epeirogenic movements provide evidence for the contrary. The modeled deep Earth signal, on the order of $5 \mu\text{m}/\text{year}$ at spatial scales of 1000 km, is on the edge of detectability by current gravimetry satellite missions, but coming into the range of detectability in future temporal gravity field solutions, suggesting the use of satellite gravity data to validate geodynamic Earth models. Importantly, the application of forward modeled dynamic mantle signals, which can be linked to geologic observables and are thus independently testable, seems to be essential for improved de-aliasing and signal separation in future gravity missions.

On-going Research / Outlook

After these first successful retrodictions, we now plan to use this powerful methodology to systematically investigate the parameter space of mantle convection – in particular, various pressure and temperature-dependent viscosities – by checking the predicted changes in surface dynamic topography against the geologic record. In addition, a variety of seismic tomographic models, mineralogies and chemical compositions exist that we can use in different combinations as proxy for the present-day thermodynamic state of the mantle, for which many tens of simulations need to be run in the next years.

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Validation of vertically nested large-eddy-simulation in heterogeneous terrain

RESEARCH INSTITUTION

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SuperMUC Project ID: pr48la

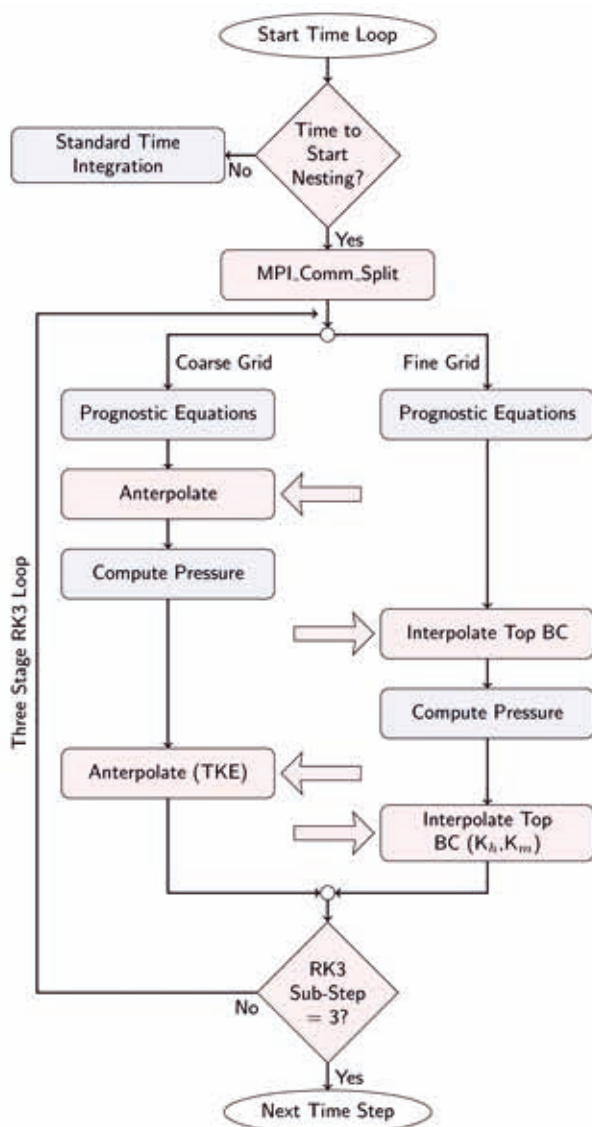


Fig. 1: Overview of the nesting algorithm

Introduction

Human life is directly affected by the chemical composition and physical properties of the air near the Earth's surface, e.g. due to air pollution or heat waves. Due to the Earth's rotation and the diurnal cycle this air forms the **atmospheric boundary layer** (ABL) at the bottom of the troposphere, through which all exchange of energy and matter between the surface and the atmosphere takes place. The properties of the ABL strongly depend on the underlying surface and can change from day to day. A thorough understanding of the processes that govern the behavior of the ABL can therefore improve the quality of life [1].

The flow in the ABL is **turbulent**, and localized or low frequency measurements cannot yield a complete picture. A convenient computational technique to study the turbulence in the ABL, is **large-eddy simulation** (LES). LES resolves the turbulence above a grid-dependent cutoff scale, below which the turbulence becomes more generic and can be (statistically) predicted by simpler models. In this way, the computational resources needed are suppressed, while we obtain a correct understanding of the turbulence above the cutoff scale.

However, for studies that rely on details in the surface layer (the lowest 10% of the ABL) an accurate description would require a high grid resolution with large costs. As a solution we have implemented an **LES-within-LES nesting scheme**, where the surface layer is resolved at a higher spatial resolution than the rest of the ABL. In this project, we verified our nesting scheme [2] and validated it by means of a case study for the LITFASS-2003 experiment, focusing on heterogeneous terrain. Previous LES has been performed for this site by Maronga and Raasch [3] but at lower vertical resolution (without nesting: with 10 m vertical grid size and 20 m horizontal grid size) and with different research focus. With nesting we achieve a resolution of 1 m vertical grid size and 2 m horizontal grid size in this study.

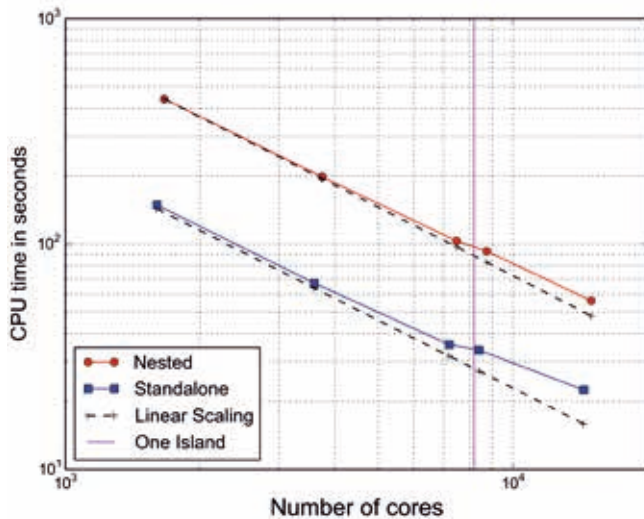


Fig. 2: Scaling of the nested PALM

Methods and verification

We use the LES model **PALM** [4] from the Leibniz University Hannover, which is available under the GPL-3 license. The time-stepping is done with a third order **Runge-Kutta** scheme, the advection scheme for the ensemble runs with **Wicker-Skamarock**, for the Rayleigh-Bénard convection with **Piacsek-Williams**. The pressure solver uses fast Fourier transform (**FFT**). PALM has implicit filtering of the subgrid-scales, for which it employs 1.5-order turbulence closure with a prognostic equation for the turbulent kinetic energy. At the lowest grid cell **Monin-Obukhov similarity theory** is applied. Our lateral boundary conditions are periodic and the surface fluxes are driven by the diurnal cycle. The domain of PALM is decomposed into vertical columns, which communicate by **MPI** calls. The nested grid and the parent grid are assigned different MPI communicators, which interact with each other through the global communicator for the anterpolation (feedback) of the parent grid and the boundary conditions of the nested grid.

We tested the **scalability** of the nested code with a constant number of grid points per core and a constant ratio between the number of cores for the parent and nested grid. For comparison with the non-nested version of PALM, standalone runs with the same load and same number of cores as the nested grid were evaluated.

We also investigated pure **Rayleigh-Bénard convection** to verify our implementation of the nesting. This type of free convection produces convection cells spanning the entire vertical extent of the domain, which allows us to verify that the two grids interact correctly.

	O	E	R	P
#grid points (G)	6.0	1.1	11	8.6
# runs	100	8	1	1
# CPU	1638	2592	6048	4096
Core-hours	0.3	3.5	2.7	1.5

Table 1: Overview of our simulations. O: optimization of the nesting, E: LITFASS ensemble runs with nesting, R: LITFASS reference run, P: preliminary simulation with non-cyclic boundary conditions

Simulation Results

After optimization and verification of the nesting, we focus on simulations for the LITFASS experiments. We run ensemble simulations to separate the effects induced by the **heterogeneous land surface** (Fig. 4) from random turbulence. We focus on the energy balance closure ratio (EBR) which expresses the closure of the surface energy budget, shown in Fig. 5. The net incoming energy is compared to the turbulent fluxes of latent and sensible heat and the ground heat flux. In real-world experiments, EBR is obtained by **eddy-covariance** measurements (EC). As in most EC measurements, many locations show under-closure ($EBR < 1$). However, near borders of different surface types, strong local over-closure ($EBR > 1$) can be found as well, highlighting the importance of edge effects in near-surface turbulence.

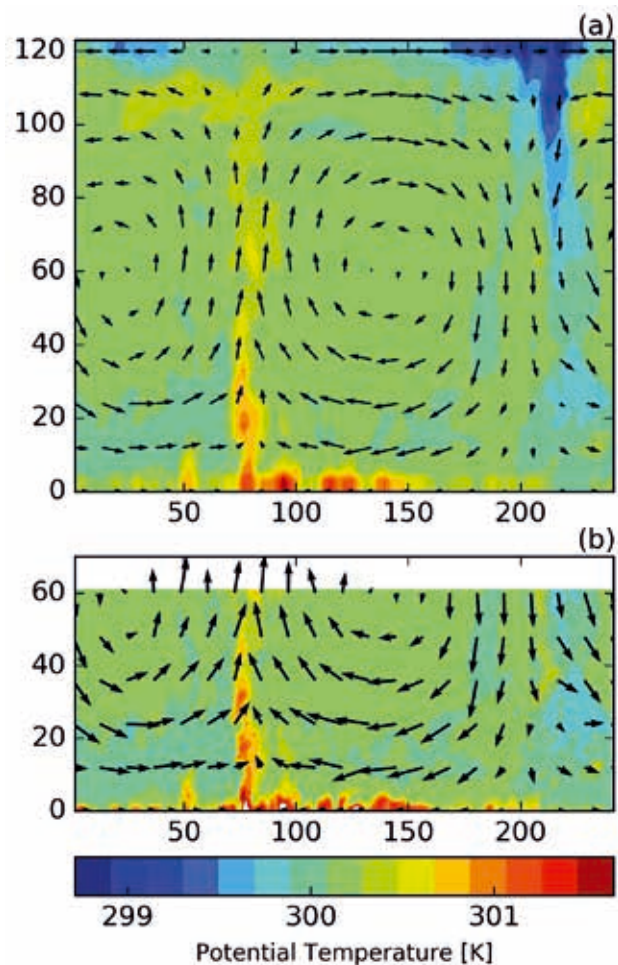


Fig. 3: Rayleigh-Bénard convection in the parent grid (a) and the nested grid (b)

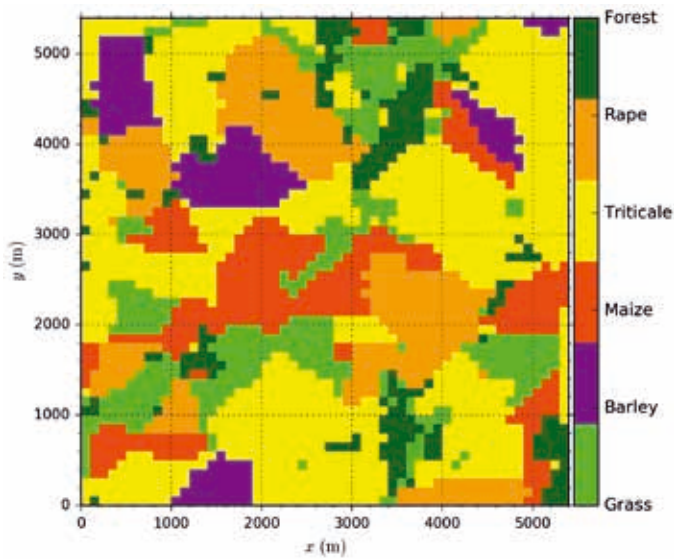


Figure 4: Map of the surface types for the modeled LITFASS area

On-going Research / Outlook

We encountered problems with the **NetCDF library** in combination with the **fpeo** compiler flag, this issue occurs only on **Intel compiler** version 15 or higher. While the principal developers of PALM have shown that the standalone code scales up to 40,000 cores, our experience from SuperMUC shows that on more than two islands the data IO causes a severe bottle-neck.

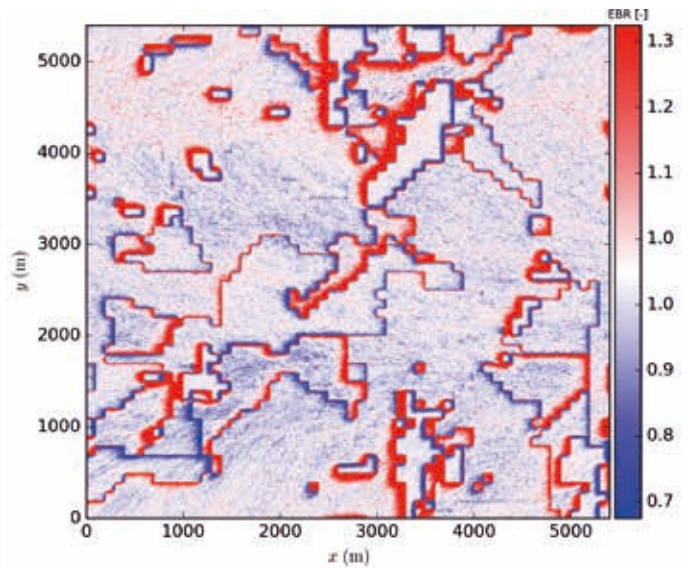


Figure 5: Surface energy balance ratio for LITFASS

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Secondary circulations at an isolated semi-arid forest

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SuperMUC Project ID: pr62xi

Introduction

Studying the impacts of afforestation in semi-arid regions is important for mitigation of anthropogenic climate change and desertification. However, lowering the albedo by artificially planting trees in semi-arid regions, combined with a large incoming radiation, can lead to a substantial increase in available energy. To guarantee the survival of the ecosystem, this energy load at the surface has to be removed, mainly by turbulent transport to the atmosphere. Due to limited water availability in semi-arid regions, the common pathway of evaporative cooling can often not be applied. However, an alternative cooling mechanism for semi-arid ecosystems was discovered from investigations of the isolated, semi-arid pine forest Yatir [2], located at the northern edge of the Negev desert in Israel (Fig. 1). This cooling mechanism is mainly realized by an enhanced sensible heat flux above the forest. However, the heterogeneous nature of the isolated Yatir forest can also influence energy transport by generating secondary circulations between the forest and the surrounding shrubland. These circulations affect the incoming circulations and influence the atmospheric boundary layer. They are driven by mechanical effects (roughness of forest canopy) or by buoyancy (due to albedo differences), and can cause effects on weather and climate at regional scale. Within the “Climate feedbacks and benefits of semi-arid forests (CLIFF)” project [1], we study the influence of these secondary circulations on the surface-atmosphere exchange of energy at the Yatir forest by means of large-eddy simulations (LES). We performed three simulations varying the background wind speed (U) to study the effect on the location, extension and strength of secondary circulations and the mechanisms triggering them. Furthermore, we study the effect of these circulations on the air temperature within the forest.

Results and Methods

We use the LES model PALM [3] from the Leibniz University Hannover, which is available under the GPL-3 license. The time-stepping is done with a third order Runge-Kutta scheme, the advection scheme with Wicker-Skamarock.



Figure 1: Satellite image of Yatir forest (Israel).

The pressure solver uses a **fast Fourier transform**. PALM has implicit filtering of the subgrid-scales, for which it employs 1.5 order turbulence closure with a prognostic equation for the turbulent kinetic energy. At the lowest grid cell, Monin-Obukhov similarity theory is applied. We prescribe an inversion layer at the top of the domain. Our lateral boundary conditions are periodic and the surface fluxes fixed, as we model a constant incoming net radiation (conditions at noon). The domain of PALM is split into vertical columns, which communicate by MPI calls.

We used grid dimensions of 5.0 m in main wind direction, 7.5 m in the crosswind direction and 2.5 m in the vertical. We performed six simulations in total, a weakly convective scenario (WC, $U = 5.7 \text{ m s}^{-1}$), a mildly convective scenario (MC, $U = 2.8 \text{ m s}^{-1}$), a strongly convective scenario (SC, $U = 0 \text{ m s}^{-1}$) and three corresponding preliminary simulations. These preliminary simulations

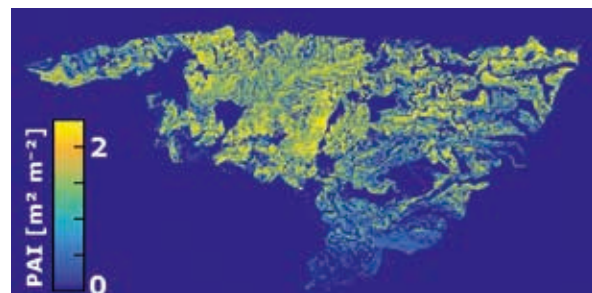


Figure 2: PAI map of Yatir forest.

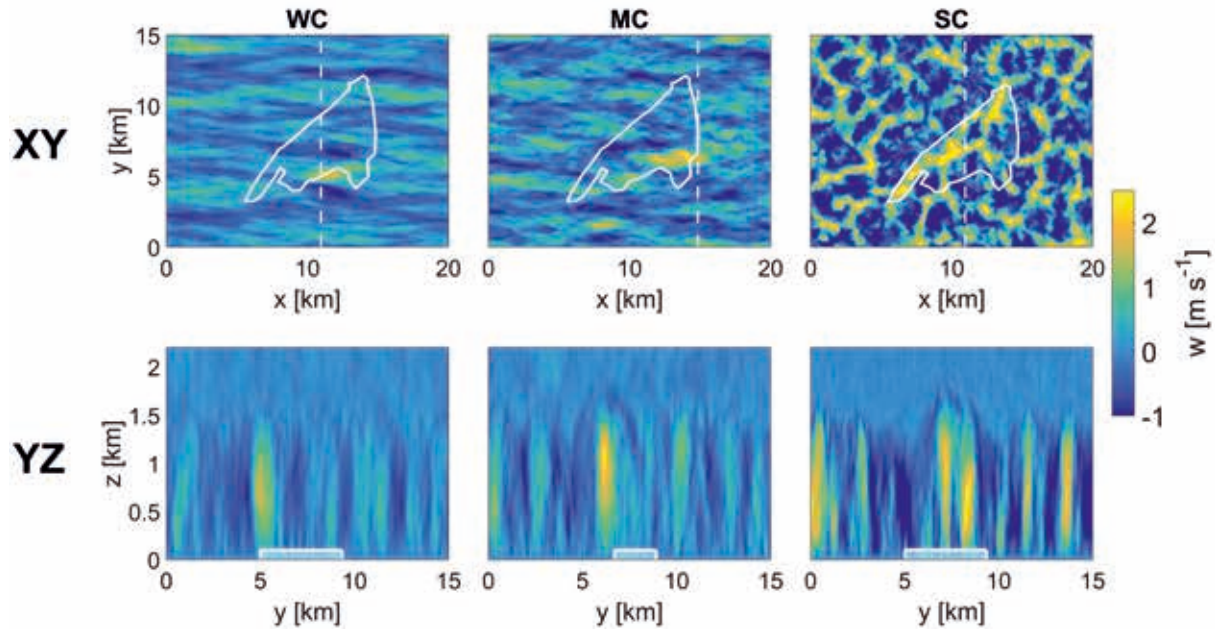


Figure 3: Cross sections of the horizontal (first row) and vertical cross-wind (second row) of the 30 min mean of the vertical velocity component w , for the three cases WC (first column), MC (second column), and SC (third column). The location of the forest is depicted by the white solid lines in the first row and by white boxes in the second row. The location of the vertical cross section with respect to the forest is depicted by the white dashed lines in the first row.

are used for turbulent spin-up and run for 2 h simulated time, while the main simulations run for 1 h. The time steps were selected dynamically to maintain a **Courant-Friedrichs-Levy** number of 0.9, which yielded time steps of approximately 0.23 s. The number of grid points and the used corehours are shown in Table 1.

	# Grid points	Core hours (106 cpu h)
WC pre+main	6144 x 2048 x 1024	0.34 + 0.53
MC pre+main	6144 x 2048 x 1024	0.30 + 0.66
SC pre+main	4096 x 2816 x 1024	0.26 + 0.65

Table 1: Grid points and core hours for the six LES performed. We used 8192 cores of Phase 1.

The output of the six LES consists of 260 files (400 GB) which are stored on PROJECT. As the main simulations were initialized with the prognostic variables of the preliminary simulations at every grid point, restart data of 30k files (15TB) also had to be stored. For this task we used the PROJECT and SCRATCH file systems. To initialize the LES, we used a two dimensional map of the plant area index (PAI) of the forest canopy (~tree density), which was derived from satellite data alongside lidar measurements. The derived PAI map is illustrated in Figure 2. Furthermore, the forest is rotated by 45° anti-clockwise, such that the background wind is located in positive x direction (Figure 3). The Coriolis force in the model was adjusted accordingly.

From these LES, we found that secondary circulations emerge in all three cases (updrafts depicted by positive w values in Figure 3), however the location and the extension of these circulations change between all three cases. This is explained by the different mechanisms triggering those simulations. For WC and MC the secondary circulations are

mainly generated by mechanical effects, as they appear in the lee of the densest part of the forest (Figure 2). However, for SC, the secondary circulations are mainly produced by buoyancy, which is indicated by the central location of the updrafts above the forest. The strong buoyancy in the SC case also leads to a fundamental difference in the appearing structures throughout the simulation domain. While in WC and MC roll like structures appear (stripes in Figure 2), for SC hexagonal cells emerge. Besides, also the strength of the secondary circulations and the altitudes these circulations reach differ, as the strength of the updrafts increases from WC to SC.

On-going Research / Outlook

We used Phase 1 for our entire project, as the queuing time on Phase 2 became too long when asking for the required 8192 cores. The main issues we faced was running PALM at the specified number of grid cells and to manage a stable output of the NetCDF files.

In the next phase of this research project, we want to quantify the surface atmosphere exchange of energy between a heterogeneous surface like Yatir forest, and the atmosphere, by means of aerodynamic resistance. As there are only parametrizations for homogeneous surfaces available right now [4], we developed a heterogeneous extension of those parametrizations. To test these extensions for several different surface heterogeneities, we will run a couple of LES simulations of similar dimensions as the ones already performed.

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3-D seismic wave propagation and earthquake rupture:

New roads for the forward and inverse problem

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SuperMUC Project ID: pr63qo (KONWIHR Project)

Introduction

The Computational Seismology group of LMU Munich uses SuperMUC HPC infrastructure in a variety of international research projects covering 3D forward and inverse problems in computational wave propagation and earthquake rupture across spatial and temporal scales. A high number of small- to mid-scale runs as well as certain large-scale simulations were performed. State-of-the-art modeling software based on high-order accurate SEM and ADER-DG methods was used to gain insight into earthquake physics and observational seismology. For example, the discontinuous Galerkin (DG) solver SeisSol today can be considered the most accurate and efficient solver for dynamic rupture problems (SC17 Best Paper Award). We explored new roads implementing new SEM solvers (e.g., Salvus, axisem3D, WaveQLab3D, ExaHyPE) on SuperMUC. Furthermore, new numerical methods for computational seismology were developed (SpecTet) and optimized for high-performance computing. The project merges a variety of methods and topics, of which we highlight selected results and impacts in the following.

The forward problem: Earthquake rupture physics

Alice-Agnes Gabriel, Elizabeth Madden, Thomas Ulrich, Stephanie Wollherr

Studying past earthquakes using numerical modeling allows us to advance our ability to quantify earthquake hazard and to understand fundamental aspects of earthquake physics. Using SeisSol, a software package solving the coupled dynamic rupture and wave propagation problem with high order accuracy in space and time, we studied several past earthquakes. Dynamic rupture scenarios of the 1992 Mw 7.3 Landers (Wollherr and Gabriel, 2018), the 2004 Mw 9.3 Sumatra-Andaman (Upoff et al., 2017), and the 2016 Mw 7.8 Kaikōura (Ulrich et al., 2018, Fig. 1) earthquakes, enabled us to reproduce key charac-

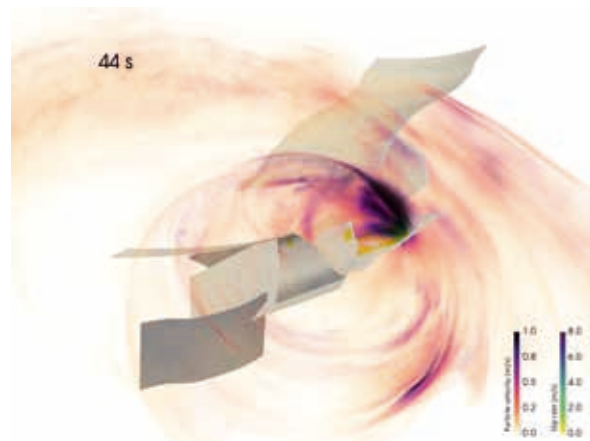


Figure 1: Dynamic rupture multi-physics scenario of the 2016 Mw 7.8 Kaikōura earthquake. Snapshot of the wavefield (absolute particle velocity in m/s) across the fault network and of the fault slip rate after 44 seconds simulation time.

teristics of such events and offer comprehensive, physically self-consistent earthquake source descriptions. We studied specific aspects of the earthquake rupture and of the wave-propagation affecting earthquake hazard. For instance, we investigated the influence of off-fault plastic yielding on the rupture transfer between several segments (using the Landers model), the effect of a shallow sedimentary basin on the ground-motions (2015 Mw 7.8 Gorkha earthquake, Rijal, 2018) and the physical conditions allowing rupture cascades on complex fault systems (Kaikōura earthquake, Ulrich et al., 2018).

Rijal, A. (2018), Modern numerical methods for the analysis of topography and basin structure effects on ground motion: 2015 Nepal Earthquake, Master Thesis, Ludwig-Maximilians-Universität München, Department of Earth and Environmental Sciences, Geophysics.

Ulrich, T., Gabriel, A.-A., Ampuero, J.P., Xu, W. (2018), Dynamic viability of the 2016 Mw 7.8 Kaiko-ura earthquake cascade on weak crustal faults (under revision at Nature Communications).

Upoff, C., Rettenberger, S., Bader, M., Madden, E. H., Ulrich, T.,

Wollherr, S., and Gabriel, A.-A. (2017). Extreme scale multi-physics simulations of the tsunamigenic 2004 Sumatra megathrust earthquake. In Proceedings of the International Conference for High Performance Computing, Networking, Storage and Analysis, SC'17, 21, 1-16.

Wollherr, S., Gabriel, A.-A. and Uphoff C. (2018), Off-fault plasticity in three-dimensional dynamic rupture simulations using a modal Discontinuous Galerkin method on unstructured meshes: Implementation, verification, and application (submitted to Geophysical Journal International).

The inverse problem: Seismic Full Waveform Inversion

Lion Krischer, Christian Boehm, Andreas Fichtner

Understanding the subsurface structure of our planet is one of geophysics' paramount goals. Seismic waves excited for example by earthquakes travel through the planet and its internal structure leaves an imprint on them. Seismologists developed numerous techniques over the last 40 years to invert recorded waveforms for Earth structure. During the last decade computers and algorithms became powerful enough to perform these inversions using physically accurate numerical wavefield simulations, a technique known as full waveform inversion (FWI). We applied FWI to a domain stretching from the Western border of the United States across the North Atlantic well into Europe and inverted radially anisotropic structure with waveform periods from 30-120 seconds. Key improvements of this work compared to previous studies are the advances in data processing, non-linear optimisation techniques, and the full automation of the workflow yielding a highly detailed model.

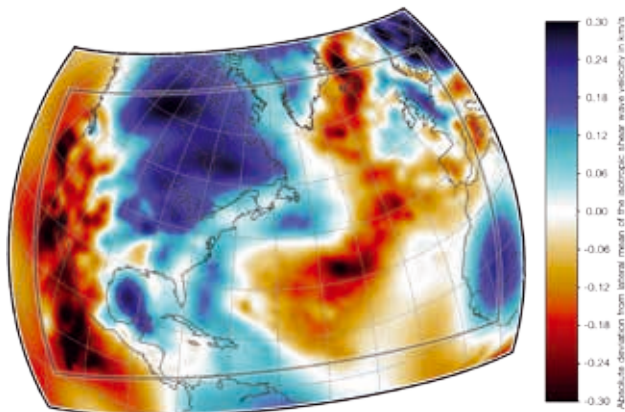


Figure 2: Vertical slice of the final model after 20 L-BFGS iterations. It shows the isotropic shear wave speed at a depth of 150 km.

Krischer, L., Fichtner, A., Boehm, C., Igel, H. (2018) Automated Large-Scale Seismic Waveform Inversion for North America and the North Atlantic. (Geophysical Journal International, doi:10.1029/2017JB015289.)

Broadband inversion for seismic sources and structure

Simon Staehler, Kasra Hosseini

Seismic tomography is a powerful geophysical imaging method that has been yielding increasingly detailed structural information about the earth's deep interior. It is similar to the non-invasive imaging methods used for medical diagnostics (e.g., X-ray tomography) except that

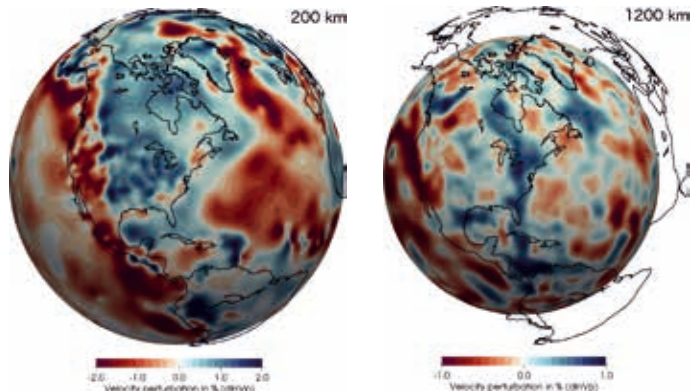


Figure 3: Depth profiles at 200 km (left) and 1200 km (right) depths. Colors indicate the compressional velocity perturbations with respect to IASP91 background model. [Hosseini et al., in preparation]

the sources are earthquakes, and the receivers are a global network of seismometers. The method uses seismic waves, generated by tens to thousands of moderate to large earthquakes, to sample and estimate the 3-D spatial variations in seismic velocity of the crust and mantle. Although these 3-D images of seismic velocity anomalies are not of primary interest per se, they correlate with density, temperature, and compositional anomalies which are the drivers of heat and material flows in the interior. The field of seismic tomography is undergoing a rapid shift towards waveform-based methods that explicitly account for scattered wave energy. Our work addresses the problem of the whole-mantle geometry as sampled by body waves, which has three key characteristics: (1) A very large dataset of ~3500 earthquake sources recorded by global broadband stations. (2) High-frequency body wave measurements which are computationally very expensive to model but yield maximum image resolution. In this case, full-3D simulations become prohibitively expensive, but the problem can be reasonably approximated as the first order derivation from a spherically symmetric earth. (3) An inversion framework with adaptive parameterization to accurately map the information of our data set onto velocity variations in Earth's mantle. SuperMUC has been extensively used for simulating high-frequency synthetic seismograms and to generate new global seismic tomography models of the mantle (Fig. 3).

The inverse problem: Understanding and enabling imaging with diffuse wave-fields

Anne Obermann, Céline Hadziioannou

Recent findings of observational seismology indicate a high, spatially variable, sensitivity of the local seismic velocity in the later, scattered part of the seismic signal (seismic coda) to deformation and/or stress and pressure changes. In recent years, the work in this field has led to unprecedented precision in continuous monitoring of the seismic velocity (e.g. forecasting the location of volcanic eruption, assessing reservoir dynamics, evolution of stress and damage state after large earthquakes). Although the separation of the effects of the various forcing and precise 3D spatial imaging of the changes still need to be improved. The later is the main methodolog-

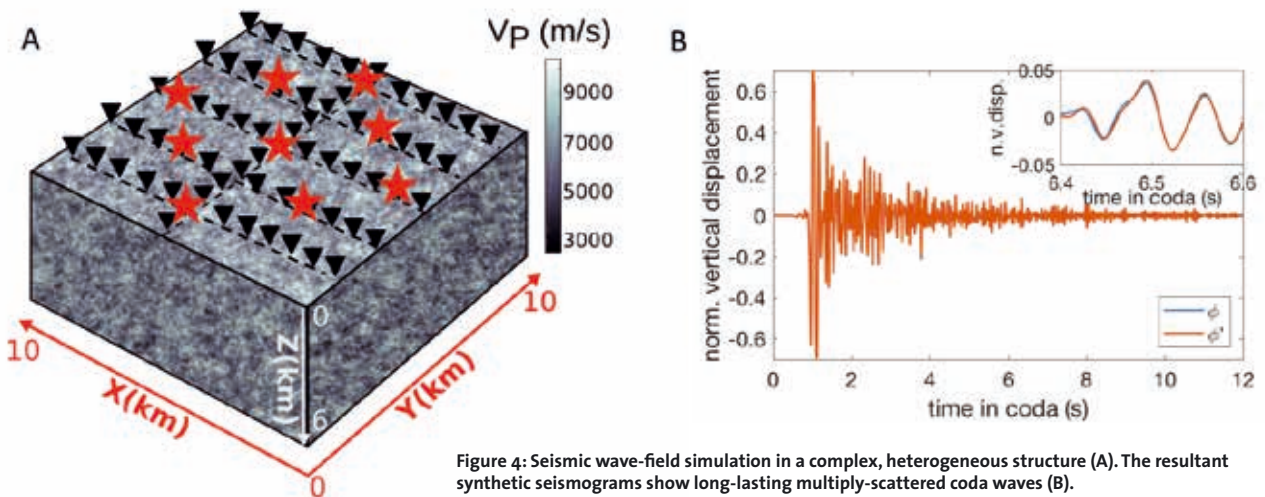


Figure 4: Seismic wave-field simulation in a complex, heterogeneous structure (A). The resultant synthetic seismograms show long-lasting multiply-scattered coda waves (B).

ical challenge that we targeted with extensive 3D wave-field simulations in complex, heterogeneous media (Fig. 3). Our results allowed us to understand the partitioning of surface- and body-waves in the coda (Obermann et al. 2016). Using this partitioning, we developed 3D sensitivity kernels that we use successfully to image complex structures (e.g. cracks, voids, pressure changes) at depth (Obermann et al. 2018).

Obermann, A., Planès, T., Hadziioannou, C., Campillo, M. (2016) Lapse-time dependent coda wave depth sensitivity to local velocity perturbations in 3-D heterogeneous elastic media, *Geophysical Journal International*, 207, 59-66, doi: 10.1093/gji/ggw264
 Obermann, A., Planès, T., Larose, E., Campillo, M. (2018) 4-D imaging of subsurface changes with coda waves: numerical studies of sensitivity kernels and applications to the Mw 7.9, 2008 Wenchuan earthquake, to be submitted to *PAGEOPH*

New numerical methods for 3D wave propagation: SpecTet

Dave A. May, Alice-Agnes Gabriel, Ashim Rijal

In the absence of recorded earthquake data, numerical methods can be used to understand the ground motions. However, simulation of seismic wave propagation is numerically challenging in the mountainous regions because of the strong variation in topography and shallow basins. The SpecTet package, both allowing unstructured tetrahedral meshing and high-order accuracy based on the Spectral Element Method, allows high flexibility in mesh generation crucial for discretizing sedimentary basin edges and complex topography. The incorporation of surface topography and basin edge structures into numerical simulation helps us to estimate realistic ground motions. The largest aftershock (Mw 7.3) of the 2015 Gorkha earthquake, which occurred in the mountainous terrain and close to the Kathmandu valley - a basin, was simulated to understand the effects of topography and basin structure on ground motion.

A comparison between SpecTet and ADER-DG based package SeisSol was carried out (Rijal, 2018) using a Layer Over Halfspace (LOH.1) benchmark test. A robust comparison of both packages on the same unstructured tetrahedral meshes, reveals that SpecTet provides

approximately a factor of 1.2 lower resolution. The highly optimized SeisSol package is computationally less demanding by a factor of 20 compared to the current state of the SpecTet package.

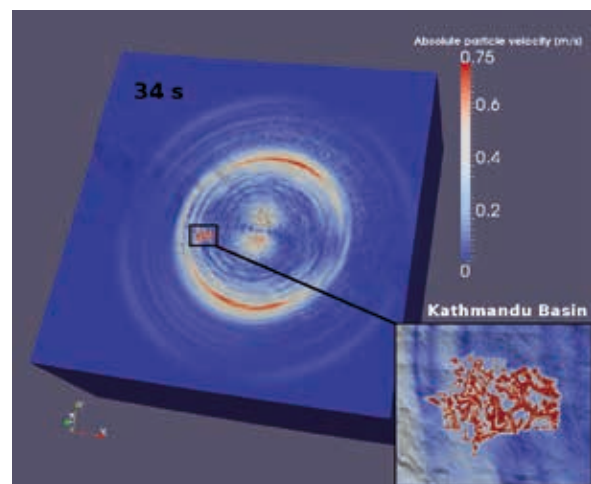


Figure 4: Simulation of the largest aftershock (Mw 7.3) of the 2015 Gorkha Earthquake, Nepal using the SpecTet package. Snapshot of the absolute particle velocity wave-field at time $t=34s$ is shown with trapped waves inside the Kathmandu basin (inset figure).

Rijal, A. (2018), Modern numerical methods for the analysis of topography and basin structure effects on ground motion: 2015 Nepal Earthquake, Master Thesis, Ludwig-Maximilians-Universität München, Department of Earth and Environmental Sciences, Geophysics.

From Electrons to Planets, with Energy Between

RESEARCH INSTITUTION

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SuperMUC Project ID: pr92ma

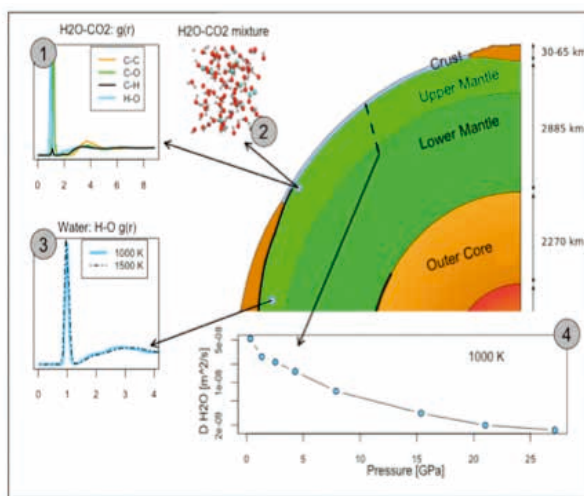


Figure 1: (1) We find spontaneous formation of molecules such as formate under transition zone conditions. (2) Snapshot of the simulation box showing water and CO₂ molecules. (3) Hydrogen-Oxygen corresponding to the middle of the Earth's upper mantle at two temperatures. (4) Self diffusion profile of H₂O molecules in pure water spanning a pressure range covering Earth's crust through the Upper Lower Mantle (dotted line).

Introduction

Fluids are important in Earth's interior, where they transport materials, lead to melting, and govern much of the behavior of the Earth ranging from earthquakes to volcanism and plate tectonics, yet fluid properties are extremely difficult to measure at high pressures. In the Earth, carbonated aqueous fluids separate from rocks in the slabs that are subducted into Earth's transition zone and rise, reacting with mantle rocks, changing their chemistry and mechanical properties, generating earthquakes, and leading to melting that causes volcanoes and large-scale motion in the Earth. Studying the chemistry of these fluids is made difficult by the fact that they react with whatever you encapsulate them in, such as a diamond anvil cell or metallic reaction vessels, and they do not diffract X-rays like crystals, so are difficult to obtain even basic quantities such as density. In giant planets like Jupiter, with enormous pressure and temperatures, this is even more of an issue, where we have very little experimental information. We are using first-prin-

ciples methods to simulate such fluids, starting with electrons and nuclei, using highly accurate quantum mechanical methods to simulate fluids in the pressures and temperatures that range from Earth's surface to its core, and to the center of Jupiter and beyond. The same methods can be used across all fields of materials, and we are also studying technological materials, particularly active materials that can be used to produce or harness energy, such as ferroelectrics. This is an European Research Council Advanced Grant project called Theory of Mantle, Core, and Technological Materials (ToMcaT).

Results and Methods

C-H-O fluids

We performed first-principles molecular dynamics (FPMD) and obtained the equation of state as a function of pressure, temperature and composition. Unexpectedly, we find spontaneous molecular reactions, and we have identified from the computed vibrational spectra and analyzing atomic distances and animation frames.

The equation of state and phase diagram of fluids at giant planet core conditions

We have performed FPMD on iron fluids, Fe-H fluids, and

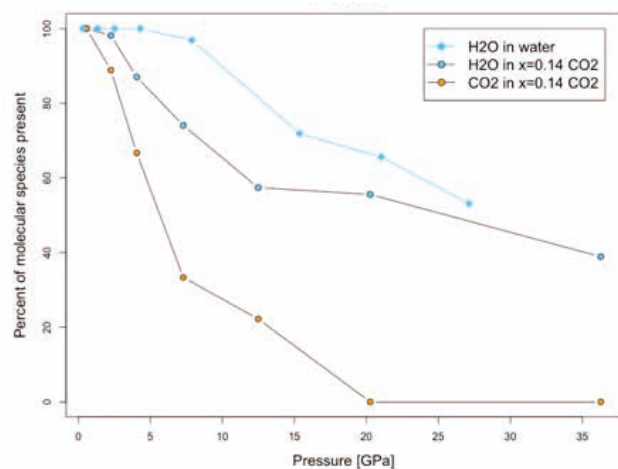


Figure 2. Speciation changes in pure water and a CO₂-poor mixture. As the pressure increases H₂O molecules are dissociated more at lower pressures in the mixture. In a water-rich mixture and at 20 GPa, CO₂ is no longer the stable molecular specie of C.

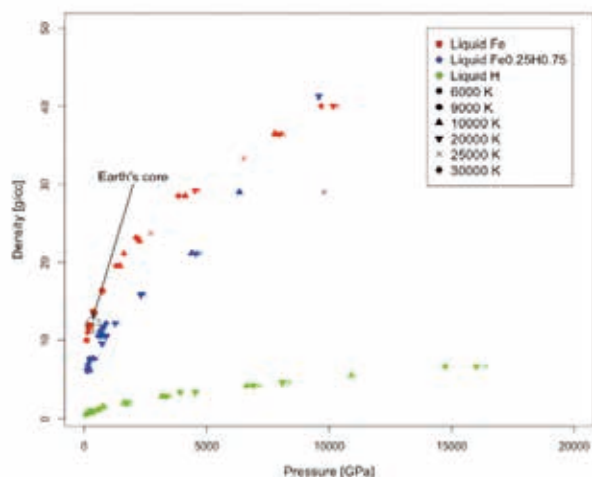


Figure 3: Density versus pressure for 3 compositions in the Fe-H liquid system. Different types of symbols mark different temperatures, while colors mark compositions. Earth's inner core density is shown for reference. The existence of a Jovian core is still a debated subject.

pure iron metal (Fig. 3). These results are now being used to model Jupiter and other giant planets.

Iron at Earth core conditions

We have computed the thermal conductivity of pure solid iron throughout Earth's conditions using Density Functional Theory (DFT) and Dynamical Mean Field Theory (DMFT). Our predicted thermal conductivity at Core-Mantle boundary conditions ($T \approx 4000$ K and $P \approx 136$ GPa) is about 93 W/m/K, 30% lower than previous theoretical results, [1] which neglected the contribution due to electron-electron scattering. Considering that melting and the existence of light elements at Earth's core will further decrease thermal conductivity, the heat conduction down the core adiabat will be about 9-12 TW. Comparing this with the estimated total heat from the core, 8-16 TW, suggests that the geodynamo might be sustained mainly by thermal convection.

Tuning electrocalorics

The electrocaloric effect is the change in temperature with applied electric field in pyroelectrics, or the change in electric field with temperature. It can be used for solid

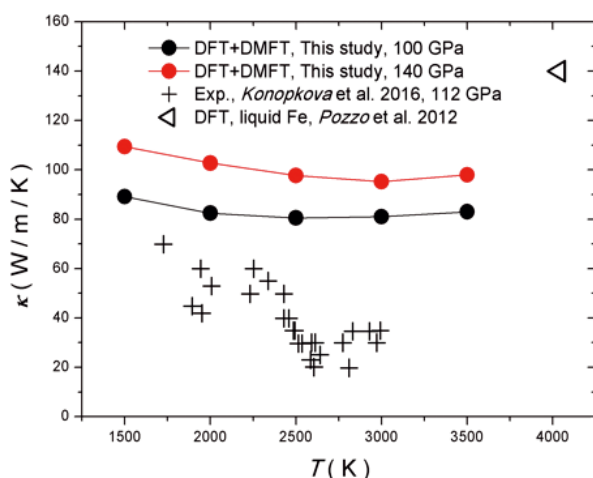


Figure 4. Computed thermal conductivity for iron at 100-140 GPa, for comparison with experiments.

state refrigeration or energy scavenging, and will play an important role in our energy future. However, how to optimize it is not well known. We have performed extensive molecular dynamics simulations using the shell model fit to first-principles calculations for PMN-PT [3] and BaTiO₃ [4] as functions of temperature, composition, and applied electric field, magnitude and direction.

Ferroelectric perovskite oxides possess a large electro-caloric (EC) effect, but usually at high temperatures near the ferroelectric/ paraelectric phase transition temperature, which limits their potential application as next generation solid-state cooling devices. In PMN-PT (PbMg_{1/3}Nb_{2/3}O₃-PbTiO₃). We find that the maximum EC strength of PMN-PT occurs within the morphotropic phase boundary (MPB) region at 300 K (Fig. 5). The large adiabatic temperature change is caused by easy rotation of polarization within the MPB region.

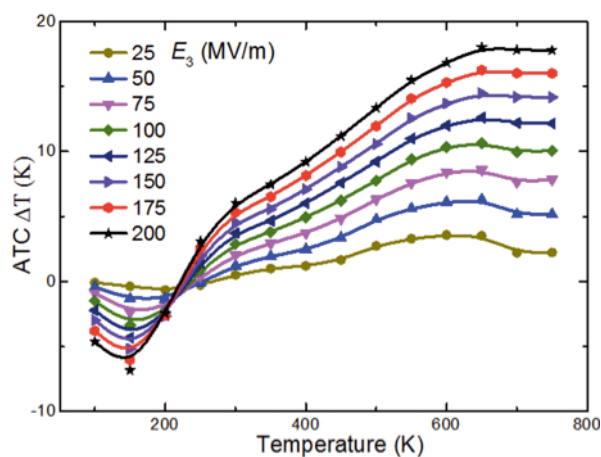


Figure 5. Adiabatic temperature change in PMN_{0.4}PT_{0.6} in applied electric field. This electrocaloric effect can be used for solid state refrigeration and energy scav-ening from excess heat.

On-going Research / Outlook

First-principles molecular dynamics for fluids is enormously computationally intensive. The computations have taken over 60 million CPU hours, and there is more to do. The problem is that to get chemical accuracy for fluid properties and constitution requires on the order of 10 picoseconds of simulation time for each volume, composition, and temperature point, which is 20,000 self-consistent calculations for systems of 108-192 atoms for Fe-H and H₂O-CO₂, respectively. On the superMUC we can run efficiently on hundreds of processors, even a whole island, to make this work possible. We are now working on getting our final well-converged results, which we can then apply to planetary interiors. The work on transport properties is also moving from perfect crystals to complex fluids such as Earth's outer core, Jupiter, and exoplanets.

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Global climate simulations at extreme high-resolution

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SuperMUC Project ID: pr94bi (PRACE project)

Introduction

Climate prediction is currently one of the most computationally challenging problems in science and yet also one of the most urgent problems for the future of society. It is well known that a typical climate model (with a resolution of ~120-km in the atmosphere and ~100-km in the ocean) is unable to represent many important climate features, such as the Euro-Atlantic variability. Recent studies have been shown that climate models at much higher resolutions (up to ~16km) simulate these patterns more realistically. Whilst few would doubt the desirability of being able to integrate climate models at such a high resolution, there are numerous other areas of climate model development which compete for the given computing resources: for example, the need to incorporate additional Earth System complexity. Instead of explicitly resolving small scale processes by increasing the resolution of climate models, a computationally cheaper alternative is to use stochastic parameterization schemes. The main motivation for including stochastic approaches in climate models is related to the observed upscale propagation of errors, whereby errors at very small scales (only resolved in high horizontal resolution models) can grow and ultimately contaminate the accuracy of larger scales in a finite time.

The Climate SPHINX (Stochastic Physics High resolution eXperiments) [1] project has proceeded along these lines, investigating the sensitivity of climate simulations to both model horizontal resolution and stochastic parameterizations and exploring extremely high resolutions. The experiments included both historical and future scenarios in

Truncation	T159	T255	T511	T799	T1279
# Cores	224	588	840	1120	1540
Walltime	52'	1h12'	6h10'	14h	30h
Members	20	20	12	6	2
Output /year	26GB	64GB	249GB	0.6TB	1.6TB

Table 1: Resolution-dependent technical details for EC-Earth in the Climate SPHINX experiments. Wall time has been measured on the Supermuc-II Haswell platform for one year of model time, and it is evaluated for deterministic simulations; the wall time for stochastic simulations is about 5% higher.

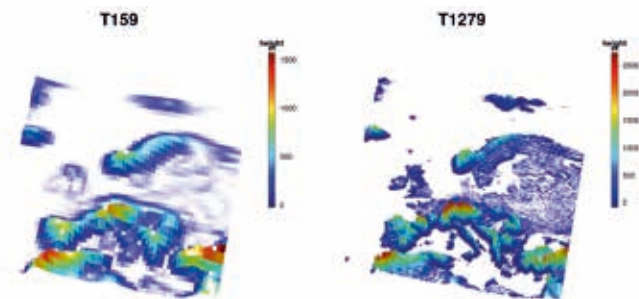


Figure 1: Orography resolution over Europe at the lowest resolution (T159, about 125km) and at the highest one (T1279, about 16km).

order to unveil whether resolution or stochastic schemes impacts the way model represent climate change.

Results and Methods

In Climate SPHINX, the EC-Earth Earth System Model has been used to explore the impact of stochastic physics in a large ensemble of 30-year climate integrations at five different atmospheric horizontal resolutions. The project included more than 120 simulations in both a historical scenario (1979–2008) and a climate change projection (2039–2068), together with coupled transient runs (1850–2100). 20 ensemble members were run at T159 (~125 km), 20 at T255 (~80 km), 12 at T511 (~40 km), 6 at T799 (~25 km) and 2 at T1279 (~16 km) for both present-day and climate change projection. For each resolution, half of the ensemble members included a parameterization of subgrid unresolved scales, using two stochastic physics schemes (namely the SPPT and SKEB schemes).

A total of 20.4 million core hours have been used on SuperMUC over a single year, through a grant made available by PRACE (the Partnership for Advanced Computing in Europe). Close to 1.5PB of raw output data have been produced. The four T1279 simulations alone sum up to about 5.5 million core hours and about 200TB of raw data output. Summing together the restarts files and the output of all experiments the total amount of space occupied at the peak of the project (February 2016)

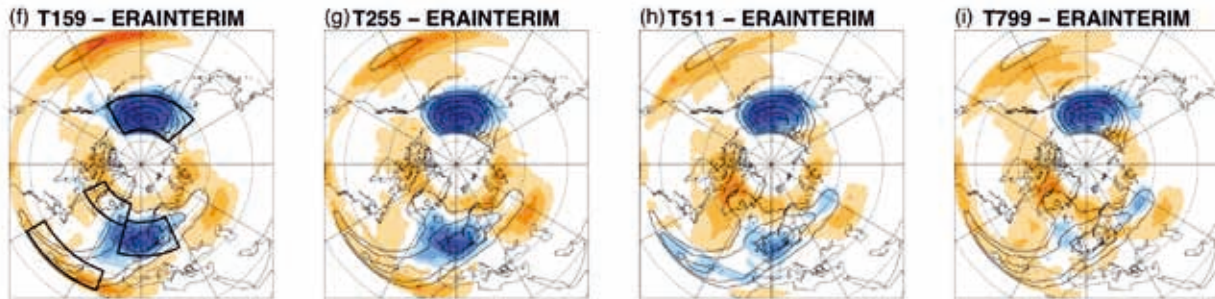


Figure 2: Atmospheric blocking bias for the present-day climate SPHINX simulations. The model bias is reduced considerably with increasing resolution (see the blue section over central Europe disappearing at T799).

reached about 1 PB. In order to reduce the size of the output and to increase the data accessibility to a larger audience, automatic post-processing routines have been implemented, in order to archive in real-time only the necessary outputs for successive scientific analysis. About 140TB of post-processed outputs are freely accessible to the climate community since March 2016, made available through an EUDAT pilot project (DATA SPHINX) at CINECA, Italy [1]. The raw project output data are available through a data-only archive at LRZ.

Analysis so far of the large amount of data produced by the project have allowed significant scientific results, and have involved the different research groups. To this day, three top peer-reviewed publications have been produced [2,3,4] and other two are currently under review. Thanks to the free accessibility of the SPHINX data, several external groups (e.g. University of Reading, University of Newcastle, etc.) have downloaded the dataset in order to investigate different aspects of present and future climate.

Main scientific results showed that both resolution and stochastic perturbations lead to improvement on the representation of the climate variability rather than of the mean climate. However – not surprisingly – different phenomena show different sensitivities.

For instance, tropical rainfall variability and the intensity and frequency of tropical cyclones seem to benefit both from increased horizontal resolution and from stochastic parameterization, whereas improvements in the Madden–Julian Oscillation occur only when stochastic perturbations are added.

In general – in the tropics – applying stochastic schemes at low resolution leads to interesting improvements; this is also observed in coupled simulations when looking at the impact of stochastic perturbations on the El Niño Southern Oscillation. On the other hand, increasing resolution beyond T511 does not seem to further improve the tropical variability (with the possible exception of the intensity of tropical cyclones).

Conversely, in the mid-latitudes, analyzing atmospheric blocking frequencies, no statistical difference was found between stochastic and deterministic runs. Here resolution plays a key role, especially over the Euro-Atlantic sector – where the T799 resolution (~25 km) reduces it to negligible values.

To summarize, the best improvements are observed upgrading from T255 to T511, whereas minor improvements are observed using higher resolutions. However, while this resolution increase reduces the bias for most of the phenomena considered, stochastic schemes seem ineffective for some aspects (e.g. atmospheric blocking) but effective as much as resolution – and even more – for others (e.g. tropical precipitation variability). However, we must remark that these results can be associated with the absence of specific tuning for both deterministic higher-resolution and stochastic configurations, which can affect the mean climate and consequently partially deteriorate climate variability. Indeed, such tuning does not involve only the surface and top-of-the-atmosphere radiative fluxes but also some of the physical parameterizations of the climate model. Some schemes, e.g. deep and shallow convection parameterizations, may be satisfactory at coarse resolutions but may perform poorly at finer ones.

On-going Research / Outlook

The SuperMUC Phase II platform based on Haswell processors granted a reduction of about 5% of the total core hours used, without affecting the wall time. About 75% of the simulations have been run using the Haswell nodes.

Climate SPHINX explored only the impact of the SPPT and SKEB atmospheric stochastic schemes, together with changes in resolution of the atmospheric model, mainly in atmosphere-only simulations. Given the encouraging results, particularly regarding the benefits in increasing resolution and in the use of stochastic physics, we plan to explore further these issues in two directions. First it will be useful to explore the impact of stochastic schemes also in high-resolution coupled simulations, in which both the atmospheric and the oceanic resolution are increased. Second, we will explore the use of stochastic schemes to represent uncertainty also in other components of the Earth System. In particular it will be useful to test two new schemes which are currently being developed at the University of Oxford for representing uncertainties in oceanic and land-surface processes.

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ClimEx project: investigating climate variability to study extreme events in a warming world

RESEARCH INSTITUTION

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SuperMUC Project ID: pr94lu (Gauss Large Scale project)

Introduction

The ClimEx project [1] is the result of more than a decade of collaboration between Bavaria and Québec. It mainly focuses on hydrological extremes and their links with natural climate variability and anthropogenic climate change. In order to better understand how climate variability and meteorological extremes translate into local flooding events, a complex modelling chain has been designed to connect global climate conditions with local hydrological impacts. The ClimEx project aims to generate 50 realizations of this hydro-climatic chain, driven by greenhouse gas and aerosol emissions from both natural and anthropogenic sources, resulting in 50 equiprobable climate realizations from 1950 to 2100 over Europe and northeastern North America.

Experimental set-up

The ClimEx modelling framework involves three modelling steps in order to connect global climate conditions with local hydrological characteristics. The first step (described in [2]) was performed by the Canadian Centre for Climate Modelling and Analysis of Environment and Climate Change Canada (ECCC), who has made available 50 climate realizations covering the entire Earth's surface from 1950 to 2100 using the CanESM2 Global Climate Model (GCM). These simulations use the observed greenhouse gases and aerosol emissions from 1950 to 2005, while following the representative concentration pathway RCP8.5 thereafter up to 2100. The 50 realizations only differ by slight random perturbations in the initial conditions of the model. Given the non-linear nature of the climate system, this procedure allows for estimating internal variability in climate models, and thus permits to generate an appreciable amount of extreme events,

which are normally rare by definition. Because of their high complexity, global climate models are computationally expensive to run for such a long time period and a large ensemble size, a coarse-grid spatial resolution was used, with 310 km between grid points. This dataset was made available to the ClimEx project, which used it as an input to a downscaling chain toward much finer spatial scales that are more appropriate for addressing local climate-change impacts.

Unlike GCMs, Regional Climate Models (RCMs) allow to concentrate computational resources over a limited area of the globe, thus reaching much higher spatial resolutions. As the second modelling step of ClimEx, the Canadian Regional Climate Model version 5 (CRCM5; developed by Université du Québec à Montréal (UQAM) in collaboration with ECCC [3]) was used to dynamically downscale the 50 realizations from CanESM2 onto a high-resolution grid with 12-km grid point distance. This step was performed separately over both the Europe and northeastern North America domains. This was the first computational step performed in the scope of ClimEx, which was conducted during years 2016 and 2017 on the SuperMUC supercomputer at the Leibniz Supercomputing Centre (LRZ), requiring a total of 88 million core-hours of resources.

As the third -ongoing- modelling layer, hydrological models will use the high-resolution meteorological variables from the CRCM5 and run simulations over 98 hydrological basins in Bavaria with resolutions of 500m and 3 hours. ClimEx embodies a novel global calibration strategy for the hydrological model WaSiM [4], using the Dynamically Dimensioned Search in conjunction with Seasonal Annealing [5]. Results of this computationally demanding calibration procedure, performed in large

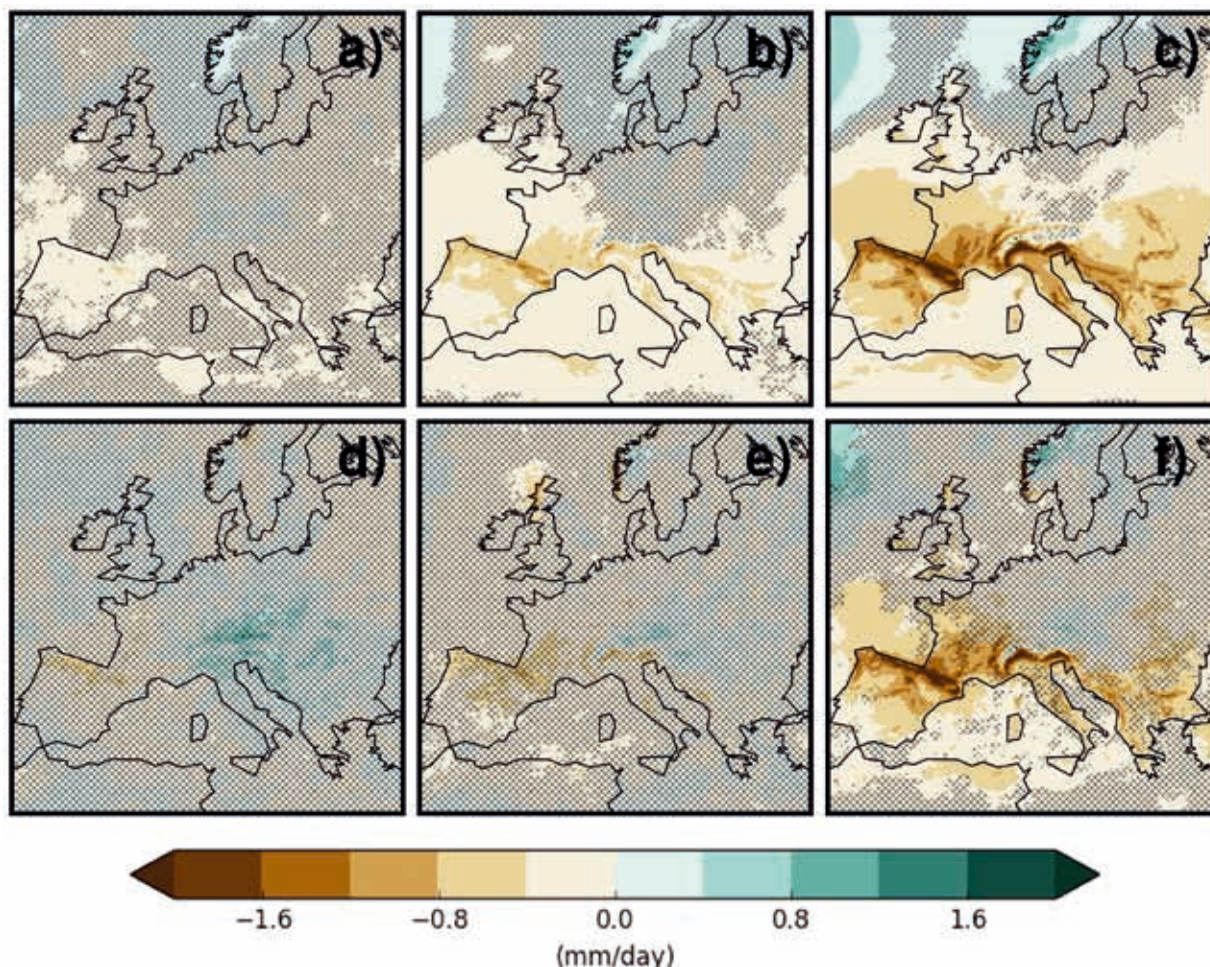


Figure 1: (a) to (c) The CRCM5 50-member ensemble mean climate-change signal for precipitation during June over the European domain computed for the 2020-2039, 2040-2059 and 2080-2099 periods relative to 2000-2019. (d) to (f) Same as (a) to (c) but using the first five members of the ensemble. Hatching indicates regions where the signal is not statistically significant at the 99% confidence level (Student's t-test with unequal variances). Figure adapted from [6].

parts on LRZ's CoolMUC-2, are assessed through a variety of objective functions. Upon sufficient multi-criteria validation, the models will be used to determine processes and patterns that trigger regional scale extreme events under changing climate conditions. Findings from this valuation will be employed to develop a new flood risk assessment and management tool, introducing the concept of "virtual perfect prediction".

Results

While the computations in the hydrological domain are still in progress, first analyses of the climate model ensemble reveal strong future climate changes, e.g. increases in extreme precipitation, winter precipitation and summer drought over the European domain. Further, the variability in winter temperature shows a clear negative and summer precipitation a clear positive trend.

On-going Research / Outlook

ClimEx depends on the new capacities emerging from HPC for large scale hydro-climatic impact studies. Access to SuperMUC (CLIMATE) and CoolMUC-2 (HYDRO) enables

us to apply novel process and pattern analysis, previously unthinkable in this research domain. Consequently, the single model large scale ensemble is unprecedented to this point. We currently run an attribution study to better determine and distinguish the dynamics of extreme events under the assumption of no anthropogenic radiative forcing. The potential of Super-MUC-NG will be very interesting to advance research towards very high resolution, convection permitting climate modeling.

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Exascale computing in numerical weather prediction: massively parallel I/O in atmospheric models on conformal meshes

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SuperMUC Project ID: pr94mi (KONWIHR Project)

Introduction

The weather- and climate-modelling community is currently seeing a shift in paradigm from limited area models towards novel approaches involving global, complex and irregular meshes. A promising and prominent example therefore is the Model for Prediction Across Scales, MPAS [1,2]. MPAS is a novel set of Earth system simulation components and consists of an atmospheric core, an ocean core, a land-ice core and a sea-ice core. Its distinct features are the use of unstructured Voronoi meshes and C-grid discretization to address shortcomings of global models on regular grids and of limited area models nested in a forcing data set, with respect to parallel scalability, numerical accuracy and physical consistency [3].

The unstructured Voronoi meshes allow the generation of variable-resolution meshes with smooth transitions between areas of different refinement for greater numerical accuracy and smaller flow distortions than in traditional nesting approaches. At the same time, the computational costs are significantly lower when using a high resolution for the area of interest and a lower resolution elsewhere than for a global mesh at very high resolution.

Nonetheless, with exascale computing projected for the end of this decade and the fact that energy requirements and physical limitations will imply the use of accelerators and the scaling out to orders of magnitudes larger numbers of cores than today, it is paramount to prepare modern codes like MPAS for this future. To identify potential problems and optimize complex codes such as atmospheric models for future applications, it is necessary to conduct experiments at extreme scale on the largest computational systems available today. The current “record” for atmospheric models is held by Miyamoto et al. (2013) [4], who employed the Japanese NICAM model at a horizontal resolution of 870m on RIKEN’s K-Computer. In a series of extreme-scaling workshops at LRZ and at Research Center Jülich (FZJ), we pushed the limit of running the atmospheric component of MPAS, MPAS-A, down to

a horizontal resolution of 2km globally [5]. A key component for this success was the implementation of an alternative I/O layer designed for massively parallel applications. Much of the development work in this respect was conducted as part of our project pr94mi at LRZ.

Results and Methods

The Model for Prediction Across Scales has traditionally employed the Parallel I/O Library, PIO, developed at NCAR (<https://github.com/NCAR/ParallelIO>) to perform parallel I/O operations using all or a subset of MPI tasks in netCDF. While netCDF has a number of convenient features such as platform-independence and tools to read and visualize data, the performance for parallel read and write operations with large numbers of MPI tasks is usually well below the theoretical limits of modern parallel file systems. Extreme scaling applications employing thousands of nodes on modern supercomputers spend a large amount of their time waiting for the I/O operations to complete, which slows down the model run (i.e., the time to solution) and wastes significant resources and energy. To address this problem, we implemented an alternative I/O layer based on the SIONlib library developed at FZJ for massively parallel I/O operations (http://www.fz-juelich.de/ias/jsc/EN/Expertise/Support/Software/SIONlib/_node.html). SIONlib provides convenient C and Fortran APIs to read and write task-local data in single or multiple files in a binary format.

Our implementation of SIONlib in parallel to the existing PIO layer is designed to mimic the handling of netCDF data while retaining the superior performance of SIONlib read and write operations. This approach allows using SIONlib and PIO for different output streams in the same model run. For instance, users can choose SIONlib for large, internal data sets such as restart files, and netCDF for smaller, diagnostic output files. SIONlib can also be used for intermediate data such as initial conditions (see below).

For SIONlib data, each MPI task participates in the I/O and reads (writes) its task-local data from (to) the file system.

To avoid locking issues, the block size in SIONlib is set to a multiple of the file system block size. Writing netCDF files consists of two steps: a data definition phase, and a data write phase. In the former, variables and their attributes and dimensions are defined. After calling an “end define” function, data can be written to disk in the second phase. Our SIONlib implementation follows a similar approach: in the data definition phase, variable metadata is encoded in a buffer that gets flushed to disk at the end of the definition phase. Similarly, for reading SIONlib data, the metadata block must be read before any variable data.

A limitation of our SIONlib implementation is that the same number of tasks and the same parallelization (horizontal domain decomposition in the case of unstructured Voronoi meshes in MPAS) must be used for writing and reading data. Conversely, with PIO, it is possible to use different numbers of MPI tasks as the data are re-arranged during writing and reading (this flexibility offered by PIO is one of the reasons for its inferior I/O performance).

Being restricted to the same number of MPI tasks may be a limitation when experimenting with the model setup, but has little implications in real applications, where a fixed setup is used in operational real-time forecasting or long-term climate simulations. It also opens up possibilities to further improve the parallel performance of MPAS, in particular with respect to the model initialization (setup). In this so-called bootstrapping phase, MPI tasks determine their neighbors on the Voronoi mesh and set up the “halo” communication. With netCDF, a mesh decomposition file (produced by the graph partitioning software METIS, <http://glaros.dtc.umn.edu/gkhome/metis/metis/overview>) is read and halo exchange properties are calculated during the model initialization. With SIONlib, it is possible to encode this information in the task-local data when creating initial conditions or restart files. As such, most of the bootstrapping process can be skipped when initializing the model with a SIONlib file. Additionally, we implemented a small feature that speeds up the model initialization even when bootstrapping from netCDF files. Specifically, the METIS graph partition file is converted into a small SIONlib file, which is read by all tasks at model startup instead of the METIS output being read by one task and scattered using MPI.

The improvements made to the latest MPAS release v5.2 have noticeable effect on the performance, in particular at extreme scale. Table 1 shows the differences in runtime for a 1-hour integration on a regular 2-km mesh with 147 million horizontal grid columns on 2048 nodes with 16 MPI tasks each. The size of the initial conditions read at model startup is 4TB. During the 1-hour integration, approxi-

Timing [s]	pnetCDF	pnetCDF*	SIONlib
Initialize	1176	888	131
Bootstrapping	480	194	65
File read	684	694	52
Time integration	2675	2772	2045
Solver	1902	1967	1941
File write	773	805	101

Table 1. Timing results for a 1-hour model run (see text). The input file containing the initial conditions is in pnetCDF CDF-5 large file format (columns pnetCDF), the graph decomposition in ASCII (METIS output) or in SIONlib format (column pnetCDF*). For the rightmost column, (“SIONlib”), the initial conditions are in SIONlib format and no graph decomposition is needed.

mately 9TB of data are written to disk. The timing is split into fixed costs that occur only once at model startup and into costs that scale with the length of the integration. The timings in Table 1 are obtained from single model runs and are subject to a certain variability. This can be seen from the timing results for the dynamical solver, which should be identical across the three runs as the changes to the I/O layer do not impact this part of the code.

The above results were obtained with an MPI-only version of the code. To improve the parallel performance of the time integration in MPAS, we implemented and optimized a hybrid parallelization making use of OpenMP inside the dynamical solver. With target platforms such as the Intel many-core chips in mind, for which large number of threads can be beneficial, we implemented the threading inside the dynamical solver as follows: at the beginning of the time-integration loop, threads are created and kept alive until the end of the loop. In between, OpenMP master/single constructs are used when necessary. This approach avoids the frequent creation and destruction of threads, as it is often the case when OpenMP parallel-do constructs are used around individual threaded loops. Table 2 shows that making use of hyperthreading on the LRZ SuperMUC nodes by using two OpenMP threads per MPI tasks leads to a speed up of approximately 15% for the solver.

Timing [s]	SIONlib, MPI	SIONlib, hybrid
Time integration	2045	1734
Solver	1941	1626
File write	101	108

Table 2. Timing results for the setup described in Table 1 for a MPI-only version of the code (2048 nodes, 16 MPI tasks per node) and a hybrid version of the code (using hyperthreading on the SuperMUC phase 1 thin nodes).

On-going Research / Outlook

The improvements to the MPAS code made as part of this project allow us to take on the next obvious challenge and push the horizontal resolution down to 1km globally. Quadrupling the number of horizontal grid columns creates challenges not only in terms of the size of the data on disk, but also in terms of the memory required. For a model run invoking state-of-the art physics at this resolution and in double precision, we estimate a minimum of 400TB usable memory. Since neither of the two SuperMUC phases provides that much memory and since FZJ JUQUEEN will be retired in May 2018, we resorted to the third system within the GCS, HLRS Hazel Hen, for this experiment.

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Atmospheric Chemistry and Climate

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SuperMUC Project ID: pr94ri

Introduction

The objective of this project is to improve our understanding of the Earth's atmospheric composition and climate by means of numerical modelling. The Earth system is driven by several dynamical, chemical and physical processes, which determine its composition and evolution and affect the global climate. The numerical representation of such processes is a difficult task. It requires considerable computational resources, in order to realistically simulate the different components of the system and their interactions. Substantial storage capabilities are also essential to store and analyze the terabyte-sized output produced by these experiments. In addition to scientific interest, the growing societal concern for topics like the global climate change, the south-hemispheric ozone hole and the deterioration of air quality in metropolitan areas is further motivating the need for a deep understanding of these processes.

The focus of this project is twofold: (1) We aim at a better representation of the Earth atmosphere in a numerical model. (2) We apply such a model to quantify the impacts of human activities on the atmospheric composition and climate. We use a highly flexible numerical system, the ECHAM/MESSy Atmospheric Chemistry (EMAC) model [1], which allows using the same code for tackling different scientific problems. The model can be easily configured to set the horizontal and vertical resolutions, the level of interaction between dynamics, chemistry, physics and radiation, the complexity of the chemical reactions, as well as the parameters that describe the physical processes within the system. The use and application of the same code also facilitates code development and documentation as well as synergies among the users.

Results and Methods

The results summarized in this section refer to the year 2017. The values for the consumed resources (CPU-time and storage) are updated to 13 November 2017. Slightly higher values are to be expected for the end of 2017 due to still ongoing experiments.

Age of air and water vapour budget in the stratosphere

Two hind-cast simulations in free-running mode with the global chemistry-climate model EMAC over the period 1950-2013 were started to test our recently implemented diabatic vertical velocity scheme within the Lagrangian tracer transport scheme ATTILA (Atmospheric Tracer Transport in a Lagrangian model, [2]) against the standard kinematic vertical velocity scheme of EMAC. The diabatic vertical velocity is more appropriate to represent the mass transport in the stratosphere than the kinematic velocity [3]. The simulations are still ongoing, but first results could already be analysed. We focused on the age-of-air calculated from the sulfur hexafluoride (SF₆) age-of-air tracer, a linear increasing SF₆-tracer emitted at the surface that provides information on the zonal mean transport characteristics within the atmosphere. The simulation with the new diabatic vertical velocity provides more realistic results as it is characterized by an older stratospheric air than the one with the kinematic vertical velocity (Figure 1). The final results will be available once the simulations are complete. The delay was due to the problems with the SCRATCH disk during summer and the limited free storage space on WORK for our project during 2017.

Total CPU time: 753 kCPU-h.

Number of CPUs per job: 112

Overall storage in WORK: 88 TB

Overall storage in SCRATCH: 20 TB

Contributions of road traffic to tropospheric ozone on regional and global scale

We applied the MECO(n) model system [4] to investigate the contribution of the road traffic emissions to the atmospheric composition in Europe. MECO(n) allows an online nesting of the regional model COSMO into the global model EMAC. In the applied configuration EMAC was used together with two COSMO refinements at 0.44° (Europe) and 0.1° (Germany) resolution. To assess the influence of the annual variability on the contribution of road traffic emissions on tropospheric ozone, we extended the simulations performed in 2016 within the framework of a PhD project [5]. We performed two

experiments for the 2008-2010 period, using two different anthropogenic emission scenarios. The results show a 10-15% contribution of the road traffic emissions to ozone concentration in Europe and a contribution of up to 60% to NO_y (all reactive nitrogen compounds). See [6] for more details.

The analysis of the purely dynamical simulations performed last year indicated room for improvements of the MECO(n) model system. Therefore we performed an additional purely dynamical simulation covering a period of 20 years, with different settings for the nudging of meteorological variables in EMAC. The results show a clear improvement of the model biases compared to observational data. This is an important step forward in the MECO(n) performance, which will be useful in future studies.

Despite the successful outcome of these studies, several technical problems occurring at SuperMUC in 2017 led us to consume more computational resources as originally planned. Due to the issue with the SCRATCH disk over the summer, a large amount of output has been lost and the corresponding experiments had to be repeated: LRZ kindly provided us with 1000 extra CPU-h to compensate for this loss. Other issues with the model performance arose during the year due to the Intel MPI environment. Switching to another environment (e.g., IBM) in the course of this study was unfortunately not possible, since the adopted model setup requires bitwise reproducibility of the output in order to deliver reliable results, meaning that exactly the same executable needs to be used for all model experiments in this study. Another limitation was due to the large storage required on WORK for analyzing the output. Despite the considerable efforts by LRZ to provide us with a significantly large quota on WORK (200 TB), this was still a limiting factor especially when several simulations were performed and stored simultaneously by several users within our project.

Total CPU time: 1836 kCPU-h.

Number of CPUs per job: 616 (refinement for Europe and Germany) and 280 (refinement for Europe).

Overall storage in \$WORK: 73 TB.

Overall storage in SCRATCH: 20 TB.

Impacts of the transport sectors emissions on atmospheric aerosol and climate

A recently-developed model configuration, accounting for aerosol-cloud interactions at all stratiform cloud levels (low, mid-level and high clouds), has been applied to improve our quantifications of the impact of traffic on aerosol and climate. The new configuration includes an advanced version of the aerosol submodel (MADE3, [7]), coupled with a recent two-moment cloud microphysical scheme [8] providing a detailed representation of liquid, mixed-phase and ice clouds. Two sets of 10 experiments over a 15-year period have been conducted to quantify the effect of land transport, shipping and aviation emissions on climate and to isolate their impact on ice clouds. Each set of experiments considers different assumptions on new particle formation in the tropo-

sphere, which are thought to have a significant impact on the aerosol-cloud interaction processes. First results show that the new quantification of the traffic impacts on climate are a few factors higher than in the previous model version, mostly due to a different (and improved) representation of the background concentrations. The simulation output is currently being analysed, also with the help of advanced statistical methods, to disentangle the role of ice clouds in the climate impacts of the transport sectors.

Furthermore, in the context of the DLR Project VEU2 [9], two simulations with different vertical resolutions and including wind-driven mineral dust emissions, have been performed. Emission inventories for anthropogenic sources developed within the project have been used as an input to the model. The output will be delivered to the project partners (HZG and KIT) to be used as boundary conditions for regional model experiments based on CMAQ and COSMO-ART, aiming at characterizing the impacts of traffic on air quality at regional scales.

Total CPU time: 836 kCPUh

Number of CPUs per job: 224

Overall storage in WORK: 21 TB

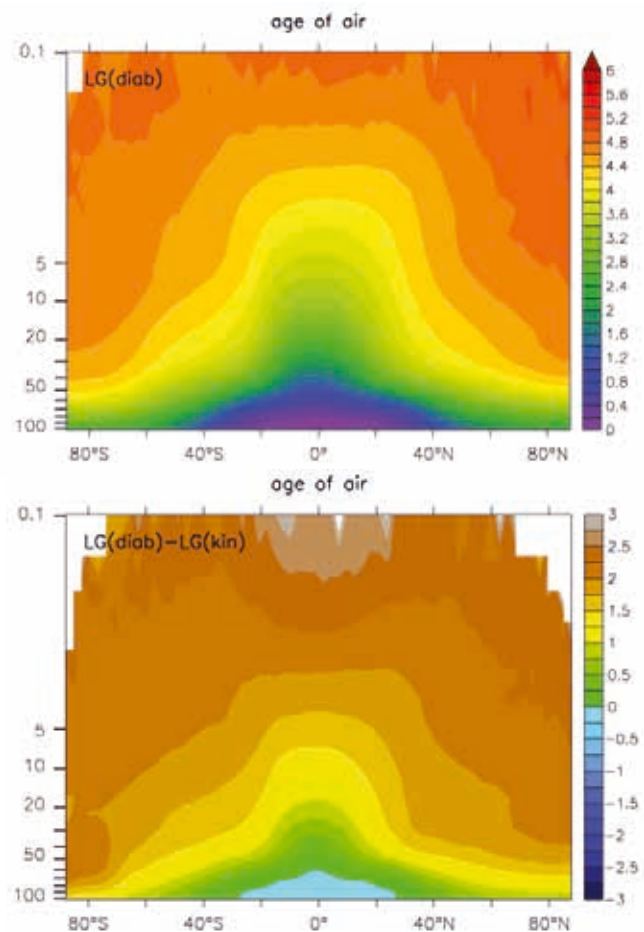


Figure 1. Age-of-air simulated with the EMAC/ATLILA model with diabatic vertical velocity in the time period 1960-1979 for the Lagrangian parcels (top panel) and the age of air difference between the EMAC/ATLILA simulation over the same time period: diabatic-velocity (diab) and kinematic-velocity (kin, bottom panel). Units in both panels are years.

Overall storage in SCRATCH: 3 TB.

On-going Research / Outlook

In the project time frame 2016-2017, we almost fully consumed the total granted CPU time (11069 kCPU-h). Of this budget, 3425 CPU-h (31%) was consumed in 2017 (until 13 November). The remaining budget of 605 CPU-h (5%) will be likely consumed by the end of 2017, as some of the experiments discussed above are still ongoing.

Within the pr94ri project, several other activities and studies were originally planned, focusing on variation of atmospheric methane, calculation of climate cost function of air traffic, efficacy of transport-related radiative forcing, modelling support of aircraft measurements, and systematic model evaluation. The development of the model code and configurations for such studies is still ongoing and is currently being tested.

In addition to these model-specific issues, several other technical issues prevented us to perform all planned studies. These issues can be summarized as follows:

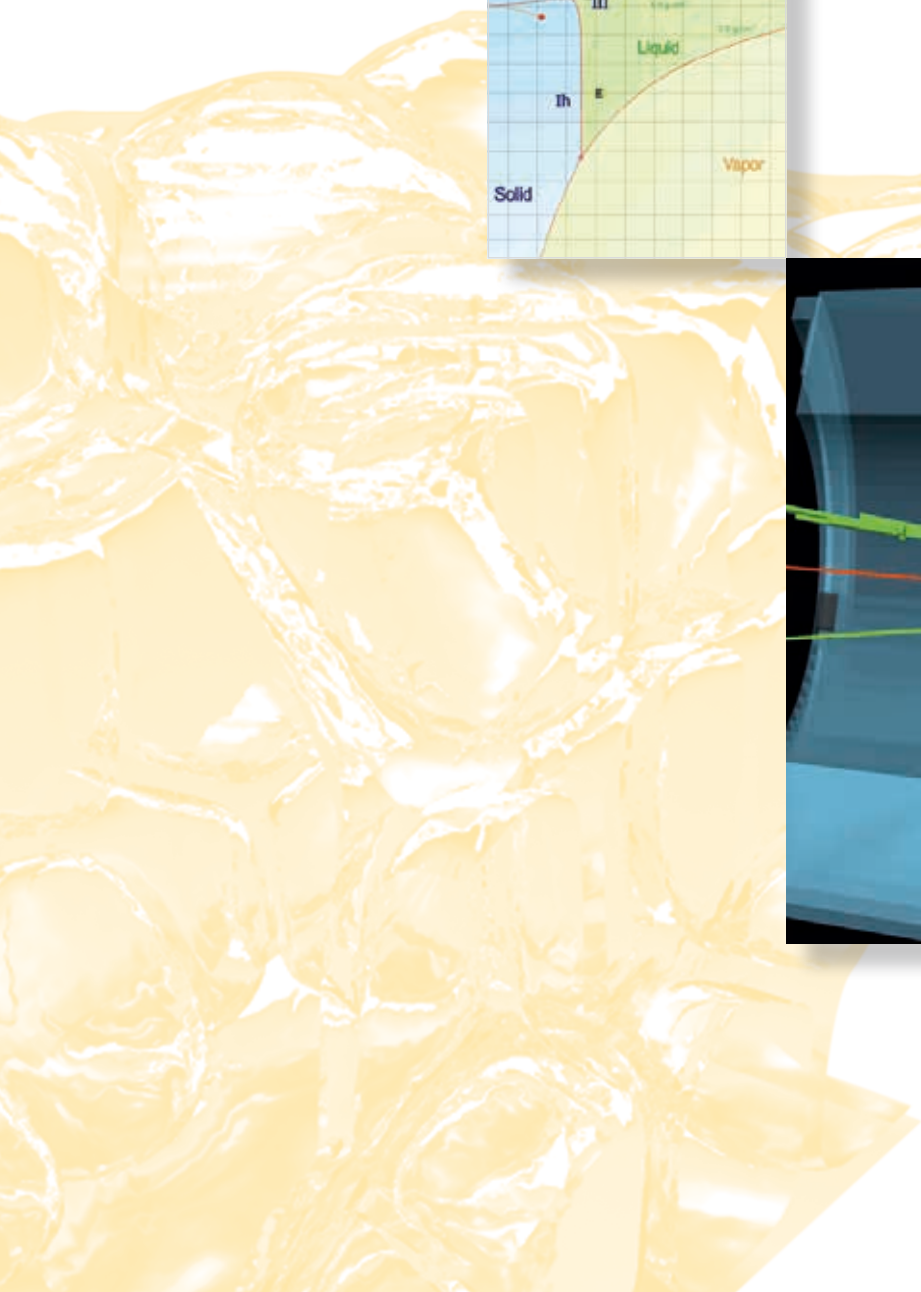
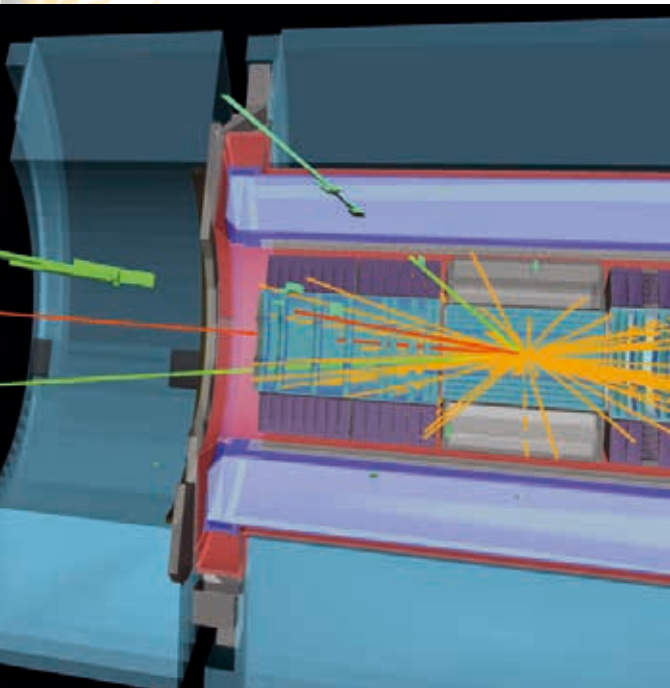
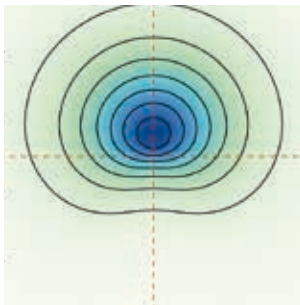
- The unreliability of the SCRATCH disk over the summer led to some data loss and to delays in the execution of several experiments;
- The regular compiler updates on SuperMUC with subsequent removal of the previous versions made it in some cases impossible to use the same executable for different experiments. Nevertheless binary-identical reproducibility of the output is an absolutely essential requirement for our studies, since most of them deal with the effects of small perturbations on the climate system;
- The huge output produced by our experiments (and by climate models in general) often exceeded the available quota on WORK. We greatly appreciate the effort by LRZ to provide us with a quota which is far larger as the one granted to most of the other projects, still we have to recognize that this represents a limiting factor for our studies.

On a last note, we would like to acknowledge the use of the meet.lrz.de videoconference system, which we successfully and profitably used for many meetings in the course of the project.

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High Energy Physics



High Precision Hadron Physics from Lattice QCD

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SuperMUC Project ID: pr48gi (Gauss Large Scale project), pr74po, pr84qe

Introduction

Modern particle accelerators, like the LHC at CERN, Switzerland, or the planned EIC (Electron Ion Collider) in the US, are distinguishable by their extremely high luminosities, i.e. extremely high collision rates, and thus extremely large statistics. The reason why this is so important, e.g. at LHC, is that the “Standard Model” has proven to be so successful that possible signals for “New Physics” tend to be very small and thus require such large statistics to be observable. However, this development also implies that the Standard Model predictions have to become ever more precise. In fact, not to waste any discovery potential of these accelerators, the precision of the relevant theoretical Standard Model calculations should always be better than what is reached experimentally. As the present degree of accuracy is already very high, this becomes an ever more difficult requirement to fulfill, especially for the needed lattice QCD input because QCD is the most difficult part of the Standard Model and calculating the non-perturbative structure of hadrons is the most challenging task of QCD. Also for lattice simulations the control of systematic errors thus became the crucial requirement which has to be met. Let us add that QCD itself is well established and tested beyond any reasonable doubt, so the question is not whether QCD describes hadron physics (we know that it does) but to reach such a level of precision that even small deviations from Standard Model predictions can be established with high reliability.

All of this applies in particular to the structure of protons and nuclei as these are collided at the LHC and EIC. While in earlier times experiments were basically only sensitive to the spin and momentum fraction of the interacting quarks and/or gluons, by now various correlations became relevant. These can be correlations between quarks which affect so called Multi-Parton-Interactions at LHC which are one of the dominating sources of systematic uncertainty, or correlations between quark polarization and its transverse (with respect to the collision axes) position or momentum within a proton or quark-gluon

correlations which enter as so-called higher-twist contributions and many more. As a consequence, all of these contributions have been classified systematically within the framework of perturbative QCD and Operator Product Expansion such that one knows in principle which quantities should be calculated on the lattice. However, these quantities are so many and are so varied and complicated that lattice QCD faces a truly challenging task. Most probably, the physically motivated demands can only be met by ever larger and resource-full collaborations, like CLS. Of the systematic uncertainties of lattice simulations the most important one is the continuum

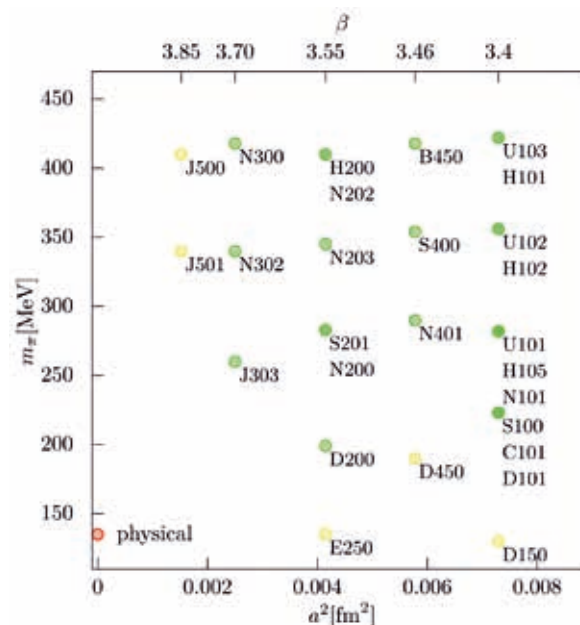


Figure 1: Some of the ensembles generated by the CLS collaboration and used for the projects reported on. Every dot stands for one or several ensembles (with different physical volumes) of typical more than a thousand field configuration generated for the plotted lattice spacing “a” and pion mass, which depends on the chosen light quark masses. The physical pion has a mass of roughly 135 MeV. One has to extrapolate the results to the physical point marked in red. Two additional groups of ensembles were generated. Green dots symbolise ensembles the production of which is finished. Yellow dots mark ensembles for which generation is ongoing.

extrapolation. The symmetries of the real, continuum theory differ from those of the discretized one, defined on a hypercubic lattice and are only regained in the limit of vanishing lattice spacing. For the Wilson fermion action chiral symmetry, which is one of the most relevant symmetries of low-energy QCD is, e.g., only regained in the continuum limit. Because the physical volume needed for a reliable lattice simulation is kept, reducing the lattice spacing by a factor implies an increase in required lattice points by the fourth power of this factor. Therefore, controlling the continuum limit is the most demanding task. In addition it becomes ever more difficult to achieve ergodicity of the functional integrals to be calculated with Monte-Carlo methods with respect to the topologically distinct sectors of QCD when the lattice spacing is reduced.

We are part of an international collaboration of collaboration named CLS (Coordinated Lattice Simulations) which aims at reaching the required precision and control of systematic errors in particular with respect to the continuum limit. A large number of quark and gluon field ensembles was generated by CLS with a variety of quark masses and lattice spacings chosen such as to minimize the total systematic uncertainties. To avoid the problem of topological freezing, alluded to above, novel, open boundary conditions were used, see figure 1.

Figure 1 needs some additional explanation: The numerical cost of lattice simulations depends strongly on the masses assumed for the light quarks, i.e. the up and down quark. At the same time effective field theories allow to extrapolate quite reliably in the quark masses. This extrapolation is most efficient if ensembles exist both for constant sum of up- down- and strange quark mass but varying individual quark masses (figure 1) and for physical strange quark mass and varying up- and down quark masses (the second set of ensembles, which is not shown). The third set of ensembles is generated for symmetric quark masses and is needed to determine the non-perturbative (i.e. all order) renormalization factors with high precision. By performing a combined fit to all ensembles one obtains the optimal result for continuum extrapolated and renormalized observables at physical quark masses. Obviously this whole program requires a major effort, but the needed resources are still small compared to those invested in the experiments

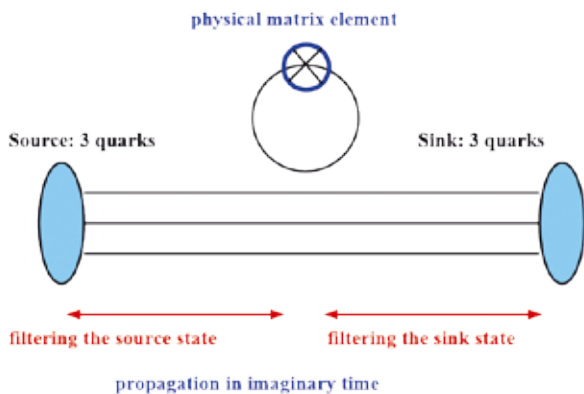


Figure 2: Disconnected contribution for nucleon matrix elements. Gluon lines and virtual quark-antiquark fluctuations are taken into account to all orders and, therefore, are not displayed, except for the one quark loop to which the operator couples.

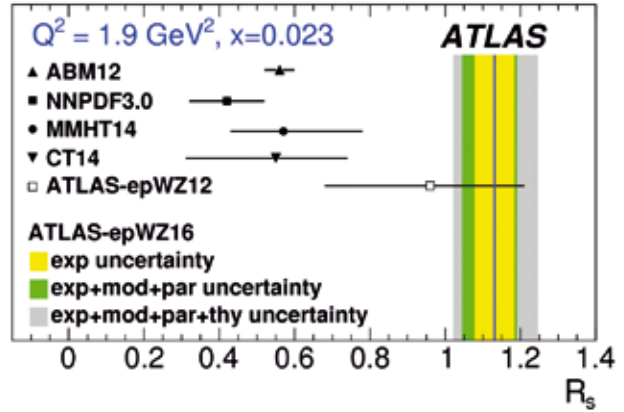


Figure 3: Recent result from the Atlas collaboration at the LHC [1]. R_s is the ratio of the strange and light quark sea (i.e. the amount of quark-antiquark quantum fluctuations). Due to the much larger strange quark mass this was for decades assumed to be substantially smaller than unity (see black dots representing the “knowledge” prior to these new data). The different colored bands represent different statistical and systematical uncertainties.

One specific aspect which is also crucial to reduce the total systematic uncertainties is the inclusion of the so called “disconnected contributions” which were often neglected in older lattice calculations, an approximation which can no longer be justified. Their evaluation is at the center of the projects on which we report. The strange quark content of the proton is, e.g., described by disconnected contributions. Note that recent ATLAS data from LHC have established that it is much less understood than previously assumed, see figure 2. While this discrepancy is most obvious at very small momentum fraction, which is not directly accessible in lattice simulations the situation is different for the longitudinally polarized strange quark distribution $\Delta s(x)$, see figure 7.

Results and Methods

Our strategy (and that of CLS in general) as sketched in the Introduction can be illustrated by comparison with results by the European Twisted Mass Collaboration [2] which recently published results for several of the quantities we calculate based on just one ensemble generated on an exceptionally coarse lattice and small physical volume. Obviously, with just one lattice spacing the continuum limit cannot be taken and thus no reliable systematic uncertainty can be given. In return, however, ETMC generated a large ensemble at physical quark masses which allowed to obtain statistically precise results for several of the phenomenologically most discussed quantities and thus has had a substantial impact on the ongoing physics discussion. In contrast, our strategy, which controls all systematic uncertainties, is far more time consuming. We produce global fits to all of our ensembles and compare in an automated manner hundreds or even thousands of different fits and extrapolations to obtain realistic systematic uncertainties. This requires much more manpower wall-clock time. (Also, we calculate many more quantities in parallel than what was done in [2] to profit from strong synergies.) Presently, all the needed 3-point functions have been calculated, which was the objective of our LRZ-proposals, but the

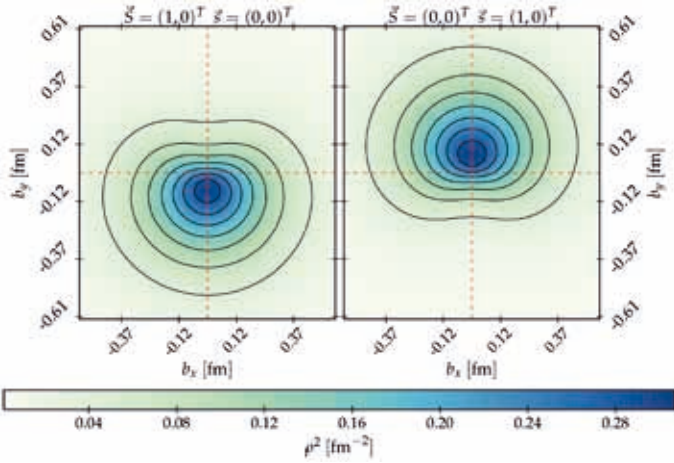


Figure 4: Probability distribution of down quarks in a proton moving towards the observer (i.e. in the positive z direction). The center of longitudinal momentum of all quarks and gluons defines the origin in the x-y plane. Left: The proton spin points in the positive x direction, the quark spin is not observed. Right: The quark spin points in the positive x direction, the proton spin is not observed.

task of analysing these (which requires much less compute power) is still ongoing. Therefore, let us illustrate the adopted procedure with results of an earlier analysis of the connected parts of moments of Generalized Parton Distributions (GPDs) of the nucleon. GPDs contain a lot of detailed information on hadron structure as is illustrated in figures 4 and 5, which show some of our lattice results for so-called tensor GPDs (nucleons are described by eight leading-twist GPDs). Obviously, the spin directions and quark positions are strongly correlated in a nucleon, resulting in asymmetries which could be misinterpreted as signals for new physics if these correlations would not be known from the lattice. Figure 8 shows a distribution of the values obtained for very many different fits and extrapolations for certain GPD matrix elements each of which describes a different physical correlation. The resulting half-maximum width is cited as systematic uncertainty. The results are for physical quark masses.

Having discussed the role of the continuum extrapolation in such detail, it is somewhat surprising that our results for those ensembles already analysed agree quite

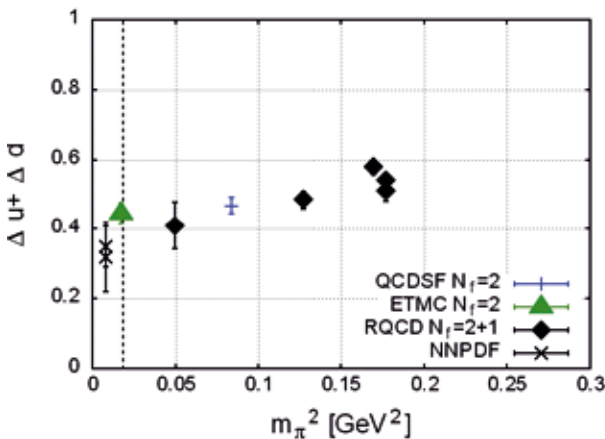


Figure 6: Comparison of our new result (black diamonds) our old results [3] (blue symbols) the results of [2] and empirical fits. The upshot is that all results are in good agreement with one another.

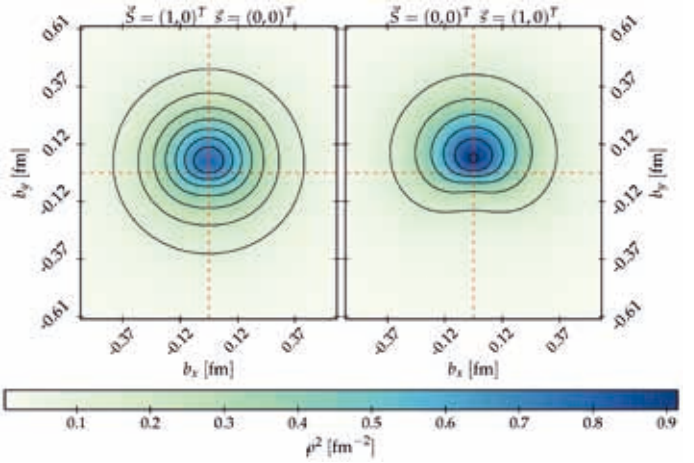


Figure 5: Same as figure 4 but for the up quark

well with those of [2] as is illustrated in figure 6 and 7, in which we show the spin carried by the strange quarks and antiquarks in a proton and the spin carried by the light quarks. All forms of angular momentum of quarks and gluons (spins and orbital angular momenta) have to add up to the total proton spin of one half, but the size of the individual contributions was hotly debated for many years. In these figures the black diamonds are our new results while the blue crosses are results from our earlier calculation [3]. The green symbols represent the results from [2] while the NNPDF crosses indicate phenomenological fits to experiment. The dashed vertical line indicates the physical pion mass to which all results at larger mass have to be extrapolated, as well as to vanishing lattice spacing. If the continuum limit would really be as benign as suggested by this agreement, this would be great news for our prospects of ultimately reaching the required precision in lattice simulations.

Another one of the many aspects of state of the art lattice simulations is the non-perturbative renormalization mentioned above. Radiative corrections differ for a discretized lattice QCD action and the continuum QCD action and thus a relative, finite renormalization step is required. The needed corrections to be applied to lattice results are typically of order 10-20 percent and obvious-

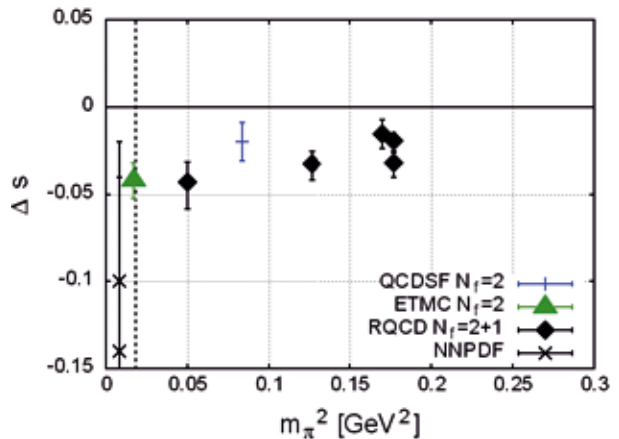


Figure 7: Same as figure 6 but for the spin carried by the strange quarks and antiquarks..

ly have to be known rather precisely to reach an over all percent precision. This is possible using sophisticated numerical procedures but requires substantial computer time. We have simulated a substantial number of additional ensembles and have carefully optimized our algorithmic procedures to determine the renormalization factors with high precision.

Codes used

In line with our remarks about the synergies and large scale collaborations required to meet the demands of present day particle physics, we use open source code going under the name of CHROMA [4]. We also will make the CLS configurations public available on the ILDG (International Lattice Data Grid). For the first bunch of ensembles this will happen as soon as some technical problem at the ILDG host site is resolved. To overcome the problem of topological freezing we developed tools to simulate with open temporal boundary conditions instead of periodic or antiperiodic ones. Their advantage is that topological charge can enter or leave the lattice volume and their disadvantage that the lattice volume close to the edges cannot be used due to artifacts, resulting in a loss of up to 30 percent of the useable volume. For these simulations the open source software package openQCD (<http://luscher.web.cern.ch/luscher/openQCD/index.html>) was developed. The main numerical task in lattice simulations is the inversion of the Clover-Wilson Dirac operator, which is a very large sparse matrix on the lattice. The numerical inversion cost grows drastically when the light quark masses are reduced to their physical values. Therefore, various state-of-the-art techniques have to be used, e.g., domain decomposition and deflation. The latter uses the property of local coherence of the lowest eigenmodes to effectively remove them from the operator which results in a greatly improved condition number and a cheaper inversion, for the generation of the gauge ensembles within the CLS effort.

There are many other features that make this software very efficient, including twisted-mass Hasenbusch frequency splitting that allows for a nested hierarchical integration of the molecular dynamics at different time scales, decoupling the quickly changing but cheaper forces of the action from the more expensive low frequency part of the fermion determinant.

Within the interdisciplinary SFB/TRR-55 “Hadron physics from lattice QCD” we closely collaborate with colleagues in Applied Mathematics at Wuppertal University who are experts in the required tasks. This resulted in much superior matrix inversion algorithms, like an adaptive, aggregation based multigrid solver which we have not only extensively used but also made publically available within CHROMA.

Not surprisingly, by substantially increasing the performance of our codes we ran into the Big Data problem of handling the very large ensembles generated by CLS as well as the very many correlators we analyse.

This requires us to use libraries that support parallel IO. The Hierarchical Data Format (HDF5) is by now our standard format and greatly simplifies the management of big amounts of data. Using Data-Grid technology established by the experimental groups at the LHC we are also able to move such large files in short times. (In fact, by making available the technology and know-how computer centers contribute significantly to the success of large-scale efforts like the CLS one.)

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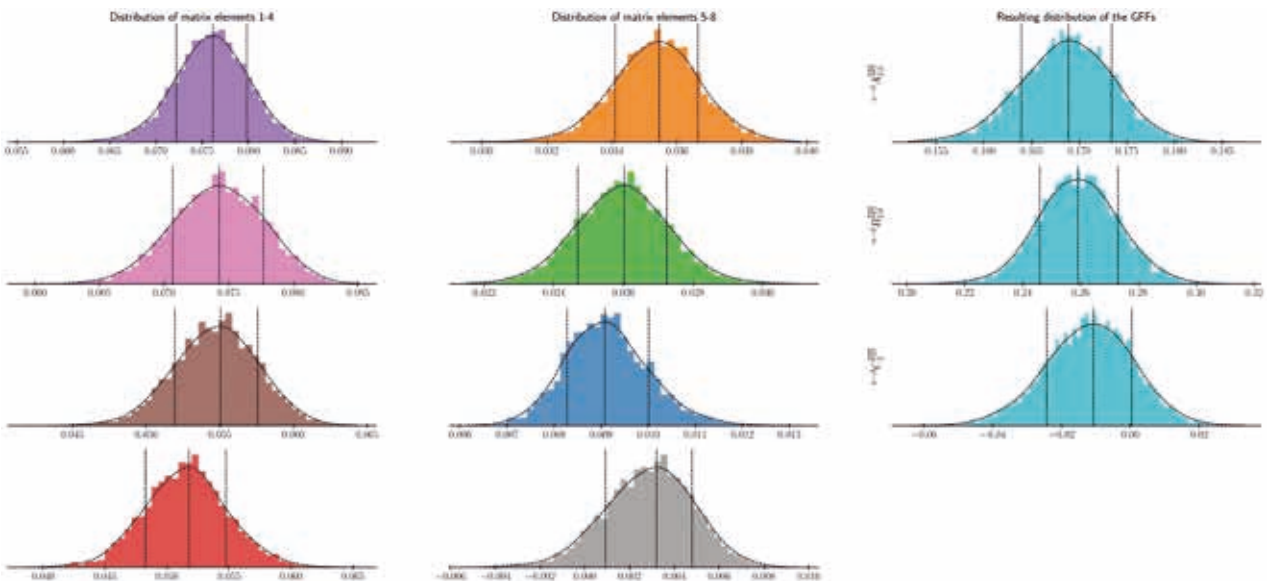


Figure 8: Histograms of thousands of fits for several GPD matrix elements at physical quark mass (connected contributions only). In each case fitting ranges and functions as well as extrapolation formulas were modified in many ways within sensible bounds.

The hottest nuclear matter in effective field theories and lattice QCD simulations

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SuperMUC Project ID: pr48le, pr83pu (C2PAP)

Introduction

The TUMQCD collaboration [1] studies nuclear matter through a combination of effective field theory approaches and lattice gauge theory simulations. By directly interfacing both methods we have gained new insights into the properties of hot nuclear matter in the quark-gluon-plasma phase. Our focus in the recent year has been on the Equation-of-State of hot nuclear matter [2] and on the properties of heavy quark-antiquark systems immersed in hot nuclear matter [3].

Nuclear matter has a hadronic phase at low temperatures and low densities with well-known key properties such as confinement of quarks. It also has plasmalike phase at temperatures above a pseudo-critical temperature, whose properties are quite different and much less established. In particular, the low-lying hadrons, which are the most relevant degrees of freedom in the hadronic phase, do not exist any more in this quark-gluon plasma. Instead, the constituents of the hadrons – quarks and gluons – are deconfined and directly make up an almost perfect liquid.

Results and Methods

The method of the TUMQCD collaboration is to combine the two complementary approaches to improve their predictive power. On the one hand, effective field theory approaches permit analytical and systematic calculations, but require the realization of an assumed hierarchy between different relevant physical scales. On the other hand, lattice gauge theory allows for numerical simulations in an imaginary-time formalism and solves the path integral numerically through a Markov process. However, many dynamical processes require real-time methods, and thus, cannot be studied directly with an imaginary-time formalism.

We may establish the ranges of applicability for the effective field theories, the actual realization of the scale hierarchies and the underlying assumptions by comparing results obtained with the former approach to results obtained with the latter approach. Within these applica-

bility ranges we may then use the effective field theory approach to make predictions that cannot be obtained directly from lattice gauge theory simulations.

For our simulations we have used the publicly available code of the MILC collaboration [4], which is a hybrid MPI-OpenMP code written in C and in steady development since the 80s. We have implemented 2+1 flavors of sea quarks using the highly improved staggered quark (HISQ) action [5] with the strange quark mass at its physical value and the light sea quark mass at either 5% or 20% of the strange quark mass. The most computationally intensive part of the code is the Rational Hybrid Monte Carlo (RHMC) algorithm, which realizes the Markov process. In the RHMC, the degrees of freedom are coupled to a heatbath and evolved along molecular dynamics trajectories followed by a Metropolis-type accept/reject step.

We have used SuperMUC for generating lattices for 2+1 flavor QCD at finite temperature with the RHMC algorithm using usually 2048 cores distributed over four parallel production runs. We have used 8 million core hours for generating 22 new ensembles with lattice extents of $48^3 \times 12$ or $64^3 \times 16$. In our simulations we could generate unprecedentedly fine lattices with a realistic sea quark content and lattice spacings smaller than 0.01 fm, which have been instrumental in determining the continuum limit of our results at high temperatures. In total these ensembles account for 26 TB of binary files. These files have to be kept on disk (WORK) until evaluations of correlators are completed and are later archived on tape.

Our study of the 2+1 flavor QCD Equation of State [2] permitted us to considerably reduce the numerical errors of previous studies with the HISQ action. We could verify that results from direct lattice calculations coincide with hadron resonance gas model calculations for temperatures up to 94% of the pseudo-critical temperature. We developed a new, systematic approach to correct for the discretization errors of the QCD pressure and could obtain well-controlled continuum results for temperatures five times higher than previous studies.

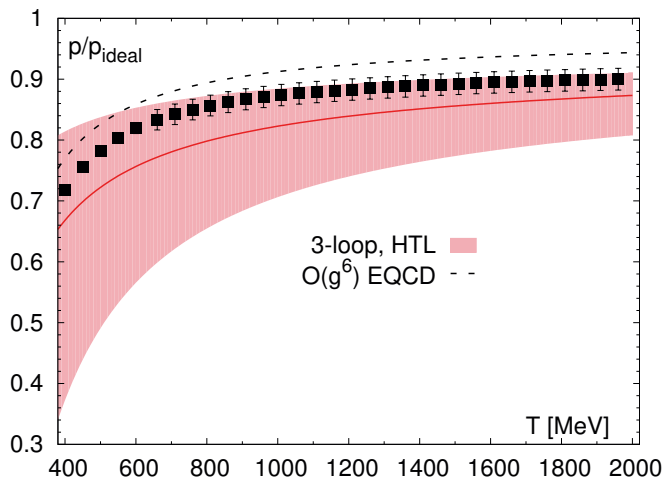


Figure 1: 2+1 flavor QCD pressure from HISQ simulations and two different weak-coupling approaches.

These high temperature lattice results now permit a meaningful comparison with various weak-coupling approaches to thermal QCD, as the latter have only mild truncation errors at such high temperatures. The lattice results lie between the results from dimensionally reduced effective field theory (Electrostatic QCD) at order g^6 and 3-loop Hard-Thermal-Loop QCD, but are compatible with the latter within the associated truncation error, see Figure 1.

On-going Research

We have published our study of “Color screening in 2+1 flavor QCD” [3] on the arXiv and will submit it to Physical Review D in the next month. In this paper we address the question at which distances and to which extent a heavy quark-antiquark pair is sensitive to the effects of the surrounding thermal QCD medium or is predominantly still a vacuum-like system. For this project we have computed spatial heavy-quark correlation functions at finite temperature in the project pr83pu using the lattices generated on SuperMUC in the project pr48le. We obtain the continuum limit for values of the temperature up to fourteen times higher than the pseudo-critical temperature and conduct a detailed comparison with various effective field theory approaches. We verify the realization of the scale hierarchies in certain regimes determined by the temperature and the distance between the quark and the antiquark. These results suggest that these effective field theories provide suitable descriptions of the heavy quark interactions for temperatures above two times the pseudo-critical temperature.

We also work on further projects involving the same lattices and correlation functions. These projects involve novel and more precise determinations of the strong coupling constant α_s and of the static energy at zero and finite temperature using various approaches refined by or invented in our collaboration. The knowledge of the applicability ranges of the effective field theories is indispensable for these projects. Most of our ensembles are reused by us and also by our collabora-

tors using different HPC systems, e.g. at Jefferson Lab. in the US. Lastly, we are extending our work to simulations with 2+1+1 flavors of quarks, for which we will require an extension of our SuperMUC project pr48le.

We have started to target the overhaul of main aspects of our code (transition from AOS to SOA) in order to produce efficient code for Intel MIC infrastructures.

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Simulation of Interactions for LHC Run-2

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Introduction

Our project (ATLMUC) runs simulations of high energy proton-proton collisions in the large hadron collider (LHC) at CERN combined with a simulation of the ATLAS detector response on SuperMUC. Since 2015 LHC is running in its 2nd phase (LHC Run-2) at a centre-of-mass energy of 13 TeV. From 2015 to 2017 LHC was operating very stable and with good efficiency close to or even beyond the original design intensity. In sum, the recorded data volume for Run-2 exceeds the Run-1 (2010-2012) volume already by more than a factor 3.

The ATLAS experiment [1] is one of two multi-purpose experiments at the LHC designed to record large numbers of these proton-proton collision events. Figure 1 shows an example event from the recent data taking. The ATLAS collaboration has already published more than 700 journal articles including the celebrated discovery of the Higgs boson. In searches for new phenomena, as well as for precise measurements, simulations of proton-proton collisions, based on theoretical predictions, combined with a detailed simulation of the detector response are indispensable.

These simulations are computationally expensive, e.g. the complete simulation of a complex collision event takes up to 1000 seconds on a single CPU core. The ATLAS experiment records about 10 billion collision events per year and the detailed analysis of this data requires at least the same amount of simulated events for the standard processes in order to perform the baseline optimizations and background corrections. Detailed searches for contributions from 'New Physics' processes – the main purpose of the LHC program – require additional samples of simulated events for these processes, typically for multiple settings of parameters specific for these models. These measurements provide stringent constraints on theoretical models beyond the Standard Model of particle physics. One recent example of an exclusion plot for particles predicted in Super-Symmetric Models is shown in Figure 2 [5].

In many cases the scientific output of the ATLAS collaboration is not limited by the capacity to process and reduce the data but by the capacity to produce all necessary simulations. Therefore using CPU resources at HPC systems such as SuperMUC/LRZ is a crucial extension of the worldwide LHC computing grid resources which primarily focus on data storage and reconstruction of LHC events.

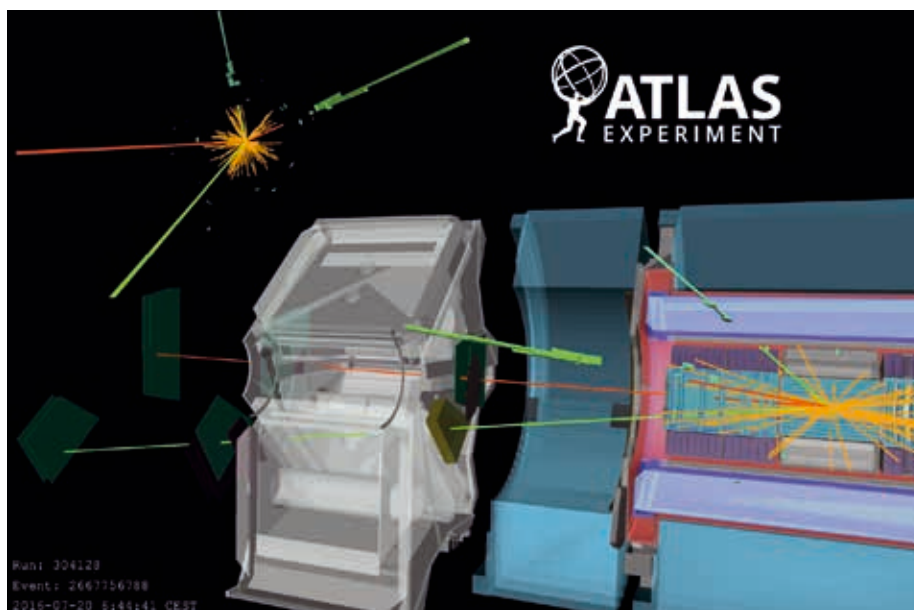


Figure 1: Example of a proton-proton collision event in ATLAS LHC Run-2. This event was collected in July 2016 and is characterized by two particular high energetic lepton pairs. It is a candidate in searches for exotic particle production or decays.

Results and Methods

SuperMUC was integrated into the ATLAS production system to run a cpu-intensive part of the Monte Carlo simulation of LHC events in the ATLAS detector. The integration required a gateway service to receive job requests, stage-in input data, submit into the batch system, and stage-out the output data. Due to the large number of jobs submitted automatized submission procedures are required. The gateway is provided by an ARC CE [2] running on a remote node with key-based ssh access to the SuperMUC login nodes. Submission into the batch system and subsequent monitoring proceeds using commands run via ssh. The GPFS files systems are fuse-mounted (sshfs) and therefore available for stage-in/-out of data. Several technical problems were identified and solved in doing this. The remote ARC CE via ssh is remarkably stable. Submission is strictly controlled via X509 certificate. The workloads are a well-defined subset of ATLAS central production workflows, namely detector simulation based on Geant4 [3]. Geant4 is a toolkit for simulation of the passage of particles through matter. It is cpu-limited and dominated by integer arithmetic operations. Although the passage of particles through matter is serial by nature, ATLAS developed a means to usefully use multiple cpu cores. After an initialization step, the process forks to N sub-processes using copy-on-write shared memory. Each process then processes a stream of independent events, before merging at the end. This enables the efficient use of a whole node and thereby fulfills the basic SuperMUC requirement.

The workloads are deliberately defined to be short (<4hrs) in order to maximize backfill potential. The project was accepted on the basis of backfill with pre-emptable jobs. If a job is killed by pre-emption, then the events already produced are merged and stored by the ARC CE, thus only the events in-flight are lost. No memory dump of the processes is performed, on restart the simulation just continues with the next event. This pre-emptable workload is now used exclusively.

This opportunistic use works well and is an important contribution to ATLAS simulation. The initial CPU allocation has been extended several times. At the beginning, one problem encountered was the poor GPFS client performance on phase-1 compute nodes. It leads to a halving of the cpu efficiency, due to delays in file access. A partial solution was found by using Parrot-cvmfs [4] for the software access. The cvmfs part caches file metadata and leads to reduced GPFS lookup.

We eagerly look forward to the availability of Singularity containers, promised for the next generation, as this simplifies the software access and solves other OS related difficulties.

GPFS scratch space is used for caching input files. We can turnover the cache to remain inside some limit, but currently rely on the system cleanup. The work GPFS is used to store the software, in a format required by the cvmfs client, and also for work directories of active jobs. The 11 TB quota is adequate for the foreseeable needs.

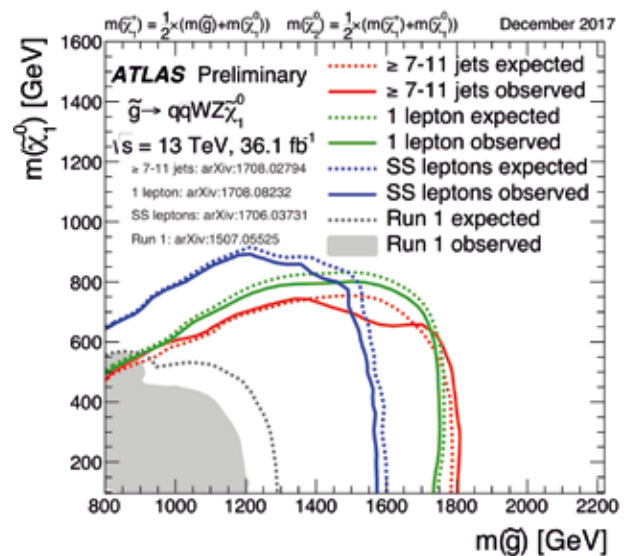


Figure 2: Example of ATLAS results on searches for super-symmetric particles predicted in extensions of the Standard Model theory. The plot shows 95% confidence level exclusion limits for the production of gluinos and charginos[5].

On-going Research / Outlook

The LHC Run-2 is planned to continue until end of 2018 and should increase the data volume by about a factor 5 compared to Run-1. A corresponding increase of the simulated data volume is required in order to analyze and interpret the recorded data. This will allow us to determine with much better precision the properties of the Higgs Boson and either find new particles as predicted by 'New Physics' theories or further increase the constraints on these models. Using SuperMUC to simulate events will be a crucial component to reach these goals.

Active development of the simulation software is ongoing in order to make the workflow more flexible and better parallelizable for smaller work-units. In 2019 and 2020 no LHC collisions are planned, instead several important upgrades both to the LHC accelerator and the ATLAS detector are scheduled. In that period a continuing demand for event simulation is expected, both to provide sufficient samples for ongoing Run-2 analyses and new simulation samples, adapted for the detector upgrades in Run-3, which is planned to start in 2021. We would very much appreciate if "SuperMUC Next Generation" would continue to contribute to this effort.

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Nucleon observables as probes for physics beyond the standard model

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SuperMUC Project ID: pr74yo (Gauss Large Scale project)

Introduction

Lattice Quantum Chromodynamics (QCD) is a non-perturbative approach for solving QCD, our theory of the strong interaction between quarks and gluons, that starts directly from the QCD Lagrangian. It consists of discretizing the theory on a 4-dimensional Euclidean lattice. Due to most substantial advances of the employed algorithms and the advent of powerful supercomputers such as SuperMuc, lattice QCD simulations are now possible directly at physical values of the quark masses. This is a very significant step forward, since it avoids an extrapolation to the physical masses and thus eliminates a rather uncontrolled systematic uncertainty. In this way, lattice QCD has developed into a true *ab initio* method for providing insights into the inner most structure of matter. In this project, we have focused to improve our understanding of hadron structure and computed observables that can probe new physics beyond the standard model (BSM).

We use a particular quark discretization, twisted mass fermions, which provides a very fast convergence to the continuum limit. Our European Twisted Mass Collaboration (ETMC), in which this project is embedded, has already simulated ensembles of gauge configurations at the physical up and down quark masses and is now calculating gauge ensembles which include *for the first time* $N_f=2+1+1$ flavours of quarks comprising the physical light, strange and charm quark masses [1]. These calculations became possible by developing new algorithmic techniques within our team and which led to large improvements, see ref. [2].

Nucleon spin

As a first very important result we have obtained the complete decomposition of the nucleon spin into the contributions from its partons.

	$1/2 \Delta\Sigma$	L	J
u	0.415(13)	-0.107(40)	0.308(40)
d	-0.193(9)	0.247(40)	0.054(38)
s	-0.021(5)	0.067(21)	0.046(21)
g	-	-	0.133(18)
tot.	0.201(18)	0.207(78)	0.541(79)

Table 1: Numerical values of the nucleon spin decomposition, given in the \overline{MS} -scheme at 2 GeV.

This includes the quark intrinsic spin, the quark orbital angular momentum, and the gluon contribution. This is the first such study in lattice QCD using quarks with masses tuned to their physical values. We used Ji's spin sum:

$$\frac{1}{2} = \sum_q \left(\frac{1}{2} \Delta\Sigma^q + L^q \right) + J^G, \quad (1)$$

where $\Delta\Sigma^q$ is the intrinsic spin contribution of a quark of flavor q , L^q its angular momentum contribution and J^G the total contribution from gluons. $\Delta\Sigma^q = g_A^q$ is the axial charge, while the total spin J^q is obtained from the matrix element of a suitable first derivative operator, which also yields the momentum fraction $\langle x \rangle^q$. With all components available to us at the physical point, including the gluon contribution, we found that indeed they add up to 1/2 as expected. In Fig. , we show the individual contributions that make up the spin of the nucleon and give in table 1 their individual values.

Direct evaluation of Parton Distribution Functions (PDF)

Another important and most remarkable result has been a direct lattice calculation of parton distribution functions. Using the pioneering method suggested by Ji in Figure 1.

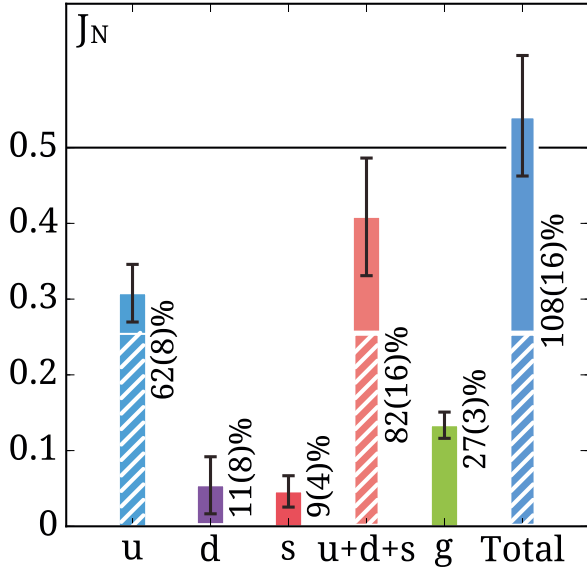


Figure 1: Nucleon spin decomposition. All quantities are given in the \overline{MS} -scheme at 2 GeV. The striped segments show valence quark contributions (connected) and the solid segments the sea quark and gluon contributions (disconnected).

Ref. [3] we have computed nucleon parton distribution functions (PDFs) on two physical point ensembles, namely an $N_f = 2$ ensemble with size $48^3 \cdot 96$ and lattice spacing $a = 0.093$ fm and an $N_f = 2+1+1$ ensemble with size $64^3 \cdot 128$ and lattice spacing $a = 0.082$ fm. Results are depicted in Fig. 2, where we show the dependence on the quark momentum fraction x of the renormalized unpolarized and helicity distributions. This is the first time that by using physical values of the quark masses an agreement with phenomenological extractions of parton distribution functions could be demonstrated. This clearly constitutes a major step forward in understanding the structure of hadronic matter.

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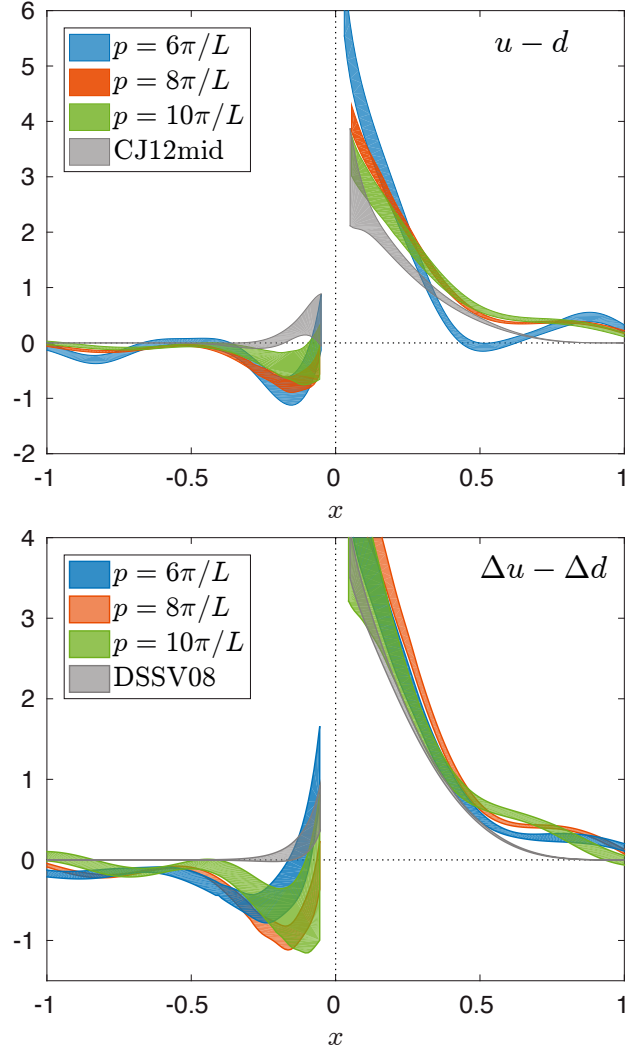


Figure 2: Renormalized distributions computed from the $N_f=2$ ensemble. Top: unpolarized PDF, down: helicity PDF. CJ12mid [4], and DDSVo8 [5] are phenomenological distributions extracted from analysis of deep inelastic scattering data.

Precision determination of the strong coupling

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SuperMUC Project ID: pr84mi (Gauss Large Scale project)

Introduction

Decades of research have led to the Standard Model of particle physics. It is a theory which describes the structure of matter at length scales below the diameters of nuclei to an astonishing level of precision. Equivalently it successfully predicts particle decays and scattering cross sections of high-energy processes up to the energies reached at the Large Hadron Collider (LHC) at CERN in Geneva. Indeed, we also believe that physics at larger length scales, i.e., nuclear and atomic physics, would emerge from the fundamental equations of the Standard Model, if we were able to solve them directly.

A very attractive feature of the Standard Model is that it has very few free parameters. These are – as far as we presently know – fundamental parameters of Nature. Their precise determination is thus an important part of particle physics and physics in general. It is also essential in order to put the Standard Model to tests of ever increasing precision. Such tests are especially motivated by observations that go beyond the physics described by the Standard Model, such as the existence of dark matter or the degree of asymmetry between matter and anti-matter in the universe. Thus, despite its tremendous success, the Standard Model must be incomplete! In the quest for a more complete theory, precision tests of the Standard Model complement direct searches for dark matter candidates and other effects of “new” physics at the LHC and other experiments.

Both the determination of the fundamental parameters and the precision tests of the theory require precision experiments on the one hand and a precise solution of the theory (as a function of the fundamental constants) on the other hand. For many processes, the theory can be accurately solved as a series expansion in the couplings of the theory. An exception are processes that are dominated or affected by the “strong” interactions part of the theory. This part is called Quantum Chromodynamics (QCD). Its most important feature is that it describes the structure of the smallest nucleus, the proton, in terms of constituents called quarks. These are bound inside the proton by the strong force, which is mediated by the ex-

change of quanta called gluons. The analogous phenomenon on the atomic level is the binding of the electrons by the exchange of photons with the nucleus. However, while the Coulomb force between electron and nucleus falls off rapidly with the distance (and is relatively weak altogether, characterized by a small fine structure constant $\alpha=1/137$), the force between quarks remains strong at arbitrarily large distances and leads to the phenomenon of confinement: quarks are always bound. They do not exist as true particles by themselves. But how do we know that confinement is indeed a property of the theory? It is only due to its “simulations” on a **space-time grid** on super-computers such as SuperMUC and JUQUEEN. We put “simulations” in quotation marks, since these are not simulations of how particles move in space-time, but rather represent stochastic solutions of **Feynman’s path integral**, which provides the quantization of the fundamental fields, the quarks and the gluons. The stochastic solution of the path integral is possible, independently of any series (i.e., perturbative) expansions. It thus provides non-perturbative predictions of the theory. The stochastic evaluation of the path integral on a grid is called a **lattice QCD simulation**.

Despite the strong interactions of the theory, one may define α_s , the analogue of the fine structure constant in QCD. This is the coupling of the theory, and a perturbative treatment means the series expansion in this coupling. A simple, physically appealing definition of the coupling is the force between static (infinitely heavy) quarks multiplied by the square of the distance. The aforementioned property of confinement means that at distances around a proton radius this coupling is much larger than one; hence, a series expansion makes no sense. However, at smaller distances also the QCD force becomes Coulomb-like, and the distance-dependent (“running”) coupling, α_s , becomes weaker and weaker. Perturbation theory then predicts that α_s vanishes at small distances, r , as $-1/\log(r/\Lambda)$. The constant Λ characterizes the coupling uniquely and thus corresponds to the fundamental intrinsic energy scale of the theory. Once it is known, perturbative predictions, valid at short distances or, equivalently, high energies become parameter-free. This is important for tests of QCD at high energies, e.g., at the LHC.

Results and Methods

A precision determination of the \mathcal{A} -parameter is a challenge. A physical observable has to be evaluated which simultaneously has three properties: 1) it is a short distance quantity; 2) it can be obtained with high precision and 3) its perturbative expansion is known to reasonably high order. Lattice QCD, once its free parameters are determined from low-energy experimental data, can provide such observables, but in addition to the above, care has to be taken that 4) lattice spacings are small compared to the physical short distance involved. Our collaboration has developed a systematic strategy to cope with all challenges, in particular with 4). After applications to simplified theories, we have by now obtained a very precise result in the three-flavor theory with u, d, and s quarks. It can be connected perturbatively to the physical five-flavor number [3]. We thereby determine \mathcal{A} in a controlled fashion, from experimental input at the lowest energy: masses and decay constants of Pion and Kaon.

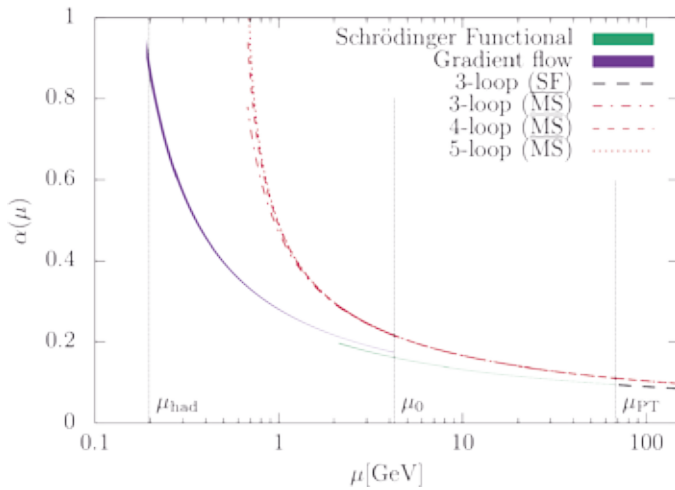


Figure 1: The energy dependence of two finite volume couplings, "Gradient flow" and "Schrödinger Functional", as obtained in our finite volume simulations. By perturbative conversion at high μ (small α), we also determine the coupling in the so-called $\overline{\text{MS}}$ scheme.

Our strategy (Figure 1) to connect large distances $L=1/\mu$ (μ : energy) and small distances involves couplings defined deliberately in a finite small volume, where very small grid spacings can be simulated. Two couplings with complementary properties at larger and smaller distances are employed. Their μ -dependence, and the connection between them, are both computed non-perturbatively by simulations of lattice QCD and extrapolations to vanishing grid spacing a . The two couplings are denoted "Gradient flow" and "Schrödinger Functional" in Figure 1. In the weak coupling region, the non-perturbative results are compared to the perturbative expression in terms of \mathcal{A} , and that fundamental parameter is determined. Here the precision of perturbation theory is at the % level, because a coupling of $\alpha_s=0.1$ is reached and the unknown perturbative corrections are proportional to its square.

In our SuperMUC project, we deal with the connection of the coupling to the low-energy, non-perturbative scales of the theory. This is numerically most challenging, because

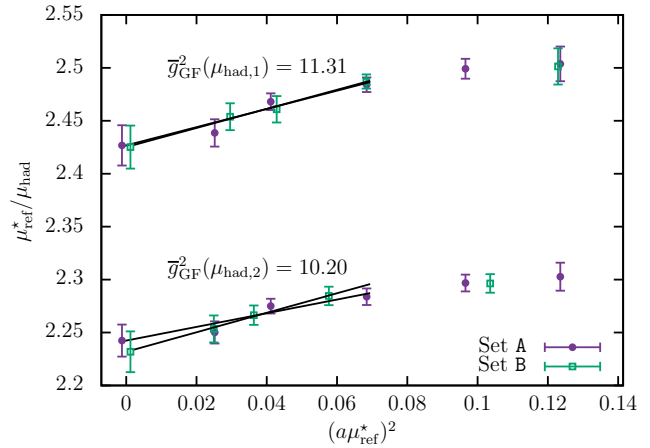


Figure 2: Continuum extrapolation of $\mu_{\text{ref}}^*/\mu_{\text{had}}$ for two different choices of $\overline{g}_{\text{GF}}^2(\mu_{\text{had}})$. For details, see [3].

now physically large volumes have to be simulated and different grid spacings need to be considered in order to take a continuum limit by extrapolation. Also this part is split in two individual steps, which can both be carried out separately and again with the most suitable resolutions. In the previous report we mentioned the determination of an intermediate reference scale, μ_{ref}^* , in units of the experimentally accessible decay constants of Pion and Kaon. By our computations, this scale is now related to the lowest scales, μ_{had} , reached by the Gradient flow running coupling in the second step. It thus consists in the determination of $\mu_{\text{ref}}^*/\mu_{\text{had}}$. With very fine lattice spacings a , the continuum limit extrapolation of that ratio could again be carried out with confidence, see Figure 2.

Result / Conclusions

The last step, Figure 2, has been combined with the previous results to obtain [3]

$$\alpha_{\overline{\text{MS}}}(m_Z) = 0.1185(8)$$

which compares very well, but is more precise than the Particle Data Group (PDG) world average of phenomenological determinations of the strong coupling at the Z-boson mass from scattering experiments,

$$\alpha_{\overline{\text{MS}}}(m_Z) = 0.1175(17)$$

This agreement provides a very good test of the correctness of QCD as the theory of the strong interactions. Here it is of particular importance that our result is based entirely on experimental results for hadrons, i.e., QCD properties governed by confinement. In contrast, the PDG world average uses scattering data at relatively large energy, selected such that confinement can be hoped to lead only to small corrections to the perturbative expressions. Both analyses lead to the same coupling. Of course, the higher precision in our determination is particularly valuable for future predictions of QCD effects at particle physics colliders.

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Non-zero density simulations in full QCD

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SuperMUC Project ID: pr84to (Gauss Large Scale project)

Introduction

The simulation of nuclear matter at nonzero baryon density presents a notoriously hard problem in lattice QCD. The usual simulation strategies depend on the exploration of the configuration space by interpreting the weight of each configuration in an average as a probability, which is however not valid here as the weight is non positive. This is called the 'sign problem' of nonzero density QCD. In this project the researchers compare two different simulation strategies which evade the sign problem with very different methods.

The different phases of water (liquid water, vapour and ice) are well known to everybody, and almost everyone knows even the temperature at which the water changes its phase i.e. it gets frozen or boils to vapour. Systematic studies yield the phase diagram of the water as a function of the temperature and the pressure, well known to both experimental and theoretical physics.

The behavior of quarks and gluons, which make up the nuclei of atoms is governed by the theory called Quantum Chromo Dynamics (QCD). This theory describes how quarks build up protons, neutrons and other particles at low temperatures with the help of an attractive force mediated by gluons, and the quark-gluon plasma at high

temperatures. One of the current outstanding problems of theoretical physics is to produce a phase diagram for QCD as a function of the temperature and the baryonic density. Currently very little is known about the phase diagram of QCD, but effective models give some guidelines as illustrated above. The simulation of nuclear matter and quark gluon plasma at small baryon densities is a well established part of theoretical physics since decades. There are very sophisticated algorithms based on the so called 'importance sampling' which uses the fact that the system can be described with an ensemble of configurations which describe an instance of gluon and quark fields. Each configuration has a weight which is interpreted as a probability depending on the temperature, quark masses and so on. This probabilistic description however breaks down as soon as there is a nonzero baryonic density in the system, because the weight of each configuration can now become negative. This is the infamous 'sign problem', a long standing challenge in the field of lattice QCD as well as other fields such as condensed matter physics, non-equilibrium physics, etc.

In this project the researchers test two methods designed to evade the sign problem. The first is called 'reweighting' as it changes the weights of the configurations such that they are positive again, and in turn mimics the nonzero baryon density by changing the physical quantities to be measured in an appropriate fashion. This allows to

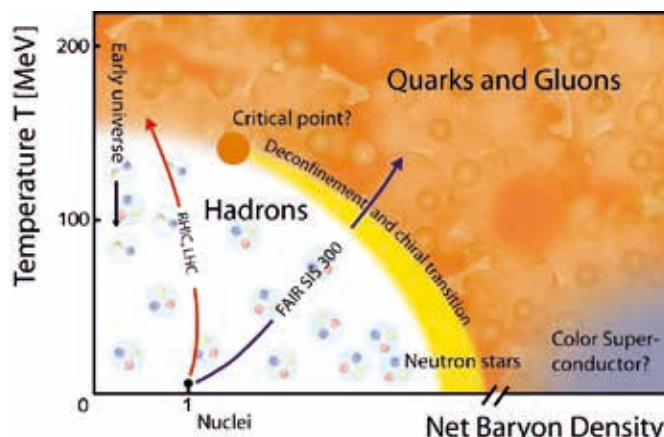
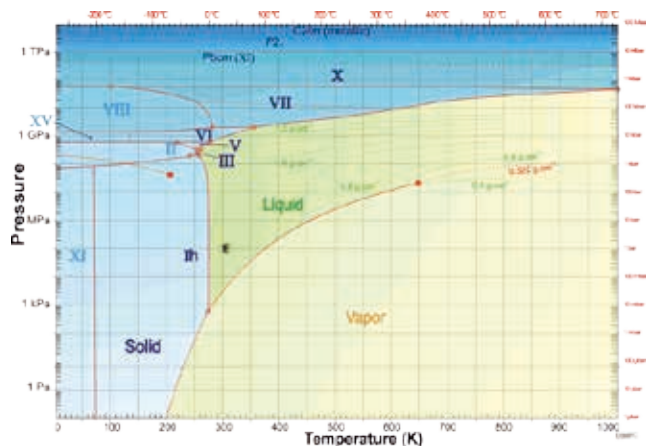


Figure 1: The phase diagram of water (left) as a function of the temperature and pressure, and one of the proposed phase diagrams of Nuclear matter (right), as a function of baryon density and temperature.

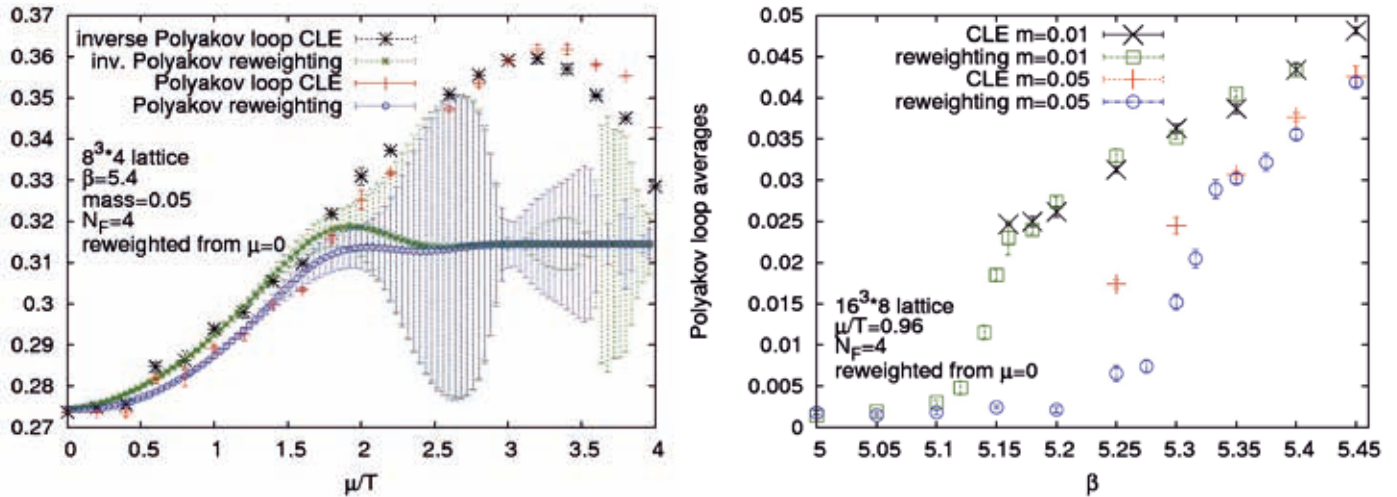


Figure 2: Comparison of the simulation strategies CLE and reweighting.

explore into the region of non zero densities, however the method becomes unreliable if we go too far from the original zero density phase, moreover its cost increases very rapidly as the system size is increased.

The second method is based on the so called Langevin equation which describes a random walk in configuration space, and can be used as a simulation method also at zero densities. This method however does not require the interpretation of weights as probabilities, and therefore is generalizable to non-positive weights as well. This generalization is based on the structure of the complex numbers, hence it has the name ‘Complex Langevin equation (CLE)’. It was invented more than 30 years ago [1], however its status was unclear as sometimes this method gives unreliable results. In recent years however important results have clarified what conditions must be satisfied and what technical improvements are needed to make the method and its results trustworthy [2].

The researchers have determined that there is a good agreement between reweighting and Complex Langevin results where both are applicable[3]. Their range of applicability is however quite different. Reweighting performs well at small baryon densities while Complex Langevin works at small lattice spacings (which means high temperatures in practice). Their reliabilities can be assessed independently of the other method. See for illustration the figures below where the ‘Polyakov loop’ (a quantity connected to the energy of free quark) is plotted as a function of the chemical potential and the ‘beta’ parameter controlling the lattice spacing. These findings led to further studies which are carried out to simulate the physics at high temperature and to determine whether the Complex Langevin method can be extended to lower temperatures.

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Form factors of semileptonic B-meson decays from Lattice QCD

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Introduction

The **Standard Model (SM)** of elementary particle physics is impressively successful in describing a wide class of phenomena originating from electromagnetic, weak and strong interactions, over a wide range of energy scales. While the SM is holding up superbly against extensive experimental scrutinies, it is also well believed to be an effective theory, only valid up to some energy cut-off. For instance, it neither contains a dark matter candidate, nor does it explain the observed baryon asymmetry of the universe. While direct search of new particles is the main approach to establish **New Physics** at the LHC, CERN, it is also possible that first signals may emerge from indirect searches by looking for deviations from the SM theoretical predictions in precise (low-energy) measurements. Here, the quark flavour physics sector plays a key rôle: E.g., flavour-changing neutral current processes are highly suppressed in the SM and hence very sensitive to New Physics. Nevertheless, some of them are sufficiently large to be studied in dedicated experiments.

Flavour transitions in the SM are parameterized by the Cabibbo-Kobayashi-Maskawa (CKM) matrix that encodes the strengths of flavour-changing weak decays involving quarks. While these are weak interaction processes, the relevant physical states, as an effect of confinement, are low-energy bound states of quarks, the **hadrons**. Their transitions actually govern the decay rates and are mediated by the **theory of strong interaction, Quantum Chromodynamics (QCD)**. As the traditional perturbative approach, however, is accurate at weak couplings only, but inadequate in the strongly coupled, low-energy regime of hadrons and their matrix elements, QCD computations have to be performed non-perturbatively in this regime.

Lattice QCD is the natural, genuinely non-perturbative ab-initio method that allows such computations, without relying on any model-dependent assumptions, and where systematic errors can be fully controlled. It starts from a discretization of space and time and puts the fundamental (quark and gluon) field variables on the

sites and links of a lattice, resulting in a finite (but still very large: $\sim O(10^8)$) number of degrees of freedom. The definition of physical observables rests on the Euclidean version of **Feynman's path integral representation** of the partition function of QCD and expectation values derived from it. In practice, calculations are realized as **"computer experiments"**: Stochastic evaluation of the expectation values by Monte Carlo simulations, employing "importance sampling" methods to numerically estimate the multidimensional integrals involved. Although since recent years realistic lattice QCD simulations with dynamical light sea quarks of masses close to nature, in large volumes at fine lattice spacings, are customary, they remain very challenging. Therefore, extensive large-scale numerical simulations on super-computers such as **SuperMUC** represent valuable inputs to achieve a precision for many physical quantities that can compete with the one reached in experiments.

Very promising processes among the indirect New Physics searches, where one expects beyond SM effects to be quite large, are **semileptonic weak decays of mesons containing a b-quark**. The SM prediction for the decay rate requires the non-perturbative computation of a hadronic matrix element and is proportional to one entry of the CKM-matrix. With this project we aim at a lattice QCD computation of the hadronic matrix element relevant for the semileptonic (exclusive) process $B_s \rightarrow K l \nu$. Combining it with the experimental decay rate enables to determine the CKM matrix element $|V_{ub}|$. Tensions with estimates from $B \rightarrow \tau \nu$, $B \rightarrow \pi l \nu$ and inclusive decays would then hint at New Physics. Similar anomalies are observed in ratios of semileptonic B-meson decays with different final-state leptons, which may indicate a SM-breakdown by virtue of lepton-universality violation.

Still, the presence of the b-quark represents an additional difficulty, because its mass is very large. Thus, the b-quark cannot be simulated as a relativistic particle with today's computing power and is treated employing an effective theory. Our collaboration uses the **Heavy Quark Effective Theory (HQET)**, where the heaviest degrees of freedom

are integrated out through an expansion in the inverse b-quark's mass such that large discretization effects in hadronic quantities are suppressed when regularizing the theory on the lattice. Within our $B_s \rightarrow K 1 \nu$ project, which involves the computation of the form factor f_+ , we also study techniques for the best treatment of excited states, to be applied to the even more demanding computation of the $B \rightarrow \pi 1 \nu$ form factor in the future.

Results, Methods and on-going Research

In our form factor computations on the lattice, we work with HQET at next-to-leading order in the inverse heavy quark mass. The **strategy** applied [1,2,3] splits into two parts: **(i) Determination of 19 HQET parameters**, appearing in the Lagrangian and in the HQET expansions of the heavy-light (axial & vector) quark currents, via non-perturbative matching of HQET to QCD in small volume; **(ii) calculation of HQET energies and matrix elements in large volume**, which are eventually combined with the HQET parameters (once available from (i)) to extract the hadronic, semileptonic decay matrix elements.

The matching (i) consists in choosing a suitable set of 19 physical quantities, computing their continuum limits and equating the results to their HQET expansions. These are linear in the HQET parameters such that the matching equations can be solved in a straight-forward way. In practice, however, simulations with very large statistics and at many different lattice parameters are required to determine the parameters with good precision. Moreover, to select a reliable matching setup, the freedom of kinematical choices (in an about 50 dimensional space) needs to be exploited by an elaborate data analysis.

In the large-volume computations (ii) of the relevant form factor $f_+(q^2)$ for $B_s \rightarrow K 1 \nu$ decays, it is crucial to control all systematic uncertainties due to excited states and the finite time extent of the lattice. Therefore, the range of time separations, t_b and t_k , between the current insertion and the two meson fields has to be chosen with care in the analysis, see Figure 1 for a schematic illustration.

In the last phase of our **SuperMUC project** we have extended the large-volume computations (ii) at fixed, still unphysical pion masses [4] by including additional ensembles. The lowest pion mass value reached in the simulations is now 190 MeV. Together with already generated data at about 270 and 330 MeV and three different lattice spacings, this allows for robust continuum and chiral extrapolations of the results. Thanks to these new ensembles, as well as to improved statistics and sophisticated analysis methods,

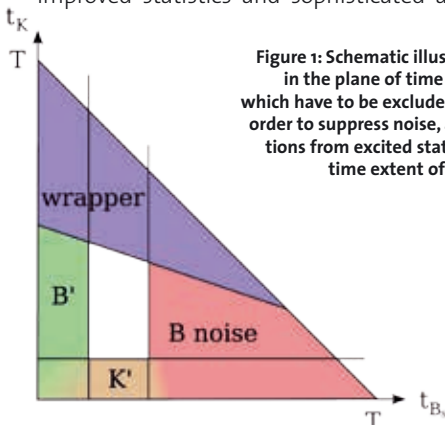


Figure 1: Schematic illustration of the regions in the plane of time separations, t_k and t_b , which have to be excluded from the analysis in order to suppress noise, as well as contaminations from excited states (B' and K') or finite time extent of the lattice (wrapper).

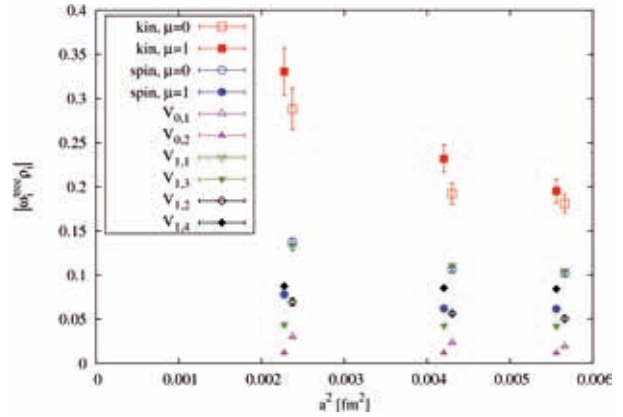


Figure 2: Absolute values of the $O(1/m_b)$ large-volume matrix elements multiplied by their classical (i.e., tree-level) HQET parameters. See [6] for details.

the calculations at the leading (i.e., static) order in HQET ensured a significant improvement in precision. To obtain results of phenomenological importance at the end, using the HQET formulation, one needs to take into account also the next-to-leading-order terms in $1/m_b$: the kinetic, spin and vector-current insertions. After combining these contributions with the HQET parameters of the non-perturbative matching, their inclusion in the continuum extrapolation will give the desired QCD form factor, up to $O(1/m_b^2)$ corrections. A summary of large-volume matrix elements at $O(1/m_b)$, for three data ensembles with different lattice spacings, is shown in Figure 2. After completion of the computationally expensive simulations and measurements for both, the determination of the HQET parameters in small volume and the calculation of the large-volume matrix elements, the final analysis of the large data sets is being carried out on local resources.

HPC Strategy

Large scale research projects in lattice QCD, such as the one described here, would be impossible without the powerful computing infrastructure at LRZ with SuperMUC. Also the excellent user support provided by the LRZ team is crucial and highly appreciated, because scientific projects relying on such HPC systems also encounter many particular technical challenges, ranging from performance tuning of the production codes to the regular validation of bit-level reproducibility of results. Such requirements can be difficult to meet on time scales of longer projects, while frequent upgrades of the system software may cause significant variations of performance characteristics (or even expose incorrect behaviour, e.g. of compilers or libraries), or when the deterministic order of floating-point operations is lost by offloading them to the network for the sake of better performance. Thus, containerization might become an interesting perspective even in HPC environments. Also reconciling high security requirements with, e.g., automatic work flow management or the use of grid technologies for large data transfers, can be a challenge.

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The strong interactions beyond the standard model of particle physics

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Introduction

The strong nuclear forces that bind the quarks and gluons into protons and neutrons are essential for the formation of nuclear matter. The fact that the charges of these forces are not directly observable at the scales of our every-day life is due to the confinement: the strength of the force prohibits a separation of the charges. The strong binding potential is also responsible for almost the complete mass of the atomic nucleus. Quantum chromodynamics (QCD) is the fundamental description of the strong interactions in quantum field theory. The understanding of confinement from this fundamental theory is still regarded as one of the “Millennium Prize Problems”, by the Clay Mathematics Institute. It is, however, possible to address the problem with high performance computing and measure the numerical signal for confinement and the formation of bound states. Our project investigates new strongly interacting theories different from QCD. We investigate strong interactions that might solve the problems of the current standard models of particle physics and could also lead to new ways to understand confinement.

The standard model of particle physics is an extremely successful theory: it contains all known fundamental particles and forces, except gravity. The discovery of the Higgs particle has completed the experimental search for the constituents of the standard model. However, the real nature of this particle is so far unknown and its mass is unnaturally light. Moreover, astronomical observations

have revealed that only a small fraction of the matter in the universe consists of the particles of the standard model. It is hence essential to find consistent extensions of this theory. The most promising theoretical concepts for a solution of these open issues are based on additional symmetries, compositeness, or extra dimensions. Our project is related to all of these approaches: in supersymmetric theories an additional symmetry leads to a natural Higgs sector, in a Technicolour theory the Higgs emerges as a composite state of a new strong dynamics, and in gauge theories with extra dimensions the Higgs is protected by the gauge principle of the higher dimensional theory. The extra dimensions are not directly observable since they are compactified and therefore there is only a constraint dynamic in the corresponding direction.

These approaches are based on new theories with strong interactions. Interesting phenomena, like the phase transitions and the bound state spectrum of these theories, are so far not well understood. This project is concerned with these challenging questions.

Supersymmetry is one interesting new concept for the extensions of the standard model, favored by many different theoretical considerations. This symmetry connects two completely different classes of particles, fermions and bosons. The former class consists of the matter particles, like the electrons, the latter of the mediators of the forces, the gauge bosons, and the Higgs particle. The standard model is extended by the supersymmet-

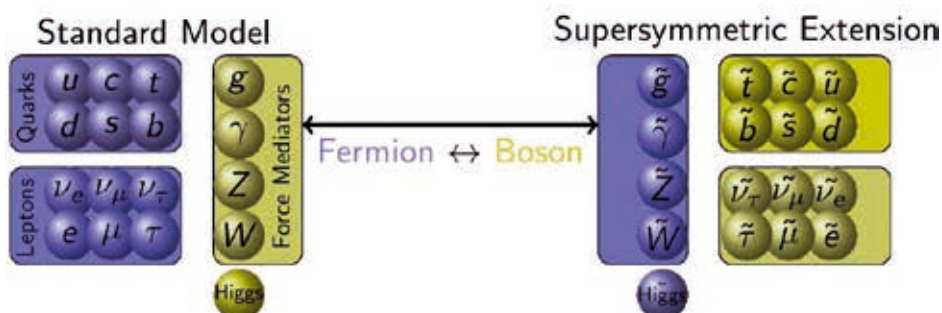


Figure 1: Simplified picture of the supersymmetric extension of the standard model: the bosonic particles get additional fermionic partners and vice versa. In the standard model the interactions between the quarks and leptons are mediated by the gauge bosons: the strong interactions by the gluons, the electromagnetism by the photons, and the weak interactions by the W and Z bosons.

ric partner particles as shown in Figure 1. The extended theory includes a supersymmetric version of the strong interactions of the standard model. The supersymmetric gluodynamics describes the interactions of gluons and their superpartners the gluinos.

Results and Methods

Numerical lattice simulations

Despite the interesting attempts for an analytical understanding of strongly interacting theories, numerical simulations on a discretised space-time, the lattice, are still the only method for an investigation from first principles. Efficient algorithms have been recently developed for the simulations of QCD leading to a remarkable agreement between numerical data and experiment. These methods are applied in the investigations of the new strongly interacting theories beyond the standard model of particle physics in our project. In this way two interesting aspects of the theories can be investigated: the bound states and the phase transitions. Large scale computing facilities are essential to perform lattice simulations of QCD. In addition, the considerations of new theories requires a careful tuning of the parameters and advanced methods are needed for the measurements of the relevant observables. We have developed the tools and algorithms for a simulation of these theories, in particular a new program package for the numerical simulations.

The particle spectrum

At low energies, the observable particles are bound states of the fundamental gluons and fermionic particles. In supersymmetric theories these should form multiplets of fermions and bosons with the same mass. We were able to show, for the first time, the expected degeneracy of the non-perturbative particle spectrum in SU(2) supersymmetric Yang-Mills theory [1]. In our newest investigations we have considered also the gauge group SU(3), the same gauge group as in QCD [2].

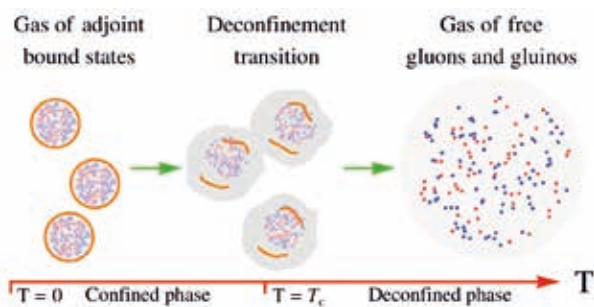


Figure 2: Illustration of the confinement transition in strongly interacting theories. The bound states at small temperatures are dissolved into a free gas of fundamental particles at high temperatures.

The phase transitions

At low temperatures strongly interacting theories are confined, but at high temperatures they behave like a free gas of gluons and fermions, see Figure 2. The deconfinement transition separates these two phases. In addition, the fermions condense at low temperatures. The analysis of these transitions is important to understand

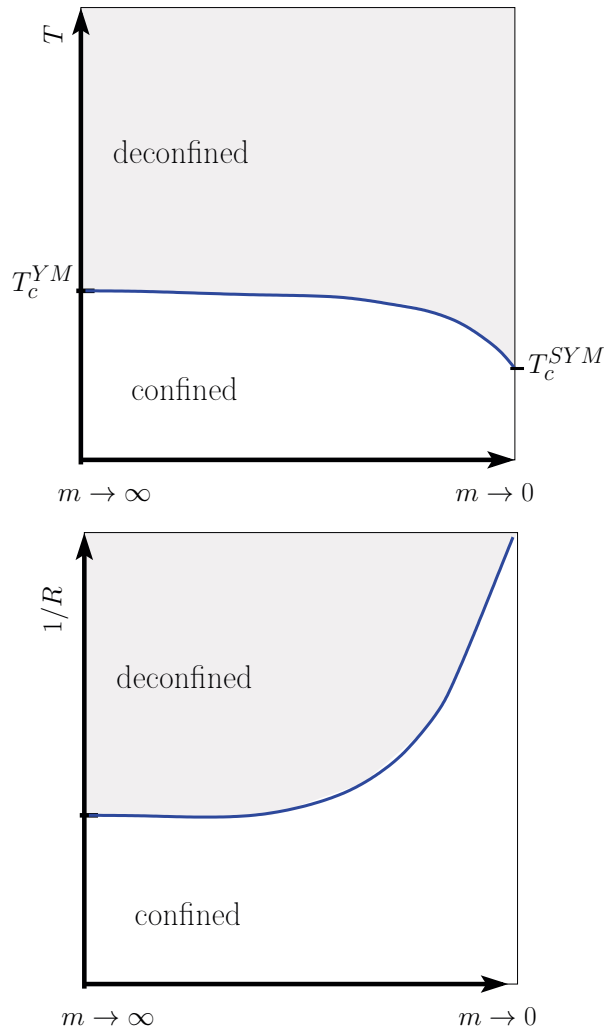


Figure 3: Theoretical predictions of the different phases in supersymmetric Yang-Mills theory at finite temperature T and at finite compactification radius R . The numerical confirmation of this effect can be found in [3,4].

the phenomenological implications of the extensions of the standard model. We have done intense numerical investigations to measure the transition temperatures.

At finite temperature the different nature of fermions and bosons becomes apparent: they obey different statistics and supersymmetry gets broken. In quantum field theory, non-zero temperature is resembled by a compactified dimension with different boundary conditions for fermions and bosons. If the same boundary conditions are applied for fermions and bosons, their contributions cancel in the massless limit and the deconfinement transition disappears, as shown in Figure 3. This effect allows a detailed control on the confinement mechanism in this theory and it is, furthermore, an interesting check for the consistency with supersymmetry. We were able to verify if for the first time this effect by numerical simulations [2-4]. It opens the way for new analytic approaches to understand the confinement mechanism [5]. The numerical signal for the transition comes from the Polyakov loop, the order parameter of the deconfinement transition. It develops a non-zero expectation value after the

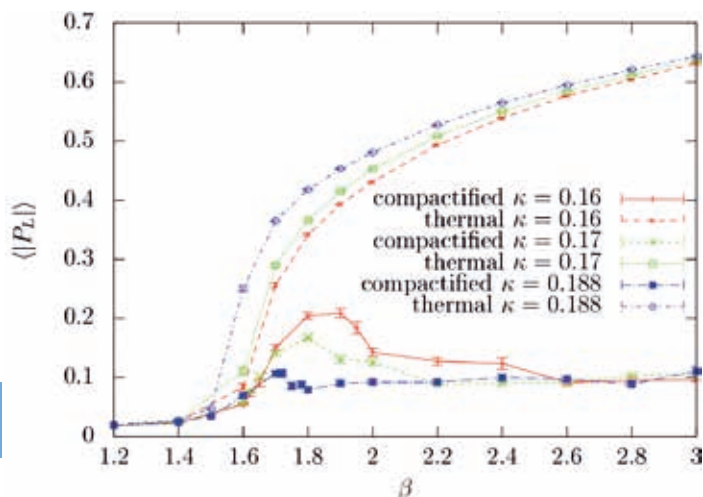


Figure 4: The order parameter of the deconfinement transition for periodic and antiperiodic fermion boundary conditions. The largest κ corresponds to the lowest fermion mass.

transition. Figure 4 shows the remarkable difference of the signal for the two different transitions.

Another interesting application of the compactified gauge theories is the Hosotani mechanism. In this mechanism the Higgs field comes from a compactified higher dimensional gauge dynamics. The compactified theories that we are investigating in our project correspond to the four dimensional counterpart of this mechanism.

On-going Research / Outlook

We have finished the basic determination of the phase transitions and the bound state spectrum supersymmetric Yang-Mills theory and related gauge theories. This is just the first investigation of the new physics beyond the standard model of particle physics and we hope to proceed with our studies towards more involved Technicolour candidates, supersymmetric QCD, and extended supersymmetry. A more detailed matching between analytical calculation and the numerical simulations of the compactified supersymmetric Yang-Mills theory is currently under investigation.

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High-loop perturbative computations from lattice QCD

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Introduction

Particle physics is entering a new precision era, with many different experiments eagerly seeking for hints of physics beyond the Standard Model (SM). This incredible experimental effort must necessarily be paired up with an equally remarkable advance in the corresponding theoretical predictions.

In this context, a precise determination of the fundamental parameters of QCD as well as the renormalization of hadronic matrix elements of composite operators, are relevant problems in the phenomenology of the SM. Notably, these require a non-perturbative solution, which is elegantly provided by lattice field theory methods, where QCD is discretized on a space-time lattice and solved by means of numerical simulations.

The strategy relies on the definition of convenient finite-volume renormalization schemes. These schemes are devised in terms of observables defined in a finite (Euclidean) space-time volume, and the renormalization scale is set by the finite extent of the little universe. This allows a step-scaling procedure to be applied, and the change in renormalization scale is determined by performing numerical simulations with different volumes. In this way, one is able to determine the scale evolution of these schemes from the low-energy sector of QCD up to high-energy, employing only modest lattice sizes: both statistical and systematic uncertainties can thus be kept under control at all stages of the computation. Once the high-energy regime is reached, perturbation theory (PTh) is used to match the finite-volume schemes to more standard schemes commonly used in phenomenology, such as the \overline{MS} schemes (see [4] for an introduction).

For the aforementioned strategy to be successful care has to be taken in choosing the finite-volume schemes. Judicious considerations about several technical points led to definitions based on the Schrödinger functional (SF) of QCD. In addition, a new powerful tool has proven to be particularly compelling for these studies, namely the Yang-Mills gradient flow (GF). The GF defines a whole

new class of observables with simple renormalization properties, which can be computed very precisely in lattice QCD simulations (cf. ref. [4]).

The calculation of these observables in PTh is however technically challenging. For instance, prior to this project, only lowest-order results were available in finite volume with SF boundary conditions, which did not allow the matching to other schemes to be determined.

Numerical stochastic perturbation theory (NSPT) is a powerful technique that may be applied in this context. The aim of this project was to further develop this method and to demonstrate its usefulness by accurately computing some relevant gradient-flow observables to high-loop order.

Methods and Results

Methods

NSPT is an algorithm that solves the lattice theory numerically to a given order in the coupling. It is particularly suitable for high-order computations, and it allows in principle to circumvent the main difficulties of the standard methods based on Feynman diagrams.

In its original form it consists in solving through Monte-Carlo (MC) methods (a discrete version of) the equations of stochastic perturbation theory, as derived from the Langevin equation. In addition, NSPT can be easily implemented for SF schemes and it provides a natural framework for the perturbative computation of GF observables (see [2] for an introduction).

On the other hand, the standard NSPT algorithms suffer from several limitations. First of all, these algorithms are not exact: a sequence of simulations with finer and finer discretization of the relevant equations have to be performed in order to extrapolate away the systematic errors in the results. Secondly, similarly to the more standard MC simulations of lattice QCD, the computational effort required for a given (statistical) precision significantly increases towards the continuum limit due

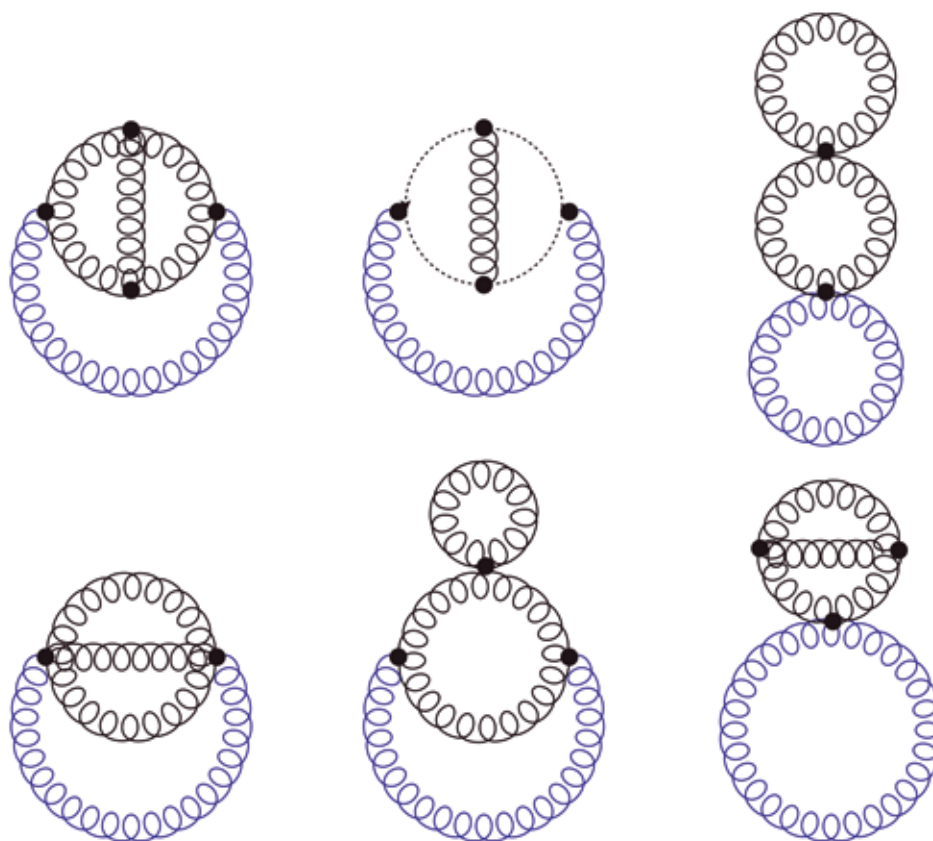


Figure 1: Some of the complicated Feynman diagrams contributing to the GF coupling at two-loops. The curly lines represent valence (blue) and sea (black) gluons, the dashed lines represent ghosts, and the dots are different interaction vertices.

to the rapid increase of autocorrelations in the generated field configurations. As a result, careful and relatively expensive studies have to be conducted in order to reliably reach the necessary level of precision for the quantities of interest.

These limitations can substantially be ameliorated by formulating NSPT in terms of other stochastic equations than Langevin, as in particular the stochastic molecular dynamics (SMD) equations. This step allows one indeed to exploit the most recent algorithmic advances in lattice QCD in the context of NSPT.

Results

We have successfully implemented NSPT based on the SMD equations for the case of the $SU(3)$ Yang-Mills theory, and showed through a highly non-trivial computation that accurate determinations of the perturbation expansion of various quantities of interest are technically feasible at least up to two-loop order. Specifically, we considered the computation of the two-loop matching between the GF coupling and the \overline{MS} coupling. The GF coupling is a perfect example of an observable which perturbation expansion is difficult to obtain (cf. Figure 1), while at the same time this should be known precisely in order to be useful for non-perturbative investigations. The results of our calculation, together with the details of both the theoretical and numerical framework, have been published in [2], and have been also presented at the 34th International Symposium on Lattice Field Theory [3].

The programs used for this project have been specifically written by us, based on the openQCD package developed

at CERN (see [1]). The programs parallelize in 0,1,2,3 or 4 dimensions, depending on what is specified at compilation time. They are highly optimized for machines with current Intel or AMD processors, but run correctly on any system that complies with the ISO C89 and the MPI 1.2 standards. The machine-specific optimizations include inline AVX assembly code for multiplications of 3×3 complex matrices, which is the by far most frequent elementary operation in these programs. All programs are part of a publicly available package [1].

As in more conventional, non-perturbative, lattice QCD simulations, the results from NSPT are obtained for a finite lattice resolution L/a , where L^4 is the space-time volume of the lattice, and a is the lattice spacing. Several lattice resolutions are required to reliably extrapolate the results to the desired continuum limit, $a/L \rightarrow 0$. Figure 2, displays the results of the simulations for different lattice sizes, and their continuum extrapolation.

On SuperMUC we performed the most expensive simulations needed for this project, and hence, the most important ones for obtaining precise results. Specifically, we simulated the largest lattice volumes: 32^4 and 40^4 (cf. Figure 2). The former was parallelized using a $4 \times 4 \times 4 \times 4$ process grid of 256 cores of SuperMUC Phase 1 Thin Nodes. For the largest lattice, instead, we considered a $4 \times 4 \times 10 \times 10$ process grid of 1600 cores. Given the chosen process grids, we could employ all 16 cores of the nodes, thus exploiting efficiently the allocated resources. The programs were compiled using the IBM-MPI implementation, which gave the best performances among the options offered on SuperMUC.

On-going Research / Outlook

The project has demonstrated that NSPT is a very powerful tool for computing the perturbation expansion of complicated observables to high-loop order, with competitive precision. This project hence firmly sets the theoretical and programming ground for future computations using these methods. The versatility of the methods is such that many renormalization problems in lattice QCD will profit from these developments.

We are currently applying the results obtained for the PTh matching between the GF and \overline{MS} coupling to a precise non-perturbative determination of the running coupling in the SU(3) Yang-Mills theory. Apart from the theoretical interest of this determination, these results will give us important information on the high-energy behavior of GF couplings. This information is extremely valuable for future high-precision determinations of the QCD coupling along the lines of [4].

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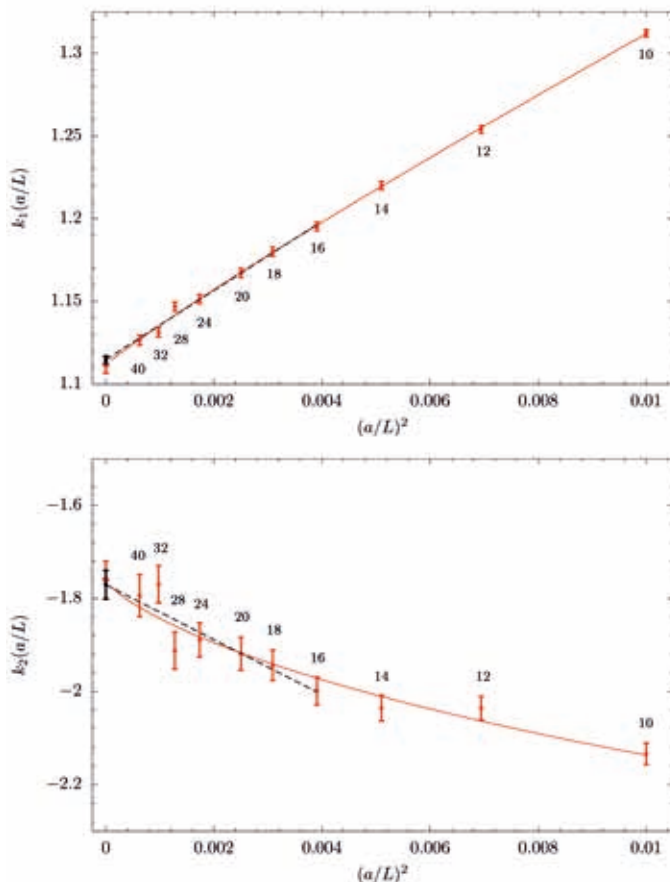
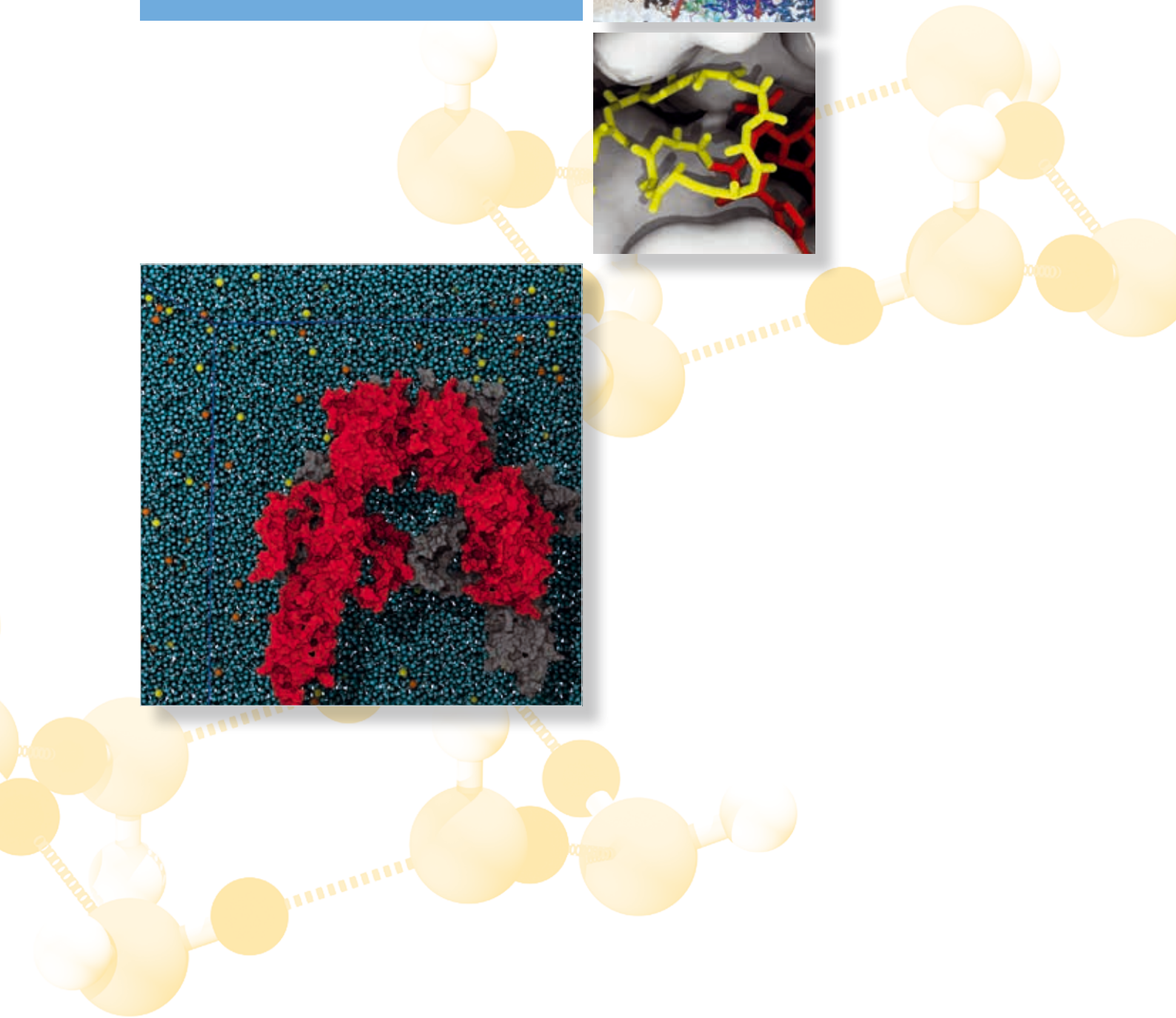
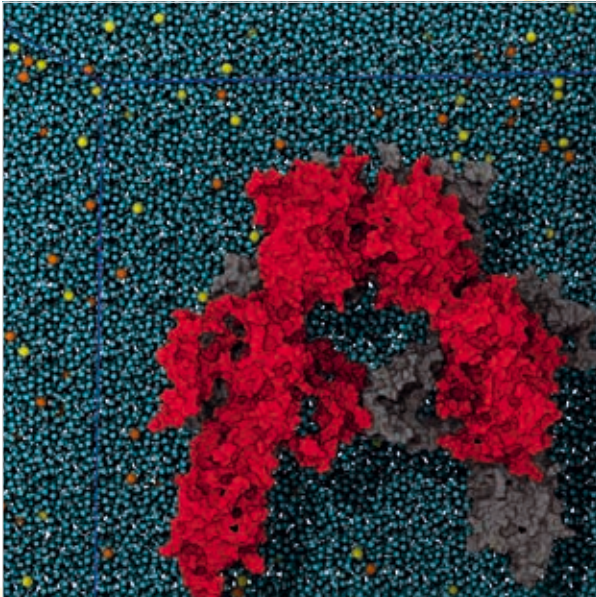
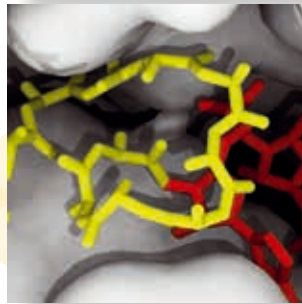
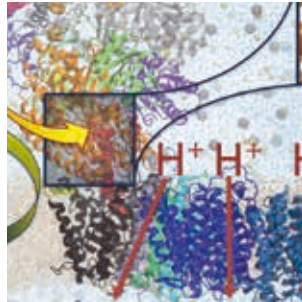


Figure 2: Continuum limit extrapolations for the one- and two-loop coefficients (upper and lower panel, respectively) of the matching between the GF and \overline{MS} coupling.

Life Sciences



Revealing the mechanism underlying the activation of the insulin receptor

RESEARCH INSTITUTION

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SuperMUC Project ID: pr48ci (Gauss Large Scale project)

Introduction

Diabetes reaches epidemic proportions with a major and growing economic impact on the society. An effective treatment requires atomic-level understanding of how insulin acts on cells.

Cells require insulin to efficiently take up sugar from the blood. Therefore, insulin binds outside the cell to the ectodomain of its receptor, the so-called insulin receptor (IR), localized in the cell membrane (Fig. 1). Insulin binding induces a structural change in its receptor that is translated across the membrane to the intracellular domains, which then phosphorylate each other and thus initiate signaling cascades. Until very recently, the extent and nature of this conformational change was highly debated leading to mutually exclusive models describing receptor activation. Owing to its size, localization in the membrane, and complex binding characteristics, the insulin receptor is notoriously difficult to study by experimental means. In spite of recent break-throughs showing various structures of IR [1-4], high-resolution data describing how the transition to the activated state evolves in time are still missing. Molecular dynamics (MD) simulations enable us to study the process of insulin binding to its receptor and the resulting structural changes at atomic scale, thus providing new dynamic perspectives into the receptor's activation mechanism.

Results and Methods

We applied MD simulations to study structural transitions in the insulin receptor ectodomain (IR-ECD) – initially based on crystallographic data that were available at that time (protein database structure entry (PDB) ID: 4ZXB) [2]. The simulated IR ectodomain system consisted of about 1 million atoms in a simulation box of 22.4 x 22.4 x 19.9 nm. As interatomic potential functions, we used the allatom OPLS-AA (Optimized Potentials for Liquid Simulations) force field for proteins and TIP3P (Transferable Intermolecular Potential with 3 Points) for water.

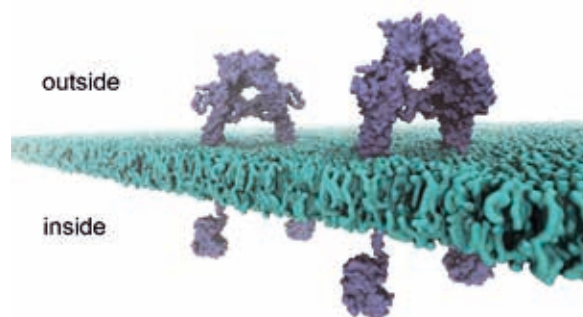


Figure 1: Two insulin receptors embedded in a membrane. Each receptor consists of two entities (two monomers forming a dimer). Insulin binds outside the cell to the receptor's ectodomain which leads to a structural change that is propagated across the membrane (green) to the intracellular modules that activate each other. © 2018 Chetan Poojari.

To rule out force field-dependent effects, we confirmed our observations in tests applying also AMBER (Assisted Model Building with Energy Refinement), an alternative force field, which yielded similar results. Simulations were carried out using the GROMACS simulation package with a time step of 2 fs. For each run, 1,344 cores were used with a performance of about 85 ns/day. The size of the input file used in our simulations was 49 MB. Each simulation run produced 8 files. Except for the trajectory file, the sizes of the files were < 500 MB each. In total about 23 million core hours were used together with 5 TB of storage space reserved for the project.

The IR ectodomain system was successfully modelled and equilibrated in its inactive state (Fig. 2) and thus proved applicable for further studies that include insulin. Insulin exhibits complex binding characteristics featuring multivalent binding to the receptor. The hormone as well as each IR monomer contains two distinct binding surfaces. Insulin is envisioned to bind its receptor at one site first and then – with its second binding site – to bind additionally to the second binding site in the opposing receptor monomer thus establishing a cross-link. The precise mechanism of insulin binding, i.e., where and how it engages its receptor first, the process

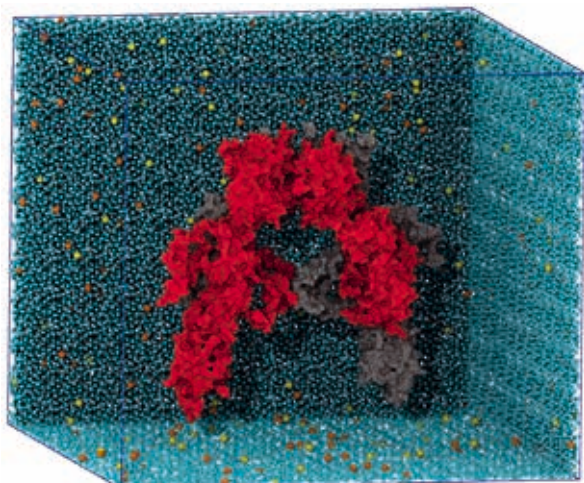


Figure 2: The solvated and ionized insulin receptor ectodomain with one monomer colored in red and the second monomer colored in silver. Na⁺ and Cl⁻ ions are displayed as orange and yellow spheres, respectively. © 2018 Chetan Poojari.

of cross-linking the receptor halves, and the dynamics of the structural transition have remained elusive to date.

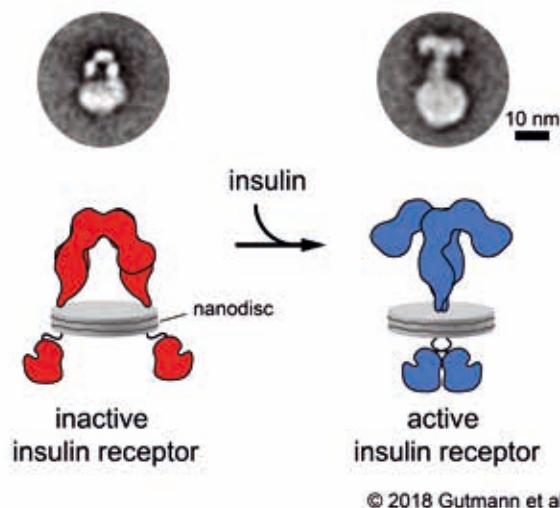
Insulin was docked to the receptor ectodomain in its inactive state based on previous crystallographic data (PDB ID: 4XZB and 4OGA) [2]. It should be emphasized that the insulin/IR-ECD complex simulated here represents presumably only the initial state occurring during the activation process. This complex was found to maintain stable interactions throughout the simulation periods without insulin dissociating from the binding site. Nevertheless, we observed only minimal changes within the structure of the IR-ECD.

Conflicting models describing the mechanism underlying IR activation have been proposed. Very recently, we successfully reconstituted full-length insulin receptors in lipid nanodiscs, i.e., small artificial membrane patches, and directly visualized the conformational change in the receptor by single-particle electron microscopy (EM) [1, 3]. This insulin-induced structural change is complex and requires domain rearrangements as illustrated in Fig. 3. The insulin-bound IR-ECD conformation obtained further support by cryo-electron microscopy [4]. These recent structures enabled us to update our systems accordingly, but – due to the large extent of structural rearrangements in the receptor – call for longer simulation runs in order to reveal the complete transition.

Ongoing Research / Outlook

Combining electron microscopy data [3,4] with our simulation efforts will provide further insights into this activation mechanism. Currently, we are able to model insulin-free and insulin-bound IR-ECD complexes, equilibrate their structures, and consider the dynamics of those complexes in the given states, but further simulation studies are required to understand the complete transition into the activated state leading to downstream signaling (Fig. 3).

Simulating systems of large size remains a challenging task and was possible here only because of the significant resources granted by SuperMUC. Before running the production runs, the scaling of the simulation models was carried out on both Phase 1 and Phase 2 clusters. The scaling performance improved with Phase 2, thus speeding up the calculations. In production runs, the dynamics of the receptor appeared to be too slow to monitor large structural changes. Thus, simulations at longer time scales (> 20 microseconds) are required to observe the enormous – yet slow – changes reported in experimental studies [3, 4]. The new SuperMUC-NG facility together with its superior architecture over the Phase 2 cluster would aid in performing multiple simulations of full-length IR models to characterize the full activation process, and in particular to conduct these simulations in parallel with a single job script without compromising the computing performance. Simulations at extended time scales to simulate the activation process are ongoing. Deciphering the complete process



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Figure 3: The mechanism underlying insulin receptor activation. 2D class averages of full-length IR embedded in a nanodisc obtained by electron microscopy (top) and corresponding cartoon presentation (bottom) [3].

of activation – resolved in space and time – remains of eminent importance to understand insulin action and to develop targeted strategies for treating pathologies such as diabetes.

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Structure and Dynamics of Respiratory Complex I

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SuperMUC Project ID: pr48de

Introduction

Conversion of foodstuff into energy is essential for all living systems. In eukaryotes, this process takes place in the mitochondria by enzymes of the respiratory chain. Figure 1 shows the largest of those enzymes, complex I or NADH:ubiquinone oxidoreductase, which initiates the cell respiration process in many aerobic organisms. The energy conversion by these respiratory enzymes is achieved by pumping protons across a biological membrane. This creates a potential difference across the membrane, similar as in a battery, that is used in subsequent

steps to create new molecules that thermodynamically drive other biological processes. Since energy is required to move protons across the membrane, the enzymes of the respiratory chain use a series of exergonic chemical reactions and couple them to the proton translocation.

Complex I employs the energy from the electron transfer (eT) process from NADH to the quinone (Q) to pump four protons (H^+) across the membrane. Membrane-embedded subunits are responsible for the proton translocation (pT) process, but the mechanism is far from being understood. Elucidation of this mechanism is, however, funda-

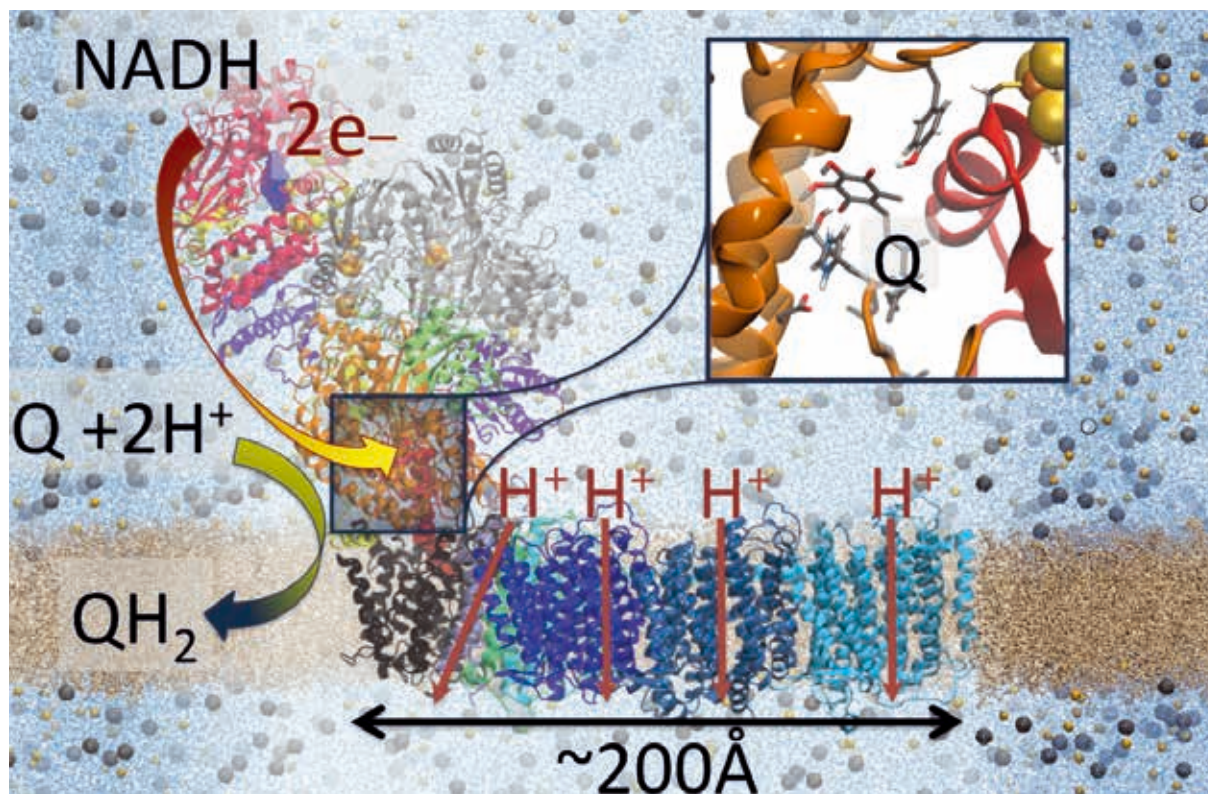


Figure 1: Crystal structure of respiratory complex I (PDB ID: 4HEA). The hydrophilic and membrane domains are responsible for the electron transfer and proton transfer reactions, respectively. Quinone reduction (modeled in the crystal structure) triggers the proton pump up to ca. 200 Å away from the Q-binding site. Inset: Computationally predicted quinone binding mode near the terminal iron-sulfur cluster N2.

mental for understanding the molecular principles that nature uses to convert energy. Moreover, understanding the function of complex I has also important biomedical implications, as many mutations in this enzyme are linked to human mitochondrial disorders. This research project focused on how the movement of electrons from NADH to the Q site leads to formation of quinol (QH₂), and how this chemical process triggers proton pumping across the membrane (see Fig. 1).

Results and Methods

With our simulations performed at the HPC Supermuc, we elucidated several unclear mechanistic aspects of complex I that are essential for understanding key steps involved in activation of the pumping machinery.

Complex I uses quinone (Q) as a substrate, which reacts to quinol (QH₂) in a long, ca. 30-40 Å, protein cavity. We identified that the Q molecule can bind in both stacked and hydrogen-bonded binding modes with nearby residues, which in turn modulate the electron transfer rate (2). We also observed that the first electron transfer step is not coupled to proton transfer, whereas the second electron transfer steps leads to transfer of two protons from nearby residues (Fig. 2).

By modeling the Q molecule in the protein cavity, we found possible molecular reasons why complex I has a preference for long-tailed quinone substrates. These simulations also highlighted important structural regions, which are essential for protein function (3). To this end, our simulations showed that complex I is likely to employ a series of charged amino acids to transmit the “signal” up to 200 Å from the Q reaction site to achieve proton pumping. We observed putative pathways necessary to transfer protons across the membrane, and showed that these form at symmetry-related positions in the membrane domain of complex I (4).

The simulations also allowed us to compare the bacterial and complex mammalian enzyme motions, and connect them to recently resolved cryo-EM structures. Interestingly, the simulations showed that the motions in the

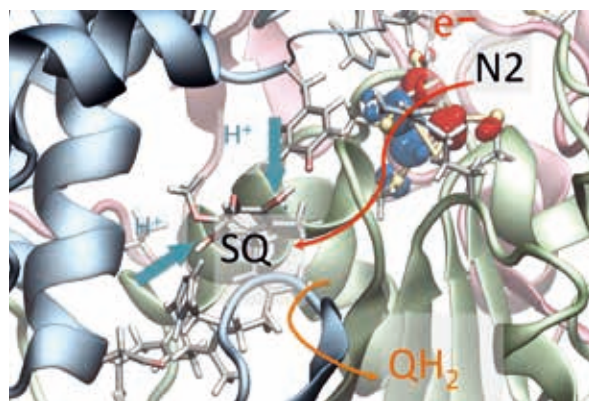


Figure 2: Process of quinone reduction. Once semiquinone (SQ) is formed, the second electron moves from the iron-sulfur cluster, N₂, and couples with proton transfer from nearby residues leading to formation of QH[•]/QH₂.

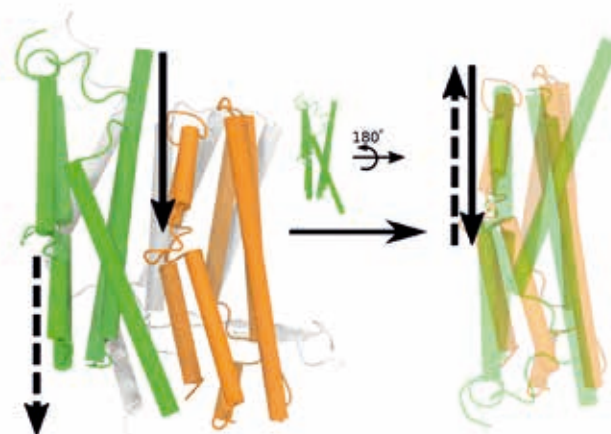


Figure 3: Antiporter-like subunits symmetry is reflected in the proton pathway formation across the membrane. Here the two symmetry-related channels are shown in orange and green (4).

two enzyme “versions” are similar, but not identical, and that the mammalian enzyme is likely to dynamically sample the so-called active and deactive forms of complex I. These findings more generally show how low-frequency motions in enzymes might be linked to the enzyme functions (5).

To elucidate the proton pumping mechanism, we employed long time-scale classical molecular dynamic simulations. The microsecond-timescale simulations were necessary to observe channel opening. The systems, comprising ~1 million atoms, were simulated with NAMD2, a highly parallelized code scaling up to 512 nodes (8192 processors). The entire project used 32 million CPU-hours. A total of ~10 TB of data was generated and stored in the project directories, and was then used for analysis.

On-going Research / Outlook

The HPC offered by Supermuc played a crucial role in the realization of this challenging, but highly successful project. The Supermuc offered unique resources that enabled our large-scale simulations that provided an essential step to derive the mechanistic models. The current data produced with Supermuc was key for our publications (2-5) and gave us new data used for the continuation of our research. Currently we have started a new Supermuc project with exciting follow-ups from the current project.

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Substrates of Intramembrane Proteases:

I Like to Move it, Move it!

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SuperMUC Project ID: pr48ko (Gauss Large Scale project), pr92so

Introduction

Intramembrane proteases control the activity of membrane proteins and occur in all organisms. A prime example is γ -secretase (GSEC), cleaving the amyloid precursor protein (APP), whose misprocessing is related to onset and progression of Alzheimer's disease (AD). Since a protease's biological function depends on its substrate spectrum, it is essential to study the repertoire of natural substrates as well as determinants and mechanisms of substrate recognition and cleavage. This is the aim of a collaborative research project [1] (FOR2290, DFG Forschergruppe "Understanding intramembrane proteolysis", Figure 1). Conformational flexibility of substrate and enzyme plays here an essential role for recognition, complex formation and subsequent relaxation steps leading to cleavage and product release [1,2].

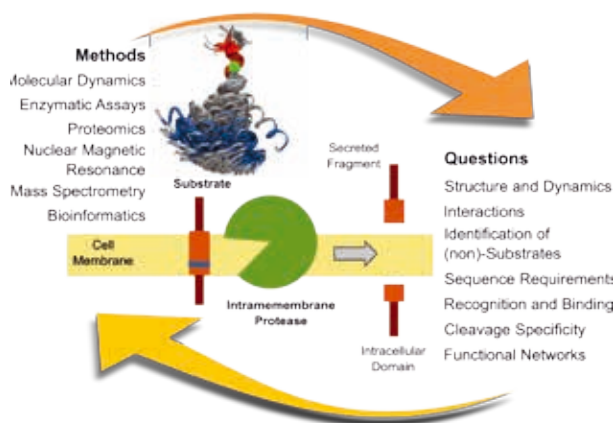


Figure 1: Defining repertoire and molecular architecture of substrates of intramembrane proteases ([1]). The insert shows an overlay of 150 MD structures of the membrane-spanning domain of APP.

Results and Methods

To uncover functional dynamics of substrates, we employ multi-scale molecular dynamic (MD) approaches that span a range of time and spatial scales. Simulations at atomistic (AT) resolution (CHARMM36 force field, mackerell.umaryland.edu) are used to analyze key aspects of struc-

ture and conformational dynamics (pr48ko) in the microsecond range. Coarse-grained (CG) models (MARTINI force field, cgmartini.nl) provide the long simulation times and large number of replicas required for a reliable prediction of substrate-enzyme contacts (pr92so). The applied simulation codes, NAMD2.12 (ks.uiuc.edu) and GROMACS5.1 (gromacs.org), are known to be highly scalable on the SuperMUC. Our *in silico* modeling approach closely connects with the *in vitro* investigations in order to interpret and guide the experiments, and to validate the simulations.

GSEC cleaves an array of over 90 diverse membrane proteins without showing preferences for specific sequence motifs. As cleavage occurs in the helical transmembrane domain (TMD) of the substrates, the relevance of structural and dynamical features of the substrate's TMD itself for processing seems obvious. We used AT MD simulations to investigate, whether TMDs of substrates join a common intrinsic conformational dynamics differing from the dynamics of non-substrates and disease mutants. Simulations of 2 μ s length have been performed for TMD model peptides (i) in a bilayer of POPC lipids (~42000 atoms), and (ii) in the low-dielectric 2,2,2-trifluoroethanol containing 20 vol-% water (~28000 atoms) mimicking the interior of the enzyme. Using the Sandy-Bridge architecture and 528 cores, we obtained 75 ns/day and 90 ns/day, respectively. The comparison with the results from enhanced sampling (78 runs, aggregate time 15.6 μ s) for four TMDs revealed reproducibility of the results from the 2 μ s simulations. To our knowledge, the data collected for 50 TMDs builds the largest database of atomistic MD simulations in the microsecond range. For a subset of model peptides, CG simulations were necessary to determine the impact of the crowded membrane environment used for the solid state NMR experiments (100 peptides, 3000 lipids, 35 water molecules per lipid, 150 μ s simulation time, 10 μ s/day using Intel-MPI with 700 cores on the Sandy-Bridge nodes). In total, the built-up of the database consumed 45 million core-hours and occupies ~50 TB of disk space.

The challenge was to identify features which provide both, characterization and discrimination of conformational dynamics with high significance. To meet this

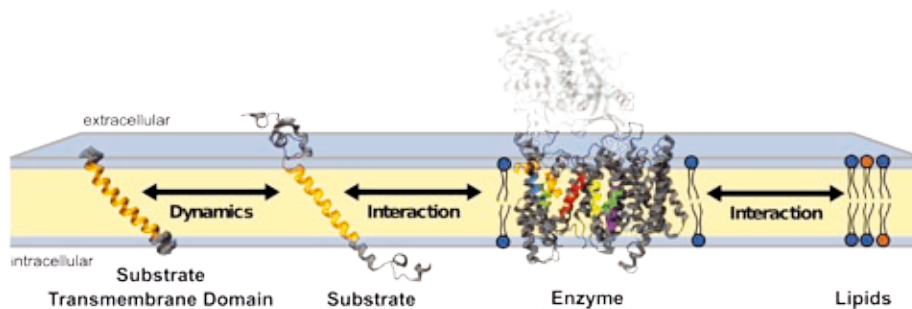


Figure 2: From internal dynamics to functionally important interactions regulating intramembrane proteolysis.

challenge, we applied a bottom-up approach, investigating (i) local structural and dynamical parameters, (ii) global backbone motions, and (iii) helix orientation in the membrane. The analyses provides evidence that the sequence diversity of the membrane-embedded part of GSEC substrates translates into a comparable diversity of local and global flexibility, which is also shared by non-substrates. This finding challenges the original assumption [1,2] that substrates are recognized due to a unique pattern of intrinsic backbone flexibility of their TMDs. Ultimately, substrate specificity involves the subtle balance of interactions between substrate, enzyme, and lipids in the crowded cell membrane (Figure 2).

Even though there is no structure of an enzyme-substrate complex available, the redistribution of conformational dynamics in response to binding-induced stiffening at docking sites can be investigated. The relevant information is obtained by post-processing dynamic cross-correlations of residue fluctuations recorded in the unbound state and allows to scan a large number of experimentally guided interaction models without additional simulations. The scans for the APP TMD [3] revealed that motions targeted by disease mutations are involved in binding-induced relaxations, but differ from the motion favored in the unbound state (Figure 3). Thus, motions contributing to unbound-to-bound conformational changes might be another key to understand determinants of substrate cleavage.

A major breakthrough was the structure determination of GSEC based on cryo-electron microscopy in 2015. In order to computationally predict substrate-enzyme contact interfaces, we set up the CG approach DAFT (Docking Assay For Transmembrane Components, cgmartini.

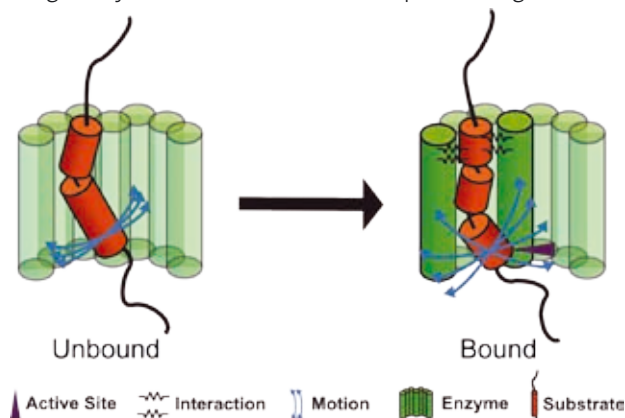


Figure 3: Binding-induced reorganization of the APP TMD dynamics.

nl) for the APP TMD-GSEC pair. A typical DAFT run consist of 1000 replicas of a system with ~80000 heavy atoms distributed to 8000 cores and reaches 850ns/day on the Sandy-Bridge nodes. This scaling and the good correlation between *in silico* predicted and experimentally determined dimerization interfaces of the APP TMD with single GSEC helices provides the basis for the *de novo* prediction of binding sites for a variety of substrates.

Recently, domain swap experiments provided evidence for a regulation of the enzyme-substrate assembly by the extracellular regions. Taken together, the results from the MD simulations as well as *in vitro* experiments suggested that substrate recognition and regulation of cleavage efficiency might be more complicated and shifted the discussion from intrinsic dynamics of substrate TMDs to interactions of full-length substrates determining assembly of the substrate-enzyme complex, that in turn modulates cleavage. These questions will be in the focus of the renewal request of FOR2290 submitted in May 2018.

On-going Research / Outlook

The large number and length of the simulations required in this project, made the use of SuperMUC indispensable. With a production of ~90ns/day for an atomistic system with 28000 atoms, the performance almost doubled compared to 2016 (SuperMUC, Phase 2). In the follow-up project (pr27wa) we will focus on the extracellular domains of the substrates, their coupling with the TMD, as well as interactions of the full-length substrates with the enzyme – again in close connection to investigations within FG2290. A large conformational space of the soluble domains makes replicate simulations and a much longer simulations time necessary. Both will benefit from enhanced performance provided by SuperMUC-NG. A main challenge will be the analysis of the data sets across multiple simulations.

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Conductance mechanism of the membrane channel GLIC upon opening and closing

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SuperMUC Project ID: pr48pa (Gauss Large Scale project)

Introduction

All living organisms are made of cells. Cells separate their interior from the exterior environment by the cell wall, the so-called plasma membrane. Embedded in this membrane are (among many other functional proteins) various channel proteins that control what goes in and out of the cell. A channel protein acts as gate and gate-keeper rolled into one: Depending on its type it will only let specific molecules pass when it is open. The so-called aquaporins for example let only water molecules pass; another important type of channels control the influx and efflux of ions and are therefore called ion channels. These are fundamental to all living beings as they maintain vital electrochemical gradients across the cell membrane and enable electrical signaling across cells. Key characteristics of ion channel function that can be measured experimentally are ion permeation rates and selectivities, i.e. preferences for types of ions.

A particular group of ion channels with very interesting properties are the pentameric ligand-gated ion channels (pLGICs). They play a key role for fast synaptic signal transduction in brain and muscle and are, as the name suggests, composed of five similar (or identical) subunits. As illustrated in Fig. 1 (left), they consist of a large extracellular domain (ECD) and a somewhat smaller transmembrane domain (TMD), which includes the channel's pore. While pLGICs remain closed in their resting state, they can be activated by binding of small molecules (ligands) to the ECD. An intriguing property of pLGICs is that ligand binding at the exterior side leads to structural rearrangements that propagate through the channel to the remote TMD, where they trigger pore opening (Fig. 1). This strong coupling between ligand binding and pore opening seems to be the universal gating mechanism in all pLGICs.

In this project, the scientists want to shed light on this intricate gating mechanism by characterizing and ex-

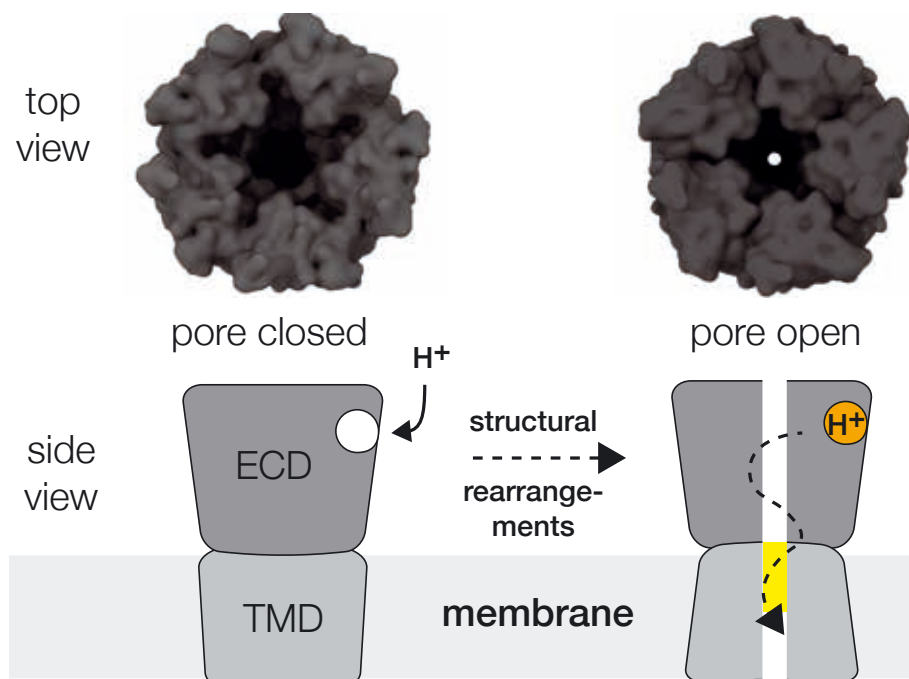


Figure 1: Sketch of the proton (H^+) activated gating mechanism of a pentameric ligand-gated ion channel. Left: Lowering of the extracellular pH leads to a protonation of the ECD. This in turn triggers a cascade of structural rearrangements that ultimately induce opening (right) of the membrane-embedded pore (yellow).

plaining it in physical and energetic terms. To that end, an all-atom model of a pentameric ligand-gated ion channel was built from high-resolution atomic structures that have recently become available. To mimic its natural cellular environment, the channel was embedded in a lipid membrane and surrounded by water and ions.

Approach

To study the gating process of pentameric ligand-gated ion channels in general, the GLIC channel from *Gloeobacter violaceus* is used as a prototypic system. GLIC is structurally highly similar to physiologically important pLGICs in humans like acetylcholine, GABA, and glycine receptors. In contrast to other pLGICs, GLIC is proton regulated, which means that an extracellular pH drop is the trigger for pore opening (Fig. 1).

Well-resolved X-ray crystal structures exist for what is proposed to be the open (PDB identifier: 4HFI [5]) and the closed state (PDB: 4NPQ [4]) of GLIC, which are used as starting points for all-atom molecular dynamics (MD) simulations. As the time-scale of GLIC opening (from extracellular pH drop until pore opening) is about a millisecond, it is not possible to trigger and directly observe the gating process in a single, long MD simulation. For computational reasons, MD trajectory lengths are currently limited to about a microsecond. Therefore, the scientists took an alternative approach by simulating the individual stages of the gating process in a large ensemble of simulations. Using the two all-atom models built from the 4HFI and 4NPQ structures, about 50 individual stages were linearly interpolated between those with an increasing degree of openness.

The fundamental question that is addressed in the initial phase of the project is whether the 4HFI and 4NPQ experimental structures indeed capture the conducting

and the non-conducting state of GLIC. Confirming this is a prerequisite for further investigations into GLIC's opening/closing mechanism. To determine the conductance properties of the 4HFI and 4NPQ experimental structures as well as of the in-between interpolated stages, computational electrophysiology (CompEL) simulations [1,6] were performed with the GROMACS molecular dynamics package [2,3]. In a CompEL setup, two MD systems are stacked on top of each other, with each system consisting of a channel in a membrane surrounded by water and ions (Fig. 2). This way, in periodic boundary conditions, two separate compartments are formed such that ions can get from one compartment to the other only by passing a channel. A charge imbalance is applied between the compartments by placing a few more positive ions in one compartment and a few more negative in the other. This leads to a potential difference ΔU which induces an ionic current through the channels, if they are open and conducting. To prevent that the current dissipates the ionic charge imbalance, ion/water pairs are artificially exchanged between the compartments as needed to restore the original charge imbalance, leading to a steady flux of ions through the channel(s). This protocol allows to determine the conductance properties of a channel like in a real electrophysiological experiment.

The questions addressed with the simulations are: (i) Is the 4HFI crystal structure indeed conducting and the 4NPQ structure indeed nonconducting? (ii) What happens during pore opening? What distinguishes the conducting from the nonconducting structural state? (iii) Is the conductance behavior determined by the transmembrane part of the channel alone or does the extracellular domain also play a role?

The time-scale of GLIC opening of about a millisecond renders the direct simulation of this process impossible, as trajectory lengths are currently limited to about

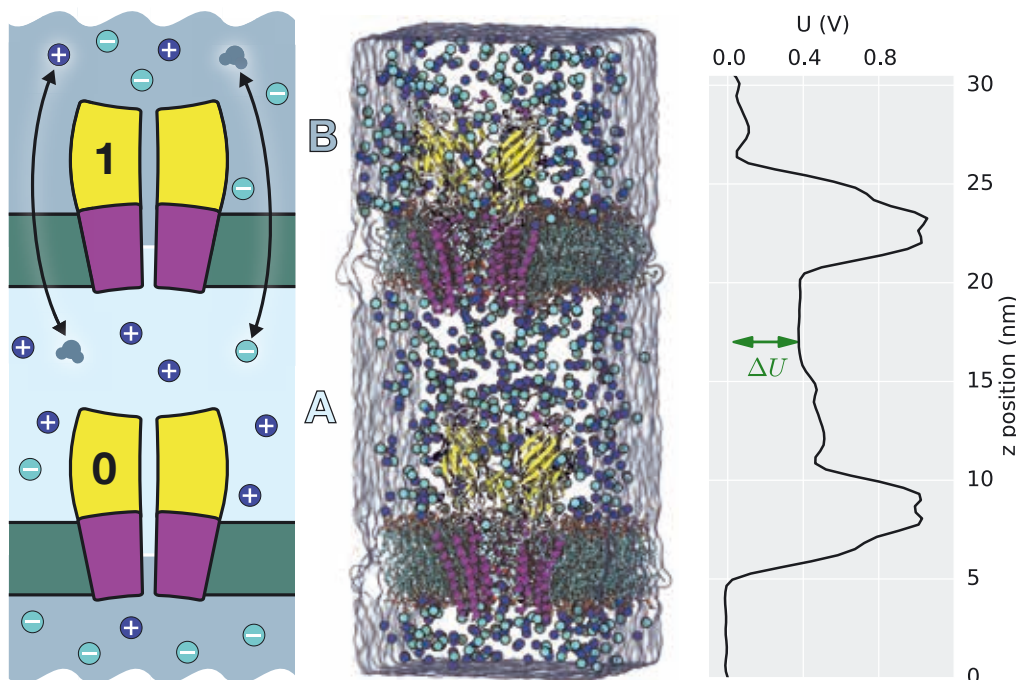


Figure 2: The Computational Electrophysiology (CompEL) double-membrane setup allows to quantify conductance and selectivity in a molecular dynamics simulation by enabling a continuous recording of ion permeation events [1,6]. Right panel shows z -potential resulting from a charge imbalance of six elementary charges between the compartments for the GLIC simulation system, which altogether comprises about 600,000 atoms.

a microsecond due to computational reasons. This together with the fact that the pGLICs are rather large proteins (the complete simulation system comprises about 600,000 particles) makes this project extraordinarily challenging and only feasible on HPC resources like SuperMUC.

Work Completed:

To address the above questions, the scientists have determined the ionic conductivity of the GLIC channel for 50 stages along the opening coordinate in CompEL setups. MD simulations were carried out both for the whole channel as well as just for the transmembrane part, to study the effect of the extracellular domain.

The simulations clearly established that the 4NPQ structure is nonconducting and that the 4HFI structure is conducting sodium ions (top panel of Fig. 3). The 4HFI conductivity calculated from the simulations lies in the low pS range and is thus compatible with experimental results (yellow region in Fig. 3).

Moving from left to right in Fig. 3 is equivalent to moving through the stages the channels visits in its opening motion. During the transition from closed to open, conductivity sets in at the same time as the pore fills with water (see left scale of Fig. 3). The more water is in the pore, the higher the conductivity gets.

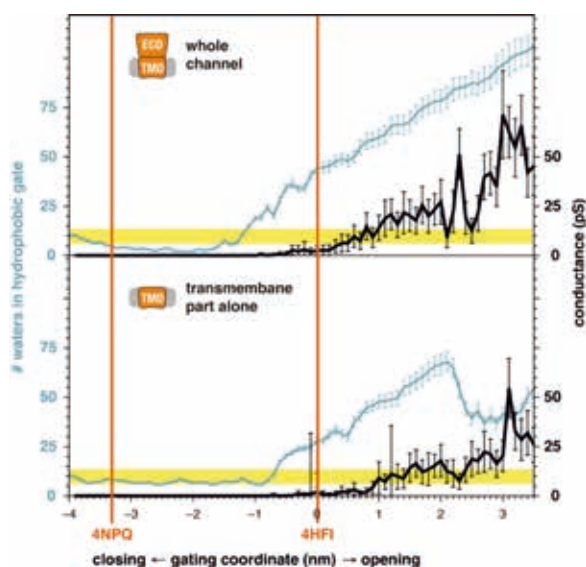


Figure 3: Pore hydration is a prerequisite for GLIC conductance. Both GLIC models are non-conducting at the closed position 4NPQ. When approaching the open position 4HFI, first the pore becomes hydrated (blue, left scale), then ion conduction sets in (black, right scale).

Right scale: Conductance determined from the ion permeation events in the simulations. Experimental values for the GLIC single-channel conductance are 6–10 pS (yellow horizontal bar).

Left scale: Number of water molecules in the upper part of the pore (yellow region in Fig. 1).

The scientists could also determine that the transmembrane part of the GLIC channel alone (without the large extracellular domain attached) shows a similar conductance behavior, however the conductivities are generally smaller and set in later in the opening process (bottom panel of Fig. 3). This indicates that the extracellular part also modifies the conductance behavior of the pore.

Although the simulations themselves are now successfully completed, the scientists are still a long way from concluding their research. The data generated by the simulations still needs to be thoroughly evaluated and interpreted. It forms the basis for further research into the molecular mechanism and the gating behavior of GLIC and pentameric ligand-gated ion channels in general.

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Computational Biomedicine: Predictive Mechanistic Models in Support of Drug Discovery and Personalised Medicine

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SuperMUC Project ID: pr53zu

Introduction

Our current project [1] aims to develop high fidelity, computationally based, predictive mechanistic models of biomedical systems which can be applied in support of drug discovery and personalised medicine utilizing today's top-level computational infrastructure. In the project, we investigate the robust application of free energy approaches in these two areas within a computing infrastructure of the highest performance. Therefore, this project will not only advance the particular fields in focus, but will lead to improved and novel insights for the operation of HPC machines at unprecedented levels, thereby serving as a lighthouse model for other domains. The theme of the project is gaining insight into the binding properties of proteins which represent key classes of drug target in important disease cases.

Over the past few years, we have uncovered and developed two new ways of calculating the free energy of binding of ligands to proteins. One is ESMACS (enhanced sampling of molecular dynamics with assumption of continuum solvent) [2]; the other is TIES (thermodynamic integration with enhanced sampling) [3]. We also investigate new approaches to enhance sampling and for more precise free energy estimations in an extension of the BAC workflow environment; the approaches include the most popular Hamiltonian-replica exchange (H-REMD) and its variants – replica exchange with solute tempering (REST2) and free energy perturbation with REST2 (FEP/REST2).

All of the above approaches we are using are ensemble based, which emphasise the theoretical necessity of invoking ensemble methods [4], which address a fundamental principle in using microscopic modelling methods to compute thermodynamic quantities: statistical mechanics mandates the calculation of the latter from ensemble averages of the former. They make use of ensemble averaging and recognise the Gaussian random process nature of MD trajectories.

For successful uptake in drug design and discovery, reliable predictions of binding affinities need to be made on time scales which influence experimental programmes. For applications in personalized medicine, the selection of suitable drugs needs to be made within a few hours to influence cli-

cal decision making. Therefore, speed is of the essence if we wish to use free energy based calculation methods in these areas. To perform modelling and calculation with optimal efficiency, we have developed the Binding Affinity Calculator (BAC) [5], a highly automated molecular simulation based free energy calculation workflow tool. BAC has considerable potential for uptake in the pharmaceutical industry for drug design and discovery, and in the more forward-looking field of personalized medicine for drug selection in a clinical context. We also developed a High Throughput Binding Affinity Calculator (HTBAC), which builds upon the RADICAL Cyber-tools, as the framework solution to support the coordination of the required scale of computations, thereby allowing us to employ thousands of cores at a time. With the automation workflow and the availability of high performance computers like SuperMUC, we have applied ESMACS and TIES approaches to over 20 different sets of compounds and protein targets, of which many have been performed within this project thanks to the substantial allocation of cycles awarded; these are listed in the following Results and Methods section.

Results and Methods

The underlying computational method is based on classical molecular dynamics (MD). We have found that an ensemble consisting of ca 25 replicas for ESMACS study [2], and an ensemble of a minimum of 5 replicas for TIES study [3] are required per free energy calculation in order to guarantee reproducibility of predictions. On multicore machines such as SuperMUC, this plays into our hands because, in the time it takes to perform one such calculation, all of the members of an ensemble can be computed. The method is therefore fast, with free energies being determined within around 12 hours. Considerable automation is necessary to perform these calculations, which consist of a large number of steps, including model building, production MD and data analytics performed on the resulting trajectory files, all on SuperMUC. Its execution is much faster and more error-proof when performed in an automated fashion which we have implemented by a judicious combination of BAC [5] and RADICAL-Cyber-tools, the HTBAC.

We have made very important progress in our research this year. We have been able to produce rapid, reliable, accurate

and precise predictions of binding free energies using both ESMACS and TIES. We are able to quantify the uncertainties in the predicted free energies with our ensemble-based approaches. Studies of some of the molecular systems have been completed and results published [6], others are either at the post-processing stage or at earlier stages where more simulations and calculations are required. Our predictions from ensemble simulations, some of them performed blindly, are in good agreement with experimental findings. Our findings have demonstrated that this approach is able to deliver an accurate ranking of ligand binding affinities quickly and reproducibly.

With the resource allocation in the current project, we have an extensive investigation of uncertainty quantification from alchemical free energy approaches [6], in which a few protein systems have been studied, including thrombin, bromodomain-containing protein 4 (BRD4), and fibroblast growth factor receptor 1 (FGFR1). The proteins belong to different classes, complexed with a set of diverse ligands; the molecular systems are chosen in the study to exhibit the wide applicability of the methods we are using, and the essential of uncertainty quantification in the calculations. In the study, we have applied different schemes to study the effects of conformational samplings and the use of free energy estimator on the accuracy and precision of the final results. Our study shows that the results from ensemble approaches are accurate and precise for a range of ligand-protein complexes and the schemes have a built-in mechanism to control errors [6].

We have been performing ensemble simulations for a more complicated molecular system, G-protein coupled receptors (GPCRs) complexed with a series of ligands and embedded in a solvent and lipid environment. Our preliminary results are very encouraging: we have successfully computed the binding free energies using TIES and ESMACS. The results show that TIES is a powerful method capable of accurately transforming neutral ligands and is possible to employ for other GPCR systems or complex systems. The binding free energies from ESMACS shows that ligands can be investigated from two angles, ligand class and selectivity; both of which give a story regarding conformational dynamics.

We also investigate the potential profile along the binding path of ligand into the binding site of GPCR using a metadynamics approach. The metadynamics samples large scale conformational changes and calculates the free-energy surfaces along a given reaction coordinate, the binding path here. We are comparing the difference of two members of GPCR family, which bind with a same ligand with different kinetic and thermodynamic properties. Drug selectivity for different protein targets will be studied here using both the metadynamics and the free energy calculations.

We are also applying TIES to another case where protein mutations are introduced. Such a study is directly related to personal medicine where drugs are selected for a given patient based on their genetic profile. This is because mutations usually affect drug efficacy as they change the binding affinity of drugs with their target protein, or change the activation of the target protein, or both. Our binding affinity calculator is capable to predict the changes of binding affinities upon

mutations. We have extended our TIES approach, named as TIES-PM (protein mutation). The combination of ensemble-based TIES-PM with REST2 is able to sample relatively large conformational changes which cannot be sampled using normal TIES within a reasonable time scale.

On SuperMUC, we have been using NAMD and AmberTools packages for ensemble simulations of biological systems of interest. A typical calculation requires ca 9,800 cores using ESMACS and ca 12,740 cores using TIES. We can fill up a single phase of the machine (i.e. using ca 160,000 cores) and compute ca 16 binding affinities using ESMACS; or ca 12 relative free energies using TIES, within the space of 12 hours in each case; and double this turn around if we also make use of both phases of SuperMUC, as we did two years ago on SuperMUC with a Giant Workflow. So far more than 20 million CPU hours have been consumed in this project.

On-going Research / Outlook

There are some on-going studies currently using the allocations from this project, including the simulations of GPCRs and the TIES-PM simulations listed in the above section. There are some other activities at different completion stages; except the GPCR systems, we are mainly focusing another distinct target class: immunological complexes; These includes peptide-major histocompatibility complex (pMHC) and T-cell receptor-pMHC (TCR-pMHC) studies. The computational objective is to quantitatively predict the binding affinities and residence times of peptides to MHC, pMHCs to TCR, together with the residence time of peptide in MHC. We have performed some of the (TCR)-pMHC systems. The immunology complexes are more complicit than the small molecule-protein systems we have studied, as they involve protein-protein interactions and large scale conformation changes. All these will require longer simulation time and more number of replicas for accurate and precise binding free energy estimations.

We have just got a large dataset of ligand-protein systems from Janssen Research and Development, Belgium, a partner in the CompBioMed. Extensive simulations of ESMACS studies will be performed for the entire dataset, and some selected ligands for TIES simulations where applicable. This study will be directly related to a drug development area where binding affinities can be made in blind and insights can be provided on how to optimise a ligand, a key process in the hit to lead and lead optimization steps of drug discovery.

We plan to use the remaining allocation of CPU time in the following year to complete all of the remaining studies and the new planned study on Janssen dataset as listed above. Workflows including but not limited to ensemble simulations will be required for all of these calculations. The ensemble-based calculations make optimal use of SuperMUC Next Generation (SuperMUC-NG).

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Conformational dynamics in Alzheimer peptide formation and amyloid aggregation

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SuperMUC Project ID: pr48po

Introduction

Self-assembly of peptides into ordered amyloid fibrils is associated with several neurodegenerative diseases including Alzheimer's disease. The key component of the pathological aggregates in case of Alzheimer's disease is the so-called A β peptide resulting from the cleavage of the amyloid precursor protein by the intra-membrane γ -secretase enzyme. The primary function of the enzyme is the proteolytic degradation of membrane proteins. Recently, the structure of γ -secretase has been determined [1] which gives important insights into the complex structural arrangement of several subunits forming the active enzyme. The enzyme active site is localized in the membrane spanning presenilin subunit. The enzyme undergoes important conformational changes during the proteolytic reaction cycle. A full understanding of the enzyme function and the design of inhibitors for interfering with A β peptide generation and amyloid formation requires also an understanding of the enzyme conformational flexibility. To elucidate the local and global dynamics of the large γ -secretase complex we have employed extensive molecular dynamics (MD) simulations of γ -secretase using the SuperMUC parallel computer resources. In a parallel study we have also used extensive MD-simulations combined with advanced sampling techniques to study the amyloid propagation and nucleation processes at atomic detail. The results of the studies give important insights into the mechanism of amyloid peptide production and the process of peptide aggregation to form pathological amyloids.

Results and Methods

Dynamics of γ -secretase in a phospholipid membrane

The simulations on γ -secretase have been performed on the entire enzyme complex embedded in a phospholipid membrane and including explicitly the surrounding aqueous solvent (Figure 1). The use of SuperMUC resources allowed us to run simulations for several micro-seconds starting from different start structures and simulation conditions. It was possible to identify long-lived

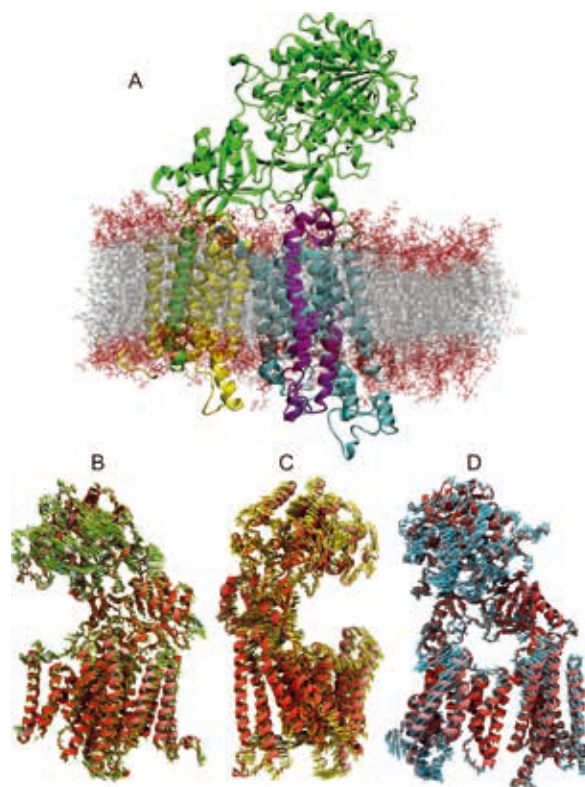


Figure 1: (A) Simulation system of γ -secretase (cartoon representation) embedded in a phospholipid bilayer (grey and red sticks). The subdomains nicrastin, PEN, Aph-1 and the enzymatically active presenilin are shown in green, pink, yellow and blue, respectively. (B-D) Large-scale mobility illustrated as the three energetically most favorable global collective motions (indicated as arrows).

phospholipid binding sites that give hints on putative exo-binding sites for amyloid precursor proteins. The distribution of water molecules found during simulations indicates that the active site and a possible substrate binding channel are accessible for the aqueous solvent. This finding has important consequences for inhibitor design and for understanding how substrate peptides and proteins access the enzyme active site. The most dominant global motions extracted from the sim-

ulations correspond to bending and screwing motion of the extracellular nicastrin subunit relative to the membrane-spanning domains which can influence the recognition of the extracellular part of substrate proteins (Figure 1).

Amyloid propagation and secondary nucleation

In addition to the dynamics of γ -secretase responsible for the generation of A β Alzheimer amyloid peptides, we also performed simulation of fibril formation. As a model system we used the A β_{9-40} fragment that forms amyloid fibrils in vitro. Using Umbrella Sampling simulations in combination with a replica-exchange advanced sampling method we were able to compare two important sub-processes of amyloid formation. The propagation process of an already formed fibril corresponds to the binding of monomeric amyloid peptides at the tips of an already formed fibril fragment (Figure 2). In extensive simulations we were able to obtain a free energy profile for the process along a dissociation/association reaction coordinate and obtained a binding free energy change in good agreement with experiment. Based on the simulations we derived a model for A β association and propagation (illustrated in Figure 2) and were able to estimate also the kinetics of the processes with a fast docking but slow locking phase [2]. Sufficient sampling of possible conformational states is a major issue for the extraction of accurate free energies of binding and for elucidating a mechanistic model of the association and propagation process which required the SuperMUC parallel computer resources.

Besides of the filament propagation at the tip of an already formed filament, a second important process to form pathogenic fibrils is the nucleation of new fibrils often promoted by already formed amyloids. Exactly this process might be responsible for the formation of toxic oligomers. Such secondary nucleation may involve the hydrophobic lateral surfaces of fibrils and we performed free energy simulations to characterize the binding of monomers and short fragments of filaments to attach to the lateral surface of a pre-formed existing filament (Figure 3). Interestingly, the calculated free en-

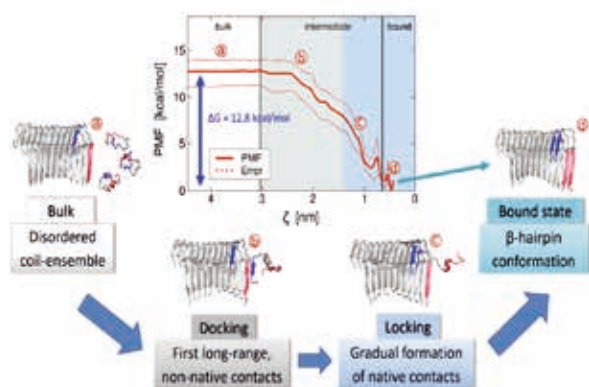


Figure 2: Calculated free energy profile (upper panel) for the propagation of an already formed A β_{9-40} filament (grey cartoon) by one A β monomer (red/blue cartoon) binding to the filament tip. The simulations support a model consisting of an initial docking followed by a slow locking phase to propagate the native filament at the tip.

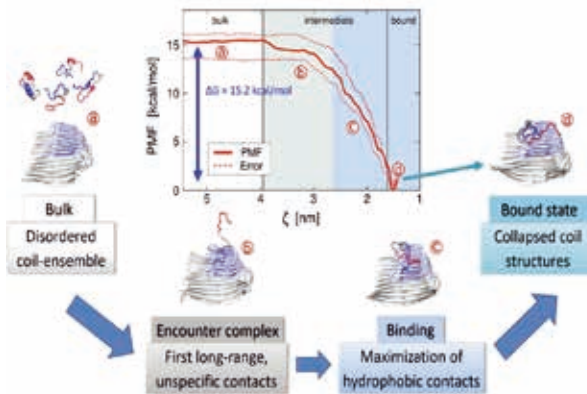


Figure 3: Calculated free energy profile (upper panel) for the binding of one A β monomer (colored cartoon) on the lateral surface of an already formed A β_{9-40} filament (grey cartoon). The association process involves an encounter phase with few initial contacts followed by a maximization of hydrophobic contacts to form a complex with irregular A β peptide structure bound to the lateral filaments surface.

ergy for binding of A β peptides to the lateral surface of an already formed filament is similar to the calculated propagation free energy indicating that both processes may compete. However, the simulations indicated that binding of peptide monomers, dimers or trimers resulted in bound structures that deviate significantly from the conformation in a filament and hence are unlikely to form productive nuclei for initiating new filament propagation. Only filaments that included four monomers resulted in complexes with a stable filament type structure that can initiate the formation of new filaments (Figure 3).

On-going Research / Outlook

Understanding the mechanism of A β peptide production and fibril formation is of major biomedical importance. The large scale simulations of γ -secretase as well as umbrella sampling and replica exchange simulations on filament formation show excellent scaling on parallel super computers. In future research we plan to study the influence of mutations on domain motions of γ -secretase and how mutations affect amyloid formation and propagation both in collaboration with experimental groups at TUM. The simulations of amyloid propagation and γ -secretase dynamics were only possible by using the SuperMUC parallel computer facilities.

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Scalable Computational Molecular Evolution Software & Data Analyses

RESEARCH INSTITUTION

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1KITE initiative

SuperMUC Project ID: pr58te (Gauss Large Scale project)

Introduction

The field of phylogenetic tree reconstruction strives to infer the evolutionary relationships among a set of organisms (species, frequently also denoted as taxa) based on molecular sequence data. Recent advancements in sequencing technology, in particular the emergence of so-called next generation sequencers, have generated an avalanche of sequence data, that now makes it possible to use whole transcriptomes and even genomes of a large number of species for tree reconstruction.

Likelihood-based approaches (Maximum Likelihood and Bayesian Inference) represent an accurate and widely used, but at the same time also highly compute-intensive approach for reconstructing phylogenetic trees. In 2017 and 2018 we were able to substantially improve the scalability and efficiency of two Maximum Likelihood based tools for tree reconstruction and phylogeny-aware identification of anonymous molecular sequence data on SuperMUC.

In addition, we analyzed several empirical large-scale datasets in collaboration with biologists.

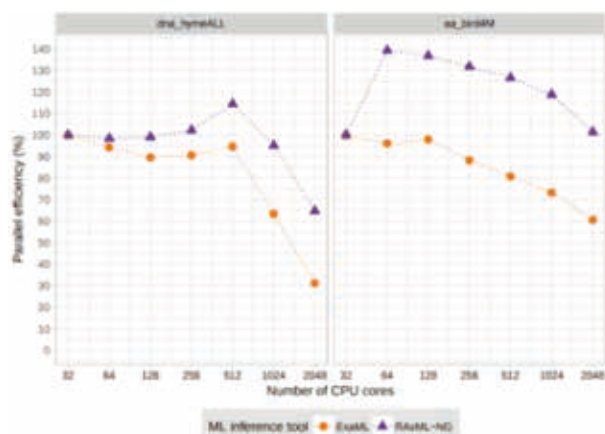


Figure 1. Super-linear speedups of the hybrid MPI-PThreads version of RAxML-NG versus ExaML on large scale DNA (left) and amino acid datasets (right).

Scalable Software

A key focus of our lab is on developing methods in conjunction with large-scale empirical data analyses. In 2017 there has been substantial progress in developing and releasing novel, scalable open-source codes for phylogenetic inference. Our new tools rely on an open-source library for efficient phylogenetic likelihood calculations that is available as open source code under AGPLv3 (<https://github.com/xflouris/libpll-2>).

RAxML-NG: In 2017, we released the complete re-design of our flagship tool for phylogenetic inference RAxML (over 20,000 citations on the four main papers, Google Scholar, accessed March 2018) as open source code under AGPLv3 (available at <https://github.com/amkozlov/raxml-ng>). RAxML-NG has substantially superior sequential as well as parallel performance compared to RAxML and also compared to our previous dedicated tool for supercomputers (ExaML, see below). RAxML-NG integrates all optimizations from RAxML as well as ExaML and scales from the laptop to the supercomputer. In addition, we have designed a highly efficient hybrid parallelization that achieves spectacular super-linear speedups (up to 140%) due to increased cache efficiency. In Figure 1 we show a parallel efficiency comparison between RAxML-NG and ExaML on two large-scale DNA and amino acid datasets. Note that, that phylogenetic likelihood calculations are predominantly memory bandwidth bound.

EPA-NG: In 2017, we also released the complete re-design of our Evolutionary Placement Algorithm (EPA) as open-source code under AGPLv3 (available at <https://github.com/Pbdas/epa-ng>).

The EPA places anonymous sequences as obtained from metagenetics studies onto a given reference phylogeny using the Maximum Likelihood criterion. As a data analysis of protists living in neotropical forest soils revealed (mentioned in our previous report, published in *Nature Ecology & Evolution* in early 2017; also see, e.g., press coverage <https://insidehpc.com/2017/03/>

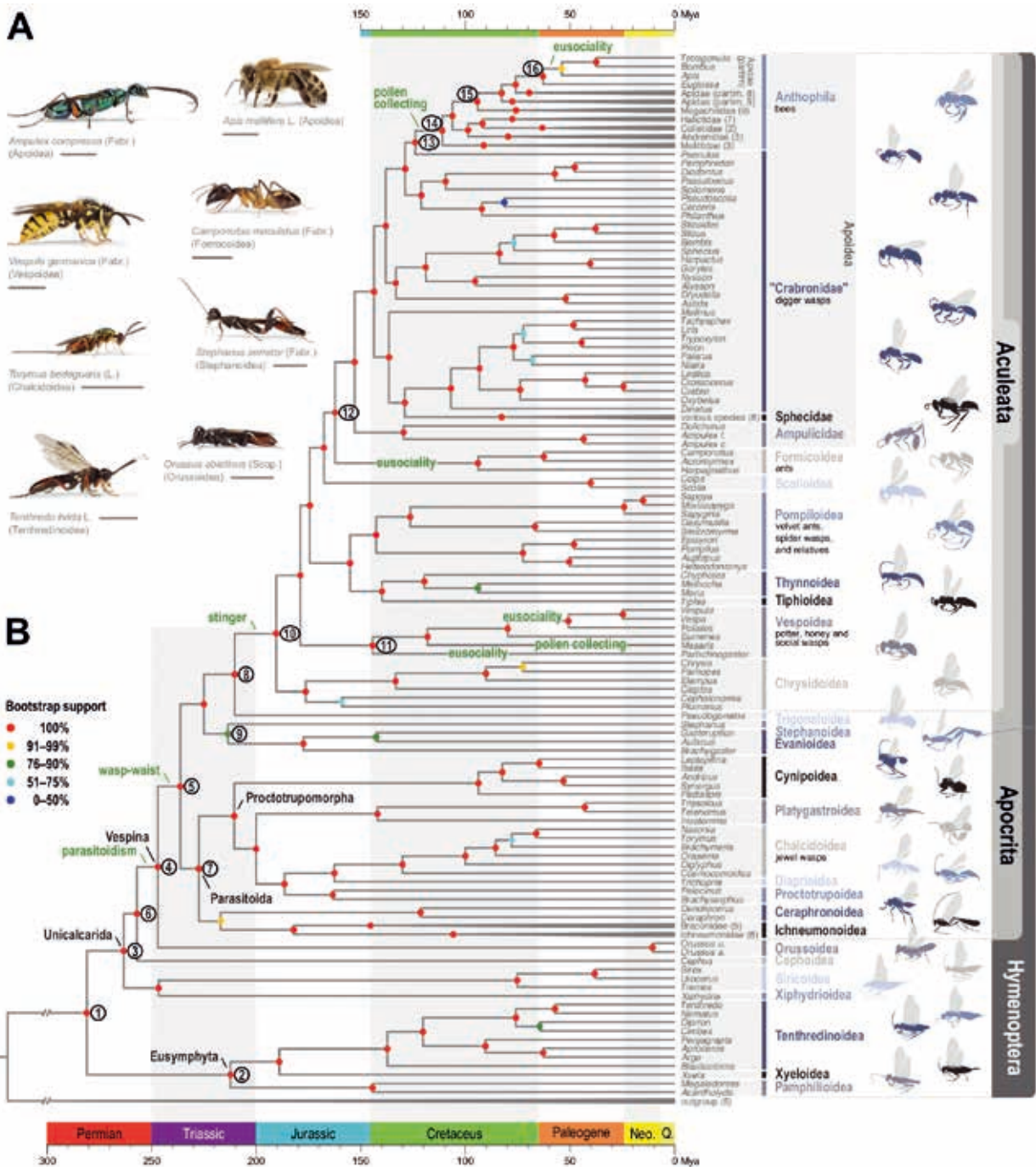


Figure 2. Phylogenetic tree of the Hymenoptera.

supermuc-helps-discover-new-species-critical-rain-forest-ecosystems/) our previous implementation had reached its performance limits as the number of molecular sequences produced by such studies steadily increases. The new version is between 3.5 to 370 times faster than our previous implementation (depending on heuristic parameter settings) and also 30 times faster than a competing tool for the same purpose called pplacer. In addition, we have also designed a novel parallel version of the tool that exhibits good parallel strong scaling efficiency (see Figure 2).

While the papers describing RAXML-NG and EPA-NG have not been submitted yet, we believe that both are likely to become high impact papers.

Except for the tools presented here, we have also developed and released a new tool for phylogenetic model testing and continued work on improving load balance of phylogenetic likelihood calculations via appropriate data distribution algorithms [5].

6

Scalable Data Analyses

In 2016 and 2017, we still used our previous dedicated supercomputer codes – ExaML and ExaBayes – to conduct several large-scale phylogenomic analyses in the context of the ongoing 1KITE project (www.1kite.org).

In particular, our work shed new light on the evolutionary history of a large group of insects that includes wasps, bees, ants, and sawflies (order *Hymenoptera*). This group exhibits several interesting evolutionary transitions, for instance, from plant-feeding to predation and parasitism (and back to pollen-collecting in bees), or from solitary to eusocial lifestyle.

We inferred a phylogeny of 173 *Hymenoptera* species using 3,256 protein-coding genes (>1,500,000 alignment columns). For thoroughly analyzing this large dataset, we used ~650,000 CPU-hours in total, while each individual run typically used 640 (ExaML) up to 1792 (ExaBayes) cores. Notably, we performed one of the largest Bayesian phylogenetic analysis to date and set new standards for what is feasible with current software and hardware in this area. The resulting phylogenetic tree is depicted in Figure 3.

Our study resolved the phylogenetic origins of bees and stinging wasps, and, in general, provided the basis for classification and comparative analysis of the *Hymenoptera*. Our findings were published in *Current Biology* [2].

Two smaller studies that focused on vespid wasps [3] and chalcid wasps [4] have been published in *Molecular Phylogeny and Evolution*. Among other findings, they confirmed that several important traits such as eusociality or the ability to jump have evolved multiple times independently in different wasp lineages.

Finally, we executed analogous phylogenomic analysis for three further insect subgroups: *Syrphoidea* (hoverflies), *Apoidea* (wasps and bees) and *Paraneoptera* (lice and thrips). The corresponding papers have either been accepted (*Syrphoidea* in *Systematic Entomology*) or are under review.

On-going Research / Outlook

With our novel efficient parallel software now in place (RAXML-NG and EPA-NG), we are ready to conduct further challenging large-scale phylogenetic analyses on SuperMUC and SuperMUC-NG. The key goal for 2018 is to analyze the final and extremely large insect dataset in the framework of the 1KITE project. This dataset contains roughly 1000 genes from about 1300 species. This dataset is particularly challenging as it contains, both, a large number of genes and a huge number of taxa. Note that, previous analyses of insect datasets on SuperMUC only contained between 100 – 200 species.

Another key challenge is to further optimize the I/O efficiency of EPA-NG as it constantly reads molecular sequence data from file and also generates large result files (current measured I/O throughput is 5Gbit/s).

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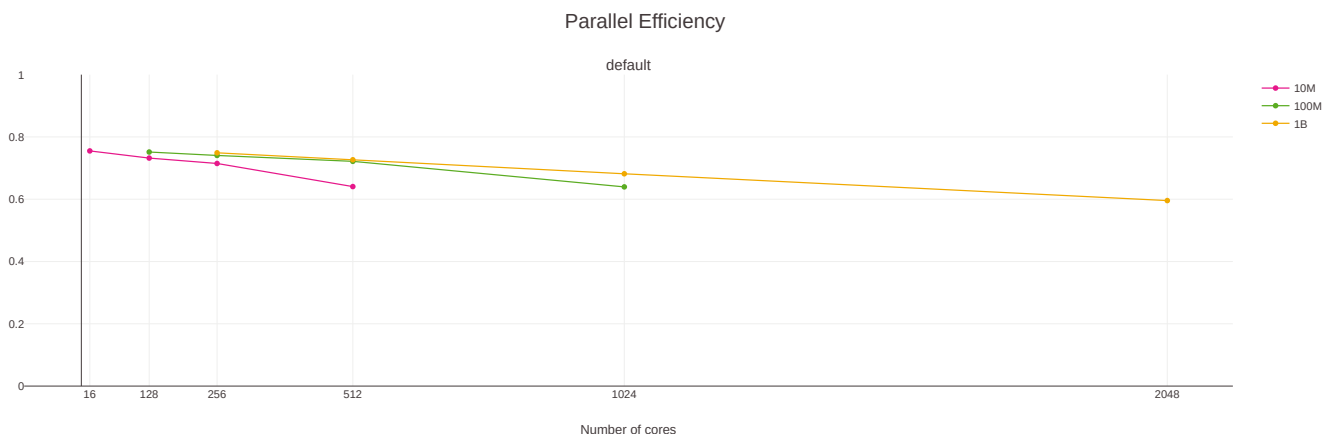


Figure 3. Strong parallel scaling efficiency of EPA-NG for placing 10 million, 100 million, and 1 billion molecular sequences into a phylogenetic reference tree on up to 2048 cores.

Structure and dynamics of nascent peptides in the ribosome exit tunnel

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SuperMUC Project ID: pr62de (Gauss Large Scale project)

Introduction

The ribosome is a stochastic nanomachine responsible for protein synthesis in all cells (Fig 1). It is a large biomolecular complex of several ribonucleic acid (RNA) strands, and of a few dozen proteins. Ribosomes translate the genetic information from the messenger RNA (mRNA) into a sequence of amino acids. The process of translation is regulated by several factors and involves a cyclic binding of transfer RNAs (tRNAs) into three specific sites (labeled A, P and E). Ribosomes are highly conserved across all domains of life. Any malfunction of the biomachine notably affects the cellular life cycle. Apart from their fascinating nature and function, ribosomes represent one of the most important targets for antibiotic binding [1].

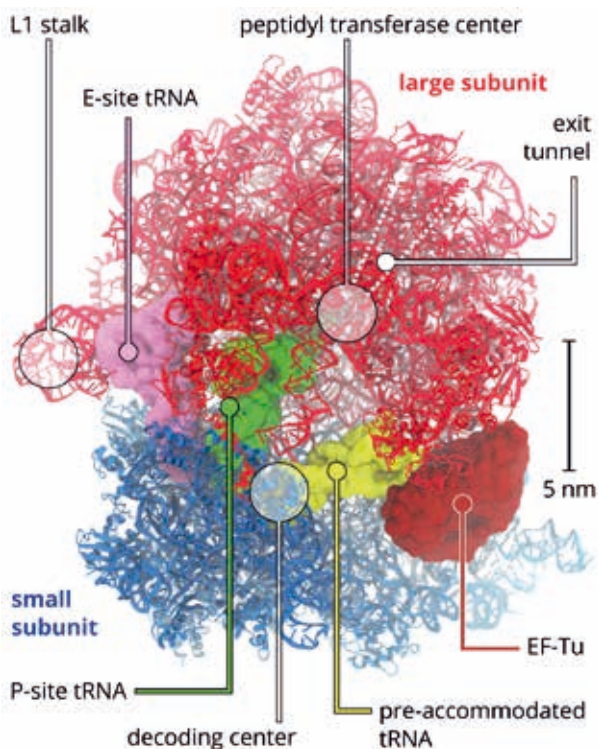


Figure 1: Anatomy of the bacterial ribosome (PDB: 5afi)

At the turn of the millennium, the atom-resolved structure of a prokaryotic ribosome was determined. Since then, a great quantity of structural information has been obtained from several species including *Escherichia coli*, *Bacillus thermophilus*, yeast and humans. Ribosomes read mRNA at a decoding site on their small subunit (30S). The peptidyl transferase center (PTC), where the peptide bonds are formed, is located deep within the ribosome in its large (50S) subunit and is formed by RNA only. The newly synthesized peptide, also called the nascent chain (NC), exits the ribosome through a tunnel spanning the 50S subunit (Fig. 1). The tunnel is about 10 nm long. Already the first structural studies suggested that the exit tunnel may facilitate secondary structure formation of the NC. It was suggested that the NC may fold or pre-fold within the exit tunnel. Only in recent years, has evidence for this co-translational protein folding emerged [2]. Despite this progress, many questions remain unanswered.

The exit tunnel is mostly formed by ribosomal RNA, which carries a highly negatively charged backbone. In contrast, the RNA nucleobases are rather hydrophobic. Two proteins contribute to the tunnel wall in its innermost part, namely proteins L4 and L22. These form a constriction within the tunnel and have been suggested to play some regulatory role. Some peptide sequences cause ribosome stalling; these stalled peptides can be released under certain conditions. Stalling is also of key importance for the action of many antibiotics. It means that the tunnel is a functional part of the ribosome. Moreover, the tunnel is filled with water and ions. The interaction between the NC and the complex, heterogeneous environment of the interior of the tunnel are not currently understood.

In the project we used an all-atom molecular dynamics (MD) simulations to study NC-ribosome complexes. We have developed a simulation protocol to study NC elongations. One by one, amino acids were added to the NC and its passage through the tunnel was investigated. Apart from several model peptide sequences, we have studied a NC called VemP, which was recently shown to regulate ribosome function.

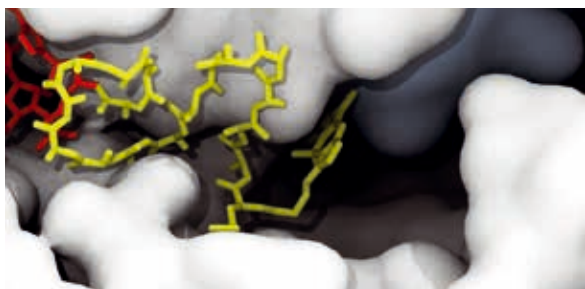


Figure 2: The compact structure of the BOF-labeled poly-alanine (yellow) in the ribosome tunnel (white surface). The P-site tRNA is in red.

VemP is a short peptide found in marine bacteria. Its structure has recently been resolved by a cryo-electron microscopy at atomic resolution. In the ribosome tunnel, VemP may adopt an extremely compact conformation of two α -helices joined by an S-shape loop. By means of all-atom MD simulations we have studied the dynamics and mechanical properties of VemP.

Our simulations pose several challenges which can be tackled only by high-performance supercomputer facilities. To address biologically relevant questions about ribosome structure and dynamics, the simulations need to have high spatial resolution and the simulation time needs to be sufficiently long [3]. Hence, our typical simulations contain more than 2 million particles whose time evolution is studied on multi-microsecond time scales.

Results and Methods

Model Peptides

We studied three model peptide sequences: poly-phenylalanine (poly-Phe), poly-alanine (poly-Ala), and poly-glycine (poly-Gly), which we have built on-the-fly using the MD-based simulation protocol and various simulation setups. Motivated by experiments performed by our in-house collaborators (Marina Rodnina group), some of the peptides were labeled by a fluorescent dye BOF. We observed slow relaxation of the poly-Phe, so only a chain of 6 amino acids was technically possible to accommodate into the tunnel during the simulations. Poly-Gly and poly-Ala simulations relaxed faster than poly-Phe possibly due to their smaller side chains. We were able to build from scratch a NC containing 16 amino acids. The pushing force, generated during the first steps of the amino acid addition in the simulations, dissipated quickly and did not propagate to distances beyond a few amino acids. As a consequence, the NC formed a compact fold already before the constriction site (Fig. 2). Overall, our simulations suggest that the pushing force, exerted to the C-terminus of the NC, cannot be solely responsible for the peptide passage through the tunnel.

VemP

We used MD simulations to study VemP dynamics. The initial atomic structure was kindly provided by the group of Roland Beckmann (LMU Munich). All of the VemP components were stable at the simulations time scales (up to microseconds). The outer helix showed higher flexibility than the inner helix (Fig. 3). In experiments, VemP reacts

to external mechanical forces. Hence we have carried out a series of non-equilibrium simulations to study mechanical properties of the VemP. We applied a force onto the VemP N-terminus directing towards the tunnel exit. We tested several parameters that define the unfolding rate. Our simulations suggested that the unfolding triggered by the external mechanical force on the N-terminus occurs in a stepwise manner. VemP, as a mechanical string, is loaded until intermolecular contacts are broken suddenly, which causes unfolding of a VemP part (Fig. 3).

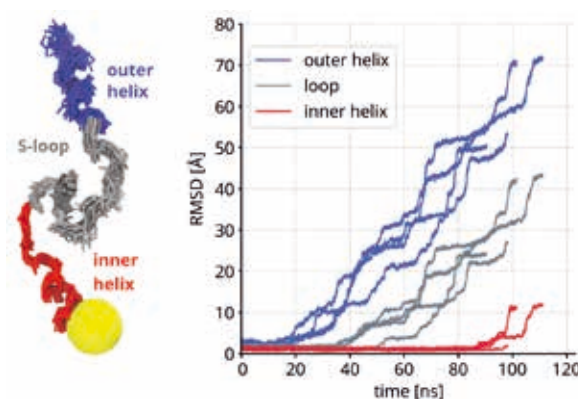


Figure 3: Left: Superimposition of 60 VemP conformations from the last 200 ns of the ribosome-VemP complex simulation. The PTC is represented by the yellow sphere. Right: Root-mean-square deviation (RMSD) of VemP parts calculated as a function of simulation time with respect to the initial (i.e. experimental) VemP conformation. Four curves of the same color stand for four independent non-equilibrium simulations.

Methods

All of the simulations were performed in GROMACS 5 package [4], which is available as a standard SuperMUC module. The code uses a mixed MPI/OpenMP parallelization and with our simulation boxes scales well up to several thousands of CPU cores. We typically used between 1792 and 7168 CPU cores. The simulations ran in chains using a checkpoint file based functionality of GROMACS. The simulations produced a large amount of data (tens of TB) which are still being analyzed.

On-going Research / Outlook

We have studied several NCs in the ribosome exit tunnel. Many aspects of the peptide elongation remain to be addressed. In order to avoid formation of a compact NC fold, we will combine two approaches that were used in the current Project, namely the pushing force generated by addition of an extra amino acid to the C-terminus with the pulling force applied to the N-terminus of the NC. Regarding the VemP peptide, we plan to study its mechanical properties in more detail. Molecular details of the unfolding will likely emerge from the analyses of the now-available trajectories.

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Replica Exchange Molecular Dynamics Simulation of the Switching Process in small GTPases

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SuperMUC Project ID: pr74bi (Summer of Simulation project)

6

Introduction

Small GTPases are a class of protein-based switches that play a significant role in many intracellular signalling events by switching between active and inactive states [1]. Depending on the switch state, its two important regulatory regions, namely switch I and II, adopt different conformations. While in the active state both regions are highly conserved and ordered, upon inactivation they become disordered [2]. The conformation and flexibility of switch regions are crucial for recognising the physiological binding partners. It has been revealed that post-translational modifications (PTMs) - such as phosphorylation, phosphocholination or adenylation - of specific amino acid residues modulate their activity [3]. Rab, Rho/Cdc42 and Ras GTPase subfamilies are among the most frequently targeted ones. Phosphorylations by protein kinases inside or outside the switch regions also manipulates the activity of G-proteins, as observed in Rab8a where Ser111, not inside the switch regions, is phosphorylated. The conformational consequences of such modifications help us understand their biological impact. The aim of this work, which is part of a project in collaboration with experimental groups within the framework of SFB1035 “Control of protein function by conformational switching”, is to understand how the switching mechanism is modulated through specific modifications by means of molecular dynamics (MD) and advanced sampling simulation techniques. Combination of MD and biased potential replica-exchange (BPRES) help us obtain an insight, in atomic details, on how PMTs interfere with GTPases switching mechanism.

Results and Methods

Our method relies on introducing a biased potential that promotes conformations with unfavourable backbone and side-chain dihedrals. The starting setup was composed of eight parallel MD simulations (also referred to as “replicas”) of the solvated protein-ligand complex. Along the replicas, the potential was increased to penalise low energy angles, namely the ones associated with α -helices and β -sheets. Based on a Metropolis criterion, every 1000 steps an exchange attempt between the

neighbouring replicas was allowed or rejected. The idea is that if we have enough exchange attempts, at the point of convergence there will be an unbiased representation of the conformational space. For simulating each complex, that is composed of 22480 atoms, including water molecules, 448 cores were deployed. Overall, 7 million of the granted 8 million CPU-hours was used. The biasing potential were targeted on switch I (residues 30-42) and switch II (residues 64-80) of both ligand-bound complexes. The simulations were performed at 315K at which the behaviour of the two complexes became clearly distinguishable in the switch regions. RMSD values of switch I indicated a higher occurrence of larger deviations i.e. frequent exchange to the higher replicas, in Rab8a:GDP compared to Rab8a:GTP (Fig.1). Switch II illustrated clearly higher flexibility in Rab8a:GDP complex, with peak RMSD values at 2 and 3.8Å, whereas in GTP-bound Rab the deviations were limited to 2Å.

The outcome clusters of both complexes varied merely in the switch regions. In the GTP-bound Rab, the characteristic interactions between T40 and the γ phosphate and the Mg^{2+} ion remained intact, whereas in Rab8a:GDP, the absence of this interaction facilitates switch II unfolding. Analysis of the switch II region revealed that although the helix was separated from the ligand binding pocket, it restrained the α -helical conformation.

In the next step, we used our BPRES model to investigate the effects of T72 and S111 phosphorylation on Rab8a structure. Comparing the RMSD of the switch regions

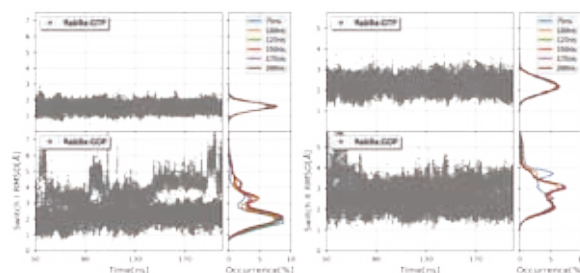


Figure 1: Root mean square deviation of switch I and switch II with respect to the active Rab GTPase structure.

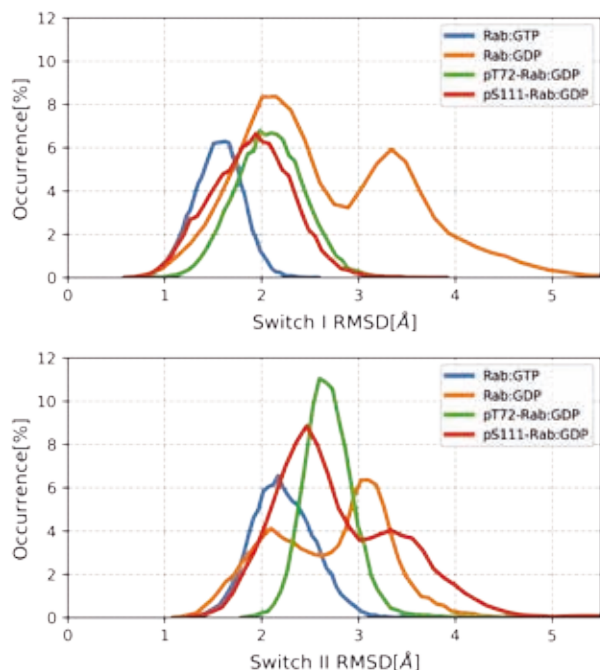


Figure 2: Distribution of the switch I (up) and II (down) domains RMSD of the heavy atoms, with respect to the initial position.

showed that the phosphorylated Rabs, although bound to GDP, were stabilised in a conformation close to that of Rab8a:GTP complex (Fig.2). This shift was more profound in switch II, where one of the phosphorylated residues is directly located (T72) and the other one is in remote interaction with (S111). Investigating the clusters obtained from the BPRE simulations showed that indeed there are new non-native polar contacts formed between the added phosphate groups and their neighbouring amino acid residues that stabilised the switch II region in its active conformation (Fig.3).

Comparing the binding free energies (Tab.1) indicated that the complex of Rabin8 and GDP-bound Rab8a is

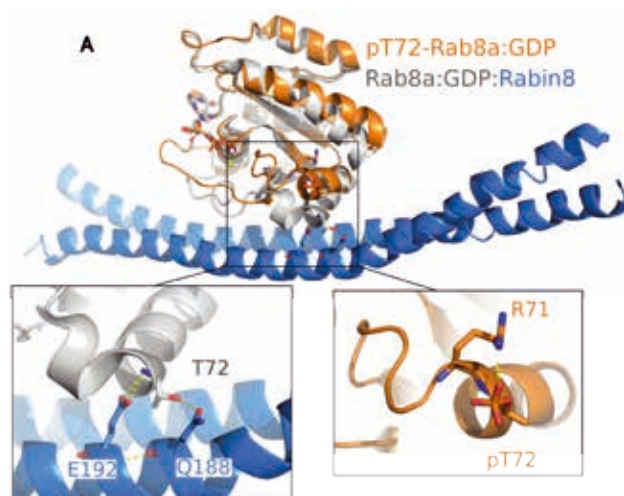


Figure 3: Most populated clusters obtained from BPRE simulation of T72 phosphorylated Rab8a:GDP (orange) overlaid on wild-type Rab8a:GDP:Rabin8 crystalline structure. New hydrogen bonds are formed between phosphorylated T72 and the neighbouring residues.

favoured to all other ensembles. The energy of Rabin8 binding to the wild-type Rab8a:GDP was favoured over pS111- Rab8a:GDP and pT72-Rab8a:GDP by about -5.71 and -49.16 kCal/mol, respectively. The drastic reduction in the latter case may be due to the position of T72 that is directly at the interface of Rab8a:Rabin8.

Overall, using the BPRE model we were able to address two important implications of PTMs on Rab8a. First, the switch regions are stabilised in a closed form that is similar to the active GTP bound conformation, which obstructs switch domains opening necessary for the ligand exchange. Second, the interactions between the target residues and the interacting partner (Rabin8) are disturbed due to new hydrogen bonding competition. These two factors effectively impair Rab8a-Rabin8 interactions which results in a drastically reduced nucleotide exchange rate for phosphorylated Rab, which is in agreement with the experimental results[4].

On going research

There are several other members of the Ras superfamily that are prone to modifications which will be investigated. Modifications that are of various types have to be examined by our method. Moreover, it has to be investigated whether in the presence of the binding partner, the above discussed conformational changes still take place. Following these objectives will help us to gain a clear understanding of the implications of an advanced sampling method in predicting the consequences of a chemical modification on Ras protein family.

Rabin8 in complex with	ΔG [kCal/mol] \pm SEM	$\Delta\Delta G$
Rab:GDP	13.45 \pm 0.48	—
Rab:GDP	14.79 \pm 0.48	1.34
Rab-pT72:GDP	62.61 \pm 0.71	49.16
Rab-pS111:GDP	19.16 \pm 0.48	5.71
Rab-pT72pS111:GDP	68.48 \pm 0.70	55.03

Table 1: Absolute (ΔG) and relative ($\Delta\Delta G$) free energy differences of Rab:Rabin binding with respect to GDP bound complex in kcal/mol. The free energies were calculated using MM-PBSA package of Amber software from a 5ns production run.

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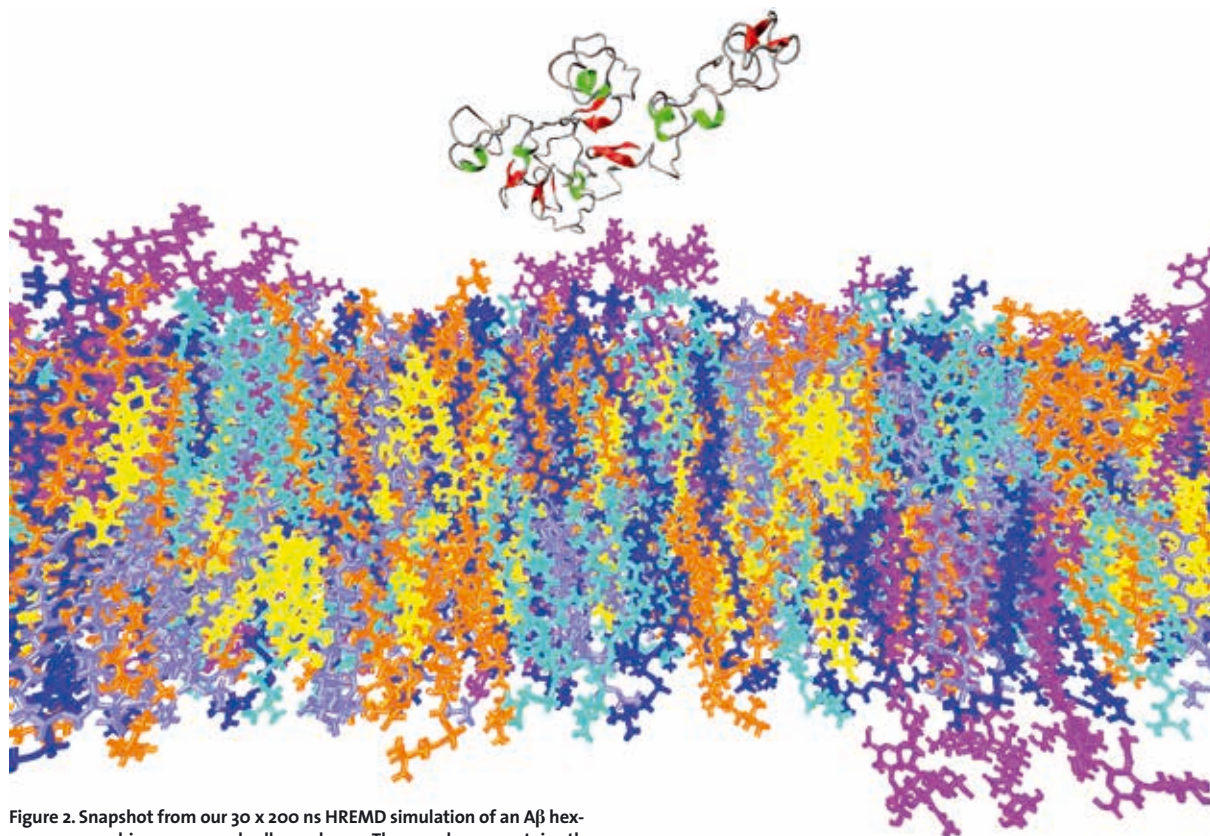


Figure 2. Snapshot from our 30 x 200 ns HREMD simulation of an A β hexamer approaching a neuronal cell membrane. The membrane contains the following number of lipids: 212 POPC (shown in blue); 190 POPE (light-blue), 190 POPS (cyan), 190 SM (orange), 212 CHOL (yellow) 64 GM1 (magenta). A β 42 is shown as cartoon with β -sheets indicated in red and helices in green. The water solvent with ions is not shown to improve clarity.

Results and Methods

We employed Hamiltonian replica-exchange molecular dynamics [1] (HREMD) simulations as implemented in GROMACS [2] and PLUMED [3], along with the Intel® message passing interface (MPI) to carry-out the MD simulations. The all-atom OPLS-AA force field for both the peptides and the lipids was used to model the oligomerization of A β 42 and its interaction with the aforementioned lipid bilayer.

Ten million CPU-hours were assigned to this project. The HREMD protocol was used to enhance the sampling of the system by assigning a subset of the molecules (the peptides) into a 'hot region', and the remainder (membrane and solvent) into a 'cold region', where the interactions within the hot and between the hot and cold regions are scaled by a factor λ . 30 replicas of the system were run at increasing temperature, where the coordinates of neighboring replicas could exchange in order to enhance the sampling of the system. 13,440 cores were used for the HREMD job, which generated 150 output files and occupied 1276.4 GB of disk space. A snapshot of the system is included in Figure 2. In order for us to determine the effect of the bilayer of each oligomer state (monomer through hexamer) we sub-sequently ran simulations of 1000 ns on each of the six oligomers with the same bilayer in duplicate. The third repeat of each system was completed using computational resources of our project partners in Helsinki. Our subsequent MD runs required 1,792 cores per job, generated 72 output files, and occupied 206.0 GB of disk space.

On-going Research / Outlook

SuperMUC enabled us to employ sufficient computational resources to facilitate the high degree of parallelization necessary for the HREMD protocol to be applied to a system of this size and complexity. Parallelization was also required for the computation of each of the oligomers, and the sheer size of SuperMUC enabled the large amount of computational output to be achieved in a relatively short amount of time. Our project is still on-going and especially requires in-depth analysis. After completing the analysis, we will be able to determine which of the lipids are more likely to interact with A β , which A β conformations are induced by the membrane, which lipids stabilize β -sheets, the peptide conformation most strongly associated with A β toxicity. This is the first A β -membrane study on a system of this size and in the presence of a lipid membrane of this many components. Thus, our results should provide new insight into the effect of the neuronal membrane on A β oligomerization and membrane-mediated toxicity.

SuperMUC Phase 2 has been an integral part of the progress of our project to date, and we look forward to continuing in this direction in the future. One of the drawbacks of our work is the limit of time scale. In the future we would like to extend the time scale of our work, and we anticipate that SuperMUC-NG will help to make this endeavor possible.

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G-Protein Coupled Receptors up Close

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SuperMUC Project ID: pr74su (Gauss Large Scale project), pr94to

Introduction

G-protein coupled receptors (GPCRs) represent nature's most prevalent means of communication across cell walls. There are approximately 800 GPCRs in the human genome and GPCRs are targeted by approximately 40% of all marketed drugs. They share a common architecture in which seven transmembrane helices, TM₁-TM₇, span the membrane. The helices are linked by three extracellular loops (ECL₁-ECL₃) and three intracellular ones (ICL₁-ICL₃). This general architecture is shown in Fig. 1.

Because GPCRs are membrane proteins, they are inherently difficult to crystallize, so that X-ray crystal structures are still few and far between (currently, approximately 45 structures are available). Additionally, the measures often necessary to induce the receptors to crystallize lead to constructs that are far removed from the biological situation, so that the relevance of the crystal structures is uncertain. Because of this difficult experimental situation and because protein force fields (mechanical models of proteins used for simulations) have become very reliable, simulations now play a major role in GPCR research. [1]

GPCRs regulate (turn on and off) functions within the cell based on signals from outside. In most cases, the signal is a small molecule, often a peptide-hormone in natural

cases, but also small molecules such as adrenaline, dopamine or synthetic drugs. The mechanism of action of GPCRs is complex. The small molecule signal (the ligand) cannot switch the receptor alone. It requires that a partner protein be on the intracellular side of the receptor. This protein (intracellular binding partner, IBP) may be one of three types of G-protein, which then effects the signaling, or an arrestin, which causes the receptor to be internalized (essentially scrapped). Thus, partners bound on the two sides of the receptor are necessary for signaling.

The ligands themselves may also exert different functions: The classical agonists activate the receptor, whereas antagonists prevent it from being activated. Most receptors exhibit varying degrees of activation even in the absence of agonists (constitutional or basal activity) and this activity can also be modified by inverse agonists. Partial agonists cannot activate the receptor fully. Recently, it has also become clear that biased ligands (agonists or antagonists) can preferentially activate either a G-protein signaling path or one mediated by arrestin without activating the other. These biased agonists represent very promising therapeutic agents.

This complexity makes both biophysical studies of the structures and mechanisms of action of GPCRs and rational drug design of GPCR ligands a challenging task that often requires detailed information that is simply not available from experiments. Simulations can therefore make unusually important contributions to GPCR research.

Results and Methods

Classical molecular-dynamics (MD) simulations are the workhorse of biophysical simulations. In such simulations, the protein is represented by a simple mechanical model (balls and springs) that is computationally very efficient and has been refined over the past three decades to give excellent results. This force-field model is used to calculate the energy and the forces acting on the atoms in every step of the simulation. The problem is that we must be able to represent even the fastest movements of the protein adequately. In this case, vibrations of CH-, NH- and OH-bonds require that the time-step in the simulations not be larger than approximately 1 fs (10-15 seconds). This can be extended to 2 fs by standard tech-

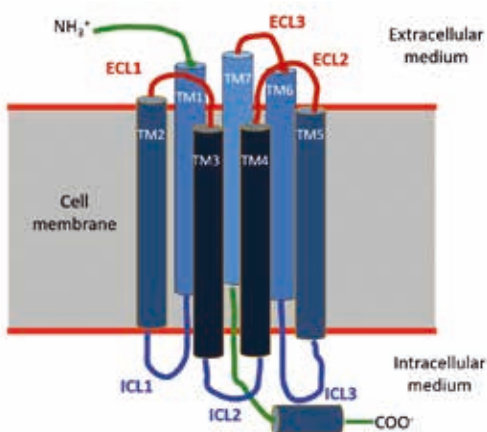


Figure 1: Schematic diagram of the general architecture of type A GPCRs. The cylinders represent α -helices.

niques but means that at least 500 million time steps are necessary for one microsecond simulation. One microsecond is not very long for a biological system, which sometimes take hours to react to a stimulus. It is therefore necessary to use so-called enhanced-sampling techniques in order to simulate slow and rare events.

The challenge is to construct such an enhanced-sampling technique that investigates slow events within an accessible length of simulation. One of the most successful techniques in this respect is known as metadynamics. [2] In metadynamics simulations, one or more reaction coordinates (collective variables, CVs) are defined. During the simulation, small destabilizing Gaussian functions are added to the potential-energy hypersurface at positions (defined by the CVs) that the simulation has already visited. In this way, minima in the potential energy are filled and the simulation spontaneously visits regions of higher energy. The important advantage of metadynamics is that the negative of the sum of the added Gaussian gives the free-energy profiles for the process investigated.

The challenge in the project was to establish a metadynamics protocol that allows us both to take advantage of SuperMUC and to optimize the performance of the simulations for GPCR investigations. This was achieved by defining a general CV that is suitable for most class A GPCRs, applying a funnel-like constraint to stop the simulation sampling the entire extracellular medium and using a multi-walker technique to make the simulation massively parallel. The results have been published as a computational protocol, [3] which is shown in Fig. 2. This protocol makes it possible to determine free energies of binding as accurately as experiment and to determine the effect of the ligand (agonist, antagonist etc.). [4]

Typical metadynamics simulation using the single CV use 32 replicas on 64 nodes. This ensures convergence of a full free-energy profile within 500ns-2 μ s of collective simulation time (approximately 20-50ns per replica depending on the size of each system) in a single run on either SuperMUC Thin nodes or Haswell nodes.

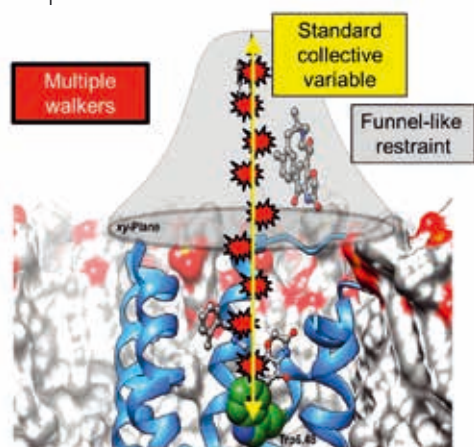


Figure 2: Schematic view of the metadynamics simulation protocol. A universal CV is defined (yellow arrow), a funnel-like constraint is used to prevent the ligand wandering in the solvent (gray) and multiple walkers (red/black) are used to improve sampling and parallel performance. [3]

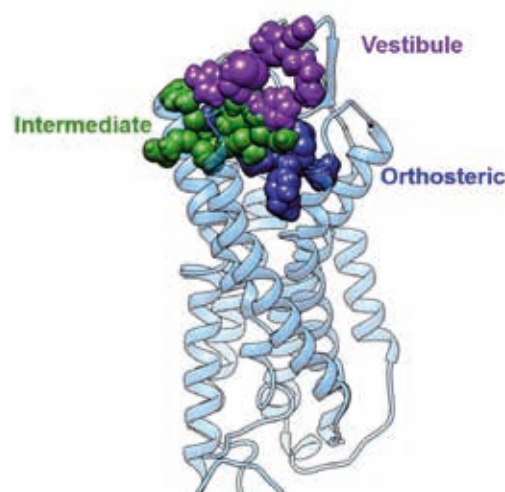


Figure 3: the three binding sites found for the vasopressin receptor.

The protocol proved to be highly efficient for both ternary and binary protein complexes as it gives good parallel performance parallelization up to 14,000 cores.

One job usually generates a minimum of 240 files. Along with unbiased MD simulations the overall storage needed can exceed 9 TB per project.

The first important result was obtained using two CVs before the protocol described above had been developed fully. The simulations revealed that the vasopressin receptor has no less than three different binding sites, as shown in Fig. 3. The deepest of these three, the orthosteric site, is that responsible for activation, and therefore agonist binding. However, agonists can also bind to the other two sites to block access to the orthosteric one. This is found to be the case for specific agonists for the V1bR and V2R receptor subtypes, which bind to the intermediate and vestibule sites, respectively. [4] We have since found that multiple binding sites are a characteristic of GPCRs, an important discovery for rational drug design of GPCR antagonists.

On-going Research / Outlook

The research described would not have been possible without SuperMUC. The simulations give unprecedented detail and accuracy on a par with experiment. It is planned not only to continue but also to expand the project to include further partners from the FAU and the universities of Leipzig and Regensburg.

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Enzyme Design by QM/MM Monte Carlo

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SuperMUC Project ID: pr74ve (Summer of Simulation project)

6

Introduction

We have developed a new method for computational protein design where we randomly mutate amino acids of enzymes using a Metropolis Monte Carlo (MC) procedure. The aim of the method is to identify substitutions that increase the catalytic activity, i.e., lower the reaction barrier for catalysis. We probe the catalytic activity by quantum mechanics/classical mechanics (QM/MM) calculations, which are important for accurately modeling chemical reactions [1].

Results and Methods

The QM/MM Monte Carlo (QM/MM MC) method has been tested using a computationally designed enzyme for a catalytic reaction step, here called Enzyme A. We wanted to predict mutations that would increase the efficiency of this enzyme. A related enzyme referred to as Enzyme B served as a reference to the predicted mutations. Enzyme B was obtained experimentally performing mutations on Enzyme A in order to improve its catalytic efficiency.

The QM/MM MC method follows a mutation-equilibration-evaluation scheme. In the first step, the initial structure of the enzyme undergoes a random mutation

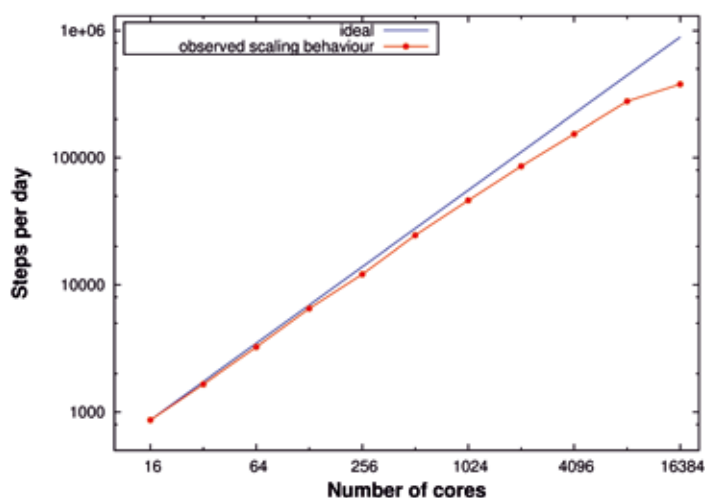


Figure 1: Scaling tests with five single mutations performed per node.

move. The mutations are performed using the molecular modeling software Visual Molecular Dynamics (VMD) [2]. The mutated protein structure is equilibrated by classical molecular dynamics (MD) simulations employing the software NAMD [3]. After the MD relaxation step, the reaction barrier is probed at quantum chemical level employing the program TURBOMOLE [4], by performing QM calculations on pre-optimized reactant and transition state (TS) structures of the substrates within the mutated and relaxed enzyme structure. Based on the calculated reaction barrier, the mutation move is either accepted or rejected by applying the Metropolis Monte Carlo algorithm, following the next mutation cycle. The method has been implemented using the programming language Python.

During the beginning of the Summer of Simulation 2016, the QM/MM MC method was implemented on the SuperMUC supercomputer. Using the parallelization framework Redisexec, N independent protein replicas, generated from the same starting structure, were simulated in parallel on the SuperMUC thin nodes, with each replica occupying one node. In these simulations, the QM calculations were performed in a sequential way.

Scaling tests were performed for the QM/MM MC method within the parallelization framework Redisexec on the SuperMUC thin nodes with 16 CPUs each, subjecting each replica to five mutation moves, using one node per replica (Figure 1). Concluding from the scaling tests, 100 nodes were used within the parallelization framework Redisexec for the upcoming QM/MM MC calculations.

In order to reduce the time required for one mutation step, the implementation was modified to allow every replica to be calculated using two nodes instead of one. This way, the time needed for the MD relaxation step was reduced and both of the QM calculations were performed in parallel at the same time. All in all, the average time needed to perform one mutation step decreased from ca. 2 minutes to ca. 1.3 minutes.

Analysis of the mutations performed during multiple mutation trajectories shows that residues close to the active site are mutated most frequently (Figure 2). The computationally sampled mutations were found to re-

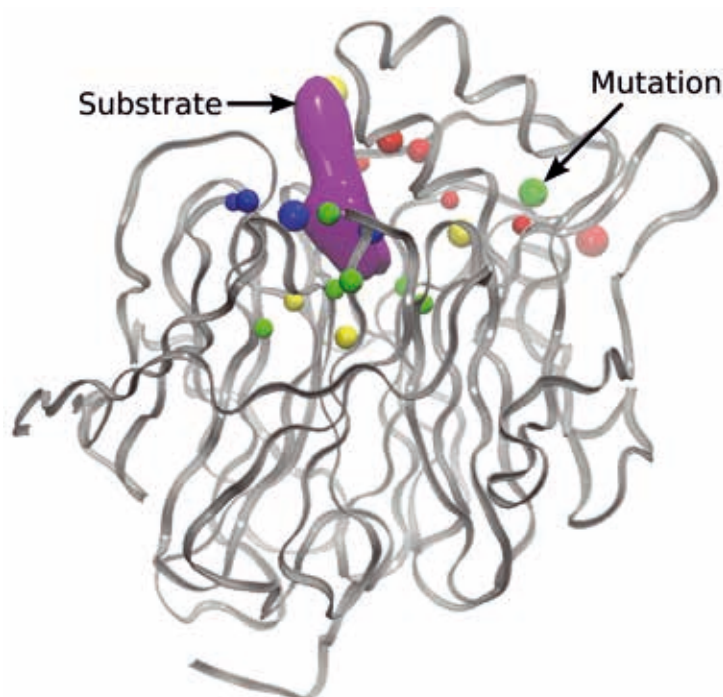


Figure 2: Frequently mutated residues of Enzyme A with their most frequent mutation type shown using the following color code: blue: positively charged, red: negatively charged, yellow: polar, green: non-polar.

duce the approximated barrier from ca. 10 kcal mol⁻¹ to ca. 1 kcal mol⁻¹.

We also computed free energy profiles for the reactions catalyzed by Enzyme A and Enzyme B, respectively, by employing the QM/MM umbrella sampling technique. To this end, 23 independent QM/MM MD simulations were performed for each of the enzymes, by subsequently restraining the conformations along the reaction coordinate, going from the reactant state to the product conformation. The 46 conformations were sampled for 1 picosecond each, employing the CHARMM/TURBOMOLE interface [5]. These calculations were performed using the Redisexec framework as well, using one node per conformation.

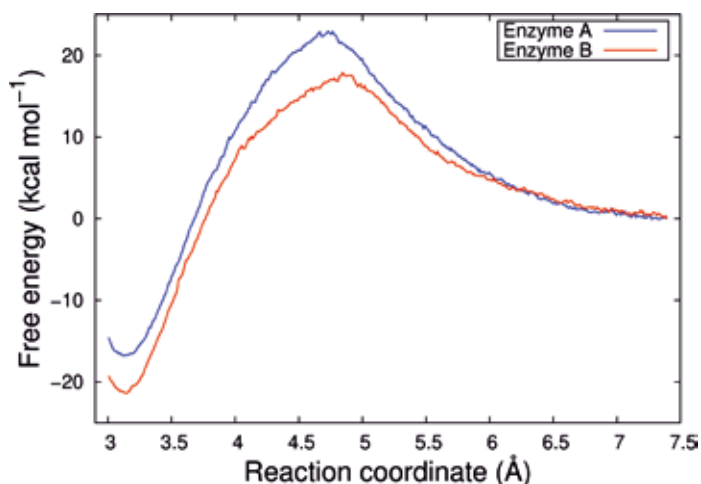


Figure 3: Free energy profiles for the reaction steps catalyzed by Enzyme A and Enzyme B.

The resulting free energy profiles are qualitatively in agreement with the experimental findings as the calculated reaction barrier for Enzyme A is higher than for Enzyme B (Figure 3).

The project consumed in total 6 million CPU hours. For every replica, ca. 2000 files were generated. Overall, ca. 1.5 TB of storage were needed, distributed over SCRATCH and PROJECT.

On-going Research / Outlook

SuperMUC provided us with the computing time we needed to test and apply our method. SuperMUC Phase 2 turned out to be most suitable for certain TURBOMOLE calculations.

During the scaling tests for the QM/MM MC method on 1024 nodes, the quota in PROJECT was filled up with so-called “in-doubt blocks”, possibly produced by the TURBOMOLE calculations. In order to avoid incidents like this for the upcoming scaling tests and production runs, the temporary files produced by TURBOMOLE were removed after each mutation cycle, and the production runs were performed on the SCRATCH file system.

Running more than 100 replicas in parallel, the increased number of I/O operations seemed to slow down the overall performance of the framework.

The catalytic activity of the mutated structures will be investigated further by both computational and experimental means. The umbrella sampling technique will be applied to the most promising mutated structures obtained from our QM/MM MC method, in order to verify that the reaction barrier of Enzyme A has been lowered.

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Redox-coupled Proton Transfer Dynamics in Cytochrome c Oxidase

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SuperMUC Project ID: pr84gu

6

Introduction

Biological systems have evolved to effectively capture, store, and transform energy from one form to another. In mitochondria, which function as power plants of the eukaryotic cell, this process is catalyzed by enzymes of the respiratory chain that convert the energy from foodstuff into an electrical gradient stored across a biological membrane. Cytochrome c oxidase (CcO) functions as a terminal electron acceptor in all aerobic respiratory chains. It catalyzes the reduction of oxygen from the air into water by using electrons that the enzyme receives from foodstuff. CcO employs the free energy released from this process to pump protons across a membrane, establishing an electrochemical proton gradient across the membrane, which the cell further employs to drive energy-requiring processes. Nevertheless, despite decades of research, it still remains unclear how CcO pump protons across the membrane and what prevents the protons from leaking backwards in the pumping process.

Experiments show that when electrons travel through metal centers in CcO, two types of protons are trans-

ferred: the *chemical protons* are transferred to the active center to complete the oxygen reduction process, whereas the *pumped protons* are transferred across the membrane. CcO employs two channels for the uptake of these protons. All pumped protons are taken up from the so-called D-channel, whereas *chemical protons* originate from both the D- and K-channels, for reasons that remain unknown. Experiments also suggest that the *pumped protons* are transiently stored at a “proton-loading site” (PLS) before they are ejected across the membrane. The PLS is thus likely to function as an important coupling element in the pumping process. The aim of this study was to 1) identify the exact location of the PLS, 2) to probe how the electron transfer reactions through the metal centers modulate the proton transfer reaction barriers, and 3) to elucidate a molecular mechanism for the channel switching process (Figure 1).

Results and Methods

In order to study the energetics and dynamics of CcO during its catalytic cycle, we performed large-scale atomistic molecular dynamics (MD) simulations on microsecond timescales. To mimic the steps of the catalytic

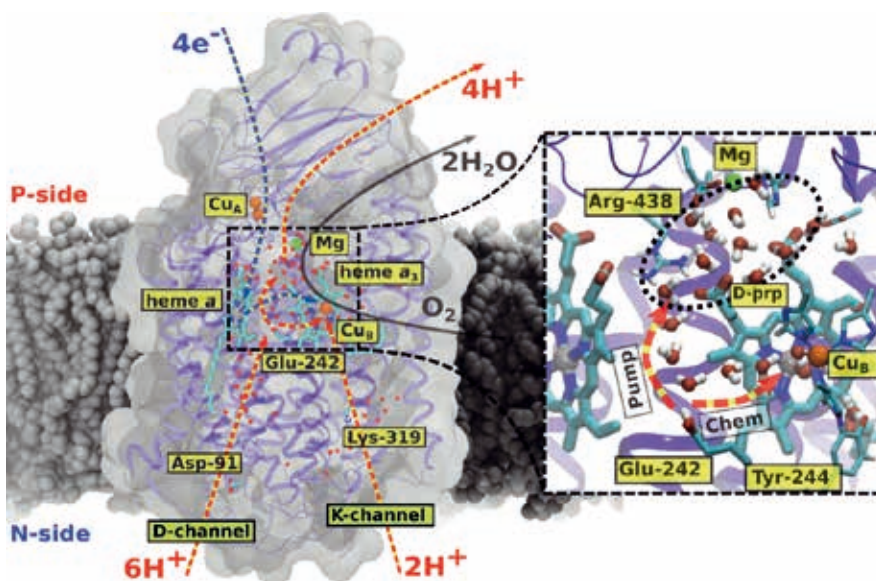


Figure 1: The structure and function of cytochrome c oxidase (CcO). Reduction of oxygen to water drives electron transfer (blue arrows) via protein-bound metal centers (Cu_A , heme a) to the active site (heme a_3 / Cu_B). The electron transfer leads to uptake of protons (red arrows) from the negatively charged (N) side of the membrane using the D and K-channels. A glutamic acid residue (Glu-242), at the end of the D-channel, transfers protons both to the active center and to transient proton loading site above the active center, from which the protons are released to the positively (P)-side of the membrane. Inset: The active site, and the hydrophobic cavity above Glu-242. The location of the putative proton loading site (PLS) is indicated with a dotted circle.

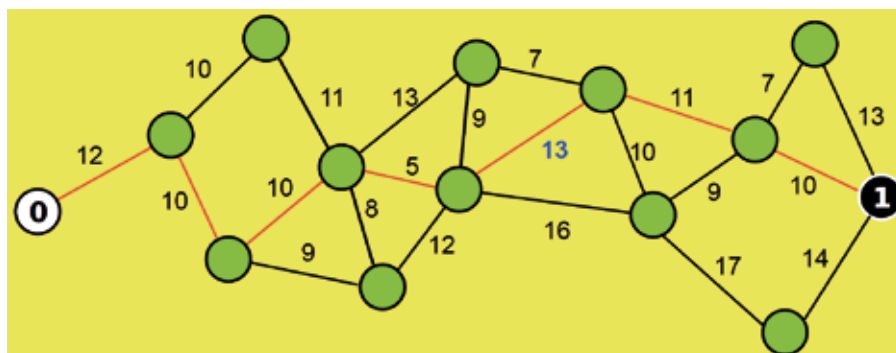


Figure 2. Longest connectivity along the shortest path. Example demonstrating shortest path determined by Dijkstra's algorithm for finding the shortest path (in red) from source (0) to destination (1) traversing the intermediary nodes (green) on a graph. The longest edge of the shortest path is the quantity of interest (shown in blue text). The model was applied to analyze dynamic water structures in CcO.

cycle, we modeled the redox-cofactors in different catalytic states based on quantum mechanical calculations, and studied how water dynamics near the activate site depend on the redox states of the enzyme. To this end, we applied a “travelling salesman problem” algorithm, which allowed us to identify shortest pathways along the water wires connecting proton donor and a proton acceptor groups. Computationally we therefore analyzed the MD trajectories using Dijkstra's algorithm with Fibonacci heaps, where the proton donor (D- or K-channel residues) and acceptor (active center or PLS) were the source and sink of the graph, and water molecules formed the vertices (Figure 2).

Our MD simulations suggest that reduction of an electron-queuing center (heme *a*) increases the hydrogen-bonded connectivity to the PLS region, whereas reduction of the active site produces an electric field that connected the D-channel with the latter. Our findings thus suggest that water molecules in CcO are sensitive to the changes in redox states of the enzyme and reorganize themselves, providing a prerequisite for the proton transfer process. To study the energetics of the actual proton transfer reactions, we extracted structures from the classical MD simulations, which were used as a starting point for performing hybrid quantum mechanics/molecular mechanics (QM/MM) MD simulations. QM/MM calculations allow to accurately model bond formation/bond-breaking process by quantum mechanical (QM) models, while treating the explicit surroundings of the protein by classical models. To this end, we treated the reactive QM part using density functional theory (DFT) calculations, which provides an accurate description of the electronic structure of the systems. Interestingly, the QM/MM simulations suggested that a proton can be stored in a water cluster above the active site, where it remained as a delocalized Zundel cation (H_5O_2^+) (inset Figure 1). Moreover, we found that the reduction of the nearby heme group strongly modulates the proton affinity of the PLS, and reduced the kinetic barriers for its protonation. Our findings thus suggest that electrostatic effects play an important role in the gating process, *i.e.*, in directing the protons to the right site at the right time.

Activation mechanism of the K-channel

In a second subproject, we studied why the so-called K-channel is activated during the second half of the catalytic cycle. To address this question computationally, we

performed microsecond MD simulations in combination with QM/MM calculation in states that are experimentally known to the link to activation of the K-channel. Our simulations suggested that the K-channel activates as a response of a specific oxidized intermediate in the active site. This intermediate produces an electric field that increases the amount of water molecules in the K-channel, which in turn lowers the proton transfer barriers. Interestingly, our simulations also indicated that the connectivity from the D-channel is lost at this step. The molecular basis can be traced back to a dehydration effect, which is in turn induced by the specific structure of the active site that cannot effectively stabilize the water wired contacts. In order to quantify the kinetics of the proton transfer reaction, we performed QM/MM free energy calculations, in which the computed barriers were found to be consistent with the experimentally measured rates.

Our multi-scale simulations thus suggest that water molecules play an important role in the proton pumping process of CcO. Our simulations also identified important protein residues that can be experimentally tested to verify the predicted mechanisms, as well as spectroscopic signatures that are expected to arise during specific steps of the pumping cycle.

On-going Research / Outlook

The HPC offered by Supermuc played a crucial role in the realization of this challenging, but highly successful project. To this end, the Supermuc offered unique resources that enabled our large-scale simulations that provided an essential step to derive the mechanistic models. The current data produced with Supermuc was key for our publications (2 & 3), producing new data that is used for our future research projects.

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How Does the HIV Virus Hijack the Human Nuclear Pore Complex?

RESEARCH INSTITUTION

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SuperMUC Project ID: pr84ma (Gauss Large Scale project)

Introduction

How does HIV (human immunodeficiency virus) pass its genetic material into and out of the cell nucleus without being detected by the human host cell? Two HIV proteins (Rev and capsid/CA) are responsible, but the molecular mechanisms they use to disguise the ribonucleic acid of HIV and proteins from the human nuclear pore complex are not understood. A study, conducted by scientists of the Max Planck Institute for Biophysical Chemistry in Göttingen, aims to provide structural information on the relevant protein-protein interactions, which is a necessary step in the rational design of drugs targeting Rev and CA.

HIV evolves rapidly, and multi-drug resistant strains have already emerged. However, only 31 drugs have been approved, which target only four HIV proteins. Two novel drug targets were the focus of this research: Rev and the capsid (CA) protein. Rev is essential to replication of the virus, while the HIV core particle is enclosed by many copies of CA. Drugs targeting Rev and CA have been identified, but so far none have reached clinical trials.

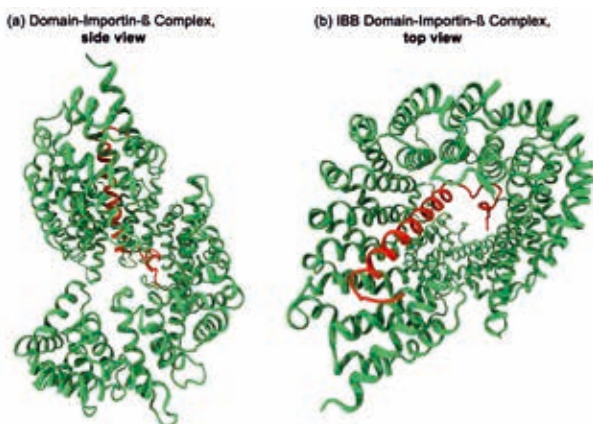


Figure 1: An interaction partner of the HIV Rev protein, Importin-β. *a*, Crystal structure of the importin-β binding domain of importin-α (red) with importin-β (green) from PDB ID 1QGK. *b*, The same crystal structure as shown in *a* with a top view looking into the center of the importin-β superhelical structure.

The Göttingen based scientists leveraged the computing power of HPC system SuperMUC to simulate detailed and accurate models of the protein-protein interactions involved with the aim to facilitate the design of more effective drugs.

Work Completed

The scientists carried out a rigorous evaluation of the accuracy of de novo intrinsically disordered protein (IDP) ensembles. IDPs fulfil many essential biological roles from cell signalling to maintaining the selective barrier of the nuclear pore complex. Their disordered nature has been shown in many cases to be crucial to their function.

While molecular simulations are increasingly being used to obtain conformational ensembles of IDPs, there is currently no consensus on the accuracy of these ensembles, or the suitability of modern empirical force fields for this purpose. In their study, the scientists assessed the accuracy of IDP ensembles obtained using state-of-the-art force fields (Rauscher 2015). Carrying out such a comparative study presented a huge computational challenge, which was only possible with the large compute time allocation on HPC system SuperMUC.

The conducted comparison of force fields led to several unexpected results. First, the extent of the difference between ensembles is unexpectedly large, spanning the complete range from globule-like to highly expanded. The key finding of the researchers' joint experimental-computational study is that one single force field, CHARMM 22*, stands out in that it is consistent with small angle x-ray scattering and NMR data within experimental error. Thus, having obtained an accurate IDP ensemble, the potential long-term impact of this work extends far beyond an assessment of force field accuracy.

Following up on this work, in a joint study together with the groups of Alex MacKerell (Univ. of Maryland) and Michael Feig (Michigan State), the researchers developed and carried out tests of a new version of the CHARMM protein force field (CHARMM 36m) (Huang 2017). Exten-



Figure 2: Conformational ensembles of an IDP in eight different molecular mechanics force fields. The ensemble obtained with CHARMM 22* (yellow) is consistent with experimental data from both small angle x-ray scattering and NMR measurements.

sive tests of the new force field for both folded and disordered proteins were carried out on SUPERMUC. In all test cases, CHARMM 36m outperformed its predecessor, CHARMM 36. The potential impact of this work is significant because we now have a force field suitable for simulations of both folded and disordered proteins, which forms the basis for the study of the HIV proteins.

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Clustering of micro- and nanoscopic drug delivery agents in human blood flow

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SuperMUC Project ID: pr84mo

6

Introduction

Intensive worldwide research efforts are currently being dedicated to explore the potential of synthetic micro- and nanoscopic particles as drug delivery agents (DDAs) in the human vascular system. Most studies focus primarily on the biochemical interaction between a single DDA and a living cell. In contrast, the purpose of this project is to understand by means of computer simulations the physical multibody interactions between DDAs and the red blood cells which they encounter on their way from the injection needle through the cardiovascular system towards their target organ.

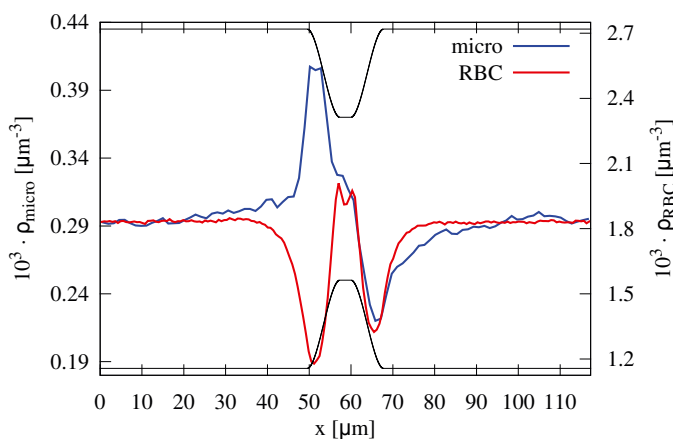


Figure 1. Top: Illustration of the setup containing red blood cells and DDAs (green) flowing through a constriction. **Bottom:** Density profile along the channel axis with the channel profile shown by the black line. In front of the constriction, an enhanced concentration of DDAs (blue line) is clearly visible [1].

Results and Methods

Following the original proposal, the project was divided into three areas:

- A)** Here we investigated the margination of DDAs in complex geometries: margination refers to the well-known effect that in a mixture of rigid and soft particles flowing in a channel the soft particles (here: red blood cells, RBCs) tend to migrate towards the channel center while the rigid particles (artificial DDAs, but also naturally occurring cells such as blood platelets) are pushed towards the channel walls. Our main result in this part has been the discovery of DDA clustering in front of a constriction [1] as illustrated in figure 1. Such clusters may have important physiological consequences, especially for the formation of micro-thrombi which have recently moved into the focus of research due to their proposed implication in various diseases. From a physicist's point of view, an interesting point was that the mechanism by which these clusters form is intrinsically related to the binary mixture of soft and stiff particles and does not appear for a single-component of stiff or soft particles alone.

This study required about 2 million core hours (50% of the total computational budget) since the simulated channel had to be very long to avoid artefacts due to the finite channel length.

Another project in area A was the investigation of actively moving particles, such as, e.g., magnetic DDAs which are driven by an external magnetic field. It turned out that this driving, even if the force is directed along the channel axis, may strongly accelerate the motion of particles perpendicular to the axis, i.e., from the center to the outer wall [2]. This study required about 0.5 million core hours (12.5% of the total computational budget).

Since the discovery of these two important phenomena required a large percentage of the computation-

al budget research on margination near bifurcations has been postponed to the next project period.

- B)** Here we focused on smaller systems with one or two red blood cells flowing behind each other in a small channel. Recent experiments in the group of C. Wagner in Saarbrücken found that such a setup can lead to hydrodynamic clusters, i.e., that two cells spontaneously attain a position in which they flow behind each other with a stable and well defined distance [3]. Our simulations were able to reproduce this effect. In the course of these investigations, however, we discovered an unexpectedly rich behavior of even a single red blood cell. More precisely, it turned out that the motion is very often bistable, i.e., that two different dynamic modes are attained depending solely on the initial radial position of the RBC. This bistability has been overlooked in recent numerical works [4], but does seem to appear in the experiments. We are currently preparing these results for publication together with our partners in Saarbrücken. This project used about 1 million core hours (25% of the computational budget).
- C)** In this project we investigated the diffusion (Brownian motion) of nanoparticles in close vicinity to a cell membrane. The diffusional approach between nanoparticle and cell represents the crucial first step before endocytosis, i.e., uptake of the nanoparticle by the cell. We have investigated various aspects of this phenomenon starting with a single particle near a planar cell membrane [5] and between two membranes [6]. We found that due to the elasticity of the membrane the system acquired a memory leading to pronounced subdiffusive behavior of the particle even in a simple Newtonian carrier fluid like water. Such subdiffusive behavior has thus far been almost only observed in complex non-Newtonian fluids. We then extended our studies to the hydrodynamic interaction between two particles where we found that the presence of the membrane induces attractive interactions (while a rigid wall always leads to repulsive interaction) which may cause the formation of medically relevant particle clusters [7]. Most recently, we also studied the influence of the particle shape [8].

In this area C we derived analytical theories for the various particle mobilities/diffusivities. As an essential validation, these theories were then verified by numerical simulations run on SuperMUC. This area used about 0.25 million core hours (6.25% of the computational budget).

- D)** As a final area, which was not foreseen in the original proposal, we investigated in detail the benefits and drawbacks of various algorithms to compute bending forces in elastic cell membranes [9, 10]. This is a numerically very challenging task since it involves the numerical computation of the fourth spatial derivative over a discretized membrane surface (see figure 2). This project used about 0.25 million core hours (6.25% of the budget).

On-going Research / Outlook

Due to the success and the scientific insights gained by the usage of SuperMUC we plan to continue the project.

References and Links

Publications resulting from this SuperMUC project are italic.

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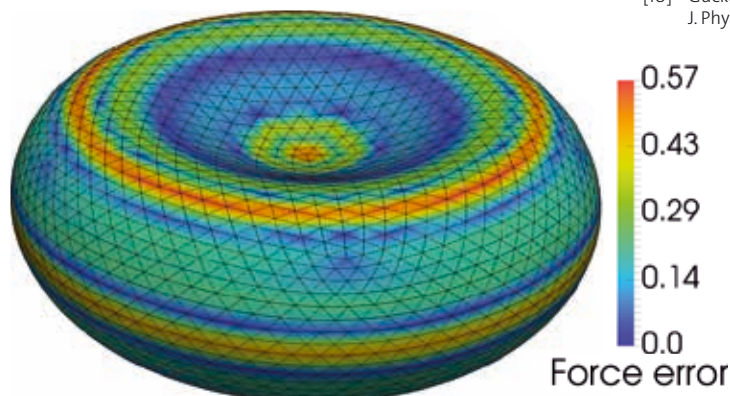


Figure 2: The error in the bending forces computed over a red blood cell membrane for one of the six algorithms investigated in [9].

Parallel Simulated Solute Tempering in Hybrid DFT/PMM Simulations

RESEARCH INSTITUTION

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SuperMUC Project ID: pr89xe (KONWIHR Project)

6

Introduction

The sampling of the conformational space of molecules by plain molecular dynamics (MD) simulations is computationally inefficient. If one aims at an unbiased structural ensemble or has no prior knowledge of the free energy landscape, temperature replica-exchange methods (TREM) can alleviate the sampling problem. They are used in many biomolecular MD applications, although the large number of degrees of freedom leads to small temperature gaps between temperature rungs and, correspondingly, a large number of replicas is needed to span a reasonable temperature range. For hybrid simulations, where solute molecules are treated with high-level density functional theory (DFT), whereas the surrounding is described on a (polarizable) force-field level (see Figure 1), the large number of replicas makes TREM prohibitively expensive.

Here, we have combined the DFT/PMM hybrid approach with the simulated solute tempering (SST) generalized ensemble method [1] sketched in Fig. 2. Because SST effectively heats up only the solute it requires only a few rungs on the SST temperature ladder and yields a particularly efficient sampling needed for the costly DFT/

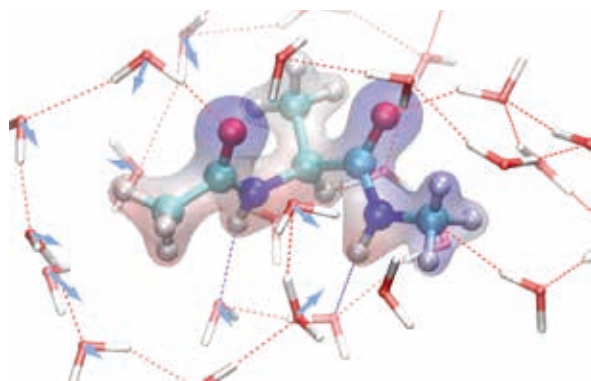


Figure 1: A alanine dipeptide (Ac-Ala-NHMe) treated by DFT immersed in PMM water. The electron density (shaded area) is colored according to the electrostatic potential generated by the solvent. In our approach we combine the grid-based DFT code CPMD with the PMM-MD code IPHIGENIE [2,3].

PMM method. The necessary SST parameters, so-called weights, can be obtained from inexpensive preparatory PMM simulations.

Computational approach

As a first application, we computed the free energy landscape and the vibrational spectra of the alanine dipeptide molecule (DFT), which was solvated in PMM water (Fig.1) [4].

For the pSST setup we ran 32 replicas occupying the rungs of a $T_i \in \{300 \text{ K}, 406 \text{ K}, 550 \text{ K}\}$ ladder. Only three rungs suffice for this temperature range to yield an efficient exchange probability of around 30 %. All replicas were propagated for 0.5 ns of trajectory. 33 % of the time, they occupied rung $T_0 = 300 \text{ K}$ to yield a total of 6 ns trajectory data at this reference temperature. From this data, we computed the free energy landscape of the molecule and drew 400 initial conditions for a subsequent computation of vibrational spectra by a time-correlation framework. Here, we computed 50 ps of NVT_0 trajectory for each initial condition and performed a conformationally resolved generalized normal coordinate analysis [5] to yield the vibrational spectra.

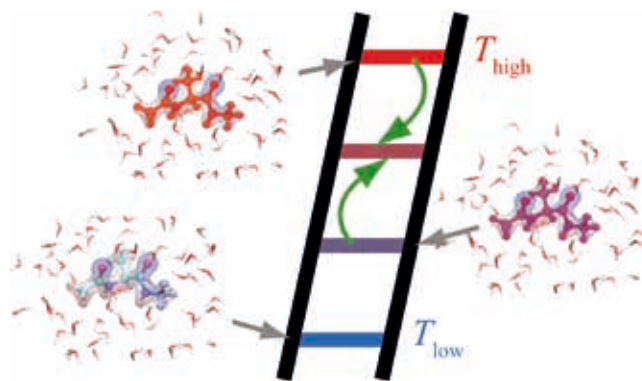


Figure 2: In SST simulations, the system replicas frequently change the simulation temperature. At high temperatures, enthalpic barriers can be crossed and newly visited molecular conformations can contribute to the ensemble of interest at low temperature after the replica has travelled through temperature space. In SST, only the solute changes its effective temperature, whereas the solvent remains at the reference temperature T_0 . Multiple replicas can be simulated independently in parallel but contribute to a common weight statistics (pSST [1]).

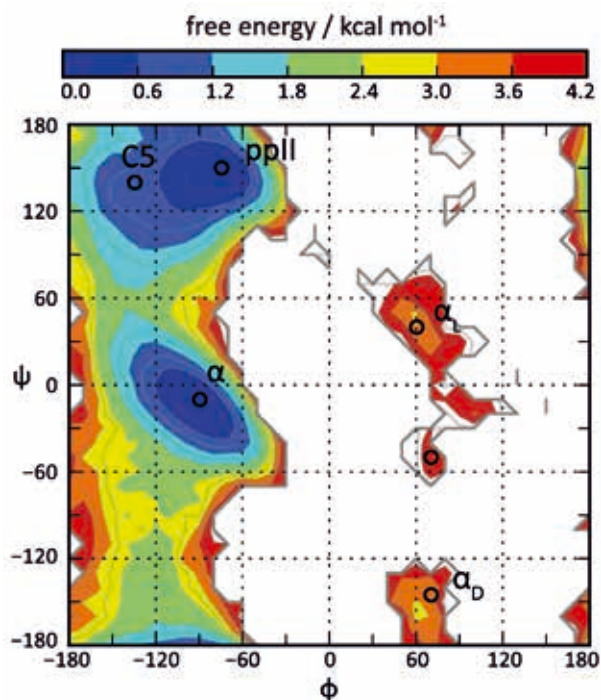


Figure 3: Free energy landscape spanned by the Φ - Ψ dihedral angles of alanine dipeptide obtained from DFT/PMM pSST simulations.

Results

Figure 3 shows the free energy landscape resulting from our pSST ensemble. The minima ppII, α , α_L , and α_D are well resolved. The C5 minimum is a shallow basin. The global minimum is the α configuration but ppII lies energetically only slightly above. To probe the convergence of the pSST simulation, we have computed a one dimensional cut through the free-energy landscape by umbrella sampling (US), which is shown in Figure 4. The relative depth of the minima labeled A and B as well as the separating barriers are nearly identical in both methods. Only the shape of the plateau region near $\Psi = -120^\circ$ is not fully converged in pSST.

Finally, we show the vibrational spectra for the two dominant conformations ppII and α in Figure 5. In the

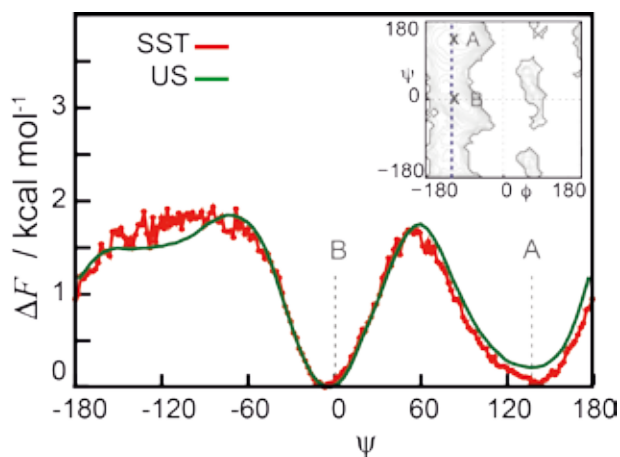


Figure 4: Cut through the free energy landscape at $\Phi = -120^\circ$ obtained from the pSST data and umbrella-sampling simulations.

characteristic region between 1450 cm^{-1} and 1650 cm^{-1} the band pattern of the two conformations strongly differ due to the different spectral positions and intensities of the amide I and II modes localized on the left and right part of the molecule.

Summary

We have shown that the pSST method efficiently samples the configuration space of solutes in DFT/(P)MM simulations in an unbiased manner. The method is highly parallel and scales excellently because the replicas are only weakly coupled by the common weight update scheme.

Acknowledgements

Generous allocation of CPU time during the friendly user phase of SuperMUC Phase II by the LRZ is gratefully acknowledged. This project was financially supported by the KONWHIR-III software initiative and by the DFG (SFB 749-C4).

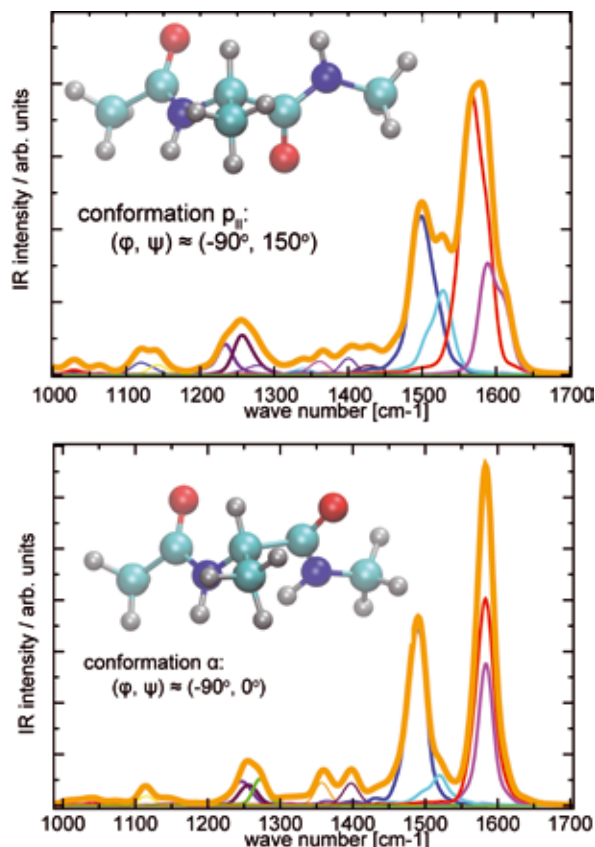


Figure 5: IR intensity of the vibrational spectra if the ppII (upper) and α (lower) conformations, whose structure is shown in the insets. The bold orange lines mark the overall IR absorbance; the thin colored lines show contributions of individual vibrational modes.

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Finding Nano-force Sensors inside Skin

using Supercomputer Simulations

RESEARCH INSTITUTION

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SuperMUC Project ID: pr92ne

Introduction

Research done in the Molecular Biomechanics group at Heidelberg Institute for Theoretical Studies[1] identified a good candidate for a molecular force sensor inside skin. Using several million computer hours at SuperMUC, we simulated how a particular component of skin could be responsible for force sensing inside tissues. This area of inquiry has so far been unexplored, particularly since experiments with these molecules have proven very difficult to accomplish.

Results and Methods

Our project involved the simulation of the force response of two critical proteins at cell-cell junctions, especially in skin: desmoplakin and plectin. Through the careful and systematic study of these proteins, we proposed a new activation mechanism that could explain force sensing inside tissues, which is a relatively poorly understood biological process.

A large part of these two proteins is made of so-called spectrin repeats (SRs), which are rigid units of three helices each. This makes them ideal for transmitting force, but also their modular nature makes them capable of adapting their length to external perturbations through the breakage of some SRs. However, strikingly, an SH3 domain naturally appears in many of these proteins, interacting with two spectrin repeats. This repeat, fundamentally different to SRs, is known to be a signalling molecule, but it is interacting with the protein itself under normal circumstances.

Our project simulated how the direct proximity of this SH3 domain interacts with external force. In all of our simulations, we found that the SH3 domain is fully intact when under force, and the protein is activated, possibly starting a signalling cascade involved in force sensing (see Figure 1).[2] Through exhaustive sampling, we also identified the most important residues that are responsible for this activation mechanism (Figure 2). Furthermore, we identified at least two distinct ac-

tivation pathways for plectin, which also required less external force to open up.

To understand these processes, we conducted large-scale molecular dynamics simulations (MD) on SuperMUC. All-atom MD has been the method of choice for understanding how biological systems evolve in time, but also has answered questions in topics like fluid dynamics or material science.

MD essentially involves the integration of Newton's equations of motion, where short-range chemical interactions are included as "bonded terms", scaling as $O(N)$ (N being the number of atoms in our system), while electrostatic and van der Waals interactions are included as "non-bonded terms", which scale in principle as $O(N^2)$, but can be reduced to $O(N \log N)$ through judicious approximations including summing in Fourier space (called particle mesh Ewald) or multipole expansions.

Our work used the widely known open-source software package called GROMACS[3], already installed on site at LRZ. This package has been optimized for supercomputers down to about a thousand atoms per core. Given that our system sizes ranged from 500k-1.6M atoms, we could efficiently use about 300-600 cores per simulation. However, given that the behaviour of our systems is stochastic, we needed to simulate our system starting from several different initial conditions, sometimes yielding drastically different results.

A key aspect of our project was reducing the external force applied on our systems. This meant that our simulations ran longer than typical MD simulations done on similarly large systems. Our simulation time amassed a total of 100 μ s, which translates to about 7 million core-hours on LRZ, generating almost 1 TB of data. Our longest simulations lasted about 3 months each, not including queuing.

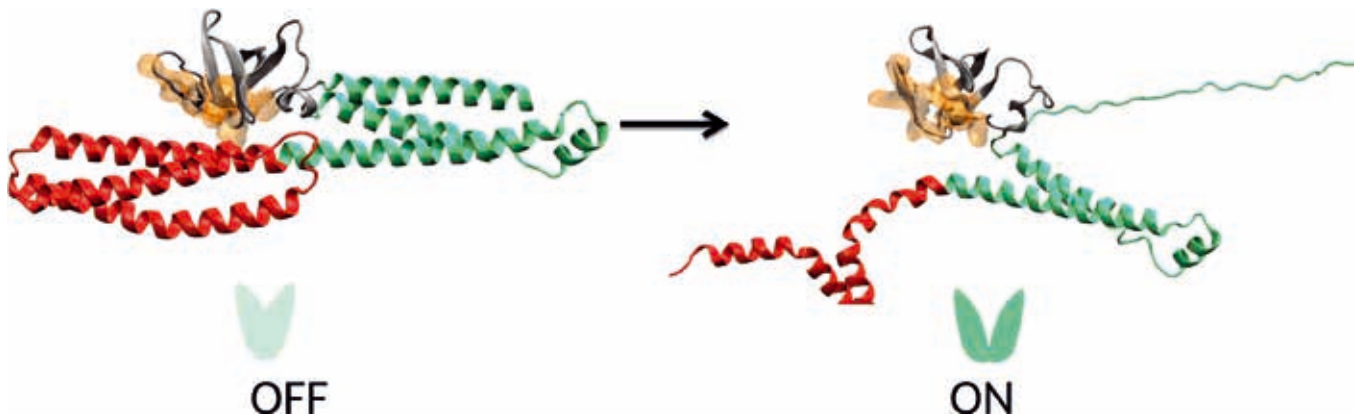


Figure 1: The activation mechanism of desmoplakin under force. The SH₃ domain (gray), chemically unavailable under normal circumstances, is “torn off” due to external forces, and is capable of launching a signalling cascade. The binding pocket of the SH₃ domain is coloured orange.

On-going Research / Outlook

Our project was successful in identifying an intriguing new possibility in the field of mechanosensing, but there are still other intriguing directions to explore.

One main difference between simulations and physiological conditions and experiments is the relatively fast pulling we need to perform in order to make simulation times manageably short. In practice, the time scales we can reach using MD are on the order of μ s, which is still probably at least 3 orders of magnitude shorter than physiological time scales, and correspondingly the proteins are subjected to unreasonably large forces. Another important direction to explore would be simulating several proteins relevant to this process, i.e., including potential binding partners. This would increase our system size significantly.

Larger computers and/or more computer time could enable us reaching these time- and length scales. In particular, better inter-node communication could help the scaling go to higher nodes and therefore reduce the total wall-clock time.

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parallelism from laptops to supercomputers
SoftwareX 1 (2015) pp.19-25

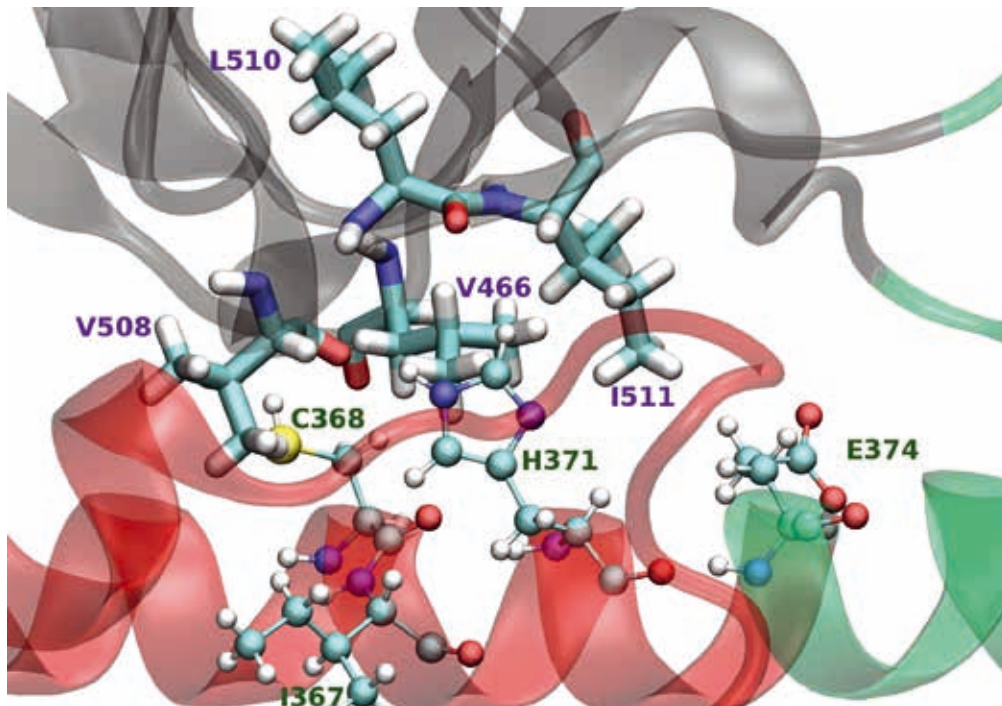


Figure 2: The most important residues that participate in the activation process of desmoplakin. Green letters and balls-and-sticks representation show residues inside the helices of the spectrin repeats, while purple letters and licorice representation show residues inside the barrel of the SH₃ domain.

Targeting FtsZ assembly for the development of new antibiotics

RESEARCH INSTITUTION

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SuperMUC Project ID: pr94ze (PRACE project)

Introduction

Cell division protein FtsZ is the organizer of the bacterial cytokinetic ring. FtsZ is a filament-forming GTPase that is thought to generate constriction force by a combination of filament bending, condensation and recycling. FtsZ and its eukaryote relative, tubulin, have molecular switches in their assembly-disassembly cycle, triggered by the presence or absence of the nucleotide gamma phosphate, which permit polymer regulation. Given its key role in division of the majority of bacteria, FtsZ has emerged as target for seeking new antibiotics to fight the widespread emergence of pathogens resistant to current antibiotics.

Only very recently, the assembled states of a straight FtsZ have been solved at atomic detail. Using this novel information, our goal is to perform all-atom simulations of long filaments bound to several biologically characterized modulators to better understand their inhibition mechanisms. In particular, we are interested in the relationship between the assembly molecular mechanism and the binding of modulators to help the rational design of new antibiotics.

We recently report a state of the art study of the FtsZ filament dynamics interpreted in the context of the assembly cycle of this essential cell division protein [1]. In contrast with all previous studies based on the inactive (not functional) closed-cleft FtsZ conformation studies, our large scale simulations studies disclose different filament curvatures supported by nucleotide-regulated interfacial dynamics. Moreover, we have monitored, for the first time, the relaxation from the active polymer conformation to the inactive closed-cleft conformation of FtsZ monomers. In agreement with experimental data, these groundbreaking results unravel the natural mechanism of the FtsZ assembly switch. Integrating this assembly switch and the nucleotide-dependent interfacial filament stability, our work offers a detailed molecular interpretation of the assembly-disassembly FtsZ cycle and its inhibition (see Figure 1). Based on these results, we strongly think that the structure-based drug discovery

efforts on this system can be only tackled by targeting the dynamics of the functional filament structure using atomistic simulations.

Results and Methods

Here we performed a molecular dynamics simulation study of FtsZ filament structures bound to several biologically characterized modulators to better understand their inhibition mechanisms. MD simulations were performed on FtsZ heptamers (~500,000 atoms, including water molecules), generated by crystallographic symmetry operations from the X-ray crystal structures of SaFtsZ bound to GDP (PDB ID 3Vo8) and to complex PC190723 (PDB ID 3VoB). The GDP and PC190723 crystallographic coordinates were replaced by optimized poses of the tested compounds in the corresponding binding site. For each filament-compound system, we carry out at least five MD simulations of 300-500 ns in length. Note that these FtsZ filaments are quite flexible and require long simulation times to achieve convergence. We typically employ 1024 cores to produce the long scale simulations that imply around 0.65 ns per CPU hours. Therefore the production time of one simulation system roughly takes several days to complete. The amount of sampling required to carry out all the simulations planned would not be possible without the level of performance and scalability offered by SuperMUC.

There are a growing number of substances, some of them the product of large screens, reported to have some effect on FtsZ polymerization, FtsZ GTPases or bacterial cytokinesis [2]. There are two main binding sites 1) the nucleotide binding site located at the interface between dimers 2) interdomain cleft between C-terminal and the N-terminal domains. Therefore depending on the binding site we performed to type of studies:

1) *Targeting the polymerization interface between FtsZ monomers including the GTP binding site.*

This includes two optimized analogs of the polyhydroxy aromatic compounds described in [2], a Chrysopaentins fragment reported to be active [3], and two unpublished

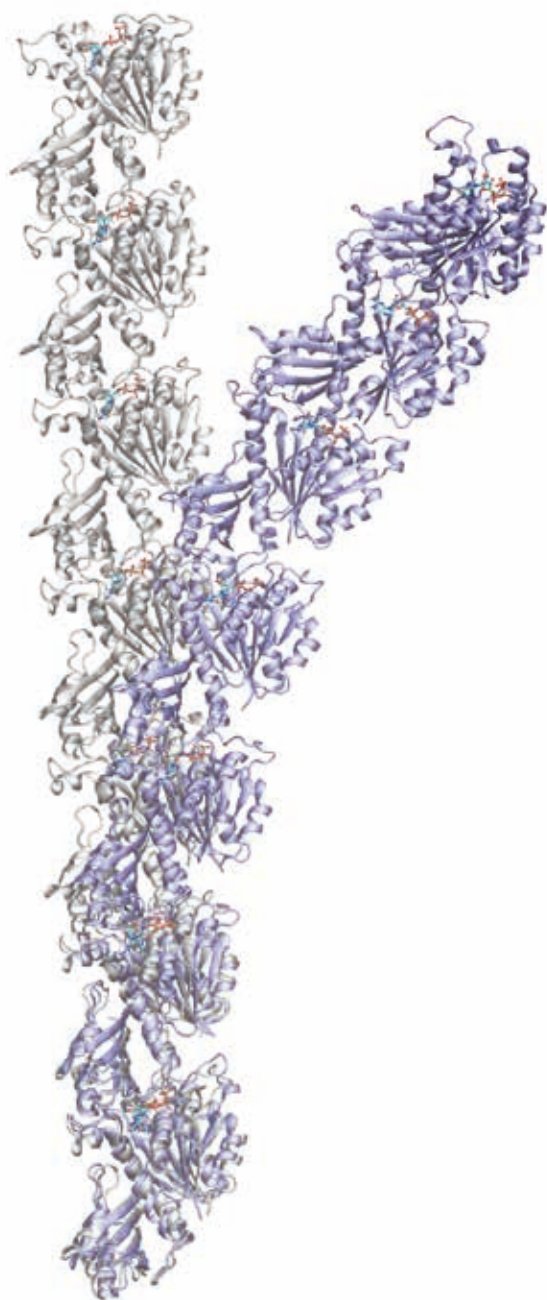


Figure 1: Destabilizing effect observed in one of the mutants tested. The filament structure (violet ribbons) exhibited a dynamic and heterogeneous curvature indicating instability. The initial conformation is shown in gray.

compounds obtained from an in house virtual screening study. All these compounds that cover representative different scaffolds are GTP-replacing FtsZ inhibitors with antibacterial activity.

2) Targeting the FtsZ activation switch.

First we revisited the filament stabilization mechanism of the effective antibacterial compound PC190723[7], which binds at a cleft between both FtsZ domains. Then we study also study a several fluorescent derivatives amenable for binding assays. Finally, we performed simulation on three novel compounds with antibacterial activities that we have identified from virtual screening.

On-going Research / Outlook

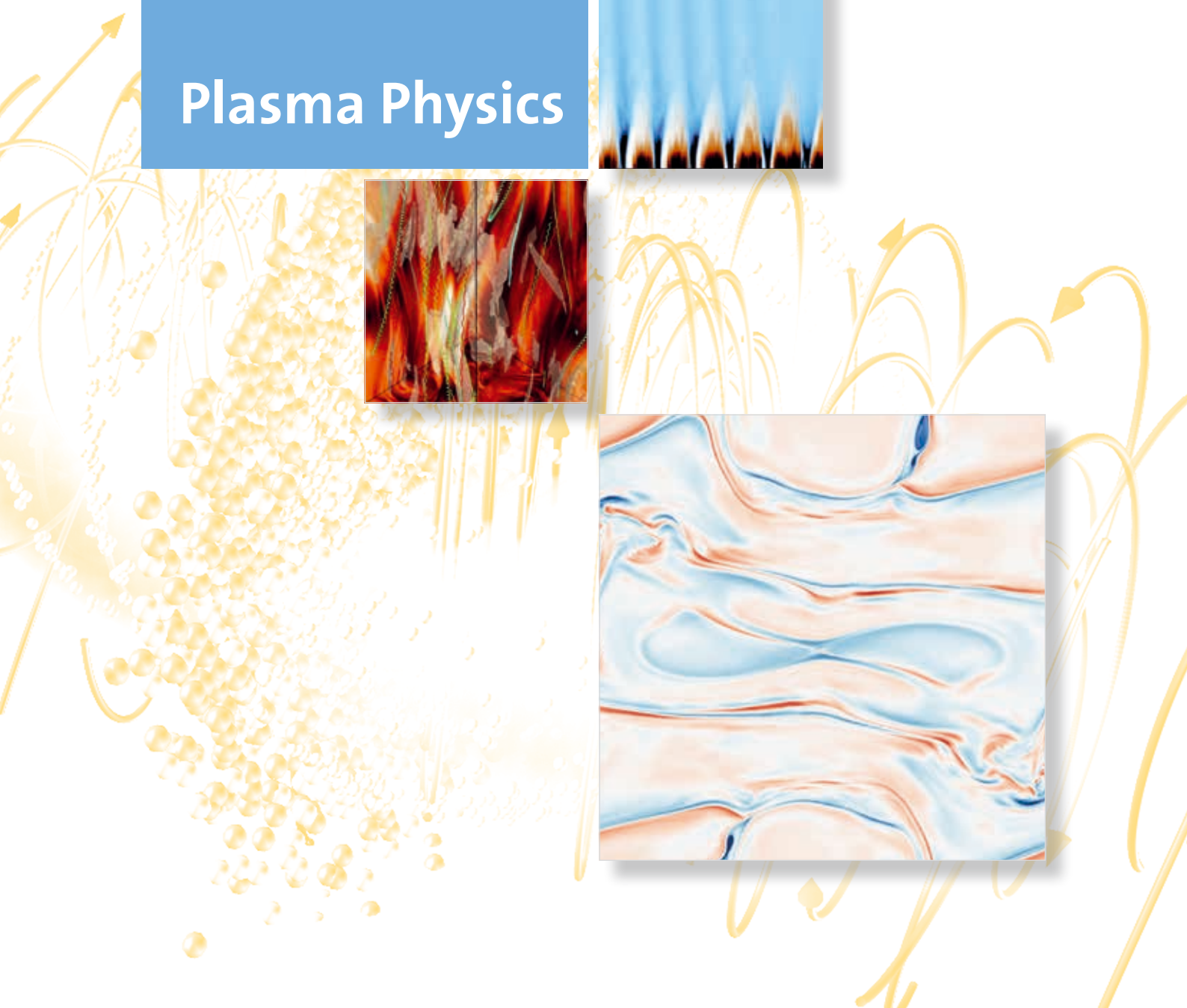
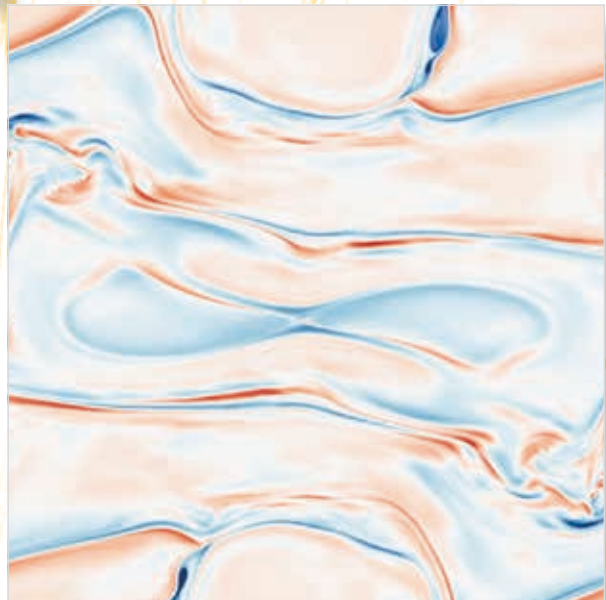
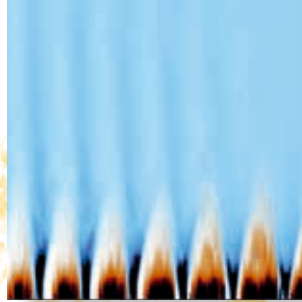
Our results indicate that in the case of nucleotide site all compounds tested have a clear destabilization effect. By the contrary, in the case of PC190723 derivatives, the binding into the cleft between domains blocks the protein in the open filament-forming conformation. Conforming our previous simulations and in agreement with biochemical and structural studies, the maintenance of the cleft open avoids disassembly. In this context, we also explore some of the resistance mutations to these compounds (see Figure 1). We are currently analyzing the data in order to exploit these results for the structure-based drug discovery and design. Molecular dynamics simulation was also used to test the stability of binding of three virtual screening compounds. Unfortunately, we encounter stabilization and convergence issues with such compounds depending that we are still trying to overcome. However, we have no problems to simulate the fluorescent derivatives. In fact, thanks to the simulations performed on superMUC, we gathered enough information to guide the design of such compounds. Based on this information, and in collaboration with experimentalists, we have designed and synthesized several fluorescent probes to be used in ligand-binding assays and reported in [4]. We also study PRC1 (protein regulator of cytokinesis 1), which binds the FtsZ Eukaryote homolog tubulin. PRC1 cross-links antiparallel microtubules and is essential for the completion of mitosis and cytokinesis [5].

We are currently analyzing the effect of all these modulator compounds on the FtsZ assembly mechanism based on the simulations run on SuperMUC. In collaboration with experimentalist we are now confronting these results with experimental evidences. We hope that structural keys observed in the dynamics of the filaments will be very valuable to optimize and design new antibiotic compounds targeting Ftsz dynamics.

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Plasma Physics



Pushing the envelope of plasma wakefield accelerators with exotic beams

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SuperMUC Project ID: pr74ha

Introduction

Particle accelerators and radiation sources play a key role in science, industry and society. Material break down is one of the major limits for the maximum achievable acceleration gradients. When the accelerating fields are too large, the walls of the accelerator “break”, forming plasma, thus disrupting the acceleration or light emission processes. A new concept, using plasma-based accelerators, where the acceleration takes place in a pre-ionized medium, a plasma, can be revolutionary because the plasma can support extremely high electric and magnetic fields. The recent progresses have been tremendous and plasma-based accelerators and light sources may become a future generation of more compact accelerators.

Plasma accelerators are driven by intense laser or particle beams that excite relativistic plasma waves. These waves can accelerate electrons and positrons to high energies. Despite the tremendous progresses there are several challenges that need to be addressed, and new features that remain unexplored. In this report, we will discuss our work towards solving some of the present challenges and towards exploring new features.

Plasma accelerators can be driven by long proton bunches, such as those from the LHC, at CERN. The advantage of using a proton beam as drivers, as opposed to electron or laser beams, is that currently available proton bunches can carry much more energy than any other driver ever produced. Thus, proton bunch drivers and plasmas have the potential to accelerate electrons and positrons beyond the energy frontier, in a single stage [1]. The AWAKE experiment is currently exploring this possibility [2]. Understanding the proton bunch dynamics in the plasma is critical to support current experiments and is the first major goal of our work.

Identifying the maximum repetition rate for these devices is another key fundamental question. The plasma stores energy from the driver. Exploring how this energy flows into the background plasma ions, how the ions move, and how the plasma relaxes back to an undis-

turbed condition is the second major question we are addressing using SuperMUC computing resources.

Plasma waves, which are the accelerating units of plasma accelerators and light sources, are extremely malleable. In certain circumstances this property can be disadvantageous. For instance, it can lead to the onset of the beam-break up instability. However, it also points towards a remarkable feature: the possibility to control the topology of plasma accelerating structures. Investigating the flexibility on the plasma topology is the third goal of our work. Below, we describe our progresses related to these questions.

Results and Methods

Our main computational tool is the particle-in-cell (PIC) code Osiris [3]. In PIC codes, electrically charged particles interact through the electric and magnetic fields that are deposited in a grid. In Osiris, a relativistic particle pusher advances simulation particles according to the relativistic Lorentz force equation. A field solver advances the electric and magnetic fields according to a discretized version of the full set of Maxwell equations. Thus, in general terms, the standard PIC algorithm makes no physical approximations, to the extent where gravitational and quantum effects can be neglected.

Laser or particle beam drivers can excite plasma waves more effectively when their length is shorter than the plasma wavelength. The proton bunches of the AWAKE experiment are much longer than the plasma wavelength. Thus, in their initial configuration, these bunches cannot excite large amplitude plasma waves. As a result, the AWAKE experiment relies on a beam-plasma instability to shape the initial proton bunch driver. Long proton bunches are subject to the self-modulation instabil-

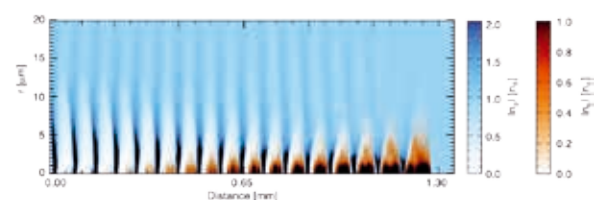


Figure 1: Self-modulated wakefield accelerator. Plasma (blue) and self-modulated bunch (red) densities.

ity, where the driver becomes fully self-modulated at the plasma wavelength during propagation (see Fig. 1).

Because the AWAKE experiment relies on growth of the initial fields to generate large amplitude plasma waves, it is very important to determine whether when the instability is seeded, small initial perturbations will be exponentially amplified during propagation. This would have a deleterious influence on the overall stability and reproducibility of the accelerator. Using Osiris simulations in cylindrical geometry, our work on SuperMUC was decisive to show that perturbations to the initial conditions have little influence on the growth of the seeded self-modulation process and thus, on the acceleration. Our simulation results are also consistent with current experimental results.

As the proton bunch propagates in the plasma, it deposits its energy into plasma background electrons. This energy then flows into background ions. Understanding the overall ion dynamics in the wake of electron plasma waves is thus critical to determine the fundamental limits for the repetition rates of plasma accelerators. Our SuperMUC allocation was critical to explore this physics in connection with recent experiments performed at SLAC FACET, where a much shorter electron beam driver was used instead of protons. We have performed multi-dimensional Osiris simulations to investigate the ion dynamics in plasma wakefield acceleration experiments. Figure 2 shows typical simulation results that evidence the motion of the background plasma ions in this context.

The ability to shape the topology of plasma waves is a remarkable feature, which remains largely unexplored, and that may have deep ramifications into basic plasma physics and relativistic nonlinear optics. This feature is particularly interesting in the context of particle acceleration as it allows shaping the structure of the plasma in unique and novel ways, which are currently inaccessible to more conventional approaches.

Controlling the topology requires structured light, lasers with sophisticated internal degrees of freedom, such as orbital angular momentum, in which the phase front of the laser is twisted. Although challenging, there are no doubts that ultra-intense structured laser pulses will be produced thanks to the recent advances in ultra-fast spatio-temporal beam shaping. Plasma waves with orbital angular momentum lead to intriguing and

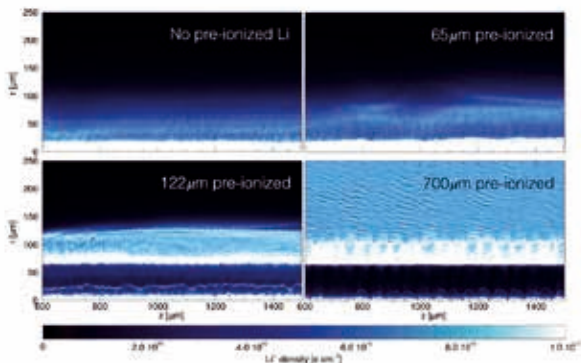


Figure 2: Comparison of the background plasma ion dynamics in several initial plasma conditions in the plasma wakefield accelerator.

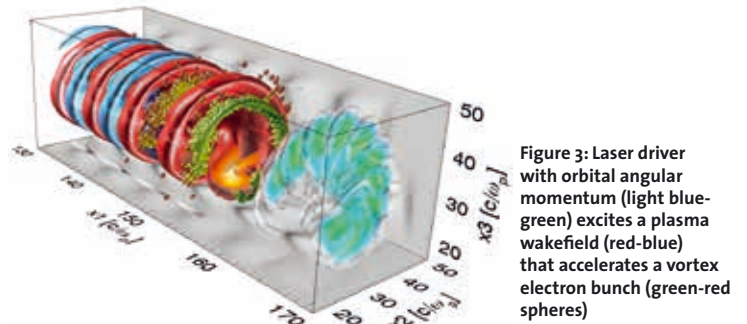


Figure 3: Laser driver with orbital angular momentum (light blue-green) excites a plasma wakefield (red-blue) that accelerates a vortex electron bunch (green-red spheres)

surprising features that are intrinsically connected to its phase properties. They will open pathways to produce new types of particle beams that could be already useful to the multiple communities that probe matter using structured particle beams. Using three-dimensional simulations on SuperMUC our work shows that these plasma waves can produce classical electron vortex bunches, that are relativistic, and that have intriguing properties. Each simulation takes a few tens of thousands of simulation hours (typical run: 25000 core-h). Although of a purely classical nature, they reproduce the orbital angular momentum quantization features of twisted photon beams and twisted structured quantum wave-packets (see Fig. 3) [4].

Vortex laser beams composed of two or more pulses with orbital angular momentum (OAM) are ideal to take full advantage of the topological freedom of plasmas and plasma waves. In the plasma, these laser pulses can exhibit intriguing features, such as a rotation of their intensity profile. These novel features are interesting to potentially tailor the trajectories of relativistic electron bunches and thus enhance radiation generation. We have performed three-dimensional Osiris simulations to explore the ensuing physics. Each typical run needs on average 60000 core-hours. Figure 4 shows an example where the relativistic electrons describe helical trajectories in the plasma waves [5].

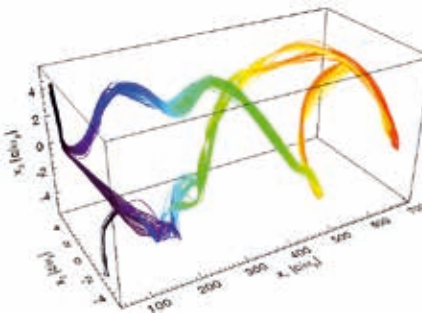


Figure 4: Sample of trajectories in a rotating-wakefield driven by lasers with orbital angular momentum.

On-going Research / Outlook

We will expand our studies in several directions. In the context of AWAKE, we will explore the effects of plasma density variations for longer propagations, and look for potential experimental signatures from ion motion to explore the repetition rate limits of plasma accelerators. We will also investigate radiation generation by exotic relativistic particle bunches produced in topological plasma waves.

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PSC Simulation Support for Novel Accelerator Concepts

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AWAKE Collaboration (CERN), Max Planck Institute of Quantum Optics (MPQ)

SuperMUC Project ID: pr74si (Gauss Large Scale project)

7

Introduction

Since the moment ultra-short high-power lasers became available, their potential use for accelerators is of great interest as the charge separation in plasmas can induce enormous electromagnetic field strengths on a sub-micrometer scale. Accurate modeling of the plasma dynamics is essential for the understanding of how the desired acceleration properties can be produced. Considerable research efforts, both on a theoretical and experimental level, are still needed to achieve ambitious goals, such as medical applications for accelerated protons via laser interaction with mass-limited targets (MLT). These MLTs, such as micro-foils, nano-clusters, needles and wires are potential sources of fast particles and high-energy photons used for purposes such as imaging or treatment planning. The high-energy photons generated in non-linear laser interaction are also of interest in the context of ultra-short attosecond X-ray pulses (AXP) that are required for the imaging of biological processes like protein folding or the behavior of Rhodopsin in the human retina.

Inspired by the results of larger full kinetic PSC simulations, we also have found a new ion acceleration regime, called Ion Wave Breaking Acceleration (IWBA) [2], where collimated and mono-energetic 200-400 MeV ion beams could be produced with available experimental parameters.

While these approaches use lasers to move electrons to accelerate protons/ions, the AWAKE project in contrast uses highly energetic protons for a new linear lepton accelerator concept for multi GeV electrons on some tens of meters instead of kilometers with the help of wake fields.

In previous projects, the technology necessary to run 10m box size simulations with micrometer resolution was established, such as enhanced memory management, better parallelism and increasing the I/O speed of checkpoints to an average of 105 GB/s to be able to run the simulation for full four weeks of pure wall-clock time on 32,768 cores. In the course of this project, the basic baseline case of AWAKE was simulated, producing dozens of TB with each output step. However, it turned out that instead of simulations with increased density, the experimentalists required several observables/output quantities with much higher temporal resolution. As a consequence, the major effort of the new project was put into increasing global communication efficiency to enable heavy “on-the-fly” data processing and analysis on the scale of tens of thousands of cores. This was a major challenge, but solving some serious issues dramatically increased the performance, so a lot of core hours could be invested in some new complex simulations involving quantum electrodynamical (QED) effects that were originally planned for a later project. However, this is still work in progress as the project is still running at the time of this report.

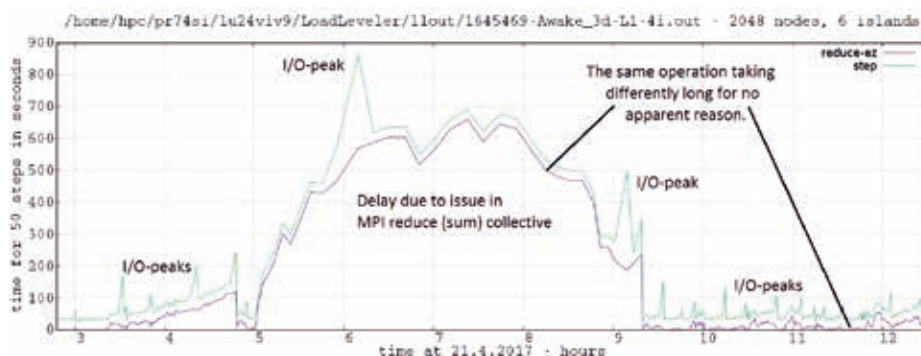


Figure 1: The purple line represents the time for a certain MPI-reduce (sum) routine used by an important inline analysis. The same analysis was done between the timespan 6 to 8 and 10 to 12. The green line corresponds to the total wall-clock time necessary for a time-step. There is a strong correlation showing that most of the time is spent in this reduce operation, blocking the simulation.

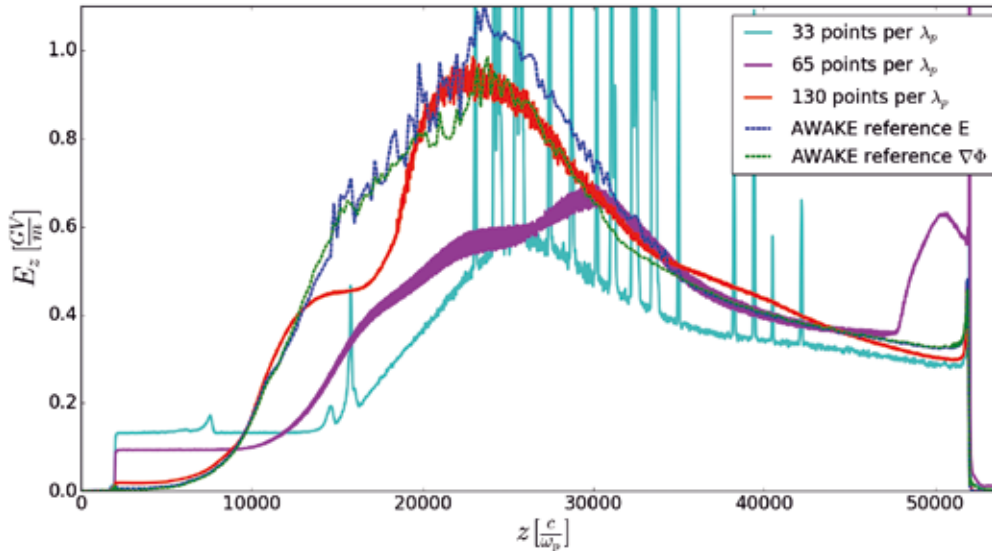


Figure 2: The maximum acceleration field of three runs, differently resolved, demonstrating clearly the need for high resolutions. The red curve corresponding to the required resolution for the AWAKE baseline case could only be obtained after resolving the mentioned MPI issues. The blue and green lines correspond to reference results, obtained by using reduced models ($\nabla\Phi$ as the derivative of the potential denotes sort of an averaged E_z). They show very good agreement [6].

Results and Methods

The Plasma Simulation Code (PSC) [1] is a general-purpose framework to solve the extended Maxwell-Vlasov-Boltzmann system of equations via the PIC approach. The original FORTRAN version evolved to a modern modularized C simulation framework supporting bindings to FORTRAN as well as C/CUDA and features selectable field and particle pushers. The PIC approach is well-known for its good scaling capability via configuration space parallelization. A Hilbert-Peano space-filling curve is used for efficient, dynamic and adaptive load and memory balancing allowing for complex and dynamic geometries.

AWAKE

The AWAKE project studies the interaction of a 450 GeV proton beam of the SPS pre-accelerator at CERN with a 10 m long plasma. Moving window technology allows for reducing the active memory footprint and the costs by a factor of 30 to about 3% of a full simulation. Nevertheless, every single output dump still takes 3 TB and checkpoints may take even up to 12 TB. For collaboration with experimentalists, these data were still not sufficient, as time-averaged observables requiring information from every single time steps were also demanded. Therefore, development and use of heavy inline data processing and analysis were necessary. This led to a significantly increased amount of collective communication. This revealed an MPI issue most likely related to the IBM-MPI behavior on SuperMUC Phase 1 (Fig. 1).

Exhaustive investigations carried out together with experts from the LRZ-Astrolab and engineers from IBM tracked the issue down and found that it was related to the interaction of the collective and point-to-point communication patterns in the PSC with intra-node RDMA transfers which delayed the project.

Another big challenge consisted in the fact that in the available IBM implementation, “pami_tune” provided only one algorithm for “MPI-GatherV”, which apparently used only “MPI-Root” as receiver. As INTEL did not

scale to so many SuperMUC-islands (at that time), a custom-tuned tree-like algorithm was written for PSC decreasing the wall-clock time from several hundred seconds (on 4-8 islands) to 40 ms., which is a speed-up factor of usually more than 2000.

We are currently summarizing the challenges inherent in the execution of such an extreme scale simulation presented to us in a paper entitled “Enabling the First Fully Kinetic 3D Simulation of the AWAKE Baseline Scenario”, K. Bamberg, N. Moschüring, P. Böhl, K. Lotov, H. Ruhl (2018).

After having resolved these issues, we were able to provide the experimentalists with all the information they needed (Fig. 2) to reach the initial goal of confirming that the reduced codes (fluid-based and 2D cylindrically symmetric) can accurately represent the relevant physics as well as fully kinetic 3D simulations.

The Head of Simulation Efforts of AWAKE confirmed that the respective results showed high concordance, and by now also coincide with initial experimental results. This represents an unprecedented benchmark at this extreme scale for Particle-in-Cell codes. This work is currently in the process of publication as “First Fully Kinetic 3D Simulation of the AWAKE Baseline Scenario” by N. Moschüring, K. Lotov, K. Bamberg, F. Deutschmann, H. Ruhl (2018).

Ultra-thin foils

While AWAKE uses 450 GeV protons to accelerate leptons, the other subprojects use much more commonly available lasers to accelerate electrons. For instance, a short circularly polarized laser pulse can “press” a major fraction of the electrons out of a 10 nm thick carbon-like foil. The generated electric field strongly accelerates the electrons, attracting them back to the ions, and relativistic effects create an even shorter AXP useful to “film” protein folding which happens on the time scale of $10e-18$ seconds.

Together with AWAKE, the nano-foil project is one of our biggest simulations requiring half a trillion grid cells. And likewise, also only few output steps are possible. Fig. 3

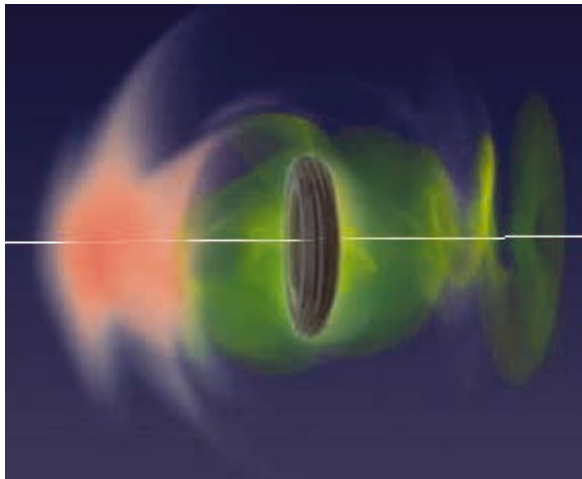


Figure 3: Volume-rendering of a 3D simulation of a 10nm diamond-like-carbon foil irradiated with an ultra-short circularly polarized laser pulse as a sketch for the nano-foil project. Green-yellow is the electron cloud, clearly rotating in the circular electric field. Black-white is the expanding ion background and blue-red is the reflected light. For the sake of clarity, the incoming laser pulse is not shown.

shows a 3D-Volume-Rendered picture of such a snapshot. During this project, the focus was placed on frequency analysis, which therefore also requires information from every time step. One goal consisted in the extension of the special output routines for better frequency sampling. In addition, we could reduce memory footprint of the simulation from 16 islands of SuperMUC Phase 1 (requiring “Block Operation”) to 8 islands, making the ultra-thin foil simulations much more feasible.

Mass-limited targets (MLTs)

Another approach consists in the longer/stronger acceleration of the electrons and the use of the thereby generated electric field to “pull” and therefore accelerate the protons. This is the direct contrast to AWAKE, where protons accelerate leptons.

Substantial progress was made in finding a parameter range that offers a higher ratio for the conversion of laser pulse energy into fast ion energy when using MLTs levitated in a Paul trap [5] to produce fast ions. In this project we tested different pulse shapes, field strengths and target geometries and densities.

By altering these parameters, the dynamic of the acceleration process can be shifted between different regimes like target normal sheath acceleration (TNSA), Coulomb Explosion (CE) and Radiation Pressure Acceleration (RPA). We studied the transition between these different dynamics and the properties of their fast ion spectrum and found that the maximum proton energies rise approximately linearly with pulse field strength for the near solid targets that were used in the experiments that were ran in parallel to the simulation efforts.

Due to the necessary resolution, a typical simulation requires about 20 billion grid cells and runs approximately 12 hours on one island on phase 1 of SuperMUC. Larger ones require up to 4 islands.

The simulations revealed that the absorption of laser energy can be enhanced by lowering the density of the target at primary pulse interaction. Experimentally, this can be achieved by pre-expanding the target with a minor pulse before the main interaction. With a target at roughly critical density (n_c), the laser can penetrate the target completely and we have an enhanced RPA effect additionally to the coulomb explosion observed so far in experiments and simulations. Additionally, the length of the pulse is adjusted to the expansion time of the exploding target. This leads to a tenfold increase in maximum proton energy from roughly 25 MeV achieved in previous work [4] to 250 MeV, while keeping the pulse energy (2 J) and the laser peak intensity ($8 \cdot 10^{20} \frac{W}{cm^2}$) roughly the same as before. If these results can be reproduced in experiment, it will be a

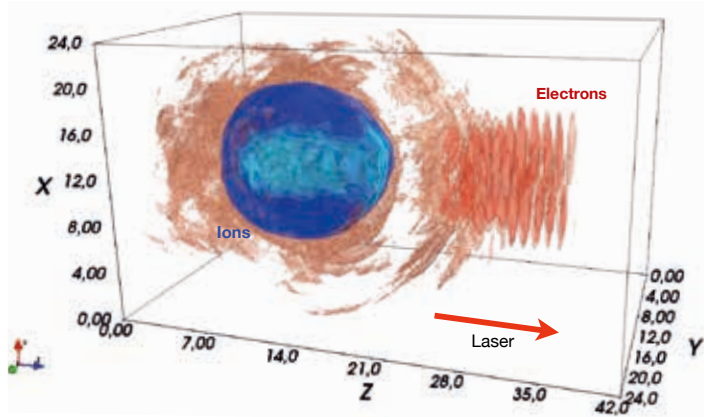


Fig. 4: Compared with a purely Coulomb exploding target, where the fast ions are expelled isotropically, the fast protons in the near-critical target shown here are pushed by the radiation pressure (RPA) predominantly in laser propagation direction leading to a directed fast ion beam (light blue structure and the sphere front) with energies well over 200 MeV.

significant improvement as compared to the older results, as ion energies of a few 100 MeV are then within reach at much smaller pulse energies that can be delivered by relatively common laser facilities. This set-up also concentrates the accelerated protons much more in the forward direction (Fig. 4) compared with the Coulomb exploding situation. These results will be published as “New Target Parameters for Improved Ion Acceleration in Laser Irradiated MLT” by V. Pauw, P. Hilz, K.-U. Bamberg and H. Ruhl (2018).

Ion Wave Breaking

Compared to the result from previous projects, the goal was to simplify the setup for experimentalists by finding laser parameter sets that should be commonly available for experimental laser physicists. The pulse form is now a pure Gaussian, in time as well as in space, and the laser intensity is lower than $10^{21} \frac{W}{cm^2}$. The difficulty to overcome is then the reduced parameter window for the IWBA regime. For example, with an intensity of $6 \cdot 10^{20} \frac{W}{cm^2}$, the optimal initial plasma density has to be in the small range of $6.5n_c$ to $8.5n_c$. Up to now, there is no good theory to analytically describe these phenomena. So it is necessary to scan the parameters very carefully to find the small window. Furthermore, the ion trapping happens in a very small region of space, therefore requiring high resolutions of at least

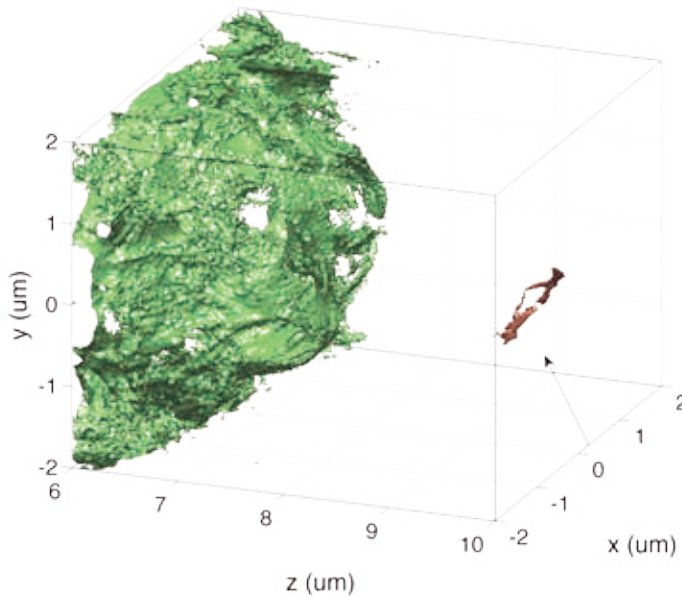


Figure 5: 3D-iso-surface plot for a 3D simulation with a 4 Joule circularly polarized laser pulse with a peak intensity about $6 \cdot 10^{20} \frac{W}{cm^2}$. The pure Gaussian profile is common for experimental setups. The marked ions are accelerated to energies in the range of 60-80MeV.

50 cells per micron [2, 3]. Larger runs (Fig. 5) usually require 2000-2560 cores taking 24-48 hours resulting in about 100 kilo-core-hours per run. The parameter scan consisted of several hundred runs (also smaller ones) on SuperMUC and also on its "little sister" Hydra (for "throughput"). The typical output is about two Terabyte per run, being reduced to some Gigabytes during post-processing.

On-going Research / Outlook

The promising simulation results for nano targets shall be supported with experimental data in 2018. If the results hold up, further investigation into optimization of the experimental set-up and the parameters of pulse and target is of interest.

Given the success of the AWAKE simulations, the small discrepancy between PSC, L-Code and experiments might originate from minimally deviating initial conditions which might be researched by many shorter runs scanning different initial parameters. But the results suggest that for most of the realistic scenarios it is fortunately unnecessary to rely on full kinetic simulations.

The challenge of the IWBA project is that in order to explore more details near the wave-breaking point as described in [2], much higher resolution is required.

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Simulation of Kinetic Turbulence in Space Plasmas

RESEARCH INSTITUTION

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SuperMUC Project ID: pr84ti (Gauss Large Scale project)

7

Introduction

The study of turbulence has been a major research question for several decades by now and even though turbulence is ubiquitous there is no single theory of it. The pioneering works of Kolmogorov used the merger of eddies to explain the energy cascade in hydrodynamic fluids. Goldreich and Sridhar [1] showed that in magnetized plasmas three- and four-wave-interaction lead to a cascade with a preferred direction.

The Goldreich and Sridhar theories can explain some of the general features of turbulence (spectrum and anisotropy) but are limited to incompressible MHD plasmas. Application in space plasmas however require the inclusion of dispersive waves, especially Whistler waves.

This SuperMUC project is trying to address one specific part of plasma turbulence research: How is energy cascaded in the so-called kinetic plasma regime, i.e., those length scales, where particle collisions can be neglected, and particles no longer act as a fluid.

Several complementary theories exist for this scenario, and detailed numerical models are used to test them. At larger spatial scales the relatively well-understood inertial range exists, where the energy spectrum follows a power law distribution $E \propto k^{-3/2}$ in wave number space. It is generally assumed that the cascade continues to follow a power law in the kinetic regime as well, but the spectral index is different, leading to a steeper spectral slope. Thus, at the transition from the inertial to the kinetic range a break in the spectrum is assumed.

Method

The ACRONYM code [2] is a fully relativistic, parallelized, explicit Particle-in-Cell (PiC) code, which has been used and developed by our group for several years. The main application of the code is the simulation of solar wind plasma and the interaction of plasma waves and particles. The PiC method is based on the representation of the plasma as a set of (numerical super-) particles and a

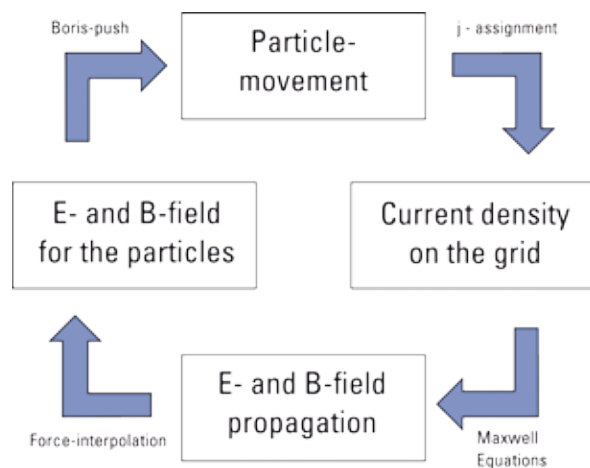


Figure 1: Update cycle for a typical explicit Particle-in-Cell code. Moving particles create a current, which is interpolated to discrete grid positions. The update for the electromagnetic fields is computed on the grid and the fields are interpolated back to the positions of the particles. The particles are pushed via the Lorentz force and the next time step begins.

discretized grid which hosts the electromagnetic fields and currents. Particles do not interact directly with each other, but only with the grid. In each simulated time step, the update of the electromagnetic fields can then be computed on the grid only. Afterwards, the fields are interpolated to the positions of the particles, which are then pushed according to the Lorentz force. A schematic representation of the update cycle is presented in Fig. 1. Due to this procedure the computational effort scales only linearly with the number of particles in the simulation (instead of quadratic, if particles interact directly with each other), but particle-particle collisions cannot occur. Therefore, the PiC method can only be applied to dilute plasmas, such as the solar wind, where collisions can be neglected.

For our studies we focus on specific waves, mainly the so-called Whistler waves, and thus try to excite mainly plasma waves of this kind. We therefore initialize sinusoidal perturbations in the electromagnetic fields, which model the plasma wave or a superposition of several waves [3].

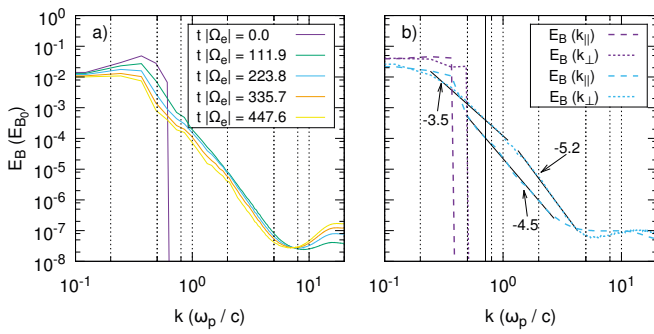


Figure 2: One-dimensional magnetic energy spectra obtained from a 3D simulation. The magnetic energy E_B is shown as a function of the absolute of the wave vector $k=|\vec{k}|$ in panel a). The different lines represent spectra obtained at different points in time during the simulation. In panel b) the magnetic energy is shown as function of both k_{\parallel} and k_{\perp} for two points in time: $t|\Omega_e|=0.0$ (purple lines) and $t|\Omega_e|=223.8$ (blue lines). Power law fits are applied to these spectra (black lines) to obtain the spectral indicated by three numbers in the plot. The vertical black line close to $k_{\parallel}/\omega_p \approx 0.7$ marks the minimum parallel wave number at which cyclotron damping is expected. The energies in both panels are normalized to E_{B_0} , the energy of the background magnetic field.

Results

It is currently investigated whether the spectral index in the kinetic regime is universal (as is the case in the inertial range), or if it depends on the plasma parameters. Furthermore, there is some observational and numerical indication of a second break and steepening of the energy spectrum at even smaller scales (higher wave numbers), which might be caused by the onset of damping. With our simulations we have investigated the properties of the energy spectrum in the kinetic regime and the dissipation range.

Simulations in 2D and 3D have been carried out. The 2D simulations were as big as 2048^2 cells, while the 3D simulations reached 512^3 cells. A grand total of 7 million CPU-hours has been used in this project.

We have found a relatively flat and universal spectral slope at larger spatial scales (small wave numbers). Towards larger wave numbers we find a break, which coincides with the expected onset of damping. The spectrum steepens after the break and the spectral index seems to depend on the properties of the plasma, such as the plasma temperature. Example spectra from a 3D simulation are shown in Fig. 2. This simulation has a size of 512^3 cells with 128 particles per cell. The total runtime for this simulation alone required 200,000 CPU-hours.

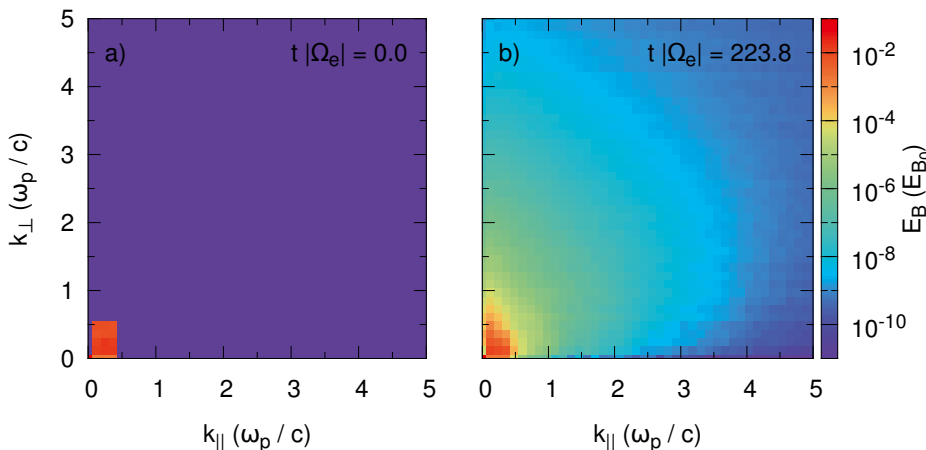


Figure 3 Distribution of the magnetic energy E_B in wave number space at two points in time. The energy is normalized to the energy E_{B_0} of the background magnetic field. Panel a) shows the energy distribution at the beginning of the simulation, where only a few initial waves are excited at small wave numbers. Panel b) depicts the fully developed spectrum after half of the simulation. An anisotropic cascade is developed, which preferentially transports energy to higher perpendicular wave numbers.

It is interesting to see in Fig. 3 how the energy is distributed in parallel and perpendicular direction: Energy is not distributed isotopically, but energy cascades faster in perpendicular direction. This behavior is predicted by Goldreich and Sridhar for Alfvén waves, but seemingly Whistler waves behave similarly.

The simulations are severely limited by two factors: The physical effect of cyclotron damping [3] makes a large part of the parameter space inaccessible. Even for the chosen parameter set a large fraction of wave number space is affected by damping. The other limiting factor is the large proton-to-electron mass ratio leading to a large spread between cyclotron frequencies.

Conclusion and Outlook

HPC simulations provide a unique window to address one of the most fundamental questions of plasma turbulence: How is energy transported across scales? Employing the particle-in-cell method, we have found spectral slopes and breaks in our spectra that are around the expected values and in line with Gary et al. [4]. It should be noted that the slope depends on the dimensionality of the simulation.

2D cuts of the magnetic energy show a preferred perpendicular energy cascade, which shows similarities with the Goldreich-Sridhar theory even though the theoretical derivation is different.

Future simulations must connect the kinetic regime with the MHD regime. This can be achieved in two ways: Either much larger simulations covering also very large wave numbers (this can easily increase the simulation size by 2-3 orders of magnitude) or by hybrid simulations connecting PiC with MHD.

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Simulation of Brilliant X/Gamma-Ray Emission in Strong Laser Fields

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PROJECT PARTNERS

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SuperMUC Project ID: pr92na (Gauss Large Scale project)

Introduction

With the development of material science, well-defined near-critical density plasma (NCDP) targets can be prepared in experiments. The NCDP attracts much attention due to the nonlinear particle dynamics and the strong coupling between the laser pulse and the plasma. X/gamma-ray emission from NCDP driven by ultra-intense laser pulses has been observed in Particle-in-Cell (PIC) simulations and attracted the interest of experimentalists. A group lead by Jörg Schreiber at Ludwig-Maximilians-Universität, Munich, has done a preliminary experiment, and another group lead by Manuel Hegelich at Texas University in Austin is interested in carrying out an experiment. PIC simulations adjusting the results to the experimental parameters have to be run. Ion acceleration enhancement with NCDP has been observed experimentally by a team of researchers lead by Jörg Schreiber. PIC

simulations have to be run in order to scan experimental parameters and compare with the experimental results. By analysing the simulation results of the laser interacting with NCDP, we were surprised to find that there exists a new ion acceleration regime never described before. We call it ion wave breaking acceleration (IWBA). Wave breaking is one of the most interesting phenomena in plasma physics. Electron self-injected acceleration via wave breaking has lead many applications. Ions are traditionally treated as particles. We found that, when applying a fast rising laser-driven pulse, the background ions move collectively as a cold wave. When the ion wave is too strong, the wave breaks, then a small fraction of ions can be self-injected into a laser driven wake and accelerated efficiently. The final ion beam is collimated and mono-energetic. Such a beam has potential important applications, such as tumour treatment, material detection, and basic physics. Since the ion wave breaking dynamics is too complex to be solved analytically, PIC simulations are needed for understanding the physics.

Results and Methods

Simulation method

The Plasma-Simulation-Code (PSC) is a general purpose framework to solve the extended Maxwell-Vlasov-Boltzmann system of equations via the PIC approach [1]. Recent extensions comprise the self-field effects of radiation and electron-positron pair production in strong fields. The original FORTRAN version evolved to a modern modularized C simulation framework supporting bindings to FORTRAN as well as C/CUDA and features selectable field and particle pushers. The PIC approach is well-known for its good scaling capability via configuration space parallelization. A Hilbert-Peano space-filling curve is used for efficient, dynamic and adaptive load and memory balancing allowing for complex and dynamic geometries.

Result: X/gamma-ray emission from NCDP

In this sub-project, we investigated the X/gamma-ray emission when propagating an ultraintense laser pulse in a NCDP via 3D PIC simulations. Collimated radiation with

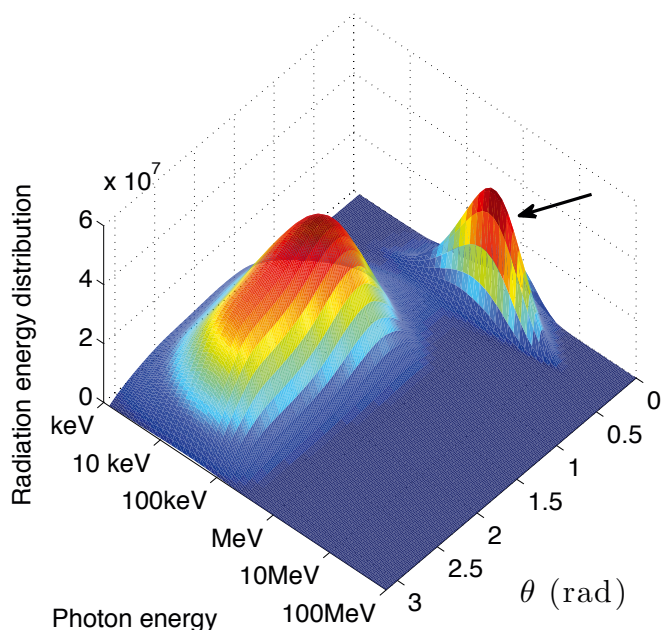


Figure 1: Angular-spectral distribution of radiation energy from a full 3D PIC simulation. The high energy collimated gamma-ray radiation is marked by a black arrow.

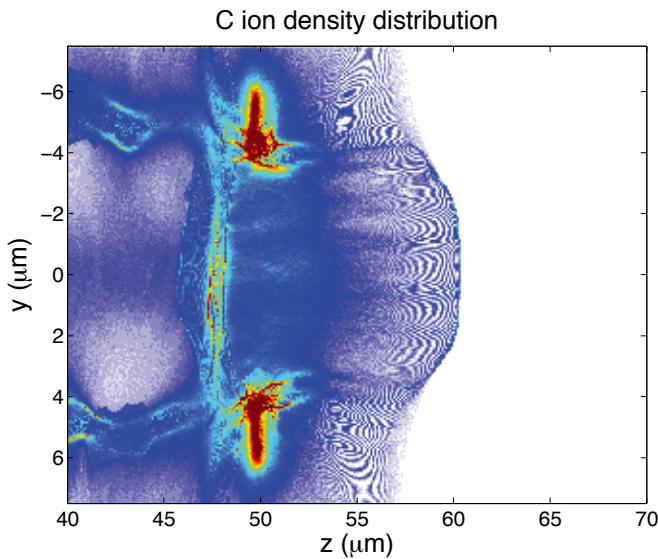


Figure 2: Carbon ion density distribution from a high resolution 2D PIC simulation.

peaked photon energy up to MeV is observed, as marked by a black arrow in Fig. 1. In order to resolve detailed electron dynamics, very high resolution is needed. For a full 3D simulation we used 7×10^9 simulation cells and 35 macro-particles per cell, this requires total memory of up to 20Tb.

Result: Ion acceleration enhancement with NCDP

In this sub-project we investigated ion acceleration when irradiating an ultra-intense laser pulse on a combined target, which is formed by a uniformed NCDP layer and an ultra-thin solid foil. A high-density collimated ion layer is observed in a high-resolution 2D simulation, as shown in Fig. 2. In order to resolve the ultra-thin solid foil, very high resolution is needed in simulations. Furthermore, in order to include the laser self-shaping effect in NCDP, a very large simulation box is needed. Therefore even for a full 2D simulation, total memory of up to 15Tb is required.

Result: IWBA

In this sub-project we investigated self-injected ion acceleration when propagating an ultraintense laser pulse in a relativistic self-transparent NCDP with full 3D PIC simulations. The ion wave growing and breaking are observed clearly in simulations, as shown in Fig. 3. The ion wave breaking process is extremely nonlinear and the background ions show complex kinetic behaviour. In order to resolve the details, large scale and high resolution are required in the simulations. About 0.1 million core-hours are needed for a full 3D simulation. Since this IWBA regime is barely discussed in literature, we have to establish the model from scratch. We have run thousands of 1D/2D simulations and dozens of full 3D simulations for different laser plasma parameters to understand the physics of IWBA. Some of the results have been published [2].

On-going Research / Outlook

We found occasionally that much better quality radiation can be emitted with a fast rising laser pulse. More detailed investigation about the X/gamma-ray emission is needed. On the other hand, it is still not very clear how IWBA with practical experimental parameters can be realized. More simulations are required. The new ion wave model may help us to improve the understanding of laser propagating in plasma even in QED regime. Since we have spent a lot of time and resources on IWBA, we have postponed our research project about the QED cascading effect.

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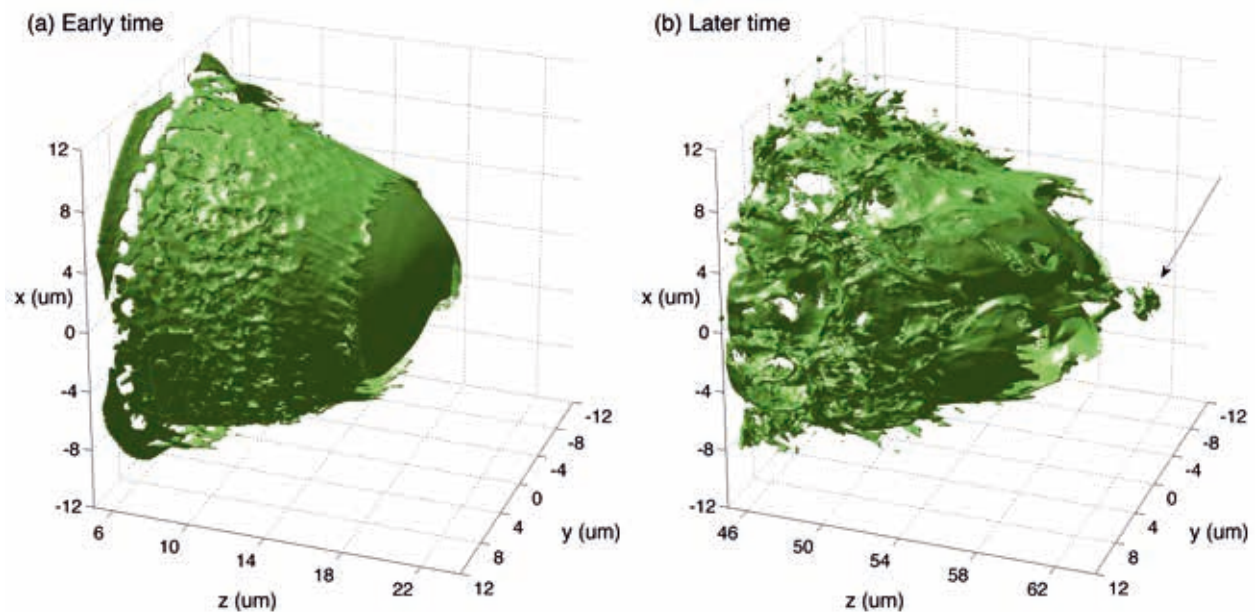
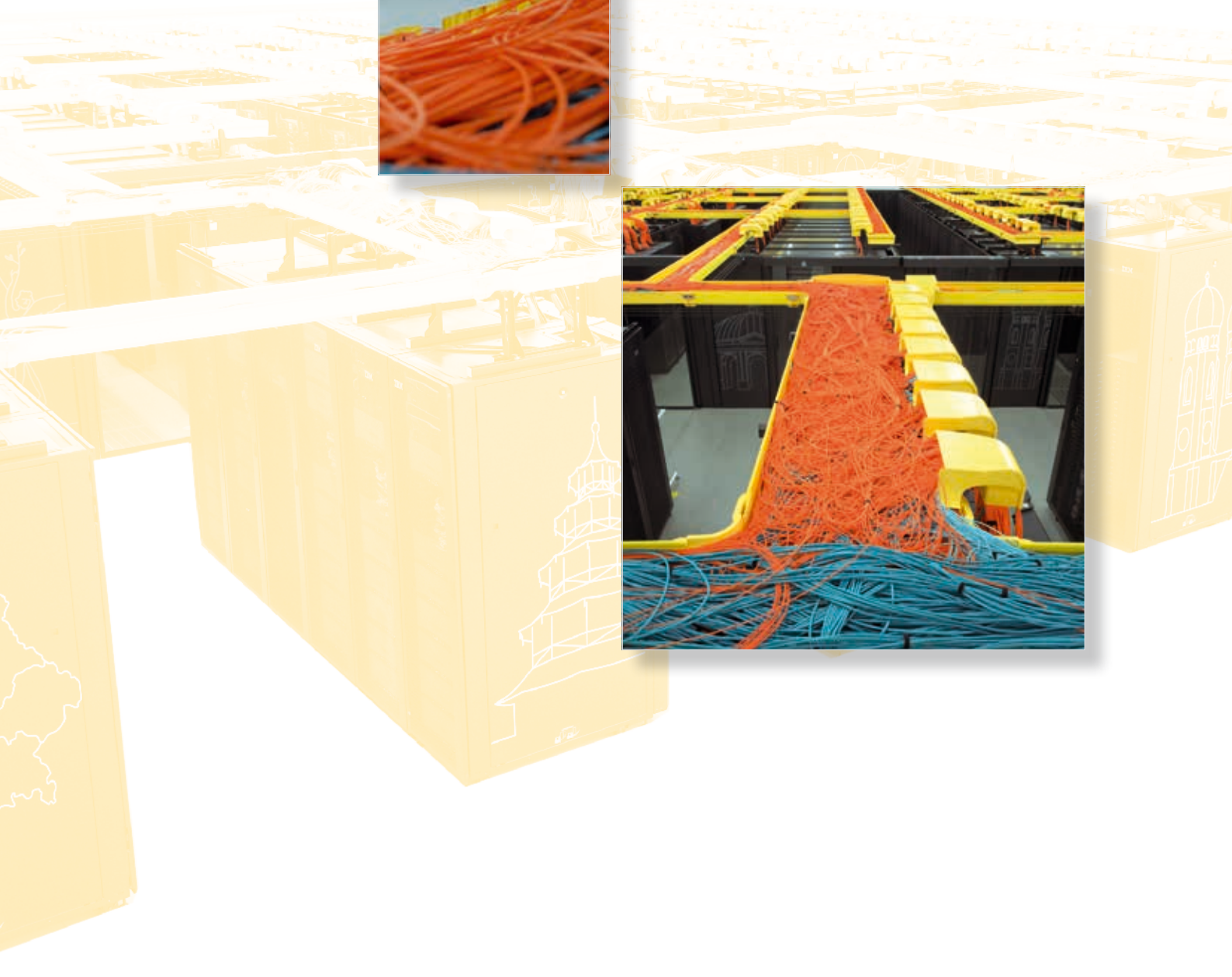
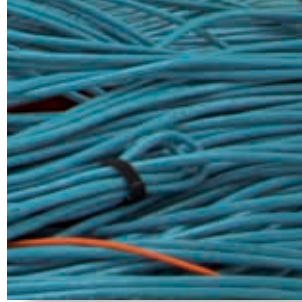


Figure 3: Proton density isosurface plot in 3D space at (a) an early time when the ion layer is just formed and (b) a later time after the ion layer broke and an ion beam is accelerated (marked by a black arrow), respectively. The laser pulse (not shown) propagates along z direction.

Appendices



Summer of Simulation: Enabling a new generation of SuperMUC users

In May 2016, the BioLab of the LRZ application support group initiated the “Summer of Simulation” to foster young scientists to tackle their problems on current supercomputers. Master students and PhD students employing molecular dynamics or quantum chemical simulations were invited to submit a one-page proposal describing their project with the objective to port their applications on SuperMUC, find an optimally scaling setup, and finally perform first production runs during the summer break.

Current multi and many core architectures pose a challenge to simulation codes with respect to scalability and efficiency. Particularly life and material-science simulations often cannot just increase their system sizes, because underlying algorithms scale unfavorably, and insights from larger systems are limited. Still, computational demands are high, due to the required abundant sampling of phase space, or molecular structures, and highly accurate physical descriptions.

The “Summer of Simulation” 2016 started with a kickoff meeting in July, where the eight participants from the Ruhr-University Bochum, the University of Bonn, the Friedrich-Alexander University Erlangen-Nuremberg, and the Technical University Munich, respectively, presented their projects, and were assigned to a tutor from the LRZ BioLab.

In the following five weeks, the students worked to get their codes and simulations running on SuperMUC and optimize the setup. Each project started with a budget of one million core-hours for preparatory simulations, and to demonstrate the scalability of their application. With the guidance of their tutors, the students prepared follow-up proposals to apply for up to nine million additional core hours. After an accelerated review process, a total of 50 M core-hours were granted and this budget was available to the projects until October. At the end of October, each student presented their results.

All projects were carried out by curious, industrious, and eager students, and it was a great pleasure for the tutors to work with them. Moreover, the close contact with the different projects and their new applications showed hurdles and pitfalls, whose fixing improved the usability of SuperMUC in general. Almost all groups continued working on follow up projects. The “Summer of Simulation” was repeated in 2017 and currently, the BioLab is selecting the projects for the “Summer of Simulation” 2018. Ten projects from the “Summer of Simulation” 2016 and 2017 consumed more than 6 M core-hours, respectively, and submitted regular reports for chapters 2 and 6 of this book. The reports are marked as “Summer of Simulation Project” in the header section. Three additional reports are presented on the following pages.

Kind support by the SuperMUC steering committee, in particular Prof. Wellein, is gratefully acknowledged.



V2C cave presentation, participants of the Summer of Simulation programme 2017 (picture: LRZ).

Insights into the formulation of a multi-domain antibiotic from MD simulations

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SuperMUC Project ID: pr53wa

Introduction

Staphylococcus aureus is a commensal gram-positive bacterium that plays a very important role as a pathogen for humans, causing a variety of infections. [1][2] HY-133 is an effective antibiotic protein targeting *S. aureus*. However, it tends to deactivate by aggregation (Figure 1).

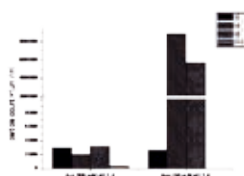


Figure 1: Particle count of two formulations differing in arginine content after storage at elevated temperature of 40°C for 14 weeks.

Results and Methods

Two systems, corresponding to a formulation with and without arginine were setup with packmol and tleap. HEPES and arginine were parametrized with parmchk2 and point charges were calculated using Gaussian16

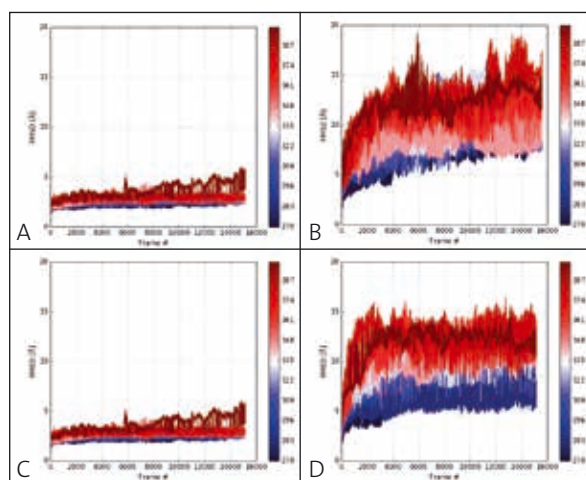


Figure 2: RMSD values for domains 1 and 2 at temperatures ranging from 270 to 398 K. A and B correspond to the formulation with Arginine, C and D correspond to the formulation without arginine.

with HF/G-6-31* theory level/basis set. A RESP fit was performed with antechamber. The Amber ff14SB force-field was used for the protein parameters.

Replica Exchange simulations were run with the MPI version of pmemd as implemented in Amber16. 64 replicas at temperatures from 270 to 398 K were simulated in parallel.

The trajectories were processed and analyzed with cpptraj to sort the trajectories by temperature and calculate RMSD values. RMSD values were calculated for residues 3 to 169 (domain 1) and 175 to 283 (domain 2)

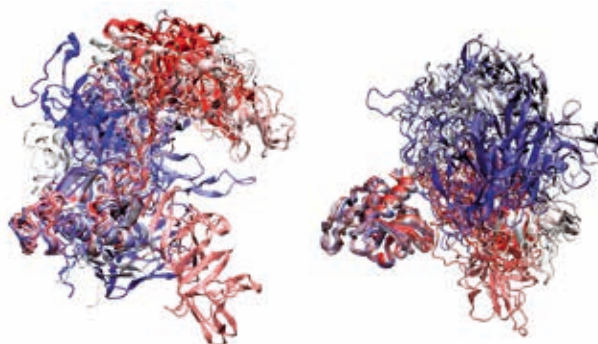


Figure 3: Protein color goes from red to white to blue with increasing step number. Left: without arginine. Right: with arginine

respectively in order to rule out changes in RMSD due to the position of one domain relative to the other. VMD was used for visual analysis.

The dynamics of the protein change when arginine is added to the formulation. By adding arginine to the formulation, inter-domainal contacts are reduced and one can even observe a highly extended conformation.

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Chemical Reactivity of Amorphous Oxide Surfaces

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SuperMUC Project ID: pr74be (Summer of Simulation 2016)

Introduction

Theoretical studies on chemical properties of oxide surfaces have been done almost exclusively for surface terminations of crystalline solids. Oxides, however, are often amorphous and their surfaces are covered by residuals from the environment, in particular OH groups from contact with water or protective ligand shells in the case of nanoparticles. The goal of this project was to create realistic amorphous surface structures which allow a systematic comparison of their chemical reactivity with their crystalline counterparts. We will address the question whether the atomic disorder and the appearance of new types of surface defects make amorphous surfaces more reactive or if the opposite effect prevails, namely that the higher structural flexibility leads to a better passivation of the unsaturated surface atoms and thus reduces the reactivity of amorphous terminations.

Results and Methods

Amorphous surface structures of ZnO (a technologically important transparent conducting oxide) and TiO₂ (a photo-active semiconductor) were generated by the melt-quench technique. Atoms are placed at random positions within a unit cell. The volume of the unit cell is chosen in such a way that the experimentally observed density is reproduced. Then, the systems are equilibrated for up to 40 ps at a temperature well above the melting point. From each equilibration run three snapshots are taken and quenched to room temperature for another 40 ps using a linear temperature ramp, giving three independent amorphous configurations for comparison and some limited statistical averaging. The surfaces were introduced during the melt-quench process without the need to cleave amorphous bulk configurations. Hydroxylated surface structures and surfaces covered by acetate (a typical ligand in wet-chemical particle synthesis) were created by including water and acetic acid molecules in the melt-quench procedure.

All simulations were done with the Car-Parrinello CPMD code [2], a first-principles molecular dynamics technique based on density functional theory for the description of

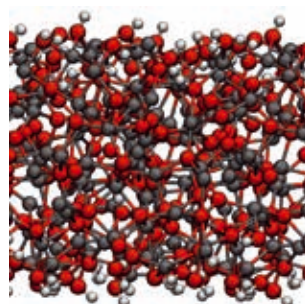


Figure 1: Representative structure of an amorphous OH-terminated ZnO slab created by melt-quench CPMD simulations. Zn, O and H are shown in gray, red and white, respectively.

the interatomic interactions. The unit cells contained 256 and 192 atoms in the case of adsorbate-free ZnO and TiO₂ surfaces, respectively. Single simulations were run most efficiently on 13 SuperMUC nodes (364 cores) using a mixed MPI/OpenMP parallelization (4 MPI processes per node, 7 OpenMP threads per MPI process), up to which CPMD shows almost linear scaling. As a second layer of parallelization the three quench simulations could be combined in a single run. Creation of a set of three amorphous slabs required about 300.000 CPUh.

A typical result of the melt-quench simulations is shown in Figure 1. All created amorphous surfaces have been thoroughly characterized by analyzing radial distribution functions and average coordination numbers. Comparison with experimental data shows that realistic amorphous structures were obtained.

On-going Research / Outlook

The chemical reactivity of the amorphous surface structures is currently investigated by exposing them to a variety of small organic molecules with different reactive units (alcohols, acids, aldehydes, ketones, amides, etc.). This is done by performing CPMD simulations for layers of adsorbed molecules at slightly elevated temperatures. This allows us to identify spontaneous dissociation events and preferred locations of molecules on the surfaces. In a second stage the specific adsorption properties of the molecules at special surface sites will be investigated.

References and Links

- [1] <https://chemistry.nat.fau.eu/meyer-group>
 [2] <http://www.cpmid.org>

Computing precise solvation free energies of small molecules

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SuperMUC Project ID: pr92go

Introduction

Molecular dynamics (MD) simulations of biomolecules such as proteins have become a feasible task. Yet the amount of computational resources for large systems is still sizeable. The proper treatment of sufficient surrounding solvent (usually water) is crucial, and thus considerable time is spent on the calculation of water-water interactions. A promising way to reduce this effort is to replace the explicit water molecules by a dielectric continuum. The Hamiltonian Dielectric Solvent (HADES) [1] provides an energy conserving method to compute the resulting dielectric forces, and is efficiently implemented in the IPHIGENIE [2] MD program.

The quality of the HADES description relies on a set of parameters [3]. The overall strength of salt-bridges as well as the absolute solvation free energies play a key role in the dynamics of large proteins. We are using these criteria to improve the HADES parametrization. Although suitable quantities can be accessed experimentally, especially molecules with a net-charge can be studied with less uncertainty through explicit solvent MD simulations. We have used SuperMUC to perform several Thermodynamic Integration (TI) and Umbrella Sampling (US) simulations with IPHIGENIE. Statistical sampling for both techniques is enhanced by using a Hamilton Replica Exchange framework. This requires several (10-60) copies (replicas) of each system to be simulated in parallel, thus rapidly increasing the computational workload.

We obtained very precise solvation free energies of a set of small molecules representing side-chains of several common amino acids. Additionally, Potential of Mean Force (PMF) profiles were generated, giving an insight into the water-mediated average interaction between two different molecules.

Results and Methods

Solvation free energies were computed for 14 different systems: 6 small molecules representing charged side-chains; zwitterionic Alanine, as well as a single Chlorine ion, and 6 small molecules representing uncharged

side-chains for assessment. All simulations employed the CHARMM forcefield. A summary is given in Table 1. Experimental values are listed for comparison for those molecules, where reliable data is available.

Molecule	Sim.	Exp.	Molecule	Sim.
LYS ^o	-3.37	-4.38	ASP ⁻	-87.05
MET ^o	0.65	-1.48	LYS ⁺	-64.46
TRP ^o	-5.34	-5.88	HIS ⁺	-54.06
HIS ^o	-10.15	-10.27	ARG ⁺	-58.44
SER ^o	-4.87	-5.06	GLU ⁻	-87.00
ILE ^o	2.25	2.15	PRO ⁺	-54.59
Cl ⁻	-81.53	-81.26	ALA ⁺⁻	-60.96

Table 1: Solvation free energies in kcal/mol. +,-,o indicate the net-charge of the system. Exp. values from: R. Wolfenden et al., *Biochemistry* 20(4), 1981, 849. Y. Marcus, *J. Chem. Soc. Faraday T.* 87(18), 1991, 2995.

In order to obtain highly accurate free energies, we need to simulate around 50 replicas per system. Using one SuperMUC Phase 2 Haswell node per replica, a typical TI-job requires 1400 CPU-cores.

Resources for the US-jobs are similar, but we only need about 10 replicas per system. A resulting PMF-profile for two oppositely charged molecules can be seen in Figure 1.

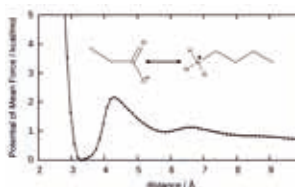


Figure 1: PMF-profile of GLU⁻ and LYS⁺ as a function of the distance between charge-centers of the molecules.

On-going Research / Outlook

The data generated on SuperMUC allows us to fully parametrize the HADES model. A necessary preparation step for each of our simulations was the fine-tuning of the replica-distribution via several short MDs. This is cumbersome, and could be automatized in the future.

References and Links

- [1] S. Bauer et al., *J. Chem. Phys.* 140(10), 2014, 104102/104103.
- [2] <https://sourceforge.net/projects/iphigenie/>
- [3] M. Zachmann et al., *ChemPhysChem.* 16(8), 2015, 1739.

The SuperMUC Multi-Petascale System

SuperMUC is the high-end supercomputer at the Leibniz-Rechenzentrum (Leibniz Supercomputing Centre, LRZ) in Garching near Munich (the MUC suffix is borrowed from the Munich airport code). With more than 241,000 cores and a combined peak performance of the two installation phases of more than 6.8 Petaflop/s (= 6.8×10^{15} Floating Point Operations per second), it is one of the fastest supercomputers in the world. SuperMUC strengthens the position of Germany's Gauss Centre for Supercomputing [1] in Europe by integrating it into the European high performance computing ecosystem. With the start of operation of SuperMUC, LRZ became a European Centre for Supercomputing and a Tier-0 Centre for the Partnership for Advanced Computing in Europe (PRACE). SuperMUC is available to all German and European researchers to expand the frontiers of science and engineering.

LRZ's design goal for the architecture was a combination of a large number of thin and medium sized compute nodes with a main memory of 32 GByte (Phase 1) and 64 GByte (Phase 2), respectively, and a smaller number of fat compute nodes with a main memory of 256 GByte. The network interconnect between the nodes allows excellent scaling of parallel applications up to the level of more than 100,000 tasks. SuperMUC consists of 18 Thin Node Islands based on Intel Sandy Bridge-EP processor technology, 6 Medium Node Islands based on Intel Haswell-EP processor technology and one Fat Node Island based on Intel Westmere-EX processor technology. All compute nodes within an individual Island are connected via a fully non-blocking Infiniband network, FDR10 for

the Thin nodes of Phase 1, FDR14 for the Haswell nodes of Phase 2 and QDR for the Fat Nodes of Phase 1. Above the Island level, the pruned interconnect enables a bi-directional bi-section bandwidth ratio of 4:1 (intra-Island / inter-Island). An additional system segment is called SuperMIC. It is a cluster of 32 Intel Ivy Bridge-EP nodes each having two Intel Xeon Phi accelerator cards installed (Knights Corner). See Table 1 for more details.

SuperMUC Phase 1 and Phase 2 are loosely coupled through the General Parallel File System (GPFS) and Network Attached Storage (NAS) File systems, used by both Phase 1 and Phase 2. Both phases are operated independently, but offer an identical programming environment.

SuperMUC uses a new, revolutionary form of warm water cooling developed by IBM. Active components like processors and memory are directly cooled with water that can have an inlet temperature of up to 40 degrees Celsius. This High Temperature Liquid Cooling together with very innovative system software cuts the energy consumption of the system up to 40%. In addition, LRZ buildings are heated re-using this energy.

Permanent storage for data and programs is provided by a 16-node NAS cluster from NetApp. This primary cluster has a capacity of 3,5 Petabytes and has demonstrated an aggregated throughput of more than 12 GB/s using NFSv3. Netapp's Ontap 8 Cluster-Mode provides a single namespace for several hundred project volumes on the system. Users can access multiple snapshots of data in



Figure 1: SuperMUC Phase 1 on the left and SuperMUC Phase 2 on the right, in the server room.

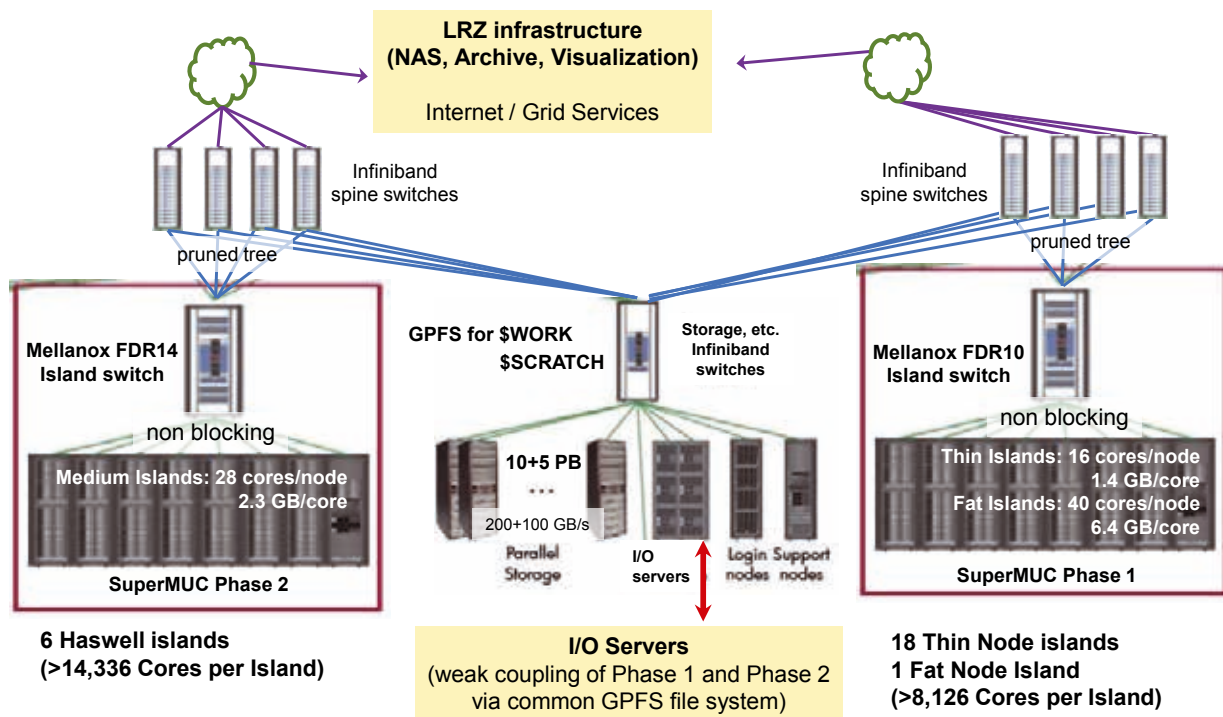


Figure 2: Schematic view of SuperMUC Phase 1 and Phase 2.

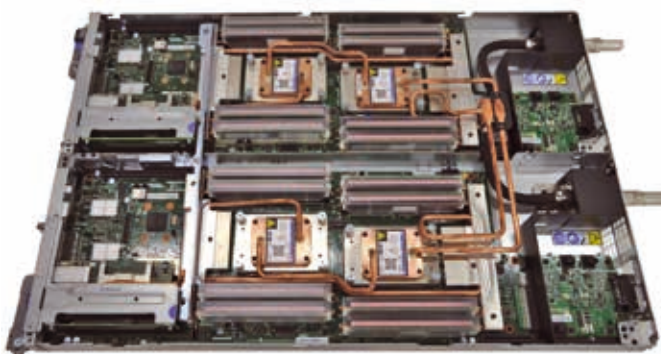


Figure 3: One blade of SuperMUC Phase 2 consists of two dual-socket compute nodes. The copper tubes distribute the warm cooling water to CPUs, memory modules and peripheral modules.



Figure 4: Rear of racks with warm water cooling.

their home directories. For additional redundancy, data is regularly replicated to a separate 4-node Netapp cluster with another 3.5 PB of storage for recovery purposes. Replication uses Snapmirror-technology and runs with up to 2 GB/s in this setup.

For high-performance I/O, IBM's GPFS with 12 PB of capacity and an aggregated throughput of 250 GB/s is available. Disk storage subsystems were built by DDN. The storage hardware consists of more than 3,400 SA-TA-Disks with 2 TB each, protected by double-parity RAID and integrated checksums.

LRZ's tape backup and archive systems are based on Tivoli Storage Manager (TSM) from IBM, providing more than 30 Petabytes of capacity to the users of SuperMUC. Digital long-term archives help to preserve simulation results. User archives are also transferred to a remote disaster recovery site.

Collaborations of European scientists can submit proposals to PRACE. Twice per year, the Gauss Centre for Supercomputing has a dedicated call for large scale projects that request more than 35 million core-hours. Smaller proposals by German scientists can be submitted throughout the year directly to LRZ.

References

- [1] The Gauss Centre for Supercomputing (GCS) is the alliance of the three national German computing centres: Jülich Supercomputing Centre (JSC), High Performance Computing Centre Stuttgart (HLRS), and Leibniz Supercomputing Centre (LRZ).



Figure 5: Several racks of SuperMUC Phase 2.

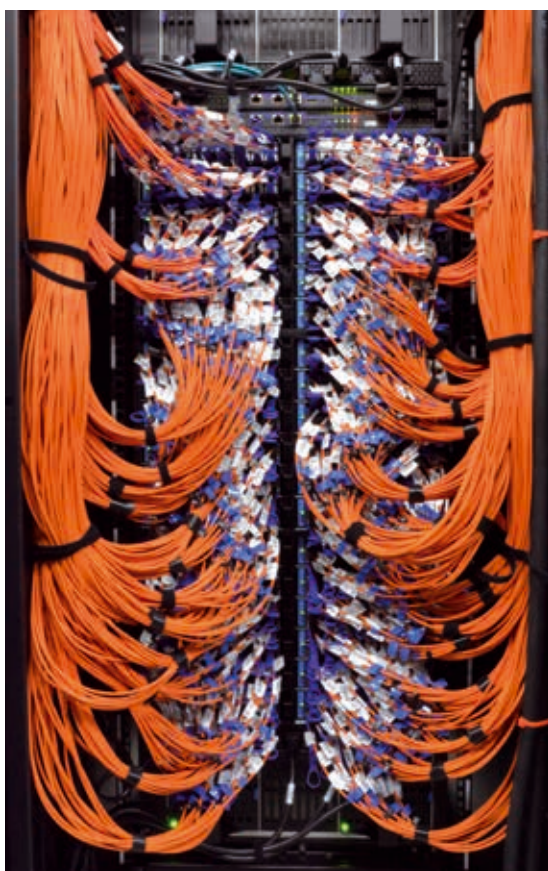


Figure 6: One of the Infiniband switches.

Technical data

Installation Phase	
Installation Date	
Island Type	
System	
Processor Type	
Nominal Frequency [GHz]	
Performance per core	
Total Number of nodes	
Total Number of cores	
Total Peak Performance [PFlop/s]	
Total Linpack Performance [PFlop/s]	
Total size of memory [TByte]	
Total Number of Islands	
Typical Power Consumption [MW]	
Components	
Nodes per Island	
Processors per Node	
Cores per Processor	
Cores per Node	
Logical CPUs per Node (Hyperthreading)	
Memory and Caches	
Memory per Core [GByte] (typically available for applications)	
Size of shared Memory per node [GByte]	
Bandwidth to Memory per node [Gbyte/s]	
Interconnect	
Technology	
Intra-Island Topology	
Inter-Island Topology	
Bisection bandwidth of Interconnect [TByte/s]	
Servers	
Login Servers for users	
Storage	
Size of parallel storage (SCRATCH/WORK) [Pbyte]	
Size of NAS storage (HOME) [PByte]	
Aggregated bandwidth to/from parallel storage [GByte/s]	
Aggregated bandwidth to/from NAS storage [GByte/s]	
Capacity of Archive and Backup Storage [PByte]	
System Software	
Operating System	
Batchsystem	
Parallel Filesystem for SCRATCH and WORK	
File System for HOME	
Archive and Backup Software	
System Management	
Monitoring	

The SuperMUC Multi-Petascale System

Phase 1			Phase 2
2011	2012	2013	2015
Fat Nodes	Thin Nodes	Many Cores Nodes	Haswell Nodes
BladeCenter HX5	IBM System x iDataPlex dx360M4	IBM System x iDataPlex dx360M4	Lenovo NeXtScale nx360M5 WCT
Westmere EX Xeon E7-4870	Sandy Bridge EP Xeon E5-2680	Ivy Bridge EP and Xeon Phi 5110P	Haswell EP Xeon E5-2697 v3
2.4	2.7	1.05	2.6
4 DP Flops/cycle = 9.6 DP Flop/s 2-wide SSE2 add + 2-wide SSE2 mult	8 DP Flops/cycle = 21.6 DP Flops/s 4-wide AVX add + 4-wide AVX mult	16 DP Flops/cycle = 16.64 DP Flops/s 8-wide fused multiply-adds every cycle using 4 threads	16 DP Flops/cycle = 41.6 DP Flops/s two 4-wide fused multiply-adds
205	9,216	32	3,072
8,200	147,456	3,840 (Phi)	86,016
0.078	3.2	0.064 (Phi)	3.58
0.065	2.897	n.a.	2.814
52	288	2.56	194
1	18	1	6
	< 2.3		~1.1
205	512	32	512
4	2	2 Ivy Bridge EP + 2 Phi 5110P	2
10	8	8 (Ivy Bridge EP) + 60 (Phi)	14
40	16	16 (host) + 120 (Phi)	28
80	32	32 (host) + 480 (Phi)	56
6.4 (~6.0)	2 (~1.5)	4 (host) + 2 x 0.13 (Phi)	2.3 (2.1)
256	32	64 (host) + 2 x 8 (Phi)	64 (8 nodes in job class big: 256)
136.4	102.4	Phi: 384	137
Infiniband QDR	Infiniband FDR10	Infiniband FDR10	Infiniband FDR14
non-blocking Tree			non-blocking Tree
Pruned Tree 4:1		n.a.	Pruned Tree 4:1
12.5			5.1
2	7	1	5
15			
3.5 (+ 3.5 for replication)			
250			
12			
> 30			
Suse Linux Enterprise Server (SLES)			
IBM Loadleveler			
IBM GPFS			
NetApp NAS			
IBM TSM			
xCat from IBM			
Icinga, Splunk			

SuperMUC-NG – Next Generation Supercomputer at LRZ

On December 14, 2017, LRZ and Intel signed a contract for the delivery of the new supercomputer at LRZ. SuperMUC-NG will be the „Next Generation“ to the currently operated SuperMUC, and will provide an impressive computational power of 26.9 PFlop/s to a wide-ranging scientific community.

SuperMUC-NG is currently being installed and will start production in early 2019. It will be equipped with more than 6,400 Lenovo ThinkSystem SD650 DWC compute nodes based on the Intel Xeon Scalable processor. Technical details can be found in the table.

Just like SuperMUC, SuperMUC-NG will be cooled using warm water. Lenovo, the system integrator, has developed a cooling concept that will further reduce power consumption and will reuse the waste heat of the supercomputer to generate cold water. Hereby advanced adsorption cooling technology will be used. The fund-

ing of SuperMUC-NG is shared to equal parts by the federal government of Germany and by the Free State of Bavaria through a strategic plan of the Gauss Centre for Supercomputing (GCS). The total cost of Phase 1 of the project sums up to 96 Million Euro for 6 years including electricity, maintenance and personnel. Bavaria's Minister of Science Dr. Ludwig Spaenle stated during the contract signing ceremony that excellent research and development need excellent working conditions. With its next supercomputer, SuperMUC-NG, LRZ will meet these demands and establish the prerequisites for continuation of state-of-the-art scientific research in Bavaria.

Professor Dieter Kranzlmüller, Chairman of the Board of LRZ, sees LRZ well-positioned for the future: "With the new supercomputer, LRZ is well prepared to support scientists in achieving the next level of supercomputing. As part of the project, the user support team will be extended."



Figure 1: Contract signing for SuperMUC-NG. From left to right:
Prof. Dr. Dieter Kranzlmüller, Chairman of the Board of Directors at LRZ,
Prof. Dr. Thomas O. Höllmann, President of the Bavarian Academy of Sciences and Humanities,
Charles Wuischpard, Vice President, Data Center Group General Manager,
Scalable Data Center Solutions Group, Intel Corporation,
Dr. Ludwig Spaenle, Bavaria's Minister of Science,
Dr. Herbert Huber, Head of the High Performance Computing Department at LRZ,
Scott Tease, Executive Director, HPC and AI, Lenovo Data Center Group.

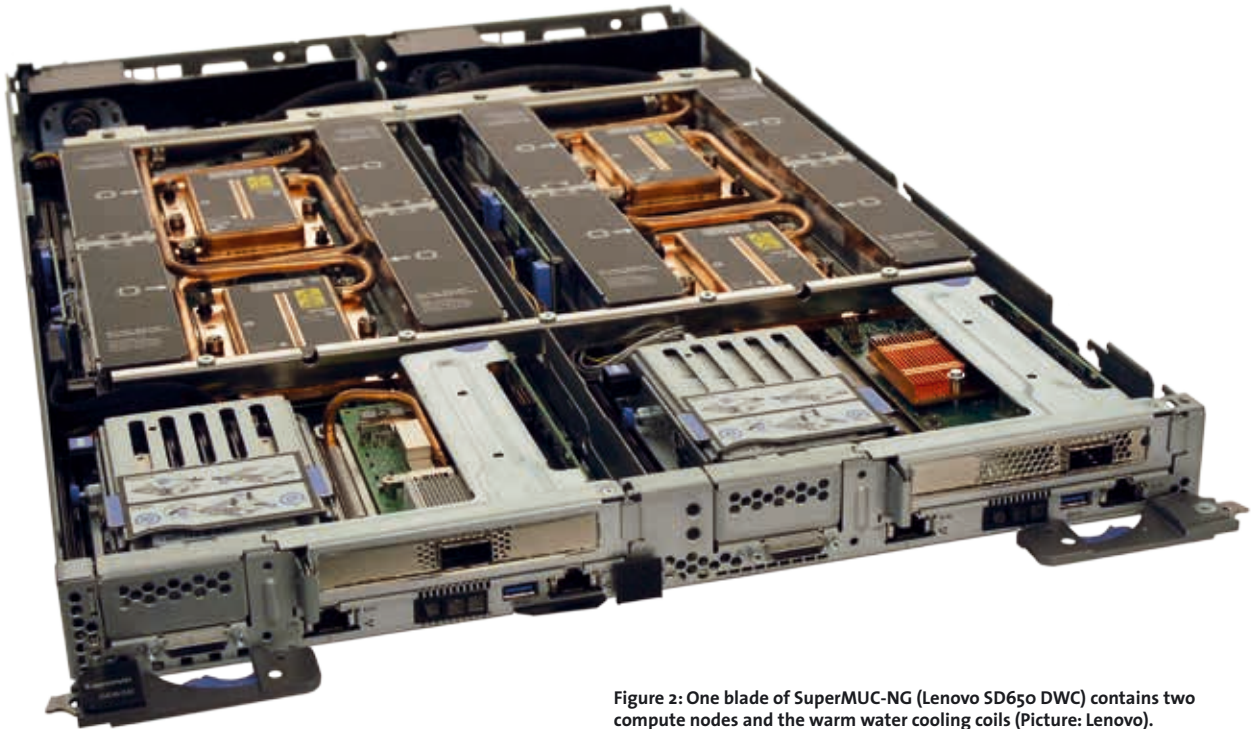


Figure 2: One blade of SuperMUC-NG (Lenovo SD650 DWC) contains two compute nodes and the warm water cooling coils (Picture: Lenovo).

SuperMUC-NG, Hardware overview

Peak performance	26.9 PFlop/s	
Main memory	718 TByte	
High performance parallel file system	50 PByte	
Data science storage	20 PByte	
Cooling	Direct warm water cooling	
Re-use of excess heat	Adsorption chiller	
	Thin Nodes	Fat Nodes
Processor type	Intel Skylake	Intel Skylake
Total number of nodes of this type	6,336	144
Number of cores per node	48	48
Total CPU Cores	304,128	6,912
Number of islands with this node type	8	1
Memory perNode	96 GByte	768 GByte
Interconnect	Intel Omni-Path 100G	Intel Omni-Path 100G
Topology	Pruned Fat Tree	Pruned Fat tree
Software		
Operating system / batch queueing system	Suse Linux SLES / SLURM	
Parallel filesystem	IBM GPFS	
Cloud Components		
Nodes with Nvidia V100 GPUs	32	
Nodes without GPUs	32	



In this book, the Leibniz Supercomputing Centre (LRZ), a member of the Gauss Centre for Supercomputing (GCS), reports on the results of numerical simulations, performed in 2016 and 2017 on the SuperMUC petascale system. More than 110 project reports give an impressive overview of the utilization of SuperMUC, the Tier-0 system of the Bavarian Academy of Sciences and Humanities.

SuperMUC Phase 1 began user operation in July, 2012, and **SuperMUC Phase 2** (picture above) became operational in May 2015. Each system segment has a peak performance of more than 3 PFLOP/s. Both phases are based on Intel x86 architecture and are coupled via a common parallel file system (GPFS). They are independently operated, but offer an identical programming environment. A detailed system description can be found in the appendix.

The articles provide an overview of the broad range of applications that use high performance computing to solve the most challenging scientific problems. For each project, the scientific background is described, along with the results achieved and the methodology used. References for further reading are included with each report.

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